# 6440 University of California

The University of California (UC) provides instruction in undergraduate, graduate professional, and graduate academic programs through the doctoral degree level; research; education for professional careers; and public service.

UC was founded in 1868 as a public, state-supported land-grant institution. It was written into the State Constitution of 1879 as a public trust to be administered by the Regents of the University of California. The Board of Regents includes the following 26 members: 7 ex officio members, 18 members appointed by the Governor with the approval of the Senate for 12-year terms, and 1 student appointed by the Board. The Governor is President of the Regents.

The 1960 Master Plan for Higher Education designates UC as the primary state-supported academic agency for research. In addition, the university serves students at all levels of higher education in California and is the public segment primarily responsible for awarding the doctorate and several professional degrees, including in medicine and law.

There are ten UC campuses: Berkeley, Davis, Irvine, Los Angeles, Merced, Riverside, San Diego, San Francisco, Santa Barbara, and Santa Cruz. Nine of these are general campuses that offer undergraduate, graduate, and professional education. The San Francisco campus is devoted exclusively to the health sciences. The university operates five teaching hospitals and administers more than 800 research centers, institutes, laboratories, and programs. It also oversees one United States Department of Energy laboratory and partners with private industry to manage two other Department of Energy laboratories.

The Regents appoint a university president, who is typically responsible for overall policy development, planning, and resource allocation. The ten UC chancellors are responsible for management of the individual campuses. The Regents have delegated authority to the Academic Senate, including responsibility for policies on admissions and academic programs.

## 3-YEAR EXPENDITURES AND POSITIONS

| | | Positions | | | Expenditures | | |
|---|---|---|---|---|---|---|---|
| | | 2018-19 | 2019-20 | 2020-21 | 2018-19* | 2019-20* | 2020-21* |
| 5440 | Support | 109,982.5 | 111,954.1 | 111,954.1 | $37,238,075 | $39,771,401 | $41,445,151 |
| **TOTALS, POSITIONS AND EXPENDITURES (All Programs)** | | **109,982.5** | **111,954.1** | **111,954.1** | **$37,238,075** | **$39,771,401** | **$41,445,151** |

| **FUNDING** | | 2018-19* | 2019-20* | 2020-21* |
|---|---|---|---|---|
| 0001 | General Fund | $3,743,238 | $3,938,304 | $3,465,953 |
| 0007 | Breast Cancer Research Account, Breast Cancer Fund | 4,403 | 11,195 | 14,537 |
| 0042 | State Highway Account, State Transportation Fund | 1,000 | 1,000 | 1,000 |
| 0046 | Public Transportation Account, State Transportation Fund | 980 | 980 | 980 |
| 0234 | Research Account, Cigarette and Tobacco Products Surtax Fund | 7,407 | 11,436 | 8,235 |
| 0308 | Earthquake Risk Reduction Fund of 1996 | - | 81 | - |
| 0320 | Oil Spill Prevention and Administration Fund | 2,500 | 2,500 | 2,500 |
| 0814 | California State Lottery Education Fund | 46,313 | 41,736 | 41,672 |
| 0890 | Federal Trust Fund | 3,500 | 3,500 | 9,500 |
| 0895 | Federal Funds - Not In State Treasury | 3,906,489 | 4,077,000 | 4,158,000 |
| 0945 | California Breast Cancer Research Fund | 178 | 178 | 178 |
| 0993 | University Funds--Unclassified | 29,510,035 | 31,522,472 | 33,598,577 |
| 1017 | Umbilical Cord Blood Collection Program Fund | 2,500 | 2,500 | 2,500 |
| 3054 | Health Care Benefits Fund | 2,000 | 2,000 | 2,000 |
| 3290 | Road Maintenance and Rehabilitation Account, State Transportation Fund | 5,000 | 5,000 | 5,000 |
| 3306 | Graduate Medical Education Account, California Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund | 2,000 | 76,023 | 35,649 |
| 3310 | Medical Research Program Account, California Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund | - | 70,821 | 96,195 |
| 3314 | California Cannabis Tax Fund | - | 2,000 | - |
| 3349 | Cannabis Tax Fund - University of California San Diego Center for Medicinal Cannabis Research -Allocation 2 | - | 2,000 | 2,000 |
| 8054 | California Cancer Research Fund | 425 | 425 | 425 |
| 8103 | Type 1 Diabetes Research Fund | 107 | 250 | 250 |
| **TOTALS, EXPENDITURES, ALL FUNDS** | | **$37,238,075** | **$39,771,401** | **$41,445,151** |

## LEGAL CITATIONS AND AUTHORITY

*** Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.**

# 6440 University of California - Continued

DEPARTMENT AUTHORITY

California Constitution, Article IX, Section 9.

## MAJOR PROGRAM CHANGES

UC's General Fund resources reflect a decrease of a $258.7 million, which is the result of augmentations totaling $212.9 million and reductions totaling $471.6 million.

- Ongoing General Fund Augmentations—An ongoing increase of $212.3 million General Fund includes:
  - $169.2 million for a base adjustment to mitigate the impact of contingent General Fund reductions.
  - $25 million for UC Riverside School of Medicine operational costs.
  - $15 million for the UC Merced-UCSF Fresno Partnership for a Branch Medical School Campus.
  - $3.7 million ($3.1 million of which is ongoing) to offset declining Proposition 56 revenue supporting a statewide grant program to increase the number of available graduate medical residency slots.
  - $345,000 for a baseline immigrant legal services adjustment.
- Contingent General Fund Reductions—Absent additional federal funds pursuant to Control Section 8.28, a decrease of $471.6 million reflecting:
  - $428.4 million for UC operational costs.
  - $43.2 million for UC Office of the President, UC PATH, and the UC Division of Agriculture and Natural Resources.

## DETAILED BUDGET ADJUSTMENTS

| | 2019-20* | | | 2020-21* | | |
|---|---|---|---|---|---|---|
| | General Fund | Other Funds | Positions | General Fund | Other Funds | Positions |
| **Workload Budget Adjustments** | | | | | | |
| **Workload Budget Change Proposals** | | | | | | |
| • Adjustment to Support University Costs | $- | $- | - | $169,202 | $- | - |
| • Adjustment to Support UC Riverside School of Medicine Operational Costs | - | - | - | 25,000 | - | - |
| • Adjustment to Support UC Merced-UCSF Fresno Partnership Branch Medical School Campus | - | - | - | 15,000 | - | - |
| • Proposition 56 Backfill for Graduate Medical Education | - | -678 | - | 3,730 | -3,052 | - |
| • Reappropriation of Unexpended Funds from the Breast Cancer Research Account | - | - | - | - | 7,422 | - |
| • Adjustment to Develop UC Subject Matter Project to Address Learning Loss in Mathematics, Science, and English/ Language Arts | - | - | - | - | 6,000 | - |
| • Reduce Ongoing Support for the University of California Office of the President, UC PATH, and UC Division of Agriculture and Natural Resources | - | - | - | -43,221 | - | - |
| • Reduce Ongoing Support for the University of California | - | - | - | -428,396 | - | - |
| **Totals, Workload Budget Change Proposals** | **$-** | **$-678** | **-** | **$-258,685** | **$10,370** | **-** |
| **Other Workload Budget Adjustments** | | | | | | |

*** Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.**

# 6440 University of California - Continued

| | 2019-20* | | | 2020-21* | | |
|---|---|---|---|---|---|---|
| | General Fund | Other Funds | Positions | General Fund | Other Funds | Positions |
| • Adjustment to Reflect Revised University Funds Estimates | - | 1,315,205 | - | - | 3,391,310 | - |
| • Adjustment to Reflect Revised Federal Funds Estimates | - | 103,000 | - | - | 184,000 | - |
| • Adjustment to Reflect Available Resources in the Medical Research Program Account | - | - | - | - | 34,416 | - |
| • Adjustment to Reflect California State Lottery Education Fund Estimates | - | 172 | - | - | 108 | - |
| • Adjustment to Support Humane Management of Wild Horse Populations | 160 | - | - | - | - | - |
| • Past Year Adjustments and Carryover | - | 40,000 | - | - | - | - |
| • Adjustment to Reflect Proposition 99 Resources | - | - | - | - | -3,201 | - |
| • Adjustment to Reflect Breast Cancer Research Fund Estimates | - | 581 | - | - | -3,499 | - |
| • Miscellaneous Baseline Adjustments | 100 | - | 854.3 | - | -9,042 | 854.3 |
| **Totals, Other Workload Budget Adjustments** | **$260** | **$1,458,958** | **854.3** | **$-** | **$3,594,092** | **854.3** |
| **Totals, Workload Budget Adjustments** | **$260** | **$1,458,280** | **854.3** | **$-258,685** | **$3,604,462** | **854.3** |
| **Totals, Budget Adjustments** | **$260** | **$1,458,280** | **854.3** | **$-258,685** | **$3,604,462** | **854.3** |

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# 6440 University of California - Continued

## 3-Year Expenditures and Positions

| | Positions | | | Expenditures | | |
|---|---|---|---|---|---|---|
| | Actual 2018-19 | Estimated 2019-20 | Estimated 2020-21 | Actual 2018-19 [1] | Estimated 2019-20 [2] | Estimated 2020-21 [2] |
| Instruction | 28,180.5 | 28,485.8 | 28,485.8 | $6,838,177 | $7,233,144 | $7,248,169 |
| General Campuses Instruction | 16,715.1 | 16,896.6 | 16,896.6 | 3,618,158 | 3,755,741 | 3,640,536 |
| Health Sciences Instruction | 10,003.5 | 10,111.7 | 10,111.7 | 2,923,204 | 3,155,086 | 3,298,220 |
| Summer Sessions Instruction | 159.2 | 160.8 | 160.8 | 19,246 | 21,421 | 23,413 |
| University Extension Instruction | 1,302.7 | 1,316.7 | 1,316.7 | 277,569 | 300,896 | 286,000 |
| Research | 4,547.6 | 4,596.7 | 4,596.7 | 861,048 | 908,554 | 906,120 |
| Public Service | 1,954.1 | 1,975.4 | 1,975.4 | 304,572 | 322,836 | 333,459 |
| Academic Support | 12,686.0 | 12,823.4 | 12,823.4 | 2,669,154 | 2,842,844 | 2,922,506 |
| Libraries Academic Support | 1,837.4 | 1,857.4 | 1,857.4 | 292,117 | 305,317 | 270,586 |
| Other Academic Support | 10,848.6 | 10,966.0 | 10,966.0 | 2,377,037 | 2,537,527 | 2,651,920 |
| Teaching Hospitals | 37,591.3 | 38,779.2 | 38,779.2 | 12,792,301 | 14,070,420 | 15,476,351 |
| Student Services | 6,749.7 | 6,823.2 | 6,823.2 | 1,129,779 | 1,217,174 | 1,270,006 |
| Institutional Support | 13,829.3 | 13,978.1 | 13,978.1 | 1,628,579 | 1,665,388 | 1,646,695 |
| Operation and Maintenance of Plant | 4,444.0 | 4,492.3 | 4,492.3 | 737,395 | 868,432 | 726,484 |
| Student Financial Aid | - | - | - | 1,586,993 | 1,644,292 | 1,684,296 |
| Auxiliary Enterprises | - | - | - | 1,351,959 | 1,387,110 | 1,445,369 |
| Provisions for Allocation | - | - | - | 125,460 | 129,878 | 105,837 |
| Program Maintenance | - | - | - | 181,652 | 187,488 | 218,563 |
| Extramural Programs | - | - | - | 6,255,222 | 6,347,841 | 6,434,296 |
| Instruction Extramural Programs | - | - | - | 601,721 | 611,796 | 621,527 |
| Research Extramural Programs | - | - | - | 4,067,163 | 4,123,148 | 4,174,216 |
| Public Service Extramural Programs | - | - | - | 408,140 | 414,974 | 421,574 |
| Academic Support Extramural Programs | - | - | - | 207,614 | 211,090 | 214,448 |
| Teaching Hospitals Extramural Programs | - | - | - | 52,874 | 53,759 | 54,614 |
| Student Services Extramural Programs | - | - | - | 103,940 | 105,680 | 107,361 |
| Institutional Support Extramural Programs | - | - | - | 23,558 | 23,952 | 24,333 |
| Operation and Maintenance of Plant Extramural Programs | - | - | - | 1,975 | 2,008 | 2,040 |
| Student Financial Aid Extramural Programs | - | - | - | 753,335 | 765,948 | 778,132 |
| Auxiliary Enterprises Extramural Programs | - | - | - | 34,902 | 35,486 | 36,051 |
| Department of Energy Laboratory | - | - | - | 775,784 | 946,000 | 1,027,000 |
| **TOTALS, POSITIONS AND EXPENDITURES** | **109,982.5** | **111,954.1** | **111,954.1** | **$37,238,075** | **$39,771,401** | **$41,445,151** |

[1] Expenditures include Control Section 6.10 deferred maintenance funding appropriated in the 2018 Budget Act.

[2] Estimates are subject to heightened uncertainty due to potential COVID-19-related impacts on operations, services, and revenues.

# 6440 University of California - Continued

## Detailed Expenditures by Program

| | Actual 2018-19[1] | Expenditures Estimated 2019-20[2] | Estimated 2020-21[2] |
|---|---|---|---|
| **INSTRUCTION** | | | |
| **GENERAL CAMPUSES INSTRUCTION** | | | |
| **State Operations:** | | | |
| General Fund | $1,388,341 | $1,446,369 | $1,288,925 |
| Higher Education Fees and Income (UC General Funds) | 605,166 | 635,401 | 641,751 |
| Higher Education Fees and Income (Student Fees) | 1,360,400 | 1,387,781 | 1,405,872 |
| Restricted Fund Sources | 264,251 | 286,190 | 303,988 |
| **Totals, State Operations** | **$3,618,158** | **$3,755,741** | **$3,640,536** |
| Faculty Salaries and Related Benefits | 2,166,458 | 2,248,858 | 2,179,858 |
| Teaching Assistant Salaries | 148,396 | 154,032 | 149,314 |
| Instructional Support and Related Benefits | 966,344 | 1,003,095 | 972,321 |
| Equipment Replacement | 63,445 | 65,854 | 63,837 |
| Instructional Technology and Computing | 39,186 | 40,674 | 39,428 |
| Summer | 234,329 | 243,228 | 235,778 |
| **HEALTH SCIENCES INSTRUCTION** | | | |
| **State Operations:** | | | |
| General Fund | $358,118 | $351,061 | $372,444 |
| Higher Education Fees and Income (UC General Funds) | 99,087 | 104,038 | 105,077 |
| Higher Education Fees and Income (Student Fees) | 103,658 | 105,744 | 107,123 |
| Restricted Fund Sources | 2,362,341 | 2,594,243 | 2,713,576 |
| **Totals, State Operations** | **$2,923,204** | **$3,155,086** | **$3,298,220** |
| Medicine | 2,684,762 | 2,894,232 | 3,033,369 |
| Dentistry | 62,603 | 66,617 | 69,712 |
| Nursing | 54,632 | 58,135 | 60,836 |
| Optometry | 9,451 | 10,057 | 10,524 |
| Pharmacy | 25,243 | 26,862 | 28,109 |
| Public Health | 39,490 | 42,022 | 43,975 |
| Veterinary Medicine | 41,144 | 43,782 | 45,816 |
| Drew | 5,879 | 13,379 | 5,879 |
| **SUMMER SESSIONS INSTRUCTION** | | | |
| **State Operations:** | | | |
| Restricted Fund Sources | $19,246 | $21,421 | $23,413 |
| **Totals, State Operations** | **$19,246** | **$21,421** | **$23,413** |
| **UNIVERSITY EXTENSION INSTRUCTION** | | | |
| **State Operations:** | | | |
| General Fund | 0 | 15,000 | $0 |
| Restricted Fund Sources | $277,569 | $285,896 | $286,000 |
| **Totals, State Operations** | **$277,569** | **$300,896** | **$286,000** |
| **RESEARCH** | | | |
| **State Operations:** | | | |
| General Fund | $281,335 | $280,339 | $220,192 |
| Higher Education Fees and Income (UC General Funds) | 88,560 | 92,986 | 93,914 |
| Restricted Fund Sources | 491,153 | 535,229 | 592,014 |
| **Totals, State Operations** | **$861,048** | **$908,554** | **$906,120** |
| General Campuses | 416,746 | 433,771 | 433,489 |
| Health Sciences | 304,829 | 319,281 | 317,075 |
| Agriculture | 122,644 | 127,654 | 127,571 |
| Tobacco-Related Diseases | 7,407 | 11,436 | 8,235 |
| Breast Cancer Research | 4,581 | 11,373 | 14,715 |
| Faculty Grants and Travel | 4,841 | 5,039 | 5,035 |
| **PUBLIC SERVICE** | | | |
| **State Operations:** | | | |
| General Fund | $105,378 | $107,423 | $98,912 |

*** Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.**

# 6440 University of California - Continued

## Detailed Expenditures by Program

| | | | |
|---|---|---|---|
| Higher Education Fees and Income (UC General Funds) | 5,818 | 6,109 | 6,170 |
| Restricted Fund Sources | 193,376 | 209,304 | 228,377 |
| **Totals, State Operations** | **$304,572** | **$322,836** | **$333,459** |
| | | | |
| Student Academic Preparation and Educational Partnerships (Subtotal) | 41,638 | 28,057 | 28,057 |
| UC Scout (Online Courses) | 3,216 | 2,411 | 2,411 |
| ASSIST | 515 | 377 | 377 |
| Community College Articulation | 600 | 600 | 600 |
| Community College Transfer Programs | 4,250 | 2,413 | 2,413 |
| Graduate and Professional School Programs | 3,615 | 2,408 | 2,408 |
| Early Academic Outreach Program | 10,109 | 7,356 | 7,356 |
| Math, Engineering, Science Achievement (MESA) | 4,237 | 4,133 | 4,133 |
| Puente | 3,356 | 1,133 | 1,133 |
| Student Initiated Programs | 1,489 | 388 | 388 |
| GEAR UP | 3,500 | 3,500 | 3,500 |
| UC Links | 696 | 622 | 622 |
| K-20 Intersegmental Alliances | 2,698 | 1,209 | 1,209 |
| Evaluation | 1,872 | 855 | 855 |
| Other Student Academic Preparation and Educational Partnership Programs | 1,485 | 652 | 652 |
| Other Public Service Programs (Subtotal): | 262,934 | 294,779 | 305,402 |
| California Subject Matter Project | 5,068 | 5,000 | 11,000 |
| California State Summer School for Math and Science | 1,414 | 1,643 | 1,643 |
| Cooperative Extension | 83,398 | 85,010 | 90,721 |
| Umbilical Cord Blood Collection Program | 2,500 | 2,500 | 2,500 |
| Professional Development Programs | 24,861 | 33,323 | 33,182 |
| Health and Sciences Initiatives (Including Teratogen Registry) | 82,438 | 92,703 | 92,312 |
| C.R. Drew University of Medicine and Science | 3,811 | 3,811 | 3,811 |
| Cultural Programming | 32,215 | 42,159 | 41,981 |
| Other | 27,229 | 28,630 | 28,252 |
| | | | |
| **ACADEMIC SUPPORT** | | | |
| **LIBRARIES ACADEMIC SUPPORT** | | | |
| **State Operations:** | | | |
| General Fund | $207,891 | $217,230 | $179,967 |
| Higher Education Fees and Income (UC General Funds) | 16,618 | 17,449 | 17,623 |
| Higher Education Fees and Income (Student Fees) | 40,768 | 41,588 | 42,131 |
| Restricted Fund Sources | 26,840 | 29,050 | 30,865 |
| **Totals, State Operations** | **$292,117** | **$305,317** | **$270,586** |
| | | | |
| Campus Libraries | 279,195 | 291,811 | 258,617 |
| California Digital Library | 12,922 | 13,506 | 11,969 |
| | | | |
| **OTHER ACADEMIC SUPPORT** | | | |
| **State Operations:** | | | |
| General Fund | $173,205 | $174,011 | $163,434 |
| Higher Education Fees and Income (UC General Funds) | 172,178 | 180,781 | 182,587 |
| Higher Education Fees and Income (Student Fees) | 261,253 | 266,511 | 269,985 |
| Restricted Fund Sources | 1,770,401 | 1,916,224 | 2,035,913 |
| **Totals, State Operations** | **$2,377,037** | **$2,537,527** | **$2,651,919** |
| | | | |
| Museums and Galleries | 41,553 | 44,358 | 46,358 |
| Demonstration Schools | 8,223 | 8,778 | 9,174 |
| Vivaria and Other | 666,642 | 711,652 | 743,733 |
| Dental Clinics | 9,401 | 10,036 | 10,488 |
| Optometry Clinics | 13,339 | 14,239 | 14,881 |
| Neuropsychiatric Institutes | 102,051 | 108,941 | 113,852 |
| Veterinary Medical Teaching Facility | 67,088 | 71,618 | 74,846 |
| Vivaria and Other (Health Sciences) | 1,462,092 | 1,560,808 | 1,631,170 |
| Occupational Health Centers | 6,648 | 7,097 | 7,417 |
| | | | |
| **TEACHING HOSPITALS** | | | |
| **State Operations:** | | | |
| General Fund | $11,111 | $11,111 | $11,111 |
| Restricted Fund Sources | 12,781,190 | 14,059,309 | 15,465,240 |

# 6440 University of California - Continued

## Detailed Expenditures by Program

| | | | |
|---|---|---|---|
| **Totals, State Operations** | **$12,792,301** | **$14,070,420** | **$15,476,351** |
| **STUDENT SERVICES** | | | |
| **State Operations:** | | | |
| General Fund | 5,654 | 25,295 | 23,800 |
| Higher Education Fees and Income (Student Fees) | $399,043 | $407,074 | $412,381 |
| Restricted Fund Sources | 725,082 | 784,805 | 833,825 |
| **Totals, State Operations** | **$1,129,779** | **$1,217,174** | **$1,270,006** |
| Social and Cultural Activities | 363,703 | 391,837 | 408,845 |
| Supplementary Educational Services | 26,916 | 28,999 | 30,257 |
| Counseling and Career Guidance | 131,712 | 141,901 | 148,060 |
| Financial Aid Administration | 43,668 | 47,046 | 49,088 |
| Student Admissions and Records | 127,588 | 137,458 | 143,425 |
| Student Health Services | 436,192 | 469,933 | 490,331 |
| **INSTITUTIONAL SUPPORT** | | | |
| **State Operations:** | | | |
| General Fund | $563,304 | $541,540 | $488,432 |
| Higher Education Fees and Income (UC General Funds) | 421,275 | 442,324 | 446,743 |
| Higher Education Fees and Income (Student Fees) | 249,364 | 254,383 | 257,699 |
| Restricted Fund Sources | 394,636 | 427,141 | 453,821 |
| **Totals, State Operations** | **$1,628,579** | **$1,665,388** | **$1,646,695** |
| Executive Management | 421,719 | 431,251 | 426,410 |
| Fiscal Operations | 208,774 | 213,492 | 211,096 |
| General Administrative Services | 473,333 | 484,031 | 478,599 |
| Logistical Services | 199,584 | 204,095 | 201,804 |
| Community Relations | 325,169 | 332,519 | 328,786 |
| **OPERATION AND MAINTENANCE OF PLANT** | | | |
| **State Operations:** | | | |
| General Fund | $288,590 | $394,360 | $237,137 |
| Higher Education Fees and Income (UC General Funds) | 168,441 | 176,857 | 178,624 |
| Higher Education Fees and Income (Student Fees) | 100,283 | 102,301 | 103,635 |
| Restricted Fund Sources | 180,081 | 194,914 | 207,088 |
| **Totals, State Operations** | **$737,395** | **$868,432** | **$726,484** |
| Plant Administration | 30,659 | 30,141 | 30,207 |
| Building Maintenance | 272,689 | 411,579 | 268,631 |
| Grounds Maintenance | 31,514 | 30,981 | 31,049 |
| Janitorial | 125,093 | 122,979 | 123,248 |
| Utilities Operation | 5,565 | 5,471 | 5,483 |
| Utilities Purchase | 251,060 | 246,817 | 247,358 |
| Refuse | 9,953 | 9,785 | 9,806 |
| Fire Departments | 10,862 | 10,679 | 10,702 |
| **STUDENT FINANCIAL AID** | | | |
| **State Operations:** | | | |
| General Fund | $53,199 | $57,199 | $57,199 |
| Higher Education Fees and Income (UC General Funds) | 8,140 | 8,140 | 8,140 |
| Higher Education Fees and Income (Student Fees) | 1,162,649 | 1,186,048 | 1,201,511 |
| Restricted Fund Sources | 363,005 | 392,905 | 417,446 |
| **Totals, State Operations** | **$1,586,993** | **$1,644,292** | **$1,684,296** |
| **AUXILIARY ENTERPRISES** | | | |
| **State Operations:** | | | |
| Restricted Fund Sources | $1,351,959 | $1,387,110 | $1,445,369 |
| **Totals, State Operations** | **$1,351,959** | **$1,387,110** | **$1,445,369** |
| **PROVISIONS FOR ALLOCATION** | | | |
| **State Operations:** | | | |
| General Fund | $125,460 | $129,878 | $105,837 |
| Restricted Fund Sources | - | - | - |
| **Totals, State Operations** | **$125,460** | **$129,878** | **$105,837** |

*** Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.**

# 6440 University of California - Continued

## Detailed Expenditures by Program

| | | | |
|---|---|---|---|
| **PROGRAM MAINTENANCE** | | | |
| **State Operations:** | | | |
| General Fund | $181,652 | $187,488 | $218,563 |
| **Totals, State Operations** | **$181,652** | **$187,488** | **$218,563** |
| **EXTRAMURAL PROGRAMS** | | | |
| **INSTRUCTION EXTRAMURAL PROGRAMS** | | | |
| **State Operations:** | | | |
| Restricted Fund Sources | $601,721 | $611,796 | $621,527 |
| **Totals, State Operations** | **$601,721** | **$611,796** | **$621,527** |
| **RESEARCH EXTRAMURAL PROGRAMS** | | | |
| **State Operations:** | | | |
| Restricted Fund Sources | $4,067,163 | $4,123,148 | $4,174,216 |
| **Totals, State Operations** | **$4,067,163** | **$4,123,148** | **$4,174,216** |
| **PUBLIC SERVICE EXTRAMURAL PROGRAMS** | | | |
| **State Operations:** | | | |
| Restricted Fund Sources | $408,140 | $414,974 | $421,574 |
| **Totals, State Operations** | **$408,140** | **$414,974** | **$421,574** |
| **ACADEMIC SUPPORT EXTRAMURAL PROGRAMS** | | | |
| **State Operations:** | | | |
| Restricted Fund Sources | $207,614 | $211,090 | $214,448 |
| **Totals, State Operations** | **$207,614** | **$211,090** | **$214,448** |
| **TEACHING HOSPITALS EXTRAMURAL PROGRAMS** | | | |
| **State Operations:** | | | |
| Restricted Fund Sources | $52,874 | $53,759 | $54,614 |
| **Totals, State Operations** | **$52,874** | **$53,759** | **$54,614** |
| **STUDENT SERVICES EXTRAMURAL PROGRAMS** | | | |
| **State Operations:** | | | |
| Restricted Fund Sources | $103,940 | $105,680 | $107,361 |
| **Totals, State Operations** | **$103,940** | **$105,680** | **$107,361** |
| **INSTITUTIONAL SUPPORT EXTRAMURAL PROGRAMS** | | | |
| **State Operations:** | | | |
| Restricted Fund Sources | $23,558 | $23,952 | $24,333 |
| **Totals, State Operations** | **$23,558** | **$23,952** | **$24,333** |
| **OPERATION AND MAINTENANCE OF PLANT EXTRAMURAL** | | | |
| **State Operations:** | | | |
| Restricted Fund Sources | $1,975 | $2,008 | $2,040 |
| **Totals, State Operations** | **$1,975** | **$2,008** | **$2,040** |
| **STUDENT FINANCIAL AID EXTRAMURAL PROGRAMS** | | | |
| **State Operations:** | | | |
| Restricted Fund Sources | $753,335 | $765,948 | $778,132 |
| **Totals, State Operations** | **$753,335** | **$765,948** | **$778,132** |
| **AUXILIARY ENTERPRISES EXTRAMURAL PROGRAMS** | | | |
| **State Operations:** | | | |
| Restricted Fund Sources | $34,902 | $35,486 | $36,051 |
| **Totals, State Operations** | **$34,902** | **$35,486** | **$36,051** |
| **DEPARTMENT OF ENERGY LABORATORY** | | | |
| **State Operations:** | | | |
| Restricted Fund Sources | $775,784 | $946,000 | $1,027,000 |
| **Totals, State Operations** | **$775,784** | **$946,000** | **$1,027,000** |
| **TOTALS, EXPENDITURES** | | | |
| General Fund | 3,743,238 | 3,938,304 | 3,465,953 |

*** Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.**

# 6440 University of California - Continued

## Detailed Expenditures by Program

| | | | |
|---|---|---|---|
| Breast Cancer Research Account, Breast Cancer Fund | 4,403 | 11,195 | 14,537 |
| Pacific Earthquake Engineering Research Center | 1,000 | 1,000 | 1,000 |
| Public Transportation Account, State Transportation Fund | 980 | 980 | 980 |
| Research Account, Cigarette and Tobacco Products Surtax Fund | 7,407 | 11,436 | 8,235 |
| Earthquake Risk Reduction Fund of 1996 | 0 | 81 | 0 |
| Oil Spill Prevention and Administration Fund | 2,500 | 2,500 | 2,500 |
| California State Lottery Education Fund | 46,313 | 41,736 | 41,672 |
| Federal Trust Fund | 3,500 | 3,500 | 9,500 |
| Federal Funds - Not In State Treasury | 17,856 | 18,000 | 18,000 |
| Extramural Federal Funds - Not In State Treasury | 3,112,849 | 3,113,000 | 3,113,000 |
| Extramural Federal Funds (Department of Energy) | 775,784 | 946,000 | 1,027,000 |
| California Breast Cancer Research Fund | 178 | 178 | 178 |
| Higher Education Fees and Income (UC General Funds) | 1,585,283 | 1,664,085 | 1,680,629 |
| Higher Education Fees and Income (Student Fees) | 3,677,418 | 3,751,430 | 3,800,337 |
| University Funds--Unclassified | 21,104,961 | 22,872,116 | 24,796,314 |
| Extramural Nonfederal Unclassified Funds (State Agency Agreements) | 353,232 | 353,000 | 353,000 |
| Extramural Nonfederal Unclassified Funds (Private Gifts, Contracts, and Grants) | 2,256,535 | 2,333,257 | 2,403,255 |
| Extramural Nonfederal Unclassified Funds (Other University Funds) | 532,606 | 548,584 | 565,042 |
| Umbilical Cord Blood Collection Program Fund | 2,500 | 2,500 | 2,500 |
| Research and Prevention Tobacco Tax Act of 2016 Fund | 2,000 | 76,023 | 35,649 |
| Research and Prevention Tobacco Tax Act of 2016 Fund | 0 | 70,821 | 96,195 |
| Health Care Benefit Fund | 2,000 | 2,000 | 2,000 |
| Mental Health Services Fund | 0 | 0 | 0 |
| Road Maintenance & Rehabilitation | 5,000 | 5,000 | 5,000 |
| California Cannabis Tax Fund | 0 | 2,000 | 0 |
| Cannabis Tax Fund - University of California San Diego | 0 | 2,000 | 2,000 |
| California Cancer Research Fund | 425 | 425 | 425 |
| Type 1 Diabetes Research Fund | 107 | 250 | 250 |
| **Totals, Expenditures** | **$37,238,075** | **$39,771,401** | **$41,445,151** |

# 6440 University of California - Continued

## Full-Time Equivalent Enrollment

| | 2018-19 Actual | 2019-20 Estimated | 2020-21 [1] Projected |
|---|---|---|---|
| **General Campuses:** | | | |
| **Academic Year** | | | |
| Undergraduate: | | | |
| Lower Division | 68,704 | 69,786 | 71,090 |
| Resident | 54,998 | 55,797 | 56,872 |
| Nonresident | 13,706 | 13,989 | 14,218 |
| Upper Division | 141,090 | 143,280 | 144,289 |
| Resident | 118,030 | 119,744 | 120,282 |
| Nonresident | 23,060 | 23,536 | 24,007 |
| Totals, Undergraduate | 209,794 | 213,066 | 215,379 |
| Resident | 173,028 | 175,541 | 177,154 |
| Nonresident | 36,766 | 37,525 | 38,225 |
| Postbaccalaureate | 177 | 140 | 140 |
| Resident | 177 | 140 | 140 |
| Nonresident | - | - | - |
| Graduate | 37,166 | 37,871 | 38,586 |
| Resident | 21,497 | 21,843 | 22,293 |
| Nonresident | 15,669 | 16,028 | 16,293 |
| Subtotal | 247,137 | 251,077 | 254,105 |
| Resident | 194,702 | 197,524 | 199,587 |
| Nonresident | 52,435 | 53,553 | 54,518 |
| **State Supported Summer Enrollment:** | | | |
| Undergraduate | 16,105 | 16,839 | 16,839 |
| Postbaccalaureate | 38 | 26 | 26 |
| Graduate | 544 | 532 | 532 |
| Subtotal | 16,687 | 17,397 | 17,397 |
| Resident | 16,687 | 17,397 | 17,397 |
| Nonresident | - | - | - |
| **Totals, General Campuses** | **263,824** | **268,474** | **271,502** |
| Resident | 211,389 | 214,921 | 216,984 |
| Nonresident | 52,435 | 53,553 | 54,518 |
| Health Sciences: | | | |
| Undergraduate | 364 | 363 | 363 |
| Graduate: | | | |
| Academic | 2,184 | 2,237 | 2,278 |
| Professional | 12,773 | 13,041 | 13,285 |
| **Totals, Health Sciences** | **15,321** | **15,641** | **15,926** |
| Resident | 14,231 | 14,534 | 14,713 |
| Nonresident | 1,090 | 1,107 | 1,213 |
| **TOTALS** | **279,145** | **284,115** | **287,428** |
| Resident | 225,620 | 229,455 | 231,697 |
| Nonresident | 53,525 | 54,660 | 55,731 |

[1] Projections are subject to heightened uncertainty due to potential COVID-19-related impacts.

# 6440 University of California - Continued

## Student Fees for Full-Time Students

| | 2018-19 | | 2019-20 | | 2020-21[2] | |
|---|---|---|---|---|---|---|
| | Resident | Nonresident | Resident | Nonresident | Resident | Nonresident |
| **Undergraduate Students** | | | | | | |
| Tuition | 11,442 | 11,442 | 11,442 | 11,442 | 11,442 | 11,442 |
| Student Services Fee | 1,128 | 1,128 | 1,128 | 1,128 | 1,128 | 1,128 |
| Nonresident Supplemental Tuition | - | 28,992 | - | 29,754 | - | 29,754 |
| **Total Mandatory Charges** | **12,570** | **41,562** | **12,570** | **42,324** | **12,570** | **42,324** |
| Campus-based Fees[1] | 1,386 | 1,386 | 1,452 | 1,452 | 1,524 | 1,524 |
| **Total Charges** | **13,956** | **42,948** | **14,022** | **43,776** | **14,094** | **43,848** |
| **Graduate Academic Students** | | | | | | |
| Tuition | 11,442 | 11,442 | 11,442 | 11,442 | 11,442 | 11,442 |
| Student Services Fee | 1,128 | 1,128 | 1,128 | 1,128 | 1,128 | 1,128 |
| Nonresident Supplemental Tuition | - | 15,102 | - | 15,102 | - | 15,102 |
| **Total Mandatory Charges** | **12,570** | **27,672** | **12,570** | **27,672** | **12,570** | **27,672** |
| Campus-based Fees[1] | 898 | 898 | 931 | 931 | 977 | 977 |
| **Total Charges** | **13,468** | **28,570** | **13,501** | **28,603** | **13,547** | **28,649** |
| **Graduate Professional Students** | | | | | | |
| Tuition | 11,442 | 11,442 | 11,442 | 11,442 | 11,442 | 11,442 |
| Student Services Fee | 1,128 | 1,128 | 1,128 | 1,128 | 1,128 | 1,128 |
| Nonresident Supplemental Tuition | - | 12,245 | - | 12,245 | - | 12,245 |
| **Total Mandatory Charges** | **12,570** | **24,815** | **12,570** | **24,815** | **12,570** | **24,815** |
| Campus-based Fees[1] | 898 | 898 | 931 | 931 | 977 | 977 |
| Professional Degree Supplemental Tuition | | | | | | |
| Students in Business | 25,998-$46,856 | $22,983-$35,066 | $27,036-$48,262 | $27,036-$38,222 | $27,036-$48,262 | $27,036-$38,222 |
| Students in Law | 31,755-35,164 | 26,004-31,188 | 34,136-37,800 | 27,954-32,748 | 34,136-37,800 | 27,954-32,748 |
| Students in Medicine | 22,407-23,745 | 22,407-23,745 | 23,079-24,932 | 23,079-24,932 | 23,079-24,932 | 23,079-24,932 |
| Students in Nursing | 11,607 | 11,607 | 12,186 | 12,186 | 12,186 | 12,186 |
| Students in Other Professional Programs | 4,410-33,960 | 4,410-33,960 | 4,452-48,262 | 4,452-38,222 | 4,452-48,262 | 4,452-38,222 |
| **Total Charges** | | | | | | |
| Students in Business | 45,537 | 52,671 | 47,033 | 54,678 | 47,033 | 54,678 |
| Students in Law | 46,307 | 52,854 | 49,228 | 55,367 | 49,228 | 55,367 |
| Students in Medicine | 35,811 | 48,056 | 37,527 | 49,772 | 37,527 | 49,772 |
| Students in Nursing | 24,371 | 36,616 | 25,787 | 38,032 | 25,787 | 38,032 |
| Students in Other Professional Programs | 26,622 | 38,765 | 27,725 | 39,950 | 27,725 | 39,950 |

[1] Campus-based fees vary by campus; values shown here are averages on a weighted basis using campus enrollment. Campus-based fees for 2020-21 have not yet been determined and reflect a five-percent increase from 2019-20 levels. Campus-based fees do not include waivable health insurance fees. Waivable health insurance fees average $2,552 for undergraduate students and $4,531 for graduate students in 2019-20.

[2] Student charges have not been updated from 2019-20 levels to reflect the heightened uncertainty due to potential COVID-19-related impacts.

# 6440 University of California - Continued

## Income and Funds Available for Expenditure

| | 2018-19 | 2019-20 [1] | 2020-21 [1] |
|---|---|---|---|
| General Funds | $3,743,238 | $3,938,304 | $3,465,953 |
| Special and Nongovernmental Cost Funds | 74,813 | 230,125 | 213,121 |
| **Totals, State Appropriations** | **$3,818,051** | **$4,168,429** | **$3,679,074** |
| **UNIVERSITY SOURCES** | | | |
| General Funds Income: | | | |
| Student Tuition and Fees: | | | |
| Nonresident Supplemental Tuition | $1,175,999 | $1,266,255 | $1,287,082 |
| Application for admission and other fees | 48,296 | 49,726 | 49,737 |
| Interest on General Fund Balances | 2,515 | 2,515 | 2,515 |
| Federal Contract and Grant Overhead | 303,775 | 303,775 | 303,775 |
| Overhead on State Agency Agreements | 26,682 | 26,682 | 26,682 |
| Other | 28,016 | 15,132 | 10,838 |
| **Totals, General Funds Income** | **$1,585,283** | **$1,664,085** | **$1,680,629** |
| Special Funds Income: | | | |
| United States Appropriations | 17,856 | 18,000 | 18,000 |
| Federal Trust Fund - Gear Up | 3,500 | 3,500 | 3,500 |
| Federal Trust Fund - California Subject Matter Projects | - | - | 6,000 |
| Local Government | 185,711 | 186,000 | 186,000 |
| Student Tuition and Fees: | | | |
| Tuition | 3,044,632 | 3,103,026 | 3,138,496 |
| Student Services Fee | 311,101 | 317,068 | 320,565 |
| Selected Professional Charges | 321,685 | 331,336 | 341,276 |
| **(Subtotals, mandatory systemwide and professional charges)** | **$3,677,418** | **$3,751,430** | **$3,800,337** |
| University Extension | 277,569 | 285,896 | 286,000 |
| Summer Session | 19,246 | 21,421 | 23,413 |
| Other Fees | 816,717 | 841,219 | 866,456 |
| Sales and Services - Educational Activities | 4,047,237 | 4,330,544 | 4,681,318 |
| Sales and Services - Teaching Hospitals | 12,781,190 | 14,059,308 | 15,465,238 |
| Sales and Services - Support Activities | 968,270 | 997,318 | 1,027,238 |
| Endowments | 249,658 | 352,684 | 401,282 |
| Auxiliary Enterprises | 1,351,959 | 1,387,110 | 1,445,369 |
| Contract and Grant Administration | 51,704 | 52,000 | 52,000 |
| Department of Energy Management Fee | 25,230 | 25,000 | 25,000 |
| University Opportunity Fund | 228,901 | 229,000 | 229,000 |
| Other | 101,569 | 104,616 | 108,000 |
| **Totals, Special Funds Income** | **$24,803,735** | **$26,645,046** | **$28,624,151** |
| **Totals, University Sources** | **$26,389,018** | **$28,309,131** | **$30,304,780** |
| **TOTAL INCOME AND FUNDS AVAILABLE** | **$30,207,069** | **$32,477,560** | **$33,983,854** |

[1] Estimates are subject to heightened uncertainty due to potential COVID-19-related impacts on operations, services, and revenues.

# 6440 University of California - Continued

## PROGRAM DESCRIPTIONS

5440 - This program provides support for the university. Expenditures are for the following purposes:

INSTRUCTION

General Campuses

This program includes direct instructional resources associated with the schools and colleges located on the general campuses. Classroom and laboratory instruction, instructional technology, online education, and joint scholarly research activities of students and faculty are included.

Health Sciences

This program includes instruction in 19 schools that provide education in various health fields to students preparing for careers in health care, teaching, and research. The health science schools are located on seven campuses and include six schools of medicine (Davis, Irvine, Los Angeles, Riverside, San Diego and San Francisco), two schools of dentistry, four schools of nursing, three schools of public health, two schools of pharmacy, one school of veterinary medicine, and one school of optometry. In addition, programs in medical education are conducted at Berkeley, Fresno, and the Charles R. Drew University of Medicine and Science in Los Angeles.

Summer Session

This program includes instruction for non-matriculated students who enroll in summer sessions.

University Extension

This program includes classes, hybrid instructional programs, short courses, seminars, conferences, field studies, online education, and similar activities throughout the state and in several foreign countries. University Extension has open enrollment courses for individuals as well as organizational partnerships supported by contracts and grants with the state and public agencies, non-profit organizations, and private companies. University Extension's offerings are often designed to serve the continuing educational needs of working professionals. University Extension offers certificate programs and undergraduate and graduate transferable degree credit.

RESEARCH

This program includes research activities. The university is designated by the 1960 Master Plan for Higher Education as the primary state-supported academic agency for research.

PUBLIC SERVICE

This program includes a broad range of activities organized by the university to serve students, teachers, and staff in K-12 schools and community colleges, as well as the public in general. The program includes student academic preparation programs and educational partnerships. In these programs, the university works with schools and other partners to help certain groups of students meet the standards of academic preparation needed to be successful in higher education and the workforce. The program also includes UC Cooperative Extension, which provides applied research and educational programs in agriculture and natural resources, family and consumer sciences, and community resource development. Campuses also conduct other public service programs, such as arts and lecture programs and student-initiated community service projects. This program also includes a health sciences program jointly operated with the Charles R. Drew University of Medicine and Science.

ACADEMIC SUPPORT

Libraries

This program includes the university libraries, which identify, acquire, and share publications and scholarly materials in all formats.

Academic Support

This program includes activities organized and operated in connection with educational departments and conducted as a basic support for the departments' educational programs.

The program includes facilities that are extensions of the health sciences schools and provide both clinical experience and community health services, including dental clinics and neuropsychiatric institutes at Los Angeles and San Francisco; a veterinary medicine teaching facility at Davis and the San Joaquin Valley; an optometry clinic at Berkeley; and two occupational

# 6440 University of California - Continued

health centers providing services throughout northern (Berkeley, Davis, and San Francisco) and southern (Irvine and Los Angeles) California.

The program also includes vivaria, which provide centralized facilities for ordering, receiving, and caring of all animals necessary for teaching and research in the biological sciences; support for arts through direct sponsorship of museums, galleries, performances, and exhibits; support of specialized physical science and engineering projects; and support for professional journals. In addition, a laboratory school in Los Angeles facilitates experimentation, research, and teacher professional development in the field of education.

TEACHING HOSPITALS

This program includes the operation of five academic medical centers in Irvine, Los Angeles, Sacramento, San Diego, and San Francisco. A critical mission of the medical center hospitals is to support the clinical teaching programs of the University's health professional schools. The medical centers provide inpatient and outpatient health care services and are research sites for the development of new diagnostic and therapeutic techniques.

STUDENT SERVICES

This program includes activities that contribute to students' emotional and physical well-being, including their intellectual, cultural and social development outside the context of the formal instructional program. Elements of these programs are supplementary educational services, social and cultural activities, counseling and career guidance, student health services, student admissions and records, and financial aid administration.

INSTITUTIONAL SUPPORT

This program includes police, accounting, payroll, human resources, administrative computing, materials management, environmental health and safety, and publications. The program also includes the planning, policymaking, and coordination activities that occur within the offices of the chancellors, the university president, and the Regents.

OPERATION AND MAINTENANCE OF PLANT

This program includes the operation and maintenance of the university's physical plant, including site infrastructure, buildings, and related fixed equipment. The program includes purchased utilities, utilities operations, building and grounds maintenance, refuse, and janitorial services, as well as related administrative and support services.

STUDENT FINANCIAL AID

This program includes financial aid, such as grants, scholarships, loans, work-study, veterans' benefits, traineeships, and fellowships.

AUXILIARY ENTERPRISES

This program includes non-instructional services provided to students, faculty, staff, and other individuals who pay specific user charges or fees. Certain activities are both student services and auxiliary enterprises, such as student housing, food services, bookstores, parking, and portions of some intercollegiate athletics.

PROVISIONS FOR ALLOCATION

This program is a temporary repository for lump-sum appropriations that are allocated: (1) from systemwide provisions to campus provisions and (2) from campus provisions to operating programs and subprograms from which expenditures will occur. The program may include funds for academic position upgrades, staff reclassifications, price adjustments, employee benefit adjustments, debt service, and university endowment income.

EXTRAMURAL PROGRAMS

This program includes activities supported by fund sources defined as non-budgeted due to the limited life of the funding source.

DEPARTMENT OF ENERGY LABORATORY

This program includes management of the Lawrence Berkeley National Laboratory.

# 6440 University of California - Continued

## DETAILED EXPENDITURES BY PROGRAM

| | | 2018-19* | 2019-20* | 2020-21* |
|---|---|---|---|---|
| | **PROGRAM REQUIREMENTS** | | | |
| **5440** | **SUPPORT** | | | |
| | **State Operations:** | | | |
| 0001 | General Fund | $3,743,238 | $3,938,304 | $3,465,953 |
| 0007 | Breast Cancer Research Account, Breast Cancer Fund | 4,403 | 11,195 | 14,537 |
| 0042 | State Highway Account, State Transportation Fund | 1,000 | 1,000 | 1,000 |
| 0046 | Public Transportation Account, State Transportation Fund | 980 | 980 | 980 |
| 0234 | Research Account, Cigarette and Tobacco Products Surtax Fund | 7,407 | 11,436 | 8,235 |
| 0308 | Earthquake Risk Reduction Fund of 1996 | - | 81 | - |
| 0320 | Oil Spill Prevention and Administration Fund | 2,500 | 2,500 | 2,500 |
| 0814 | California State Lottery Education Fund | 46,313 | 41,736 | 41,672 |
| 0890 | Federal Trust Fund | 3,500 | 3,500 | 9,500 |
| 0895 | Federal Funds - Not In State Treasury | 3,906,489 | 4,077,000 | 4,158,000 |
| 0945 | California Breast Cancer Research Fund | 178 | 178 | 178 |
| 0993 | University Funds--Unclassified | 29,510,035 | 31,522,472 | 33,598,577 |
| 1017 | Umbilical Cord Blood Collection Program Fund | 2,500 | 2,500 | 2,500 |
| 3054 | Health Care Benefits Fund | 2,000 | 2,000 | 2,000 |
| 3290 | Road Maintenance and Rehabilitation Account, State Transportation Fund | 5,000 | 5,000 | 5,000 |
| 3306 | Graduate Medical Education Account, California Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund | 2,000 | 76,023 | 35,649 |
| 3310 | Medical Research Program Account, California Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund | - | 70,821 | 96,195 |
| 3314 | California Cannabis Tax Fund | - | 2,000 | - |
| 3349 | Cannabis Tax Fund - University of California San Diego Center for Medicinal Cannabis Research -Allocation 2 | - | 2,000 | 2,000 |
| 8054 | California Cancer Research Fund | 425 | 425 | 425 |
| 8103 | Type 1 Diabetes Research Fund | 107 | 250 | 250 |
| | **Totals, State Operations** | **$37,238,075** | **$39,771,401** | **$41,445,151** |
| | **TOTALS, EXPENDITURES** | | | |
| | State Operations | 37,238,075 | 39,771,401 | 41,445,151 |
| | **Totals, Expenditures** | **$37,238,075** | **$39,771,401** | **$41,445,151** |

## EXPENDITURES BY CATEGORY

| **1 State Operations** | **Positions** | | | **Expenditures** | | |
|---|---|---|---|---|---|---|
| | **2018-19** | **2019-20** | **2020-21** | **2018-19*** | **2019-20*** | **2020-21*** |
| PERSONAL SERVICES | | | | | | |
| Baseline Positions | 111,099.8 | 111,099.8 | 111,099.8 | $10,588,215 | $10,588,215 | $10,588,215 |
| Other Adjustments | -1,117.3 | 854.3 | 854.3 | 144,378 | - | - |
| **Net Totals, Salaries and Wages** | **109,982.5** | **111,954.1** | **111,954.1** | **$10,732,593** | **$10,588,215** | **$10,588,215** |
| Staff Benefits | - | - | - | 4,413,259 | 4,412,927 | 4,412,927 |
| **Totals, Personal Services** | **109,982.5** | **111,954.1** | **111,954.1** | **$15,145,852** | **$15,001,142** | **$15,001,142** |
| OPERATING EXPENSES AND EQUIPMENT | | | | $22,092,223 | $24,770,259 | $26,444,009 |
| **TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)** | | | | **$37,238,075** | **$39,771,401** | **$41,445,151** |

*** Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.**

# 6440 University of California - Continued

## DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | 2018-19* | 2019-20* | 2020-21* |
|---|---|---|---|
| **0001 General Fund** | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $3,384,045 | $3,597,795 | $3,168,925 |
| Adjustment to Support Humane Management of Wild Horse Populations | - | 160 | - |
| 005 Budget Act appropriation | 340,249 | 340,249 | 297,028 |
| Cradle-to-Career Data System Workgroup Transfer, per Education Code Section 10859(b)(2)(A) | - | 100 | - |
| Prior Year Balances Available: | | | |
| Item 6440-001-0001, Budget Act of 2016 as amended by Chapter 318, Statutes of 2016 and reappropriated by Item 6440-490, Budget Act of 2018 | 16,944 | - | - |
| Item 6440-001-0001, Budget Act of 2017 as reappropriated by Item 6440-491, Budget Act of 2018 | 2,000 | - | - |
| **TOTALS, EXPENDITURES** | **$3,743,238** | **$3,938,304** | **$3,465,953** |
| **0007 Breast Cancer Research Account, Breast Cancer Fund** | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $3,206 | $10,614 | $7,115 |
| Adjustment to Reflect Breast Cancer Research Fund Estimates | - | 581 | - |
| Prior Year Balances Available: | | | |
| Item 6440-001-0007, Budget Act of 2016 as reappropriated by Item 6440-490, Budget Act of 2018 | 1,197 | - | - |
| Item 6440-001-0007, Budget Act of 2018 as reappropriated by Item 6440-490, Budget Act of 2020 | - | - | 7,422 |
| **Totals Available** | **$4,403** | **$11,195** | **$14,537** |
| **TOTALS, EXPENDITURES** | **$4,403** | **$11,195** | **$14,537** |
| **0042 State Highway Account, State Transportation Fund** | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $1,000 | $1,000 | $1,000 |
| **TOTALS, EXPENDITURES** | **$1,000** | **$1,000** | **$1,000** |
| **0046 Public Transportation Account, State Transportation Fund** | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $980 | $980 | $980 |
| **TOTALS, EXPENDITURES** | **$980** | **$980** | **$980** |
| **0234 Research Account, Cigarette and Tobacco Products Surtax Fund** | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $7,407 | $11,436 | $8,235 |
| **TOTALS, EXPENDITURES** | **$7,407** | **$11,436** | **$8,235** |
| **0308 Earthquake Risk Reduction Fund of 1996** | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | - | $81 | - |
| **TOTALS, EXPENDITURES** | **-** | **$81** | **-** |
| **0320 Oil Spill Prevention and Administration Fund** | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $2,500 | $2,500 | $2,500 |
| **TOTALS, EXPENDITURES** | **$2,500** | **$2,500** | **$2,500** |
| **0814 California State Lottery Education Fund** | | | |
| APPROPRIATIONS | | | |
| Government Code section 8880.5 | $46,313 | $41,564 | $41,672 |
| Adjustment to Reflect California State Lottery Education Fund Estimates | - | 172 | - |
| **TOTALS, EXPENDITURES** | **$46,313** | **$41,736** | **$41,672** |
| **0890 Federal Trust Fund** | | | |

*** Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.**

# 6440 University of California - Continued

| 1 STATE OPERATIONS | 2018-19* | 2019-20* | 2020-21* |
|---|---|---|---|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $3,500 | $3,500 | $3,500 |
| 062 Budget Act appropriation | - | - | 6,000 |
| **TOTALS, EXPENDITURES** | **$3,500** | **$3,500** | **$9,500** |
| **0895 Federal Funds - Not In State Treasury** | | | |
| APPROPRIATIONS | | | |
| Various authorities | $3,906,489 | $3,974,000 | $4,158,000 |
| Adjustment to Reflect Revised Federal Funds Estimates | - | 103,000 | - |
| **TOTALS, EXPENDITURES** | **$3,906,489** | **$4,077,000** | **$4,158,000** |
| **0945 California Breast Cancer Research Fund** | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $178 | $178 | $178 |
| **TOTALS, EXPENDITURES** | **$178** | **$178** | **$178** |
| **0993 University Funds--Unclassified** | | | |
| APPROPRIATIONS | | | |
| Various authorities | $29,510,035 | $30,207,267 | $33,598,577 |
| Adjustment to Reflect Revised University Funds Estimates | - | 1,315,205 | - |
| **TOTALS, EXPENDITURES** | **$29,510,035** | **$31,522,472** | **$33,598,577** |
| **1017 Umbilical Cord Blood Collection Program Fund** | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $2,500 | $2,500 | $2,500 |
| **TOTALS, EXPENDITURES** | **$2,500** | **$2,500** | **$2,500** |
| **3054 Health Care Benefits Fund** | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $2,000 | $2,000 | $2,000 |
| **TOTALS, EXPENDITURES** | **$2,000** | **$2,000** | **$2,000** |
| **3290 Road Maintenance and Rehabilitation Account, State Transportation Fund** | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $5,000 | $5,000 | $5,000 |
| **TOTALS, EXPENDITURES** | **$5,000** | **$5,000** | **$5,000** |
| **3306 Graduate Medical Education Account, California Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund** | | | |
| APPROPRIATIONS | | | |
| Revenue and Taxation Code sections 30130.57(c) and (f) | $2,000 | $38,701 | $35,649 |
| Past Year Adjustments and Carryover | - | 38,000 | - |
| Proposition 56 Backfill for Graduate Medical Education | - | -678 | - |
| **Totals Available** | **$2,000** | **$76,023** | **$35,649** |
| **TOTALS, EXPENDITURES** | **$2,000** | **$76,023** | **$35,649** |
| **3310 Medical Research Program Account, California Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund** | | | |
| APPROPRIATIONS | | | |
| Revenue and Taxation Code sections 30130.55(c) and 30130.57(f) | - | $70,821 | $96,195 |
| **TOTALS, EXPENDITURES** | **-** | **$70,821** | **$96,195** |
| **3314 California Cannabis Tax Fund** | | | |
| APPROPRIATIONS | | | |
| Past Year Adjustments and Carryover | - | $2,000 | - |
| **Totals Available** | **-** | **$2,000** | **-** |
| **TOTALS, EXPENDITURES** | **-** | **$2,000** | **-** |
| **3349 Cannabis Tax Fund - University of California San Diego Center for Medicinal Cannabis Research -Allocation 2** | | | |

*** Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.**

# 6440 University of California - Continued

| 1 STATE OPERATIONS | 2018-19* | 2019-20* | 2020-21* |
|---|---|---|---|
| APPROPRIATIONS | | | |
| Revenue and Taxation Code section 34019(e) | - | $2,000 | $2,000 |
| **TOTALS, EXPENDITURES** | **-** | **$2,000** | **$2,000** |
| **8054 California Cancer Research Fund** | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $425 | $425 | $425 |
| **TOTALS, EXPENDITURES** | **$425** | **$425** | **$425** |
| **8103 Type 1 Diabetes Research Fund** | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | $107 | $250 | $250 |
| **Totals Available** | **$107** | **$250** | **$250** |
| **TOTALS, EXPENDITURES** | **$107** | **$250** | **$250** |
| **Total Expenditures, All Funds, (State Operations)** | **$37,238,075** | **$39,771,401** | **$41,445,151** |

## FUND CONDITION STATEMENTS

| | 2018-19* | 2019-20* | 2020-21* |
|---|---|---|---|
| **0308 Earthquake Risk Reduction Fund of 1996 S** | | | |
| BEGINNING BALANCE | $81 | 81 | - |
| Adjusted Beginning Balance | $81 | $81 | - |
| Total Resources | $81 | $81 | - |
| EXPENDITURE AND EXPENDITURE ADJUSTMENTS | | | |
| 6440 University of California (State Operations) | - | 81 | - |
| Total Expenditures and Expenditure Adjustments | - | $81 | - |
| FUND BALANCE | $81 | - | - |
| Reserve for economic uncertainties | 81 | - | - |
| **0945 California Breast Cancer Research Fund N** | | | |
| BEGINNING BALANCE | - | $238 | $275 |
| Adjusted Beginning Balance | - | $238 | $275 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 4171300 Donations | $7 | 7 | 7 |
| 4172500 Miscellaneous Revenue | 416 | 215 | 215 |
| Total Revenues, Transfers, and Other Adjustments | $423 | $222 | $222 |
| Total Resources | $423 | $460 | $497 |
| EXPENDITURE AND EXPENDITURE ADJUSTMENTS | | | |
| 6440 University of California (State Operations) | 178 | 178 | 178 |
| 7730 Franchise Tax Board (State Operations) | 7 | 7 | 7 |
| Total Expenditures and Expenditure Adjustments | $185 | $185 | $185 |
| FUND BALANCE | $238 | $275 | $312 |
| Reserve for economic uncertainties | 238 | 275 | 312 |
| **1017 Umbilical Cord Blood Collection Program Fund S** | | | |
| BEGINNING BALANCE | $7,108 | $7,887 | $8,666 |
| Adjusted Beginning Balance | $7,108 | $7,887 | $8,666 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 4140000 Document Sales | 3,279 | 3,279 | 3,279 |
| Total Revenues, Transfers, and Other Adjustments | $3,279 | $3,279 | $3,279 |

*** Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.**

# 6440 University of California - Continued

| | 2018-19* | 2019-20* | 2020-21* |
|---|---|---|---|
| Total Resources | $10,387 | $11,166 | $11,945 |
| EXPENDITURE AND EXPENDITURE ADJUSTMENTS | | | |
| 6440 University of California (State Operations) | 2,500 | 2,500 | 2,500 |
| Total Expenditures and Expenditure Adjustments | $2,500 | $2,500 | $2,500 |
| FUND BALANCE | $7,887 | $8,666 | $9,445 |
| Reserve for economic uncertainties | 7,887 | 8,666 | 9,445 |
| **3054 Health Care Benefits Fund [S]** | | | |
| BEGINNING BALANCE | $998 | $998 | $998 |
| Adjusted Beginning Balance | $998 | $998 | $998 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 4129400 Other Regulatory Licenses and Permits | 2,000 | 2,000 | 2,000 |
| Total Revenues, Transfers, and Other Adjustments | $2,000 | $2,000 | $2,000 |
| Total Resources | $2,998 | $2,998 | $2,998 |
| EXPENDITURE AND EXPENDITURE ADJUSTMENTS | | | |
| 6440 University of California (State Operations) | 2,000 | 2,000 | 2,000 |
| Total Expenditures and Expenditure Adjustments | $2,000 | $2,000 | $2,000 |
| FUND BALANCE | $998 | $998 | $998 |
| Reserve for economic uncertainties | 998 | 998 | 998 |
| **3306 Graduate Medical Education Account, California Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund [S]** | | | |
| BEGINNING BALANCE | - | $38,000 | $1 |
| Adjusted Beginning Balance | - | $38,000 | $1 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Transfers and Other Adjustments | | | |
| Revenue Transfer from California Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund (3304) to Graduate Medical Education Account Fund (3306) per Revenue and Taxation Code Section 30130.57(c) | $40,000 | 38,024 | 35,648 |
| Total Revenues, Transfers, and Other Adjustments | $40,000 | $38,024 | $35,648 |
| Total Resources | $40,000 | $76,024 | $35,649 |
| EXPENDITURE AND EXPENDITURE ADJUSTMENTS | | | |
| 6440 University of California (State Operations) | 2,000 | 76,023 | 35,649 |
| Total Expenditures and Expenditure Adjustments | $2,000 | $76,023 | $35,649 |
| FUND BALANCE | $38,000 | $1 | - |
| Reserve for economic uncertainties | 38,000 | 1 | - |
| **3310 Medical Research Program Account, California Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund [S]** | | | |
| BEGINNING BALANCE | $6,220 | $66,875 | $47,901 |
| Prior Year Adjustments | -16,030 | - | - |
| Adjusted Beginning Balance | -$9,810 | $66,875 | $47,901 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Transfers and Other Adjustments | | | |
| Revenue Transfer from California Healthcare Research and Prevention Tobacco Tax Act of 2016 Fund (3304) to Medical Research Program Account Fund (3310) per Revenue and Taxation Code Section 30130.55(c) | 14,767 | -2,727 | -2,510 |
| Revenue Transfer from California Healthcare, Research and Prevention Tobacco Tax Act of 2016 Fund (3304) to Medical Research Program Account Fund (3310) per Revenue and Taxation Code Section 30130.55(c) | 61,918 | 54,574 | 53,346 |
| Total Revenues, Transfers, and Other Adjustments | $76,685 | $51,847 | $50,836 |
| Total Resources | $66,875 | $118,722 | $98,737 |
| EXPENDITURE AND EXPENDITURE ADJUSTMENTS | | | |

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# 6440 University of California - Continued

| | 2018-19* | 2019-20* | 2020-21* |
|---|---|---|---|
| 6440 University of California (State Operations) | - | 70,821 | 96,195 |
| Total Expenditures and Expenditure Adjustments | - | $70,821 | $96,195 |
| FUND BALANCE | $66,875 | $47,901 | $2,542 |
| Reserve for economic uncertainties | 66,875 | 47,901 | 2,542 |
| **3349 Cannabis Tax Fund - University of California San Diego Center for Medicinal Cannabis Research -Allocation 2 S** | | | |
| BEGINNING BALANCE | - | - | - |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Transfers and Other Adjustments | | | |
| Revenue Transfer from the California Cannabis Tax Fund (3314) to Cannabis Tax Fund – University of California San Diego Center for Medicinal Cannabis Research - Allocation 2 (3349) per Revenue and Taxation Code Section 34019(e) | - | 2,000 | 2,000 |
| Total Revenues, Transfers, and Other Adjustments | - | $2,000 | $2,000 |
| Total Resources | - | $2,000 | $2,000 |
| EXPENDITURE AND EXPENDITURE ADJUSTMENTS | | | |
| 6440 University of California (State Operations) | - | 2,000 | 2,000 |
| Total Expenditures and Expenditure Adjustments | - | $2,000 | $2,000 |
| FUND BALANCE | - | - | - |

## CHANGES IN AUTHORIZED POSITIONS

| | Positions | | | Expenditures | | |
|---|---|---|---|---|---|---|
| | 2018-19 | 2019-20 | 2020-21 | 2018-19* | 2019-20* | 2020-21* |
| **Baseline Positions** | 111,099.8 | 111,099.8 | 111,099.8 | $10,588,215 | $10,588,215 | $10,588,215 |
| **Salary and Other Adjustments** | -1,117.3 | 854.3 | 854.3 | 144,378 | - | - |
| **Totals, Adjustments** | **-1,117.3** | **854.3** | **854.3** | **$144,378** | **$-** | **$-** |
| **TOTALS, SALARIES AND WAGES** | **109,982.5** | **111,954.1** | **111,954.1** | **$10,732,593** | **$10,588,215** | **$10,588,215** |

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