



## **Legislative, Judicial, and Executive**

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Governmental bodies classified under the Legislative, Judicial, Executive section of the Governor's Budget are either established as independent entities under the California Constitution, or are departments with a recognized need to operate outside of the administrative oversight and control of an agency secretary. Constitutionally-established bodies include the Legislature, Judicial Branch, Governor's Office, and constitutional officers. This section also includes such independent entities as the Inspector General, Office of Emergency Services, and the California State Lottery.



## 0100 Legislature

The Legislature is comprised of two houses, the Senate and the Assembly, and is responsible for enacting laws and establishing public policy for California. The State Senate is comprised of 40 members who are elected for 4-year terms. Each Senator serves no more than two terms. The State Assembly is comprised of 80 members who are elected for 2-year terms. Each Assembly member serves no more than three terms.

### 3-YR EXPENDITURES AND POSITIONS (Summary of Program Requirements)

|                                                          | Positions    |              |              | Expenditures     |                  |                  |
|----------------------------------------------------------|--------------|--------------|--------------|------------------|------------------|------------------|
|                                                          | 2006-07      | 2007-08      | 2008-09      | 2006-07*         | 2007-08*         | 2008-09*         |
| 10 Senate                                                | 40.0         | 40.0         | 40.0         | \$102,201        | \$107,556        | \$112,611        |
| 20 Assembly                                              | 80.0         | 80.0         | 80.0         | 138,685          | 145,952          | 152,812          |
| <b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b> | <b>120.0</b> | <b>120.0</b> | <b>120.0</b> | <b>\$240,886</b> | <b>\$253,508</b> | <b>\$265,423</b> |
| <b>FUNDING</b>                                           |              |              |              | <b>2006-07*</b>  | <b>2007-08*</b>  | <b>2008-09*</b>  |
| 0001 General Fund                                        |              |              |              | \$240,886        | \$253,508        | \$265,423        |
| <b>TOTALS, EXPENDITURES, ALL FUNDS</b>                   |              |              |              | <b>\$240,886</b> | <b>\$253,508</b> | <b>\$265,423</b> |

### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

California Constitution, Article IV, Sections 2 and 7.5.

### BUDGET-BALANCING REDUCTIONS

- The Budget includes an unallocated General Fund reduction of \$26.5 million in 2008-09.

### DETAILED BUDGET ADJUSTMENTS

|                                                                    | 2007-08*     |             |           | 2008-09*         |             |           |
|--------------------------------------------------------------------|--------------|-------------|-----------|------------------|-------------|-----------|
|                                                                    | General Fund | Other Funds | Positions | General Fund     | Other Funds | Positions |
| <b>Baseline Adjustment Descriptions</b>                            |              |             |           |                  |             |           |
| • Augmentation Based on Estimated State Appropriations Growth Rate | \$-          | \$-         | -         | \$11,915         | \$-         | -         |
| <b>Totals, Baseline Adjustments</b>                                | <b>\$-</b>   | <b>\$-</b>  | <b>-</b>  | <b>\$11,915</b>  | <b>\$-</b>  | <b>-</b>  |
| <b>TOTALS, BUDGET ADJUSTMENTS</b>                                  | <b>\$-</b>   | <b>\$-</b>  | <b>-</b>  | <b>\$11,915</b>  | <b>\$-</b>  | <b>-</b>  |
| <b>Other Adjustments <sup>1/</sup></b>                             |              |             |           |                  |             |           |
| • Budget-Balancing Reductions                                      | -            | -           | -         | -26,542          | -           | -         |
| <b>REVISED TOTALS, BUDGET ADJUSTMENTS</b>                          | <b>\$-</b>   | <b>\$-</b>  | <b>-</b>  | <b>-\$14,627</b> | <b>\$-</b>  | <b>-</b>  |

<sup>1/</sup> These dollars and PYs are included in the General Government agency, therefore not included in the other fiscal statements for this department. These totals are also not included in the applicable Summary Schedules for this department.

**0100 Legislature - Continued****Senate Expenditures by Category**

|                                       | <b>2006-07*</b>  | <b>2007-08*</b>  | <b>2008-09*</b>  |
|---------------------------------------|------------------|------------------|------------------|
| <b>General Fund Expenses:</b>         |                  |                  |                  |
| Salaries of Senators                  | \$5,899          | \$6,208          | \$6,379          |
| Mileage of Senators                   | 11               | 11               | 11               |
| Session Per Diem                      | 1,407            | 1,480            | 1,550            |
| <b>Totals, General Fund Expenses</b>  | <b>\$7,317</b>   | <b>\$7,699</b>   | <b>\$7,940</b>   |
| <b>Operating Fund Expenses:</b>       |                  |                  |                  |
| Salaries and Employee Benefits        | \$82,704         | \$87,241         | \$91,688         |
| Travel and Per Diem                   | 920              | 925              | 975              |
| Automotive Expenses                   | 685              | 650              | 685              |
| Automotive Repairs                    | 145              | 145              | 150              |
| Telephone                             | 953              | 955              | 955              |
| Postage                               | 750              | 750              | 750              |
| Freight                               | 95               | 95               | 95               |
| Office Supplies                       | 180              | 180              | 180              |
| Printing                              | 650              | 690              | 690              |
| Publications                          | 142              | 151              | 151              |
| Building Expense                      | 2,145            | 2,284            | 2,392            |
| Office Alterations                    | 152              | 145              | 145              |
| Furniture and Equipment Expense       | 745              | 793              | 793              |
| Study Contracts                       | 249              | 249              | 249              |
| Meals                                 | 81               | 81               | 81               |
| Ceremonies and Events                 | 23               | 23               | 23               |
| All Other Expenses                    | 845              | 900              | 900              |
| <b>Total, Operating Fund Expenses</b> | <b>\$91,464</b>  | <b>\$96,257</b>  | <b>\$100,902</b> |
| <b>Operating Fund Transfers:</b>      |                  |                  |                  |
| Legislative Analyst                   | \$3,420          | \$3,600          | \$3,769          |
| <b>Total, Fund Transfers</b>          | <b>\$3,420</b>   | <b>\$3,600</b>   | <b>\$3,769</b>   |
| <b>TOTAL, Senate Expenses</b>         | <b>\$102,201</b> | <b>\$107,556</b> | <b>\$112,611</b> |

\* Dollars in thousands, except in Salary Range.

**0100 Legislature - Continued****Assembly Expenditures By Category**

|                                           | <b>2006-07*</b>  | <b>2007-08*</b>  | <b>2008-09*</b>  |
|-------------------------------------------|------------------|------------------|------------------|
| <b>General Fund Expenses:</b>             |                  |                  |                  |
| Salaries of Assembly Members              | \$10,207         | \$10,721         | \$11,177         |
| Mileage of Assembly Members               | 0                | 8                | 8                |
| Session Per Diem                          | 2,220            | 2,828            | 3,203            |
| <b>Totals, General Fund Expenses</b>      | <b>\$12,427</b>  | <b>\$13,557</b>  | <b>\$14,388</b>  |
| <b>Operating Fund Expenses:</b>           |                  |                  |                  |
| Salaries and Employee Benefits            | \$106,123        | \$111,274        | \$116,722        |
| Travel and Per Diem                       | 1,345            | 1,425            | 1,496            |
| Automotive Expenses                       | 565              | 599              | 620              |
| Automotive Repairs                        | 133              | 141              | 147              |
| Equipment and Furniture                   | 2,181            | 2,225            | 2,292            |
| Building Utilities, Maintenance, and Rent | 3,527            | 3,686            | 3,851            |
| Office Alterations                        | 0                | 30               | 31               |
| Telephone                                 | 820              | 861              | 900              |
| Postage                                   | 247              | 259              | 269              |
| Freight                                   | 238              | 250              | 263              |
| Communications                            | 2,158            | 2,373            | 2,421            |
| Office Supplies                           | 544              | 566              | 589              |
| Printing                                  | 1,688            | 1,857            | 1,739            |
| Publications                              | 261              | 274              | 285              |
| Study Contracts                           | 0                | 75               | 78               |
| Meals                                     | 57               | 58               | 59               |
| Ceremonies and Events                     | 22               | 22               | 23               |
| All Other Expenses                        | 1,354            | 1,381            | 1,409            |
| <b>Total, Operating Fund Expenses</b>     | <b>\$121,263</b> | <b>\$127,356</b> | <b>\$133,194</b> |
| <b>Operating Fund Transfers:</b>          |                  |                  |                  |
| Office of the Legislative Analyst         | \$3,420          | \$3,600          | \$3,769          |
| State Agencies                            | 1,575            | 1,439            | 1,461            |
| <b>Total, Fund Transfers</b>              | <b>\$4,995</b>   | <b>\$5,039</b>   | <b>\$5,230</b>   |
| <b>TOTAL, Assembly Expenses</b>           | <b>\$138,685</b> | <b>\$145,952</b> | <b>\$152,812</b> |

\* Dollars in thousands, except in Salary Range.

## 0100 Legislature - Continued

## DETAIL OF APPROPRIATIONS AND ADJUSTMENTS (Reconciliation with Appropriations)

| 1 STATE OPERATIONS                                        | 2006-07*         | 2007-08*         | 2008-09*         |
|-----------------------------------------------------------|------------------|------------------|------------------|
| <b>0001 General Fund</b>                                  |                  |                  |                  |
| APPROPRIATIONS                                            |                  |                  |                  |
| 001 Budget Act appropriation (Senate)                     | \$102,201        | \$107,556        | \$112,611        |
| 011 Budget Act appropriation (Assembly)                   | 138,685          | 145,952          | 152,812          |
| 021 Budget Act appropriation                              | <u>0</u>         | <u>0</u>         | <u>0</u>         |
| <b>TOTALS, EXPENDITURES</b>                               | <b>\$240,886</b> | <b>\$253,508</b> | <b>\$265,423</b> |
| <b>0125 Assembly Operating Fund</b>                       |                  |                  |                  |
| APPROPRIATIONS                                            |                  |                  |                  |
| Government Code Section 9129                              | <u>\$138,685</u> | <u>\$145,952</u> | <u>\$152,812</u> |
| <b>TOTALS, EXPENDITURES</b>                               | <b>\$138,685</b> | <b>\$145,952</b> | <b>\$152,812</b> |
| Less funding provided by the General Fund                 | <u>-138,685</u>  | <u>-145,952</u>  | <u>-152,812</u>  |
| <b>NET TOTALS, EXPENDITURES</b>                           | <b>\$-</b>       | <b>\$-</b>       | <b>\$-</b>       |
| <b>0348 Senate Operating Fund</b>                         |                  |                  |                  |
| APPROPRIATIONS                                            |                  |                  |                  |
| Government Code Section 9129                              | <u>\$102,201</u> | <u>\$107,556</u> | <u>\$112,611</u> |
| <b>TOTALS, EXPENDITURES</b>                               | <b>\$102,201</b> | <b>\$107,556</b> | <b>\$112,611</b> |
| Less funding provided by the General Fund                 | <u>-102,201</u>  | <u>-107,556</u>  | <u>-112,611</u>  |
| <b>NET TOTALS, EXPENDITURES</b>                           | <b>\$-</b>       | <b>\$-</b>       | <b>\$-</b>       |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b> | <b>\$240,886</b> | <b>\$253,508</b> | <b>\$265,423</b> |

## FUND CONDITION STATEMENTS

|                                                              | 2006-07*        | 2007-08*        | 2008-09*        |
|--------------------------------------------------------------|-----------------|-----------------|-----------------|
| <b>0125 Assembly Operating Fund <sup>s</sup></b>             |                 |                 |                 |
| BEGINNING BALANCE                                            | -               | -               | -               |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS                     |                 |                 |                 |
| Expenditures:                                                |                 |                 |                 |
| 0120 Assembly (State Operations)                             | \$138,685       | \$145,952       | \$152,812       |
| Expenditure Adjustments:                                     |                 |                 |                 |
| 0120 Assembly                                                |                 |                 |                 |
| Less funding provided by the General Fund (State Operations) | <u>-138,685</u> | <u>-145,952</u> | <u>-152,812</u> |
| Total Expenditures and Expenditure Adjustments               | <u>-</u>        | <u>-</u>        | <u>-</u>        |
| FUND BALANCE                                                 | -               | -               | -               |
| <b>0348 Senate Operating Fund <sup>s</sup></b>               |                 |                 |                 |
| BEGINNING BALANCE                                            | \$308           | \$308           | \$308           |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS                     |                 |                 |                 |
| Expenditures:                                                |                 |                 |                 |
| 0110 Senate (State Operations)                               | 102,201         | 107,556         | 112,611         |
| Expenditure Adjustments:                                     |                 |                 |                 |
| 0110 Senate                                                  |                 |                 |                 |
| Less funding provided by the General Fund (State Operations) | <u>-102,201</u> | <u>-107,556</u> | <u>-112,611</u> |
| Total Expenditures and Expenditure Adjustments               | <u>-</u>        | <u>-</u>        | <u>-</u>        |
| FUND BALANCE                                                 | \$308           | \$308           | \$308           |
| Reserve for economic uncertainties                           | 308             | 308             | 308             |

\* Dollars in thousands, except in Salary Range.

## 0150 Contributions to the Legislators' Retirement System

Established in 1947, the Legislators' Retirement System provides retirement and death benefits for Legislators, Constitutional Officers, and Legislative Statutory Officers.

The Legislators' Retirement System is funded through contributions from the state and members of the system and from investment earnings. The members' contributions are dependent upon when the member first enrolled in the Legislators' Retirement System. Members enrolled prior to March 4, 1972, contribute 4 percent of their salaries while members enrolled after that date contribute 8 percent of their salaries. The state's contribution to the Legislators' Retirement System varies each year and is based on the amount needed to pay future benefits. In years when members' contributions and investment earnings exceed the amount needed to pay benefits, the state does not make any contributions. Since 2000-01 the Legislators' Retirement System has been super-funded, eliminating the need for the state or members to make contributions.

The California Public Employees' Retirement System administers the Legislators' Retirement System on behalf of its members. Retirement benefits paid are based upon age, years of service, and compensation. Benefits for death, disability and surviving dependents of deceased members are also provided under specific conditions.

Proposition 140, approved by the voters in 1990, prohibits legislators taking office for the first time after November 6, 1990, from participation in the Legislators' Retirement System.

### 3-YR EXPENDITURES AND POSITIONS (Summary of Program Requirements)

|                                                          | Positions |         |         | Expenditures    |                 |                 |
|----------------------------------------------------------|-----------|---------|---------|-----------------|-----------------|-----------------|
|                                                          | 2006-07   | 2007-08 | 2008-09 | 2006-07*        | 2007-08*        | 2008-09*        |
| 10 Legislators' Retirement System                        | -         | -       | -       | \$7,758         | \$7,918         | \$8,512         |
| <b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b> | -         | -       | -       | <b>\$7,758</b>  | <b>\$7,918</b>  | <b>\$8,512</b>  |
| <b>FUNDING</b>                                           |           |         |         | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
| 0820 Legislators' Retirement Fund                        |           |         |         | \$7,758         | \$7,918         | \$8,512         |
| <b>TOTALS, EXPENDITURES, ALL FUNDS</b>                   |           |         |         | <b>\$7,758</b>  | <b>\$7,918</b>  | <b>\$8,512</b>  |

### LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Title 2, Division 2, Part 1, Government Code.

### DETAILED BUDGET ADJUSTMENTS

|                                         | 2007-08*     |               |           | 2008-09*     |              |           |
|-----------------------------------------|--------------|---------------|-----------|--------------|--------------|-----------|
|                                         | General Fund | Other Funds   | Positions | General Fund | Other Funds  | Positions |
| <b>Baseline Adjustment Descriptions</b> |              |               |           |              |              |           |
| • ECP for Unclassified Benefit Payments | \$-          | -\$660        | -         | \$-          | -\$66        | -         |
| <b>Totals, Baseline Adjustments</b>     | <b>\$-</b>   | <b>-\$660</b> | <b>-</b>  | <b>\$-</b>   | <b>-\$66</b> | <b>-</b>  |
| <b>TOTALS, BUDGET ADJUSTMENTS</b>       | <b>\$-</b>   | <b>-\$660</b> | <b>-</b>  | <b>\$-</b>   | <b>-\$66</b> | <b>-</b>  |

### DETAIL OF APPROPRIATIONS AND ADJUSTMENTS (Reconciliation with Appropriations)

| 4 UNCLASSIFIED                                        | 2006-07*       | 2007-08*       | 2008-09*       |
|-------------------------------------------------------|----------------|----------------|----------------|
| 0820 Legislators' Retirement Fund                     |                |                |                |
| APPROPRIATIONS                                        |                |                |                |
| Government Code Section 9359.1                        | \$7,758        | \$7,918        | \$8,512        |
| <b>TOTALS, EXPENDITURES</b>                           | <b>\$7,758</b> | <b>\$7,918</b> | <b>\$8,512</b> |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (Unclassified)</b> | <b>\$7,758</b> | <b>\$7,918</b> | <b>\$8,512</b> |

### FUND CONDITION STATEMENTS

|                                                | 2006-07*  | 2007-08*  | 2008-09*  |
|------------------------------------------------|-----------|-----------|-----------|
| 0820 Legislators' Retirement Fund <sup>N</sup> |           |           |           |
| BEGINNING BALANCE                              | \$133,631 | \$142,210 | \$144,181 |
| Prior year adjustments                         | 1         | -         | -         |

\* Dollars in thousands, except in Salary Range.

## 0150 Contributions to the Legislators' Retirement System - Continued

|                                                                         | 2006-07*  | 2007-08*  | 2008-09*  |
|-------------------------------------------------------------------------|-----------|-----------|-----------|
| Adjusted Beginning Balance                                              | \$133,632 | \$142,210 | \$144,181 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS                              |           |           |           |
| Revenues:                                                               |           |           |           |
| 215000 Income From Investments:                                         |           |           |           |
| Net Profit                                                              | 16,530    | 10,531    | 10,531    |
| 221000 Contributions From Fiduciary Funds:                              |           |           |           |
| Contributions From Members                                              | 128       | 115       | 115       |
| Refund of Contributions                                                 | -         | -346      | -346      |
| Total Revenues, Transfers, and Other Adjustments                        | \$16,658  | \$10,300  | \$10,300  |
| Total Resources                                                         | \$150,290 | \$152,510 | \$154,481 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS                                |           |           |           |
| Expenditures:                                                           |           |           |           |
| 0150 Contributions to the Legislators' Retirement System (Unclassified) | 7,758     | 7,918     | 8,512     |
| 1900 Public Employees' Retirement System (State Operations)             | 322       | 411       | 411       |
| Total Expenditures and Expenditure Adjustments                          | \$8,080   | \$8,329   | \$8,923   |
| FUND BALANCE                                                            | \$142,210 | \$144,181 | \$145,558 |

## 0160 Legislative Counsel Bureau

The Legislative Counsel Bureau provides legal assistance to the two houses of the Legislature, their members and its committees by resolving a large volume of complex legal problems arising in connection with the legislative process. The legal services furnished include rendering opinions, drafting bills, counseling, attendance as counsel at meetings of legislative committees, and representing the Legislature in litigation. The attorney-client relationship is maintained and all work is confidential.

In addition, the Bureau prepares and provides necessary indices and appropriate tables necessary to identify legislative measures and compiles and indexes statutes and codes.

The Bureau operates the Legislative Data Center, which provides information technology services in support of the legislative information system and the processing of legislative measures.

### 3-YR EXPENDITURES AND POSITIONS (Summary of Program Requirements)

|                                                          | Positions    |              |              | Expenditures    |                 |                 |
|----------------------------------------------------------|--------------|--------------|--------------|-----------------|-----------------|-----------------|
|                                                          | 2006-07      | 2007-08      | 2008-09      | 2006-07*        | 2007-08*        | 2008-09*        |
| 10 Support                                               | 570.0        | 623.0        | 623.0        | \$86,903        | \$88,275        | \$88,438        |
| <b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b> | <b>570.0</b> | <b>623.0</b> | <b>623.0</b> | <b>\$86,903</b> | <b>\$88,275</b> | <b>\$88,438</b> |
| <b>FUNDING</b>                                           |              |              |              | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
| 0001 General Fund                                        |              |              |              | \$86,549        | \$88,144        | \$88,307        |
| 0995 Reimbursements                                      |              |              |              | 354             | 131             | 131             |
| <b>TOTALS, EXPENDITURES, ALL FUNDS</b>                   |              |              |              | <b>\$86,903</b> | <b>\$88,275</b> | <b>\$88,438</b> |

### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

Government Code Sections 10200-10248.

### BUDGET-BALANCING REDUCTIONS

- The Budget includes an unallocated General Fund reduction of \$8.8 million in 2008-09.

### DETAILED BUDGET ADJUSTMENTS

\* Dollars in thousands, except in Salary Range.

## 0160 Legislative Counsel Bureau - Continued

|                                           | 2007-08*     |             |           | 2008-09*        |             |           |
|-------------------------------------------|--------------|-------------|-----------|-----------------|-------------|-----------|
|                                           | General Fund | Other Funds | Positions | General Fund    | Other Funds | Positions |
| <b>Baseline Adjustment Descriptions</b>   |              |             |           |                 |             |           |
| • Employee Compensation Adjustments       | \$1,138      | \$-         | -         | \$1,301         | \$-         | -         |
| • Data Center Rate Adjustment             | -7           | -           | -         | -7              | -           | -         |
| • Retirement Rate Adjustment              | -135         | -           | -         | -135            | -           | -         |
| <b>Totals, Baseline Adjustments</b>       | <b>\$996</b> | <b>\$-</b>  | <b>-</b>  | <b>\$1,159</b>  | <b>\$-</b>  | <b>-</b>  |
| <b>TOTALS, BUDGET ADJUSTMENTS</b>         | <b>\$996</b> | <b>\$-</b>  | <b>-</b>  | <b>\$1,159</b>  | <b>\$-</b>  | <b>-</b>  |
| <b>Other Adjustments <sup>11</sup></b>    |              |             |           |                 |             |           |
| • Budget-Balancing Reductions             | -            | -           | -         | -8,831          | -           | -         |
| <b>REVISED TOTALS, BUDGET ADJUSTMENTS</b> | <b>\$996</b> | <b>\$-</b>  | <b>-</b>  | <b>-\$7,672</b> | <b>\$-</b>  | <b>-</b>  |

<sup>11</sup> These dollars and PYs are included in the General Government agency, therefore not included in the other fiscal statements for this department. These totals are also not included in the applicable Summary Schedules for this department.

## DETAILED EXPENDITURES BY PROGRAM (Program Budget Detail)

|                                 |  | 2006-07*        | 2007-08*        | 2008-09*        |
|---------------------------------|--|-----------------|-----------------|-----------------|
| <b>PROGRAM REQUIREMENTS</b>     |  |                 |                 |                 |
| <b>10 SUPPORT</b>               |  |                 |                 |                 |
| <b>State Operations:</b>        |  |                 |                 |                 |
| 0001 General Fund               |  | \$86,549        | \$88,144        | \$88,307        |
| 0995 Reimbursements             |  | 354             | 131             | 131             |
| <b>Totals, State Operations</b> |  | <b>\$86,903</b> | <b>\$88,275</b> | <b>\$88,438</b> |
| <b>TOTALS, EXPENDITURES</b>     |  |                 |                 |                 |
| State Operations                |  | 86,903          | 88,275          | 88,438          |
| <b>Totals, Expenditures</b>     |  | <b>\$86,903</b> | <b>\$88,275</b> | <b>\$88,438</b> |

## EXPENDITURES BY CATEGORY (Summary By Object)

| 1 State Operations                                                      | Positions    |              |              | Expenditures    |                 |                 |
|-------------------------------------------------------------------------|--------------|--------------|--------------|-----------------|-----------------|-----------------|
|                                                                         | 2006-07      | 2007-08      | 2008-09      | 2006-07*        | 2007-08*        | 2008-09*        |
| <b>PERSONAL SERVICES</b>                                                |              |              |              |                 |                 |                 |
| Authorized Positions (Equals Sch. 7A)                                   | 570.0        | 631.0        | 631.0        | \$35,985        | \$42,223        | \$42,874        |
| Total Adjustments                                                       | -            | -            | -            | -               | 658             | 658             |
| Estimated Salary Savings                                                | -            | -8.0         | -8.0         | -               | -595            | -606            |
| <b>Net Totals, Salaries and Wages</b>                                   | <b>570.0</b> | <b>623.0</b> | <b>623.0</b> | <b>\$35,985</b> | <b>\$42,286</b> | <b>\$42,926</b> |
| Staff Benefits                                                          | -            | -            | -            | 14,459          | 16,303          | 16,763          |
| <b>Totals, Personal Services</b>                                        | <b>570.0</b> | <b>623.0</b> | <b>623.0</b> | <b>\$50,444</b> | <b>\$58,589</b> | <b>\$59,689</b> |
| <b>OPERATING EXPENSES AND EQUIPMENT</b>                                 |              |              |              | <b>\$36,459</b> | <b>\$29,686</b> | <b>\$28,749</b> |
| <b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b> |              |              |              | <b>\$86,903</b> | <b>\$88,275</b> | <b>\$88,438</b> |

## DETAIL OF APPROPRIATIONS AND ADJUSTMENTS (Reconciliation with Appropriations)

| 1 STATE OPERATIONS                   | 2006-07* | 2007-08* | 2008-09* |
|--------------------------------------|----------|----------|----------|
| <b>0001 General Fund</b>             |          |          |          |
| <b>APPROPRIATIONS</b>                |          |          |          |
| 001 Budget Act appropriation         | \$82,590 | \$87,148 | \$88,307 |
| Allocation for employee compensation | 3,585    | 1,138    | -        |
| Adjustment per Section 3.60          | 376      | -135     | -        |

\* Dollars in thousands, except in Salary Range.

## 0160 Legislative Counsel Bureau - Continued

| 1 STATE OPERATIONS                                        | 2006-07*        | 2007-08*        | 2008-09*        |
|-----------------------------------------------------------|-----------------|-----------------|-----------------|
| Adjustment per Section 4.75 Statewide Surcharge           | -2              | -               | -               |
| Adjustment per Section 15.25                              | -               | -7              | -               |
| <b>TOTALS, EXPENDITURES</b>                               | <b>\$86,549</b> | <b>\$88,144</b> | <b>\$88,307</b> |
| <b>0995 Reimbursements</b>                                |                 |                 |                 |
| APPROPRIATIONS                                            |                 |                 |                 |
| Reimbursements                                            | \$354           | \$131           | \$131           |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b> | <b>\$86,903</b> | <b>\$88,275</b> | <b>\$88,438</b> |

### CHANGES IN AUTHORIZED POSITIONS

|                                   | Positions    |              |              | Expenditures    |                 |                 |
|-----------------------------------|--------------|--------------|--------------|-----------------|-----------------|-----------------|
|                                   | 2006-07      | 2007-08      | 2008-09      | 2006-07*        | 2007-08*        | 2008-09*        |
| Totals, Authorized Positions      | 570.0        | 631.0        | 631.0        | \$35,985        | \$42,223        | \$42,874        |
| Salary Adjustments                | -            | -            | -            | -               | 658             | 658             |
| <b>Total Adjustments</b>          | <b>-</b>     | <b>-</b>     | <b>-</b>     | <b>\$-</b>      | <b>\$658</b>    | <b>\$658</b>    |
| <b>TOTALS, SALARIES AND WAGES</b> | <b>570.0</b> | <b>631.0</b> | <b>631.0</b> | <b>\$35,985</b> | <b>\$42,881</b> | <b>\$43,532</b> |

## 0250 Judicial Branch

Article VI of the Constitution creates the Supreme Court of California and the Courts of Appeal to exercise the judicial power of the state at the appellate level. Article VI also creates the Judicial Council of California to administer the state's judicial system. Chapter 869, Statutes of 1997, created the California Habeas Corpus Resource Center to represent any person financially unable to employ appellate counsel in capital cases.

Chapter 850, Statutes of 1997, enacted the Lockyer-Isenberg Trial Court Funding Act of 1997 to provide a stable and consistent funding source for the trial courts. Beginning with the 1997-98 fiscal year, consolidation of the costs of operation of the trial courts was implemented at the state level, with the exception of facility, revenue collection, and local judicial benefit costs. This implementation capped the counties' general purpose revenue contributions to trial court costs at a revised 1994-95 level. The county contributions become part of the Trial Court Trust Fund, which supports all trial court operations. Fine and penalty revenue collected by each county is retained or distributed in accordance with statute. Each county makes quarterly payments to the Trial Court Trust Fund equal to the fine and penalty revenue received by the state General Fund in 1994-95, as adjusted by amounts equivalent to specified fine and fee revenues that counties benefited from in 2003-04. Chapter 1082, Statutes of 2002, enacted the Trial Court Facilities Act of 2002, which provided a process for the responsibility for court facilities to be transferred from the counties to the state by July 1, 2007. This Chapter also established several new revenue sources, which went into effect on January 1, 2003. These revenues are deposited into the State Court Facilities Construction Fund for the purpose of funding the construction and maintenance of court facilities throughout the state. As facilities transfer to the state, counties will also contribute revenues for operation and maintenance of court facilities based upon historical expenditures.

The mission of the Judicial Branch is to resolve disputes arising under the law and to interpret and apply the law consistently, impartially, and independently to protect the rights and liberties guaranteed by the Constitutions of California and the United States, in a fair, accessible, effective, and efficient manner.

In order to consolidate operational costs of the Judicial Branch, the Governor's Budget combined the previously separate budgets of Judicial and State Trial Court Funding as the Judicial Branch beginning with the 2005-06 fiscal year.

Since department programs drive the need for infrastructure investment, each department has a related capital outlay program to support this need. For the specifics on the Judicial Branch's Capital Outlay Program see "Infrastructure Overview."

### 3-YR EXPENDITURES AND POSITIONS (Summary of Program Requirements)

|                                     | Positions |         |         | Expenditures |           |           |
|-------------------------------------|-----------|---------|---------|--------------|-----------|-----------|
|                                     | 2006-07   | 2007-08 | 2008-09 | 2006-07*     | 2007-08*  | 2008-09*  |
| 10 Supreme Court                    | 138.8     | 148.1   | 152.7   | \$42,163     | \$45,453  | \$47,954  |
| 20 Courts of Appeal                 | 796.6     | 840.7   | 845.2   | 186,954      | 200,723   | 219,100   |
| 30 Judicial Council                 | 634.5     | 783.7   | 829.3   | 121,223      | 130,859   | 143,956   |
| 35 Judicial Branch Facility Program | 63.1      | 85.5    | 85.5    | 34,009       | 69,679    | 104,339   |
| 45 State Trial Court Funding        | -         | -       | -       | 3,037,161    | 3,247,918 | 3,411,134 |
| 50 Habeas Corpus Resource Center    | 63.5      | 81.7    | 83.0    | 13,237       | 14,263    | 14,898    |

\* Dollars in thousands, except in Salary Range.

## 0250 Judicial Branch - Continued

|                                                                | Positions      |                |                | Expenditures       |                    |                    |
|----------------------------------------------------------------|----------------|----------------|----------------|--------------------|--------------------|--------------------|
|                                                                | 2006-07        | 2007-08        | 2008-09        | 2006-07*           | 2007-08*           | 2008-09*           |
| <b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>       | <b>1,696.5</b> | <b>1,939.7</b> | <b>1,995.7</b> | <b>\$3,434,747</b> | <b>\$3,708,895</b> | <b>\$3,941,381</b> |
| <b>FUNDING</b>                                                 |                |                |                | <b>2006-07*</b>    | <b>2007-08*</b>    | <b>2008-09*</b>    |
| 0001 General Fund                                              |                |                |                | \$2,004,712        | \$2,236,316        | \$2,462,256        |
| 0044 Motor Vehicle Account, State Transportation Fund          |                |                |                | 140                | 185                | 191                |
| 0159 Trial Court Improvement Fund                              |                |                |                | 129,519            | 123,835            | 106,217            |
| 0327 Court Interpreters' Fund                                  |                |                |                | 154                | 155                | 163                |
| 0556 Judicial Administration Efficiency and Modernization Fund |                |                |                | -45                | -                  | -                  |
| 0587 Family Law Trust Fund                                     |                |                |                | 2,752              | 3,312              | 3,317              |
| 0890 Federal Trust Fund                                        |                |                |                | 3,164              | 7,043              | 8,239              |
| 0932 Trial Court Trust Fund                                    |                |                |                | 1,198,805          | 1,219,946          | 1,217,929          |
| 0995 Reimbursements                                            |                |                |                | 53,156             | 63,416             | 66,888             |
| 3037 State Court Facilities Construction Fund                  |                |                |                | 35,536             | 39,203             | 57,168             |
| 3060 Appellate Court Trust Fund                                |                |                |                | 5,122              | 4,840              | 6,789              |
| 3066 Court Facilities Trust Fund                               |                |                |                | 2,365              | 10,642             | 11,791             |
| 3085 Mental Health Services Fund                               |                |                |                | -                  | -                  | 431                |
| 9728 Judicial Branch Workers' Compensation Fund                |                |                |                | -633               | 2                  | 2                  |
| <b>TOTALS, EXPENDITURES, ALL FUNDS</b>                         |                |                |                | <b>\$3,434,747</b> | <b>\$3,708,895</b> | <b>\$3,941,381</b> |

## LEGAL CITATIONS AND AUTHORITY

## DEPARTMENT AUTHORITY

California Constitution, Article VI.

## PROGRAM AUTHORITY

45-State Trial Court Funding:

California Constitution, Article VI, Section 4.

45.45 Court Interpreters:

Trial Court Interpreter Employment and Labor Relations Act, Government Code Sections 71800-71829.

50-Habeas Corpus Resource Center:

Government Code Sections 68660-68666.

## MAJOR PROGRAM CHANGES

- Growth Factor Increase for Trial Courts-The Budget includes an augmentation of \$126.2 million General Fund for the Trial Courts based on the year-over-year change in the State Appropriations Limit.
- New Trial Court Judgeships-The Budget includes \$46.5 million General Fund for new Trial Court judgeships. This amount includes the full-year costs of 50 judgeships established in 2007-08 (\$12.6 million) as well as the first month of funding (\$3.5 million) and one-time costs (\$30.4 million) for the 50 additional judgeships proposed to be established in 2008-09. These additional judgeships will increase access to the courts, address court backlogs, and provide equitable justice throughout the state. Legislation is required to create the 50 new judgeships for 2008-09.
- Phoenix Information Technology (IT) Project-The Budget proposes \$6 million General Fund and 35.6 positions to enhance the Judicial Branch's administrative infrastructure by implementing a statewide human resource and financial system that is consistent for all trial courts. This system will provide the Administrative Office of the Courts with unified reporting capabilities for all aspects of trial court administrative functions.

## BUDGET-BALANCING REDUCTIONS

- The Budget includes an unallocated General Fund reduction of \$245.9 million in 2008-09.

\* Dollars in thousands, except in Salary Range.

## 0250 Judicial Branch - Continued

## DETAILED BUDGET ADJUSTMENTS

|                                                                                            | 2007-08*        |                 |           | 2008-09*         |                 |             |
|--------------------------------------------------------------------------------------------|-----------------|-----------------|-----------|------------------|-----------------|-------------|
|                                                                                            | General Fund    | Other Funds     | Positions | General Fund     | Other Funds     | Positions   |
| <b>Baseline Adjustment Descriptions</b>                                                    |                 |                 |           |                  |                 |             |
| • Augmentation Based on Estimated State Appropriations Growth Rate for Trial Courts        | \$-             | \$-             | -         | \$126,181        | \$-             | -           |
| • Trial Court Facilities Modifications                                                     | -               | 1,597           | -         | -                | 22,018          | -           |
| • Adjustment for Facilities Transferred to State Responsibility                            | -               | 8,891           | -         | -                | 10,040          | -           |
| • Third Appellate District Court - Temporary Space                                         | -               | -               | -         | 8,130            | -               | -           |
| • Phoenix Information Technology Project                                                   | -               | -               | -         | 5,967            | -               | 35.6        |
| • Alignment of Federal Funds and Reimbursement Authority                                   | -               | 2,894           | -         | -                | 4,223           | -           |
| • Operations Support for New Appellate Court - Orange County                               | -               | -               | -         | 70               | 1,628           | -           |
| • Program Support for the Courts                                                           | -               | -               | -         | 1,498            | -               | 8.5         |
| • Fiscal and Technical Support                                                             | -               | -               | -         | 1,285            | -               | 7.6         |
| • Operations Support for New Trial Court Facilities                                        | -               | -               | -         | 525              | -               | -           |
| • Capital Central Staffing Expansion                                                       | -               | -               | -         | 490              | -               | 2.9         |
| • Staffing for Supreme Court Committee on Judicial Ethics Opinions                         | -               | -               | -         | 444              | -               | 2.0         |
| • Staff for Riverside Appellate Court                                                      | -               | -               | -         | 430              | -               | 2.4         |
| • Habeas Corpus Resource Center Workload                                                   | -               | -               | -         | 139              | -               | 1.2         |
| • Staff for San Diego Appellate Court                                                      | -               | -               | -         | 117              | -               | 0.9         |
| • Staff for San Jose Court of Appeal                                                       | -               | -               | -         | 112              | -               | 0.9         |
| • Court-Appointed Counsel Program                                                          | -               | -               | -         | 47               | -               | -           |
| • Law Library Subscriptions and Books                                                      | -               | -               | -         | 28               | -               | -           |
| • Judicial Branch IT Support                                                               | -               | -               | -         | -794             | -               | -5.7        |
| • Adjustment to Trial Court Improvement Fund per Government Code Section 77209             | -               | 17,841          | -         | -                | -               | -           |
| • Restore Baseline Funding for Omnibus Conservatorship and Guardianship Reform Act of 2006 | -               | -               | -         | 17,377           | -               | -           |
| • General Salary Increase for Judges                                                       | 16,296          | -               | -         | 16,629           | -               | -           |
| • Restore Baseline Funding for 2006-07 New Trial Court Judgeships                          | -               | -               | -         | 2,980            | -               | -           |
| • Full Year Cost of New/Expanded Programs                                                  | -               | -               | -         | 72,194           | -               | -           |
| • Employee Compensation Adjustments                                                        | -               | 1,568           | -         | 7,001            | 1,699           | -           |
| • Retirement Rate Adjustment                                                               | -               | -105            | -         | 1,419            | -105            | -           |
| • Price Increase                                                                           | -               | -               | -         | 3,346            | 1,034           | -           |
| • Pro Rata Adjustment                                                                      | -               | -               | -         | -                | 589             | -           |
| • SWCAP Adjustment                                                                         | -               | -               | -         | -                | 29              | -           |
| • Data Center Rate Adjustment                                                              | -27             | -               | -         | -27              | -               | -           |
| • Limited Term Positions/Expiring Programs                                                 | -               | -               | -         | -                | -351            | -           |
| • Lease Revenue Debt Service Adjustment                                                    | -1,274          | -               | -         | -132             | -               | -           |
| • One Time Cost Reductions                                                                 | -               | -               | -         | -24,521          | -75             | -           |
| • Other Baseline Adjustments                                                               | -               | 29              | -         | -                | -1,762          | -           |
| <b>Totals, Baseline Adjustments</b>                                                        | <b>\$14,995</b> | <b>\$32,715</b> | <b>-</b>  | <b>\$240,935</b> | <b>\$38,967</b> | <b>56.3</b> |
| <b>Policy Adjustment Descriptions</b>                                                      |                 |                 |           |                  |                 |             |
| • Judicial Support for the Mental Health Services Act                                      | \$-             | \$-             | -         | \$-              | \$294           | 1.9         |
| <b>Totals, Policy Adjustments</b>                                                          | <b>\$-</b>      | <b>\$-</b>      | <b>-</b>  | <b>\$-</b>       | <b>\$294</b>    | <b>1.9</b>  |

\* Dollars in thousands, except in Salary Range.

**0250 Judicial Branch - Continued**

|                                           | 2007-08*        |                 |           | 2008-09*         |                 |             |
|-------------------------------------------|-----------------|-----------------|-----------|------------------|-----------------|-------------|
|                                           | General Fund    | Other Funds     | Positions | General Fund     | Other Funds     | Positions   |
| <b>TOTALS, BUDGET ADJUSTMENTS</b>         | <b>\$14,995</b> | <b>\$32,715</b> | <b>-</b>  | <b>\$240,935</b> | <b>\$39,261</b> | <b>58.2</b> |
| <b>Other Adjustments <sup>11</sup></b>    |                 |                 |           |                  |                 |             |
| • Budget-Balancing Reductions             | -               | -               | -         | -245,944         | -               | -           |
| <b>REVISED TOTALS, BUDGET ADJUSTMENTS</b> | <b>\$14,995</b> | <b>\$32,715</b> | <b>-</b>  | <b>-\$5,009</b>  | <b>\$39,261</b> | <b>58.2</b> |

<sup>11</sup> These dollars and PYs are included in the General Government agency, therefore not included in the other fiscal statements for this department. These totals are also not included in the applicable Summary Schedules for this department.

**PROGRAM DESCRIPTIONS (Program Objectives Statement)****10 - SUPREME COURT**

The Supreme Court is the highest court in the California judicial system. Its decisions are binding on all other California state courts. The Chief Justice of California and the six Associate Justices entertain petitions seeking review of decisions from the Courts of Appeal, original petitions for extraordinary relief (such as writs of mandate or habeas corpus), and recommendations for discipline of judicial officers and attorneys. The Court grants review and issues opinions in order to settle legal questions of statewide importance. In addition, under the California Constitution, all death penalty judgments are appealed directly to the Supreme Court.

**20 - COURTS OF APPEAL**

Established by a constitutional amendment in 1904, the Courts of Appeal are California's intermediate courts of review. The six District Courts of Appeal hear appeals and original proceedings at nine different locations around the state. Cases before the Courts of Appeal involve every area of civil and criminal law.

**30 - JUDICIAL COUNCIL**

The Judicial Council of California is the constitutional policy-making body for the state judiciary. The Council consists of 21 voting members and 6 advisory members; the Chief Justice of California serves as chair. The Administrative Office of the Courts is the administrative arm of the Council. This office provides policy support to the Council, administrative accountability in the operation of the courts as specified by law, and administrative support for courts in areas such as budget, fiscal services, coordination of the assignment of retired judges, technology, education, legal advice and services, human resources, legislative advocacy, and research.

Consistent with the judiciary's mission, the Judicial Council is guided by the following principles:

- To make decisions in the best interests of the public and the court system as a whole.
- To conduct the Council's business based on an underlying commitment to equal and timely justice and public access to an independent forum for the resolution of disputes.
- To provide leadership in the administration of justice by planning and advocating for policies and resources that are necessary for courts to fulfill their mission.
- To ensure the continued development of an accessible, independent court system through planning, research, and evaluation programs, and through the use of modern management approaches and technological developments.
- To provide leadership in the administration of justice by establishing broad and consistent policies for the operation of the courts and appropriate uniform statewide rules and forms.
- To promote a competent, responsive, and ethical judiciary and staff through a comprehensive program of judicial education and training for court employees.
- To contribute to the public's understanding of the judicial process through a continuing program of public education.
- To provide assistance to the courts in developing action plans that are consistent with the Council's Strategic Plan and that address local needs and priorities.

**35 - JUDICIAL BRANCH FACILITY PROGRAM**

The Judicial Branch Facility Program administers the acquisition, planning, construction, operations, and maintenance of judicial branch facilities. This program is responsible for the development of long-term facilities master plans, facility and real estate management, and new courthouse planning, design, and construction.

**45 - STATE TRIAL COURT FUNDING****45.10 - SUPPORT FOR THE OPERATION OF THE TRIAL COURTS**

This program's objective is to provide the resources necessary for the adjudication of civil and criminal cases in the state's countywide trial court systems. This program includes all allowable trial court administrative costs under Chapter 850, Statutes of 1997, except salaries and benefits of Superior Court judges, compensation for assigned judges, and support for language interpreters.

**45.25 - COMPENSATION OF SUPERIOR COURT JUDGES**

\* Dollars in thousands, except in Salary Range.

## 0250 Judicial Branch - Continued

This program provides funding for the salaries and state benefits for Superior Court judges.

### 45.35 - ASSIGNED JUDGES

This program provides support for the salaries and related costs of retired as well as active judges who are assigned by the Chief Justice to positions in courts which require assistance due to caseload backlogs or other factors impacting the ability of a court to avoid case delay.

### 45.45 - COURT INTERPRETERS

This program supports the provision of qualified language interpreters in criminal or juvenile proceedings as required by statute.

### 50 - HABEAS CORPUS RESOURCE CENTER

The Habeas Corpus Resource Center provides legal representation for indigent petitioners in death penalty habeas corpus proceedings before the Supreme Court of California and the federal courts. The Center also recruits and trains attorneys to expand the pool of private counsel qualified to accept appointments in death penalty habeas corpus proceedings, serves as a resource to them, and thereby helps to reduce the number of unrepresented indigents on California's death row.

#### DETAILED EXPENDITURES BY PROGRAM (Program Budget Detail)

|           |                                                  | 2006-07*         | 2007-08*         | 2008-09*         |
|-----------|--------------------------------------------------|------------------|------------------|------------------|
|           | <b>PROGRAM REQUIREMENTS</b>                      |                  |                  |                  |
| <b>10</b> | <b>SUPREME COURT</b>                             |                  |                  |                  |
|           | <b>State Operations:</b>                         |                  |                  |                  |
| 0001      | General Fund                                     | \$41,342         | \$44,440         | \$46,877         |
| 3060      | Appellate Court Trust Fund                       | 846              | 1,013            | 1,077            |
| 9728      | Judicial Branch Workers' Compensation Fund       | -25              | -                | -                |
|           | <b>Totals, State Operations</b>                  | <b>\$42,163</b>  | <b>\$45,453</b>  | <b>\$47,954</b>  |
|           | <b>PROGRAM REQUIREMENTS</b>                      |                  |                  |                  |
| <b>20</b> | <b>COURTS OF APPEAL</b>                          |                  |                  |                  |
|           | <b>State Operations:</b>                         |                  |                  |                  |
| 0001      | General Fund                                     | \$182,818        | \$196,896        | \$213,388        |
| 3060      | Appellate Court Trust Fund                       | 4,276            | 3,827            | 5,712            |
| 9728      | Judicial Branch Workers' Compensation Fund       | -140             | -                | -                |
|           | <b>Totals, State Operations</b>                  | <b>\$186,954</b> | <b>\$200,723</b> | <b>\$219,100</b> |
|           | <b>PROGRAM REQUIREMENTS</b>                      |                  |                  |                  |
| <b>30</b> | <b>JUDICIAL COUNCIL</b>                          |                  |                  |                  |
|           | <b>State Operations:</b>                         |                  |                  |                  |
| 0001      | General Fund                                     | \$93,800         | \$91,830         | \$103,379        |
| 0044      | Motor Vehicle Account, State Transportation Fund | 140              | 185              | 191              |
| 0159      | Trial Court Improvement Fund                     | 5,970            | 9,043            | 9,266            |
| 0327      | Court Interpreters' Fund                         | 154              | 155              | 163              |
| 0587      | Family Law Trust Fund                            | 2,752            | 3,162            | 3,167            |
| 0890      | Federal Trust Fund                               | 2,334            | 3,742            | 4,938            |
| 0932      | Trial Court Trust Fund                           | 5,650            | 7,421            | 6,919            |
| 0995      | Reimbursements                                   | 4,433            | 7,623            | 7,692            |
| 3037      | State Court Facilities Construction Fund         | 6,015            | 7,696            | 7,808            |
| 3085      | Mental Health Services Fund                      | -                | -                | 431              |
| 9728      | Judicial Branch Workers' Compensation Fund       | -25              | 2                | 2                |
|           | <b>Totals, State Operations</b>                  | <b>\$121,223</b> | <b>\$130,859</b> | <b>\$143,956</b> |
|           | <b>PROGRAM REQUIREMENTS</b>                      |                  |                  |                  |
| <b>35</b> | <b>JUDICIAL BRANCH FACILITY PROGRAM</b>          |                  |                  |                  |
|           | <b>State Operations:</b>                         |                  |                  |                  |
| 0001      | General Fund                                     | \$1,999          | \$24,997         | \$37,252         |
| 3037      | State Court Facilities Construction Fund         | 29,521           | 31,507           | 49,360           |

\* Dollars in thousands, except in Salary Range.

## 0250 Judicial Branch - Continued

|              |                                                           | 2006-07*           | 2007-08*           | 2008-09*           |
|--------------|-----------------------------------------------------------|--------------------|--------------------|--------------------|
| 3066         | Court Facilities Trust Fund                               | 2,365              | 10,642             | 11,791             |
| 0995         | Reimbursements                                            | 124                | 2,533              | 5,936              |
|              | <b>Totals, State Operations</b>                           | <b>\$34,009</b>    | <b>\$69,679</b>    | <b>\$104,339</b>   |
|              | <b>PROGRAM REQUIREMENTS</b>                               |                    |                    |                    |
| <b>45</b>    | <b>STATE TRIAL COURT FUNDING</b>                          |                    |                    |                    |
|              | <b>Local Assistance:</b>                                  |                    |                    |                    |
| 0001         | General Fund                                              | \$1,671,524        | \$1,864,916        | \$2,047,488        |
| 0159         | Trial Court Improvement Fund                              | 123,549            | 114,792            | 96,951             |
| 0556         | Judicial Administration Efficiency and Modernization Fund | -45                | -                  | -                  |
| 0587         | Family Law Trust Fund                                     | -                  | 150                | 150                |
| 0890         | Federal Trust Fund                                        | 786                | 2,275              | 2,275              |
| 0932         | Trial Court Trust Fund                                    | 1,193,155          | 1,212,525          | 1,211,010          |
| 0995         | Reimbursements                                            | 48,599             | 53,260             | 53,260             |
| 9728         | Judicial Branch Workers' Compensation Fund                | -407               | -                  | -                  |
|              | <b>Totals, Local Assistance</b>                           | <b>\$3,037,161</b> | <b>\$3,247,918</b> | <b>\$3,411,134</b> |
|              | <b>ELEMENT REQUIREMENTS</b>                               |                    |                    |                    |
| <b>45.10</b> | <b>Support for Operation of Trial Courts</b>              | <b>\$2,598,131</b> | <b>\$2,759,494</b> | <b>\$2,907,199</b> |
|              | <b>Local Assistance:</b>                                  |                    |                    |                    |
| 0001         | General Fund                                              | 1,281,692          | 1,432,177          | 1,599,238          |
| 0159         | Trial Court Improvement Fund                              | 123,549            | 114,792            | 96,951             |
| 0556         | Judicial Administration Efficiency and Modernization Fund | -45                | -                  | -                  |
| 0932         | Trial Court Trust Fund                                    | 1,193,155          | 1,212,525          | 1,211,010          |
| 9728         | Judicial Branch Workers' Compensation Fund                | -220               | -                  | -                  |
| <b>45.25</b> | <b>Compensation of Superior Court Judges</b>              | <b>\$259,025</b>   | <b>\$295,151</b>   | <b>\$305,017</b>   |
|              | <b>Local Assistance:</b>                                  |                    |                    |                    |
| 0001         | General Fund                                              | 259,212            | 295,151            | 305,017            |
| 9728         | Judicial Branch Workers' Compensation Fund                | -187               | -                  | -                  |
| <b>45.35</b> | <b>Assigned Judges</b>                                    | <b>\$24,921</b>    | <b>\$26,047</b>    | <b>\$26,047</b>    |
|              | <b>Local Assistance:</b>                                  |                    |                    |                    |
| 0001         | General Fund                                              | 24,921             | 26,047             | 26,047             |
| <b>45.45</b> | <b>Court Interpreters</b>                                 | <b>\$85,683</b>    | <b>\$90,243</b>    | <b>\$94,577</b>    |
|              | <b>Local Assistance:</b>                                  |                    |                    |                    |
| 0001         | General Fund                                              | 85,683             | 90,243             | 94,577             |
| <b>45.55</b> | <b>Grants</b>                                             | <b>\$69,401</b>    | <b>\$76,983</b>    | <b>\$78,294</b>    |
|              | <b>Local Assistance:</b>                                  |                    |                    |                    |
| 0001         | General Fund                                              | 20,016             | 21,298             | 22,609             |
| 0587         | Family Law Trust Fund                                     | -                  | 150                | 150                |
| 0890         | Federal Trust Fund                                        | 786                | 2,275              | 2,275              |
| 0995         | Reimbursements                                            | 48,599             | 53,260             | 53,260             |
|              | <b>PROGRAM REQUIREMENTS</b>                               |                    |                    |                    |
| <b>50</b>    | <b>HABEAS CORPUS RESOURCE CENTER</b>                      |                    |                    |                    |
|              | <b>State Operations:</b>                                  |                    |                    |                    |
| 0001         | General Fund                                              | \$13,229           | \$13,237           | \$13,872           |
| 0890         | Federal Trust Fund                                        | 44                 | 1,026              | 1,026              |
| 9728         | Judicial Branch Workers' Compensation Fund                | -36                | -                  | -                  |
|              | <b>Totals, State Operations</b>                           | <b>\$13,237</b>    | <b>\$14,263</b>    | <b>\$14,898</b>    |
|              | <b>TOTALS, EXPENDITURES</b>                               |                    |                    |                    |

\* Dollars in thousands, except in Salary Range.

## 0250 Judicial Branch - Continued

|                             | 2006-07*           | 2007-08*           | 2008-09*           |
|-----------------------------|--------------------|--------------------|--------------------|
| State Operations            | 397,586            | 460,977            | 530,247            |
| Local Assistance            | 3,037,161          | 3,247,918          | 3,411,134          |
| <b>Totals, Expenditures</b> | <b>\$3,434,747</b> | <b>\$3,708,895</b> | <b>\$3,941,381</b> |

## EXPENDITURES BY CATEGORY (Summary By Object)

| 1 State Operations                                                      | Positions    |              |              | Expenditures     |                  |                  |
|-------------------------------------------------------------------------|--------------|--------------|--------------|------------------|------------------|------------------|
|                                                                         | 2006-07      | 2007-08      | 2008-09      | 2006-07*         | 2007-08*         | 2008-09*         |
| <b>10 Supreme Court</b>                                                 |              |              |              |                  |                  |                  |
| PERSONAL SERVICES                                                       |              |              |              |                  |                  |                  |
| Authorized Positions (Equals Sch. 7A)                                   | 138.8        | 151.0        | 151.0        | \$16,933         | \$17,121         | \$17,352         |
| Total Adjustments                                                       | -            | -            | 4.6          | -                | 580              | 1,071            |
| Estimated Salary Savings                                                | -            | -2.9         | -2.9         | -                | -324             | -339             |
| <b>Net Totals, Salaries and Wages</b>                                   | <b>138.8</b> | <b>148.1</b> | <b>152.7</b> | <b>\$16,933</b>  | <b>\$17,377</b>  | <b>\$18,084</b>  |
| Staff Benefits                                                          | -            | -            | -            | 4,828            | 4,881            | 5,080            |
| <b>Totals, Personal Services</b>                                        | <b>138.8</b> | <b>148.1</b> | <b>152.7</b> | <b>\$21,761</b>  | <b>\$22,258</b>  | <b>\$23,164</b>  |
| OPERATING EXPENSES AND EQUIPMENT                                        |              |              |              | \$7,254          | \$7,789          | \$8,967          |
| SPECIAL ITEMS OF EXPENSE                                                |              |              |              |                  |                  |                  |
| Court Appointed Counsel                                                 |              |              |              | \$13,148         | \$15,406         | \$15,823         |
| <b>Totals, Special Items of Expense</b>                                 |              |              |              | <b>\$13,148</b>  | <b>\$15,406</b>  | <b>\$15,823</b>  |
| <b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b> |              |              |              | <b>\$42,163</b>  | <b>\$45,453</b>  | <b>\$47,954</b>  |
| <b>20 Courts of Appeal</b>                                              |              |              |              |                  |                  |                  |
| PERSONAL SERVICES                                                       |              |              |              |                  |                  |                  |
| Authorized Positions (Equals Sch. 7A)                                   | 796.6        | 863.5        | 863.5        | \$86,639         | \$91,918         | \$93,178         |
| Total Adjustments                                                       | -            | -            | 4.5          | -                | 3,210            | 3,575            |
| Estimated Salary Savings                                                | -            | -22.8        | -22.8        | -                | -2,234           | -2,282           |
| <b>Net Totals, Salaries and Wages</b>                                   | <b>796.6</b> | <b>840.7</b> | <b>845.2</b> | <b>\$86,639</b>  | <b>\$92,894</b>  | <b>\$94,471</b>  |
| Staff Benefits                                                          | -            | -            | -            | 22,696           | 24,346           | 24,759           |
| <b>Totals, Personal Services</b>                                        | <b>796.6</b> | <b>840.7</b> | <b>845.2</b> | <b>\$109,335</b> | <b>\$117,240</b> | <b>\$119,230</b> |
| OPERATING EXPENSES AND EQUIPMENT                                        |              |              |              | \$24,976         | \$26,379         | \$41,050         |
| SPECIAL ITEMS OF EXPENSE                                                |              |              |              |                  |                  |                  |
| Court Appointed Counsel                                                 |              |              |              | \$52,643         | \$57,104         | \$58,820         |
| <b>Totals, Special Items of Expense</b>                                 |              |              |              | <b>\$52,643</b>  | <b>\$57,104</b>  | <b>\$58,820</b>  |
| <b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b> |              |              |              | <b>\$186,954</b> | <b>\$200,723</b> | <b>\$219,100</b> |
| <b>30 Judicial Council</b>                                              |              |              |              |                  |                  |                  |
| PERSONAL SERVICES                                                       |              |              |              |                  |                  |                  |
| Authorized Positions (Equals Sch. 7A)                                   | 634.5        | 824.9        | 821.9        | \$57,644         | \$71,085         | \$72,742         |
| Total Adjustments                                                       | -            | -            | 48.5         | -                | 2,255            | 6,358            |
| Estimated Salary Savings                                                | -            | -41.2        | -41.1        | -                | -3,667           | -3,955           |
| <b>Net Totals, Salaries and Wages</b>                                   | <b>634.5</b> | <b>783.7</b> | <b>829.3</b> | <b>\$57,644</b>  | <b>\$69,673</b>  | <b>\$75,145</b>  |
| Staff Benefits                                                          | -            | -            | -            | 19,714           | 22,799           | 24,590           |
| <b>Totals, Personal Services</b>                                        | <b>634.5</b> | <b>783.7</b> | <b>829.3</b> | <b>\$77,358</b>  | <b>\$92,472</b>  | <b>\$99,735</b>  |
| OPERATING EXPENSES AND EQUIPMENT                                        |              |              |              | \$43,865         | \$38,387         | \$44,221         |
| <b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b> |              |              |              | <b>\$121,223</b> | <b>\$130,859</b> | <b>\$143,956</b> |
| <b>35 Judicial Branch Facility Program</b>                              |              |              |              |                  |                  |                  |
| PERSONAL SERVICES                                                       |              |              |              |                  |                  |                  |

\* Dollars in thousands, except in Salary Range.

## 0250 Judicial Branch - Continued

| 1 State Operations                                                      | Positions   |             |             | Expenditures     |                  |                  |
|-------------------------------------------------------------------------|-------------|-------------|-------------|------------------|------------------|------------------|
|                                                                         | 2006-07     | 2007-08     | 2008-09     | 2006-07*         | 2007-08*         | 2008-09*         |
| Authorized Positions (Equals Sch. 7A)                                   | 63.1        | 90.0        | 90.0        | \$5,643          | \$7,928          | \$8,195          |
| Total Adjustments                                                       | -           | -           | -           | -                | 448              | 448              |
| Estimated Salary Savings                                                | -           | -4.5        | -4.5        | -                | -419             | -432             |
| <b>Net Totals, Salaries and Wages</b>                                   | <b>63.1</b> | <b>85.5</b> | <b>85.5</b> | <b>\$5,643</b>   | <b>\$7,957</b>   | <b>\$8,211</b>   |
| Staff Benefits                                                          | -           | -           | -           | 1,862            | 2,310            | 2,384            |
| <b>Totals, Personal Services</b>                                        | <b>63.1</b> | <b>85.5</b> | <b>85.5</b> | <b>\$7,505</b>   | <b>\$10,267</b>  | <b>\$10,595</b>  |
| OPERATING EXPENSES AND EQUIPMENT                                        |             |             |             | \$26,504         | \$59,412         | \$93,744         |
| <b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b> |             |             |             | <b>\$34,009</b>  | <b>\$69,679</b>  | <b>\$104,339</b> |
| <b>50 Habeas Corpus Resource Center</b>                                 |             |             |             |                  |                  |                  |
| PERSONAL SERVICES                                                       |             |             |             |                  |                  |                  |
| Authorized Positions (Equals Sch. 7A)                                   | 63.5        | 86.0        | 86.0        | \$5,759          | \$7,103          | \$7,297          |
| Total Adjustments                                                       | -           | -           | 1.3         | -                | 219              | 274              |
| Estimated Salary Savings                                                | -           | -4.3        | -4.3        | -                | -366             | -379             |
| <b>Net Totals, Salaries and Wages</b>                                   | <b>63.5</b> | <b>81.7</b> | <b>83.0</b> | <b>\$5,759</b>   | <b>\$6,956</b>   | <b>\$7,192</b>   |
| Staff Benefits                                                          | -           | -           | -           | 1,824            | 2,279            | 2,356            |
| <b>Totals, Personal Services</b>                                        | <b>63.5</b> | <b>81.7</b> | <b>83.0</b> | <b>\$7,583</b>   | <b>\$9,235</b>   | <b>\$9,548</b>   |
| OPERATING EXPENSES AND EQUIPMENT                                        |             |             |             | \$5,654          | \$5,028          | \$5,350          |
| <b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b> |             |             |             | <b>\$13,237</b>  | <b>\$14,263</b>  | <b>\$14,898</b>  |
| <b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b> |             |             |             | <b>\$397,586</b> | <b>\$460,977</b> | <b>\$530,247</b> |

## DETAIL OF APPROPRIATIONS AND ADJUSTMENTS (Reconciliation with Appropriations)

| 1 STATE OPERATIONS                                                                        | 2006-07*         | 2007-08*         | 2008-09*         |
|-------------------------------------------------------------------------------------------|------------------|------------------|------------------|
| 0001 General Fund                                                                         |                  |                  |                  |
| APPROPRIATIONS                                                                            |                  |                  |                  |
| 001 Budget Act appropriation, as amended by Chapter 48, Statutes of 2006                  | \$316,722        | \$-              | \$-              |
| Allocation for employee compensation                                                      | 13,234           | -                | -                |
| Allocation for contingencies or emergencies                                               | 2,335            | -                | -                |
| Adjustment per Section 3.60                                                               | 1,418            | -                | -                |
| Revised expenditure authority per Provision 5                                             | -518             | -                | -                |
| 001 Budget Act appropriation                                                              | -                | 346,030          | 377,009          |
| Allocation for employee compensation                                                      | -                | 935              | -                |
| Adjustment per Section 15.25                                                              | -                | -22              | -                |
| 003 Budget Act appropriation                                                              | 983              | 2,948            | 2,816            |
| Adjustment per Section 4.30 (Lease-Revenue)                                               | 15               | -1,274           | -                |
| 011 Budget Act appropriation (transfer to the Judicial Branch Workers' Compensation Fund) | 1                | 1                | 1                |
| Revised expenditure authority per Provision 5                                             | 518              | -                | -                |
| 012 Budget Act appropriation (transfer to Court Facilities Trust Fund)                    | -                | 22,782           | 34,942           |
| <b>Totals Available</b>                                                                   | <b>\$334,708</b> | <b>\$371,400</b> | <b>\$414,768</b> |
| Unexpended balance, estimated savings                                                     | -1,520           | -                | -                |
| <b>TOTALS, EXPENDITURES</b>                                                               | <b>\$333,188</b> | <b>\$371,400</b> | <b>\$414,768</b> |
| 0044 Motor Vehicle Account, State Transportation Fund                                     |                  |                  |                  |
| APPROPRIATIONS                                                                            |                  |                  |                  |
| 001 Budget Act appropriation                                                              | \$160            | \$179            | \$191            |
| Allocation for employee compensation                                                      | 11               | 7                | -                |

\* Dollars in thousands, except in Salary Range.

## 0250 Judicial Branch - Continued

| 1 STATE OPERATIONS                                                   | 2006-07*        | 2007-08*        | 2008-09*        |
|----------------------------------------------------------------------|-----------------|-----------------|-----------------|
| Adjustment per Section 3.60                                          | <u>1</u>        | <u>-1</u>       | <u>-</u>        |
| <b>Totals Available</b>                                              | <b>\$172</b>    | <b>\$185</b>    | <b>\$191</b>    |
| Unexpended balance, estimated savings                                | <u>-32</u>      | <u>-</u>        | <u>-</u>        |
| <b>TOTALS, EXPENDITURES</b>                                          | <b>\$140</b>    | <b>\$185</b>    | <b>\$191</b>    |
| <b>0159 Trial Court Improvement Fund</b>                             |                 |                 |                 |
| APPROPRIATIONS                                                       |                 |                 |                 |
| 001 Budget Act appropriation                                         | \$1             | \$8,693         | \$9,266         |
| Allocation for employee compensation                                 | 363             | 379             | -               |
| Adjustment per Section 3.60                                          | -               | -29             | -               |
| Increase expenditure authority per Provision 1                       | <u>8,378</u>    | <u>-</u>        | <u>-</u>        |
| <b>Totals Available</b>                                              | <b>\$8,742</b>  | <b>\$9,043</b>  | <b>\$9,266</b>  |
| Unexpended balance, estimated savings                                | <u>-2,772</u>   | <u>-</u>        | <u>-</u>        |
| <b>TOTALS, EXPENDITURES</b>                                          | <b>\$5,970</b>  | <b>\$9,043</b>  | <b>\$9,266</b>  |
| <b>0327 Court Interpreters' Fund</b>                                 |                 |                 |                 |
| APPROPRIATIONS                                                       |                 |                 |                 |
| 001 Budget Act appropriation                                         | <u>\$155</u>    | <u>\$155</u>    | <u>\$163</u>    |
| <b>Totals Available</b>                                              | <b>\$155</b>    | <b>\$155</b>    | <b>\$163</b>    |
| Unexpended balance, estimated savings                                | <u>-1</u>       | <u>-</u>        | <u>-</u>        |
| <b>TOTALS, EXPENDITURES</b>                                          | <b>\$154</b>    | <b>\$155</b>    | <b>\$163</b>    |
| <b>0587 Family Law Trust Fund</b>                                    |                 |                 |                 |
| APPROPRIATIONS                                                       |                 |                 |                 |
| Family Code Section 1852                                             | <u>\$2,752</u>  | <u>\$3,162</u>  | <u>\$3,167</u>  |
| <b>TOTALS, EXPENDITURES</b>                                          | <b>\$2,752</b>  | <b>\$3,162</b>  | <b>\$3,167</b>  |
| <b>0890 Federal Trust Fund</b>                                       |                 |                 |                 |
| APPROPRIATIONS                                                       |                 |                 |                 |
| 001 Budget Act appropriation                                         | \$3,046         | \$4,116         | \$5,964         |
| Allocation for employee compensation                                 | 72              | 52              | -               |
| Adjustment per Section 3.60                                          | 9               | -4              | -               |
| Budget Adjustment                                                    | <u>-749</u>     | <u>604</u>      | <u>-</u>        |
| <b>TOTALS, EXPENDITURES</b>                                          | <b>\$2,378</b>  | <b>\$4,768</b>  | <b>\$5,964</b>  |
| <b>0932 Trial Court Trust Fund</b>                                   |                 |                 |                 |
| APPROPRIATIONS                                                       |                 |                 |                 |
| 001 Budget Act appropriation                                         | \$1             | \$3,396         | \$6,919         |
| Allocation for employee compensation                                 | 213             | 268             | -               |
| Adjustment per Section 3.60                                          | -               | -19             | -               |
| Increase expenditure authority per Provision 8 of Item 0250-101-0932 | 5,610           | 3,500           | -               |
| Increase expenditure authority per Provision 9 of Item 0250-101-0932 | 260             | -               | -               |
| Increase expenditure authority per Provision 1                       | <u>-</u>        | <u>276</u>      | <u>-</u>        |
| <b>Totals Available</b>                                              | <b>\$6,084</b>  | <b>\$7,421</b>  | <b>\$6,919</b>  |
| Unexpended balance, estimated savings                                | <u>-434</u>     | <u>-</u>        | <u>-</u>        |
| <b>TOTALS, EXPENDITURES</b>                                          | <b>\$5,650</b>  | <b>\$7,421</b>  | <b>\$6,919</b>  |
| <b>0995 Reimbursements</b>                                           |                 |                 |                 |
| APPROPRIATIONS                                                       |                 |                 |                 |
| Reimbursements                                                       | \$4,557         | \$10,156        | \$13,628        |
| <b>3037 State Court Facilities Construction Fund</b>                 |                 |                 |                 |
| APPROPRIATIONS                                                       |                 |                 |                 |
| 001 Budget Act appropriation                                         | \$36,163        | \$38,530        | \$57,168        |
| Allocation for employee compensation                                 | 743             | 714             | -               |
| Adjustment per Section 3.60                                          | <u>87</u>       | <u>-41</u>      | <u>-</u>        |
| <b>Totals Available</b>                                              | <b>\$36,993</b> | <b>\$39,203</b> | <b>\$57,168</b> |

\* Dollars in thousands, except in Salary Range.

**0250 Judicial Branch - Continued**

| <b>1 STATE OPERATIONS</b>                                                                                     | <b>2006-07*</b>    | <b>2007-08*</b>    | <b>2008-09*</b>    |
|---------------------------------------------------------------------------------------------------------------|--------------------|--------------------|--------------------|
| Unexpended balance, estimated savings                                                                         | <u>-1,457</u>      | <u>-</u>           | <u>-</u>           |
| <b>TOTALS, EXPENDITURES</b>                                                                                   | <b>\$35,536</b>    | <b>\$39,203</b>    | <b>\$57,168</b>    |
| <b>3060 Appellate Court Trust Fund</b>                                                                        |                    |                    |                    |
| APPROPRIATIONS                                                                                                |                    |                    |                    |
| 001 Budget Act appropriation                                                                                  | \$5,850            | \$4,823            | \$6,789            |
| Allocation for employee compensation                                                                          | 33                 | 18                 | -                  |
| Adjustment per Section 3.60                                                                                   | <u>4</u>           | <u>-1</u>          | <u>-</u>           |
| <b>Totals Available</b>                                                                                       | <b>\$5,887</b>     | <b>\$4,840</b>     | <b>\$6,789</b>     |
| Unexpended balance, estimated savings                                                                         | <u>-765</u>        | <u>-</u>           | <u>-</u>           |
| <b>TOTALS, EXPENDITURES</b>                                                                                   | <b>\$5,122</b>     | <b>\$4,840</b>     | <b>\$6,789</b>     |
| <b>3066 Court Facilities Trust Fund</b>                                                                       |                    |                    |                    |
| APPROPRIATIONS                                                                                                |                    |                    |                    |
| 001 Budget Act appropriation                                                                                  | \$1,076            | \$25,344           | \$46,733           |
| Increase expenditure authority per Provision 9 of Item 0250-101-0932                                          | 1,289              | -                  | -                  |
| Increase expenditure authority per Provision 1                                                                | <u>-</u>           | <u>8,080</u>       | <u>-</u>           |
| <b>TOTALS, EXPENDITURES</b>                                                                                   | <b>\$2,365</b>     | <b>\$33,424</b>    | <b>\$46,733</b>    |
| Less funding provided by General Fund                                                                         | <u>-</u>           | <u>-22,782</u>     | <u>-34,942</u>     |
| <b>NET TOTALS, EXPENDITURES</b>                                                                               | <b>\$2,365</b>     | <b>\$10,642</b>    | <b>\$11,791</b>    |
| <b>3085 Mental Health Services Fund</b>                                                                       |                    |                    |                    |
| APPROPRIATIONS                                                                                                |                    |                    |                    |
| 001 Budget Act appropriation                                                                                  | <u>\$-</u>         | <u>\$-</u>         | <u>\$431</u>       |
| <b>TOTALS, EXPENDITURES</b>                                                                                   | <b>\$-</b>         | <b>\$-</b>         | <b>\$431</b>       |
| <b>9728 Judicial Branch Workers' Compensation Fund</b>                                                        |                    |                    |                    |
| APPROPRIATIONS                                                                                                |                    |                    |                    |
| Government Code Section 68114.10                                                                              | <u>\$292</u>       | <u>\$3</u>         | <u>\$3</u>         |
| <b>TOTALS, EXPENDITURES</b>                                                                                   | <b>\$292</b>       | <b>\$3</b>         | <b>\$3</b>         |
| Less funding provided by General Fund                                                                         | <u>-518</u>        | <u>-1</u>          | <u>-1</u>          |
| <b>NET TOTALS, EXPENDITURES</b>                                                                               | <b>\$-226</b>      | <b>\$2</b>         | <b>\$2</b>         |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>                                                     | <b>\$397,586</b>   | <b>\$460,977</b>   | <b>\$530,247</b>   |
| <b>2 LOCAL ASSISTANCE</b>                                                                                     | <b>2006-07*</b>    | <b>2007-08*</b>    | <b>2008-09*</b>    |
| <b>0001 General Fund</b>                                                                                      |                    |                    |                    |
| APPROPRIATIONS                                                                                                |                    |                    |                    |
| 101 Budget Act appropriation                                                                                  | \$17,575           | \$18,496           | \$19,384           |
| 111 Budget Act appropriation, as amended by Chapter 48, Statutes of 2006 (Transfer to Trial Court Trust Fund) | 1,602,357          | -                  | -                  |
| Allocation for employee compensation                                                                          | 19,127             | -                  | -                  |
| Adjustment per Section 3.60                                                                                   | 527                | -                  | -                  |
| 111 Budget Act appropriation (transfer to Trial Court Trust Fund)                                             | -                  | 1,793,372          | 1,988,603          |
| Allocation for employee compensation                                                                          | -                  | 15,361             | -                  |
| Adjustment per Section 15.25                                                                                  | -                  | -5                 | -                  |
| 112 Budget Act appropriation (transfer to Judicial Administration Efficiency and Modernization Fund)          | 35,815             | 37,692             | 39,501             |
| <b>Totals Available</b>                                                                                       | <b>\$1,675,401</b> | <b>\$1,864,916</b> | <b>\$2,047,488</b> |
| Unexpended balance, estimated savings                                                                         | <u>-3,877</u>      | <u>-</u>           | <u>-</u>           |
| <b>TOTALS, EXPENDITURES</b>                                                                                   | <b>\$1,671,524</b> | <b>\$1,864,916</b> | <b>\$2,047,488</b> |
| <b>0159 Trial Court Improvement Fund</b>                                                                      |                    |                    |                    |
| APPROPRIATIONS                                                                                                |                    |                    |                    |
| Government Code Section 77209 (g)                                                                             | <u>\$123,549</u>   | <u>\$114,792</u>   | <u>\$96,951</u>    |
| <b>TOTALS, EXPENDITURES</b>                                                                                   | <b>\$123,549</b>   | <b>\$114,792</b>   | <b>\$96,951</b>    |

\* Dollars in thousands, except in Salary Range.

**0250 Judicial Branch - Continued**

| <b>2 LOCAL ASSISTANCE</b>                                                                                  | <b>2006-07*</b>    | <b>2007-08*</b>    | <b>2008-09*</b>    |
|------------------------------------------------------------------------------------------------------------|--------------------|--------------------|--------------------|
| <b>0556 Judicial Administration Efficiency and Modernization Fund</b>                                      |                    |                    |                    |
| APPROPRIATIONS                                                                                             |                    |                    |                    |
| 102 Budget Act appropriation                                                                               | \$-                | \$37,692           | \$39,501           |
| 112 Budget Act appropriation                                                                               | 35,815             | -                  | -                  |
| <b>Totals Available</b>                                                                                    | <b>\$35,815</b>    | <b>\$37,692</b>    | <b>\$39,501</b>    |
| Unexpended balance, estimated savings                                                                      | -45                | -                  | -                  |
| <b>TOTALS, EXPENDITURES</b>                                                                                | <b>\$35,770</b>    | <b>\$37,692</b>    | <b>\$39,501</b>    |
| Less funding provided by the General Fund                                                                  | -35,815            | -37,692            | -39,501            |
| <b>NET TOTALS, EXPENDITURES</b>                                                                            | <b>\$-45</b>       | <b>\$-</b>         | <b>\$-</b>         |
| <b>0587 Family Law Trust Fund</b>                                                                          |                    |                    |                    |
| APPROPRIATIONS                                                                                             |                    |                    |                    |
| Family Code Section 1852                                                                                   | \$-                | \$150              | \$150              |
| <b>TOTALS, EXPENDITURES</b>                                                                                | <b>\$-</b>         | <b>\$150</b>       | <b>\$150</b>       |
| <b>0890 Federal Trust Fund</b>                                                                             |                    |                    |                    |
| APPROPRIATIONS                                                                                             |                    |                    |                    |
| 101 Budget Act appropriation                                                                               | \$2,275            | \$2,275            | \$2,275            |
| Budget Adjustment                                                                                          | -1,489             | -                  | -                  |
| <b>TOTALS, EXPENDITURES</b>                                                                                | <b>\$786</b>       | <b>\$2,275</b>     | <b>\$2,275</b>     |
| <b>0932 Trial Court Trust Fund</b>                                                                         |                    |                    |                    |
| APPROPRIATIONS                                                                                             |                    |                    |                    |
| 101 Budget Act appropriation, as amended by Chapter 48, Statutes of 2006                                   | \$2,792,900        | \$-                | \$-                |
| Adjustment per Section 3.60                                                                                | 527                | -                  | -                  |
| Revised expenditure authority per Provision 5                                                              | 74,218             | -                  | -                  |
| Decrease expenditure authority per Provision 6                                                             | -16,341            | -                  | -                  |
| Revised expenditure authority per Provision 9                                                              | -260               | -                  | -                  |
| Revised expenditure authority per Provision 8                                                              | -5,610             | -                  | -                  |
| Revised expenditure authority per Government Code Section 77209 (transfer to Trial Court Improvement Fund) | -24,241            | -                  | -                  |
| 101 Budget Act appropriation                                                                               | -                  | 3,035,796          | 3,227,527          |
| Allocation for employee compensation                                                                       | -                  | 15,361             | -                  |
| Adjustment per Section 15.25                                                                               | -                  | -5                 | -                  |
| Revised expenditure authority per Provision 10                                                             | -                  | -276               | -                  |
| Revised expenditure authority per Government Code Section 77209 (transfer to Trial Court Improvement Fund) | -                  | -26,124            | -                  |
| Decrease expenditure authority per Provision 8                                                             | -                  | -3,500             | -                  |
| 115 Budget Act appropriation (transfer to Judicial Branch Workers Compensation Fund)                       | 1                  | 1                  | 1                  |
| Revised expenditure authority per Provision 1                                                              | 16,341             | -                  | -                  |
| Revised expenditure authority per Government Code Section 77209 (transfer to Trial Court Improvement Fund) | -                  | -                  | -27,915            |
| <b>Totals Available</b>                                                                                    | <b>\$2,837,535</b> | <b>\$3,021,253</b> | <b>\$3,199,613</b> |
| Unexpended balance, estimated savings                                                                      | -22,369            | -                  | -                  |
| <b>TOTALS, EXPENDITURES</b>                                                                                | <b>\$2,815,166</b> | <b>\$3,021,253</b> | <b>\$3,199,613</b> |
| Less funding provided by the General Fund                                                                  | -1,622,011         | -1,808,728         | -1,988,603         |
| <b>NET TOTALS, EXPENDITURES</b>                                                                            | <b>\$1,193,155</b> | <b>\$1,212,525</b> | <b>\$1,211,010</b> |
| <b>0995 Reimbursements</b>                                                                                 |                    |                    |                    |
| APPROPRIATIONS                                                                                             |                    |                    |                    |
| Reimbursements                                                                                             | \$48,599           | \$53,260           | \$53,260           |
| <b>9728 Judicial Branch Workers' Compensation Fund</b>                                                     |                    |                    |                    |
| APPROPRIATIONS                                                                                             |                    |                    |                    |
| Government Code Section 68114.10                                                                           | \$15,934           | \$1                | \$1                |

\* Dollars in thousands, except in Salary Range.

**0250 Judicial Branch - Continued**

| <b>2 LOCAL ASSISTANCE</b>                                                      | <b>2006-07*</b>    | <b>2007-08*</b>    | <b>2008-09*</b>    |
|--------------------------------------------------------------------------------|--------------------|--------------------|--------------------|
| <b>TOTALS, EXPENDITURES</b>                                                    | <b>\$15,934</b>    | <b>\$1</b>         | <b>\$1</b>         |
| Less funding provided by the Trial Court Trust Fund                            | -16,341            | -1                 | -1                 |
| <b>NET TOTALS, EXPENDITURES</b>                                                | <b>\$-407</b>      | <b>\$-</b>         | <b>\$-</b>         |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>                      | <b>\$3,037,161</b> | <b>\$3,247,918</b> | <b>\$3,411,134</b> |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)</b> | <b>\$3,434,747</b> | <b>\$3,708,895</b> | <b>\$3,941,381</b> |

**FUND CONDITION STATEMENTS**

|                                                                                  | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|----------------------------------------------------------------------------------|-----------------|-----------------|-----------------|
| <b>0159 Trial Court Improvement Fund <sup>s</sup></b>                            |                 |                 |                 |
| BEGINNING BALANCE                                                                | \$152,768       | \$146,706       | \$112,301       |
| Prior year adjustments                                                           | 33,980          | -               | -               |
| Adjusted Beginning Balance                                                       | \$186,748       | \$146,706       | \$112,301       |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS                                       |                 |                 |                 |
| Revenues:                                                                        |                 |                 |                 |
| 141200 Sales of Documents                                                        | 262             | 262             | 262             |
| 150300 Income From Surplus Money Investments                                     | 8,765           | 8,111           | 8,111           |
| 161000 Escheat of Unclaimed Checks & Warrants                                    | 79              | -               | -               |
| 164600 Fines and Forfeitures                                                     | 87,971          | 86,496          | 86,496          |
| Transfers and Other Adjustments:                                                 |                 |                 |                 |
| FO0932 From Trial Court Trust Fund per Government Code Section 77209             | 24,241          | 26,124          | 27,915          |
| TO0932 To Trial Court Trust Fund per Government Code Sec. 77209 (k)              | -31,563         | -31,563         | -31,563         |
| Total Revenues, Transfers, and Other Adjustments                                 | \$89,755        | \$89,430        | \$91,221        |
| Total Resources                                                                  | \$276,503       | \$236,136       | \$203,522       |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS                                         |                 |                 |                 |
| Expenditures:                                                                    |                 |                 |                 |
| 0250 Judicial Branch                                                             |                 |                 |                 |
| State Operations                                                                 | 5,970           | 9,043           | 9,266           |
| Local Assistance                                                                 | 123,549         | 114,792         | 96,951          |
| 9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations) | 278             | -               | 695             |
| Total Expenditures and Expenditure Adjustments                                   | \$129,797       | \$123,835       | \$106,912       |
| FUND BALANCE                                                                     | \$146,706       | \$112,301       | \$96,610        |
| Reserve for economic uncertainties                                               | 146,706         | 112,301         | 96,610          |
| <b>0327 Court Interpreters' Fund <sup>s</sup></b>                                |                 |                 |                 |
| BEGINNING BALANCE                                                                | \$101           | \$75            | \$60            |
| Prior year adjustments                                                           | -12             | -               | -               |
| Adjusted Beginning Balance                                                       | \$89            | \$75            | \$60            |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS                                       |                 |                 |                 |
| Revenues:                                                                        |                 |                 |                 |
| 125700 Other Regulatory Licenses and Permits                                     | 140             | 140             | 140             |
| Total Revenues, Transfers, and Other Adjustments                                 | \$140           | \$140           | \$140           |
| Total Resources                                                                  | \$229           | \$215           | \$200           |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS                                         |                 |                 |                 |
| Expenditures:                                                                    |                 |                 |                 |
| 0250 Judicial Branch (State Operations)                                          | 154             | 155             | 163             |
| Total Expenditures and Expenditure Adjustments                                   | \$154           | \$155           | \$163           |
| FUND BALANCE                                                                     | \$75            | \$60            | \$37            |
| Reserve for economic uncertainties                                               | 75              | 60              | 37              |

**0556 Judicial Administration Efficiency and Modernization Fund <sup>s</sup>**

\* Dollars in thousands, except in Salary Range.

**0250 Judicial Branch - Continued**

|                                                              | 2006-07*       | 2007-08*       | 2008-09*       |
|--------------------------------------------------------------|----------------|----------------|----------------|
| BEGINNING BALANCE                                            | \$9,996        | \$16,641       | \$19,390       |
| Prior year adjustments                                       | <u>3,968</u>   | <u>-</u>       | <u>-</u>       |
| Adjusted Beginning Balance                                   | \$13,964       | \$16,641       | \$19,390       |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS                   |                |                |                |
| Revenues:                                                    |                |                |                |
| 150300 Income From Surplus Money Investments                 | 2,630          | 2,749          | 2,749          |
| 161000 Escheat of Unclaimed Checks & Warrants                | <u>2</u>       | <u>-</u>       | <u>-</u>       |
| Total Revenues, Transfers, and Other Adjustments             | <u>\$2,632</u> | <u>\$2,749</u> | <u>\$2,749</u> |
| Total Resources                                              | \$16,596       | \$19,390       | \$22,139       |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS                     |                |                |                |
| Expenditures:                                                |                |                |                |
| 0250 Judicial Branch (Local Assistance)                      | 35,770         | 37,692         | 39,501         |
| Expenditure Adjustments:                                     |                |                |                |
| 0250 Judicial Branch                                         |                |                |                |
| Less funding provided by the General Fund (Local Assistance) | <u>-35,815</u> | <u>-37,692</u> | <u>-39,501</u> |
| Total Expenditures and Expenditure Adjustments               | <u>-\$45</u>   | <u>-</u>       | <u>-</u>       |
| FUND BALANCE                                                 | \$16,641       | \$19,390       | \$22,139       |
| Reserve for economic uncertainties                           | 16,641         | 19,390         | 22,139         |

**0587 Family Law Trust Fund <sup>s</sup>**

|                                                                                  |                |                |                |
|----------------------------------------------------------------------------------|----------------|----------------|----------------|
| BEGINNING BALANCE                                                                | \$4,059        | \$3,328        | \$1,883        |
| Prior year adjustments                                                           | <u>17</u>      | <u>-</u>       | <u>-</u>       |
| Adjusted Beginning Balance                                                       | \$4,076        | \$3,328        | \$1,883        |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS                                       |                |                |                |
| Revenues:                                                                        |                |                |                |
| 150300 Income From Surplus Money Investments                                     | 191            | 167            | 167            |
| 161000 Escheat of Unclaimed Checks & Warrants                                    | 2              | -              | -              |
| 161400 Miscellaneous Revenue                                                     | <u>1,874</u>   | <u>1,827</u>   | <u>1,827</u>   |
| Total Revenues, Transfers, and Other Adjustments                                 | <u>\$2,067</u> | <u>\$1,994</u> | <u>\$1,994</u> |
| Total Resources                                                                  | \$6,143        | \$5,322        | \$3,877        |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS                                         |                |                |                |
| Expenditures:                                                                    |                |                |                |
| 0250 Judicial Branch                                                             |                |                |                |
| State Operations                                                                 | 2,752          | 3,162          | 3,167          |
| Local Assistance                                                                 | -              | 150            | 150            |
| 0840 State Controller (State Operations)                                         | 1              | 1              | 2              |
| 9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations) | <u>62</u>      | <u>126</u>     | <u>247</u>     |
| Total Expenditures and Expenditure Adjustments                                   | <u>\$2,815</u> | <u>\$3,439</u> | <u>\$3,566</u> |
| FUND BALANCE                                                                     | \$3,328        | \$1,883        | \$311          |
| Reserve for economic uncertainties                                               | 3,328          | 1,883          | 311            |

**0932 Trial Court Trust Fund <sup>s</sup>**

|                                               |              |              |              |
|-----------------------------------------------|--------------|--------------|--------------|
| BEGINNING BALANCE                             | \$88,668     | \$99,695     | \$90,162     |
| Prior year adjustments                        | <u>5,535</u> | <u>-</u>     | <u>-</u>     |
| Adjusted Beginning Balance                    | \$94,203     | \$99,695     | \$90,162     |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS    |              |              |              |
| Revenues:                                     |              |              |              |
| 131700 Misc Revenue From Local Agencies       | 498,617      | 498,600      | 498,600      |
| 150300 Income From Surplus Money Investments  | 7,796        | 6,213        | 6,213        |
| 161000 Escheat of Unclaimed Checks & Warrants | 26           | 12           | 12           |
| 161400 Miscellaneous Revenue                  | <u>2,309</u> | <u>1,242</u> | <u>1,242</u> |

\* Dollars in thousands, except in Salary Range.

**0250 Judicial Branch - Continued**

|                                                                                  | 2006-07*           | 2007-08*           | 2008-09*           |
|----------------------------------------------------------------------------------|--------------------|--------------------|--------------------|
| 164400 Civil & Criminal Violation Assessment                                     | 103,921            | 105,315            | 105,315            |
| 164600 Fines and Forfeitures                                                     | 166,210            | 164,711            | 164,711            |
| 164700 Court Filing Fees and Surcharges                                          | 420,552            | 429,050            | 424,050            |
| Transfers and Other Adjustments:                                                 |                    |                    |                    |
| FO0159 From Trial Court Improvement Fund per Government Code Sec. 77209 (k)      | 31,563             | 31,563             | 31,563             |
| TO0159 To Trial Court Improvement Fund per Government Code Section 77209         | <u>-24,241</u>     | <u>-26,124</u>     | <u>-27,915</u>     |
| Total Revenues, Transfers, and Other Adjustments                                 | <u>\$1,206,753</u> | <u>\$1,210,582</u> | <u>\$1,203,791</u> |
| Total Resources                                                                  | \$1,300,956        | \$1,310,277        | \$1,293,953        |
| <b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>                                  |                    |                    |                    |
| Expenditures:                                                                    |                    |                    |                    |
| 0250 Judicial Branch                                                             |                    |                    |                    |
| State Operations                                                                 | 5,650              | 7,421              | 6,919              |
| Local Assistance                                                                 | 2,815,166          | 3,021,253          | 3,199,613          |
| 0840 State Controller (State Operations)                                         | 152                | 169                | 164                |
| 9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations) | 2,304              | -                  | 293                |
| Expenditure Adjustments:                                                         |                    |                    |                    |
| 0250 Judicial Branch                                                             |                    |                    |                    |
| Less funding provided by the General Fund (Local Assistance)                     | <u>-1,622,011</u>  | <u>-1,808,728</u>  | <u>-1,988,603</u>  |
| Total Expenditures and Expenditure Adjustments                                   | <u>\$1,201,261</u> | <u>\$1,220,115</u> | <u>\$1,218,386</u> |
| FUND BALANCE                                                                     | \$99,695           | \$90,162           | \$75,567           |
| Reserve for economic uncertainties                                               | 99,695             | 90,162             | 75,567             |

**3037 State Court Facilities Construction Fund <sup>s</sup>**

|                                                                                    |                  |                  |                  |
|------------------------------------------------------------------------------------|------------------|------------------|------------------|
| BEGINNING BALANCE                                                                  | \$192,192        | \$310,555        | \$322,354        |
| Prior year adjustments                                                             | <u>2,197</u>     | <u>-</u>         | <u>-</u>         |
| Adjusted Beginning Balance                                                         | \$194,389        | \$310,555        | \$322,354        |
| <b>REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS</b>                                  |                  |                  |                  |
| Revenues:                                                                          |                  |                  |                  |
| 150300 Income From Surplus Money Investments                                       | 12,817           | 18,009           | 18,009           |
| 150500 Interest Income From Interfund Loans                                        | 1,359            | -                | -                |
| 161400 Miscellaneous Revenue                                                       | 7                | 9                | 9                |
| 164700 Court Filing Fees and Surcharges                                            | 26,987           | 28,626           | 28,626           |
| 164800 Penalty Assessments on Criminal Fines                                       | 85,205           | 93,490           | 97,865           |
| Transfers and Other Adjustments:                                                   |                  |                  |                  |
| FO0001 From General Fund loan repayment per Item 0450-112-3037, Budget Act of 2004 | <u>30,000</u>    | <u>-</u>         | <u>-</u>         |
| Total Revenues, Transfers, and Other Adjustments                                   | <u>\$156,375</u> | <u>\$140,134</u> | <u>\$144,509</u> |
| Total Resources                                                                    | \$350,764        | \$450,689        | \$466,863        |

**EXPENDITURES AND EXPENDITURE ADJUSTMENTS**

|                                                |                 |                  |                  |
|------------------------------------------------|-----------------|------------------|------------------|
| Expenditures:                                  |                 |                  |                  |
| 0250 Judicial Branch                           |                 |                  |                  |
| State Operations                               | 35,536          | 39,203           | 57,168           |
| Capital Outlay                                 | 4,657           | 89,115           | 121,852          |
| 0840 State Controller (State Operations)       | <u>16</u>       | <u>17</u>        | <u>23</u>        |
| Total Expenditures and Expenditure Adjustments | <u>\$40,209</u> | <u>\$128,335</u> | <u>\$179,043</u> |
| FUND BALANCE                                   | \$310,555       | \$322,354        | \$287,820        |
| Reserve for economic uncertainties             | 310,555         | 322,354          | 287,820          |

**3060 Appellate Court Trust Fund <sup>s</sup>**

|                            |            |          |          |
|----------------------------|------------|----------|----------|
| BEGINNING BALANCE          | \$2,931    | \$2,638  | \$2,493  |
| Prior year adjustments     | <u>-10</u> | <u>-</u> | <u>-</u> |
| Adjusted Beginning Balance | \$2,921    | \$2,638  | \$2,493  |

\* Dollars in thousands, except in Salary Range.

**0250 Judicial Branch - Continued**

|                                                  | 2006-07* | 2007-08* | 2008-09* |
|--------------------------------------------------|----------|----------|----------|
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS       |          |          |          |
| Revenues:                                        |          |          |          |
| 150300 Income From Surplus Money Investments     | 206      | 160      | 160      |
| 164700 Court Filing Fees and Surcharges          | 4,633    | 4,535    | 4,535    |
| Total Revenues, Transfers, and Other Adjustments | \$4,839  | \$4,695  | \$4,695  |
| Total Resources                                  | \$7,760  | \$7,333  | \$7,188  |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS         |          |          |          |
| Expenditures:                                    |          |          |          |
| 0250 Judicial Branch (State Operations)          | 5,122    | 4,840    | 6,789    |
| Total Expenditures and Expenditure Adjustments   | \$5,122  | \$4,840  | \$6,789  |
| FUND BALANCE                                     | \$2,638  | \$2,493  | \$399    |
| Reserve for economic uncertainties               | 2,638    | 2,493    | 399      |

**3066 Court Facilities Trust Fund <sup>s</sup>**

|                                                          |         |          |          |
|----------------------------------------------------------|---------|----------|----------|
| BEGINNING BALANCE                                        | \$18    | \$38     | \$40     |
| Prior year adjustments                                   | 30      | -        | -        |
| Adjusted Beginning Balance                               | \$48    | \$38     | \$40     |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS               |         |          |          |
| Revenues:                                                |         |          |          |
| 131700 Misc Revenue From Local Agencies                  | 2,347   | 10,469   | 11,618   |
| 152200 Rentals of State Property                         | 6       | 173      | 173      |
| 161400 Miscellaneous Revenue                             | 2       | 2        | 2        |
| Total Revenues, Transfers, and Other Adjustments         | \$2,355 | \$10,644 | \$11,793 |
| Total Resources                                          | \$2,403 | \$10,682 | \$11,833 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS                 |         |          |          |
| Expenditures:                                            |         |          |          |
| 0250 Judicial Branch (State Operations)                  | 2,365   | 33,424   | 46,733   |
| Expenditure Adjustments:                                 |         |          |          |
| 0250 Judicial Branch                                     |         |          |          |
| Less funding provided by General Fund (State Operations) | -       | -22,782  | -34,942  |
| Total Expenditures and Expenditure Adjustments           | \$2,365 | \$10,642 | \$11,791 |
| FUND BALANCE                                             | \$38    | \$40     | \$42     |
| Reserve for economic uncertainties                       | 38      | 40       | 42       |

**CHANGES IN AUTHORIZED POSITIONS**

|                                         | Positions |         |         | Expenditures        |           |           |
|-----------------------------------------|-----------|---------|---------|---------------------|-----------|-----------|
|                                         | 2006-07   | 2007-08 | 2008-09 | 2006-07*            | 2007-08*  | 2008-09*  |
| Totals, Authorized Positions            | 1,696.5   | 2,015.4 | 2,012.4 | \$172,618           | \$195,155 | \$198,764 |
| Salary Adjustments                      | -         | -       | -       | -                   | 6,712     | 6,712     |
| <b>Proposed New Positions:</b>          |           |         |         | <b>Salary Range</b> |           |           |
| Supreme Court:                          |           |         |         |                     |           |           |
| Sr Supreme Court Attorney               | -         | -       | 1.8     | 8,508-11,970        | -         | 211       |
| Supreme Court Attorney, D               | -         | -       | 2.8     | 7,516-10,575        | -         | 280       |
| Courts of Appeal:                       |           |         |         |                     |           |           |
| Sr Appellate Court Attorney             | -         | -       | 1.8     | 8,508-11,970        | -         | 200       |
| Settlement Conference Coordinator       | -         | -       | 1.8     | 4,861-6,229         | -         | 113       |
| Judicial Assistant to Appellate Justice | -         | -       | 0.9     | 4,637-5,942         | -         | 52        |
| Judicial Council:                       |           |         |         |                     |           |           |
| Executive Division:                     |           |         |         |                     |           |           |
| Administrative Coordinator I            | -         | -       | 1.0     | 4,069-5,217         | -         | 57        |
| Office of the General Counsel:          |           |         |         |                     |           |           |

\* Dollars in thousands, except in Salary Range.

## 0250 Judicial Branch - Continued

|                                           | Positions      |                |                | Expenditures     |                  |                  |
|-------------------------------------------|----------------|----------------|----------------|------------------|------------------|------------------|
|                                           | 2006-07        | 2007-08        | 2008-09        | 2006-07*         | 2007-08*         | 2008-09*         |
| Attorney                                  | -              | -              | 1.0            | 7,516-10,575     | -                | 111              |
| Senior Court Services Analyst             | -              | -              | 1.0            | 5,938-7,608      | -                | 83               |
| Center for Families, Children and Courts: |                |                |                |                  |                  |                  |
| Attorney                                  | -              | -              | 1.0            | 7,516-10,575     | -                | 111              |
| Senior Court Services Analyst             | -              | -              | 1.0            | 5,938-7,608      | -                | 83               |
| Research Analyst                          | -              | -              | 1.0            | 5,406-6,925      | -                | 76               |
| Judicial Education and Research:          |                |                |                |                  |                  |                  |
| Attorney                                  | -              | -              | 1.0            | 7,516-10,575     | -                | 111              |
| Education Specialist II                   | -              | -              | 3.0            | 5,406-6,925      | -                | 220              |
| Staff Analyst II                          | -              | -              | 1.0            | 4,477-5,738      | -                | 63               |
| Executive Office Programs:                |                |                |                |                  |                  |                  |
| Senior Research Analyst                   | -              | -              | 2.0            | 5,938-7,608      | -                | 166              |
| Research Analyst                          | -              | -              | 1.0            | 5,406-6,925      | -                | 76               |
| Southern Regional Office:                 |                |                |                |                  |                  |                  |
| Court Services Analyst                    | -              | -              | 1.0            | 5,406-6,925      | -                | 74               |
| North/Central Regional Office:            |                |                |                |                  |                  |                  |
| Division Director                         | -              | -              | 1.0            | 11,007-14,950    | -                | 156              |
| Asst. Division Director                   | -              | -              | 1.0            | 10,367-13,778    | -                | 141              |
| Manager                                   | -              | -              | 1.0            | 8,164-12,744     | -                | 122              |
| Supervising Business Application Analyst  | -              | -              | 1.0            | 7,493-10,146     | -                | 103              |
| Supervising Accountant                    | -              | -              | -2.0           | 6,844-10,146     | -                | -198             |
| Senior Accountant                         | -              | -              | -5.0           | 5,938-7,608      | -                | -395             |
| Business Applications Analyst             | -              | -              | 7.0            | 5,924-7,969      | -                | 566              |
| Accountant                                | -              | -              | -2.0           | 5,406-6,925      | -                | -144             |
| Court Services Analyst                    | -              | -              | 4.0            | 5,406-6,925      | -                | 287              |
| Staff Accountant                          | -              | -              | 2.0            | 4,925-6,311      | -                | 131              |
| Executive Secretary                       | -              | -              | 1.0            | 4,810-6,166      | -                | 64               |
| Administrative Coordinator II             | -              | -              | 2.0            | 4,477-5,738      | -                | 119              |
| Administrative Coordinator I              | -              | -              | 1.0            | 4,069-5,217      | -                | 54               |
| Secretary I                               | -              | -              | 1.0            | 3,164-4,053      | -                | 42               |
| Finance Division:                         |                |                |                |                  |                  |                  |
| Internal Auditor II                       | -              | -              | 1.0            | 5,406-7,271      | -                | 78               |
| Accountant                                | -              | -              | 1.0            | 5,406-6,925      | -                | 76               |
| Contract Specialist                       | -              | -              | 1.0            | 5,406-6,925      | -                | 76               |
| Information Services Division:            |                |                |                |                  |                  |                  |
| Information Systems Manager               | -              | -              | 0.5            | 8,164-12,744     | -                | 64               |
| Senior Business Applications Analyst      | -              | -              | 22.0           | 6,516-8,767      | -                | 1,958            |
| Sr Business Systems Analyst               | -              | -              | -2.0           | 6,516-8,767      | -                | -188             |
| Sr Web Analyst                            | -              | -              | -1.0           | 6,516-8,767      | -                | -94              |
| Senior Systems Administrator              | -              | -              | -1.0           | 4,923-6,624      | -                | -67              |
| Systems Administrator II                  | -              | -              | -1.0           | 4,477-6,024      | -                | -64              |
| Systems Administrator I                   | -              | -              | -1.0           | 4,069-5,477      | -                | -59              |
| Secretary I                               | -              | -              | 1.0            | 3,164-4,053      | -                | 44               |
| Habeas Corpus Resource Center:            |                |                |                |                  |                  |                  |
| Litigation Support Assistant II           | -              | -              | 1.3            | 3,420-4,224      | -                | 55               |
| <b>Totals, Proposed New Positions</b>     | -              | -              | <b>58.9</b>    | <b>\$-</b>       | <b>\$-</b>       | <b>\$5,014</b>   |
| <b>Total Adjustments</b>                  | -              | -              | <b>58.9</b>    | <b>\$-</b>       | <b>\$6,712</b>   | <b>\$11,726</b>  |
| <b>TOTALS, SALARIES AND WAGES</b>         | <b>1,696.5</b> | <b>2,015.4</b> | <b>2,071.3</b> | <b>\$172,618</b> | <b>\$201,867</b> | <b>\$210,490</b> |

\* Dollars in thousands, except in Salary Range.

## 0250 Judicial Branch - Continued

### INFRASTRUCTURE OVERVIEW

The Judicial Council facilities consist of the Supreme Court, Appellate Courts, Trial Courts, and the Administrative Office of the Courts. The Supreme Court is located within the San Francisco Civic Center Plaza (98,000 square feet (sf)), the Library and Courts Building in Sacramento (2,480 sf), and the Ronald Reagan State Office Building in Los Angeles (9,600 sf). The Appellate Courts are organized into six districts, operate in 11 different locations, and consist of 457,000 sf. The Trial Courts are located in 58 counties statewide consisting of 451 buildings, 2,136 courtrooms, and over 10 million sf of usable area. The space includes public courtrooms, judges' chambers, staff workspace, storage space, training rooms, and conference rooms. The Administrative Office of the Courts facilities are located in San Francisco (Headquarters), Burbank, and Sacramento and occupy 307,000 sf.

### MAJOR PROJECT CHANGES

- The Governor's Budget includes \$61.6 million from proposed General Obligation bonds to begin the acquisition phase for four court facility replacement projects to address the most critical fire, life, and safety concerns and space deficiencies for the trial courts.

### SUMMARY OF PROJECTS

| State Building Program Expenditures |                                                           | 2006-07*              | 2007-08*              | 2008-09*              |
|-------------------------------------|-----------------------------------------------------------|-----------------------|-----------------------|-----------------------|
| <b>90</b>                           | <b>CAPITAL OUTLAY</b>                                     |                       |                       |                       |
|                                     | <b>Major Projects</b>                                     |                       |                       |                       |
| <b>90.20</b>                        | <b>COURTS OF APPEAL</b>                                   | <b>\$21,178</b>       | <b>\$5,306</b>        | <b>\$-</b>            |
| 90.20.401                           | Fourth Appellate District New Courthouse-Santa Ana        | 21,178 <sup>WCs</sup> | 5,306 <sup>Cs</sup>   | -                     |
| <b>91.04</b>                        | <b>BUTTE COUNTY</b>                                       | <b>\$-</b>            | <b>\$-</b>            | <b>\$14,475</b>       |
| 91.04.001                           | Butte County-New North County Courthouse                  | -                     | -                     | 14,475 <sup>Ab</sup>  |
| <b>91.05</b>                        | <b>CALAVERAS COUNTY</b>                                   | <b>\$-</b>            | <b>\$845</b>          | <b>\$4,090</b>        |
| 91.05.001                           | Calaveras County-New San Andreas Courthouse               | -                     | 845 <sup>As</sup>     | 4,090 <sup>PWs</sup>  |
| <b>91.07</b>                        | <b>CONTRA COSTA COUNTY</b>                                | <b>\$672</b>          | <b>\$5,192</b>        | <b>\$51,628</b>       |
| 91.07.001                           | Contra Costa County-New Antioch Area Courthouse           | 672 <sup>APs</sup>    | 5,192 <sup>APWs</sup> | 51,628 <sup>Cs</sup>  |
| <b>91.10</b>                        | <b>FRESNO COUNTY</b>                                      | <b>\$3,470</b>        | <b>\$57,857</b>       | <b>\$-</b>            |
| 91.10.001                           | Fresno County-Sisk Federal Courthouse Renovation          | 3,470 <sup>Ps</sup>   | 57,857 <sup>WCs</sup> | -                     |
| <b>91.18</b>                        | <b>LASSEN COUNTY</b>                                      | <b>\$-</b>            | <b>\$572</b>          | <b>\$4,446</b>        |
| 91.18.001                           | Lassen County-New Susanville Courthouse                   | -                     | 572 <sup>As</sup>     | 4,446 <sup>APWs</sup> |
| <b>91.19</b>                        | <b>LOS ANGELES COUNTY</b>                                 | <b>\$-</b>            | <b>\$5,889</b>        | <b>\$22,726</b>       |
| 91.19.001                           | Los Angeles County - New Long Beach Courthouse            | -                     | 5,889 <sup>As</sup>   | -                     |
| 91.19.002                           | Los Angeles County - New Southeast Los Angeles Courthouse | -                     | -                     | 22,726 <sup>Ab</sup>  |
| <b>91.20</b>                        | <b>MADERA COUNTY</b>                                      | <b>\$-</b>            | <b>\$1,468</b>        | <b>\$5,629</b>        |
| 91.20.001                           | Madera County-New Madera Courthouse                       | -                     | 1,468 <sup>As</sup>   | 5,629 <sup>APs</sup>  |
| <b>91.26</b>                        | <b>MONO COUNTY</b>                                        | <b>\$403</b>          | <b>\$2,377</b>        | <b>\$13,120</b>       |
| 91.26.001                           | Mono County-New Mammoth Lakes Courthouse                  | 403 <sup>As</sup>     | 2,377 <sup>APWs</sup> | 13,120 <sup>Cs</sup>  |
| <b>91.32</b>                        | <b>PLUMAS/SIERRA COUNTIES</b>                             | <b>\$112</b>          | <b>\$940</b>          | <b>\$5,444</b>        |
| 91.32.001                           | Plumas/Sierra Counties-New Portola/Loyalton Courthouse    | 112 <sup>As</sup>     | 940 <sup>PWs</sup>    | 5,444 <sup>Cs</sup>   |
| <b>91.33</b>                        | <b>RIVERSIDE COUNTY</b>                                   | <b>\$-</b>            | <b>\$854</b>          | <b>\$4,760</b>        |
| 91.33.001                           | Riverside County-New Riverside Mid-County Courthouse      | -                     | 854 <sup>As</sup>     | 4,760 <sup>APs</sup>  |
| <b>91.35</b>                        | <b>SAN BENITO COUNTY</b>                                  | <b>\$-</b>            | <b>\$541</b>          | <b>\$3,329</b>        |
| 91.35.001                           | San Benito County-New Hollister Courthouse                | -                     | 541 <sup>As</sup>     | 3,329 <sup>PWs</sup>  |
| <b>91.36</b>                        | <b>SAN BERNARDINO COUNTY</b>                              | <b>\$-</b>            | <b>\$4,774</b>        | <b>\$13,035</b>       |
| 91.36.001                           | San Bernardino County-New San Bernardino Courthouse       | -                     | 4,774 <sup>As</sup>   | 13,035 <sup>Ps</sup>  |
| <b>91.39</b>                        | <b>SAN JOAQUIN COUNTY</b>                                 | <b>\$-</b>            | <b>\$6,570</b>        | <b>\$9,917</b>        |
| 91.39.001                           | San Joaquin County-New Stockton Courthouse                | -                     | 6,570 <sup>As</sup>   | 9,917 <sup>Ps</sup>   |
| <b>91.52</b>                        | <b>TEHAMA COUNTY</b>                                      | <b>\$-</b>            | <b>\$-</b>            | <b>\$16,289</b>       |
| 91.52.001                           | Tehama County-New Red Bluff Courthouse                    | -                     | -                     | 16,289 <sup>Ab</sup>  |
| <b>91.54</b>                        | <b>TULARE COUNTY</b>                                      | <b>\$-</b>            | <b>\$1,236</b>        | <b>\$6,454</b>        |

\* Dollars in thousands, except in Salary Range.

**0250 Judicial Branch - Continued**

| State Building Program Expenditures       |                                          | 2006-07*        | 2007-08*            | 2008-09*             |
|-------------------------------------------|------------------------------------------|-----------------|---------------------|----------------------|
| 91.54.001                                 | Tulare County-New Porterville Courthouse | -               | 1,236 <sup>As</sup> | 6,454 <sup>APs</sup> |
| <b>91.57</b>                              | <b>YOLO COUNTY</b>                       | <b>\$-</b>      | <b>\$-</b>          | <b>\$8,094</b>       |
| 91.57.001                                 | Yolo County-New Woodland Courthouse      | -               | -                   | 8,094 <sup>Ab</sup>  |
| <b>Totals, Major Projects</b>             |                                          | <b>\$25,835</b> | <b>\$94,421</b>     | <b>\$183,436</b>     |
| <b>TOTALS, EXPENDITURES, ALL PROJECTS</b> |                                          | <b>\$25,835</b> | <b>\$94,421</b>     | <b>\$183,436</b>     |

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| FUNDING                                |                                                | 2006-07*        | 2007-08*        | 2008-09*         |
|----------------------------------------|------------------------------------------------|-----------------|-----------------|------------------|
| 0660                                   | Public Buildings Construction Fund             | \$21,178        | \$5,306         | \$-              |
| 3037                                   | State Court Facilities Construction Fund       | 4,657           | 89,115          | 121,852          |
| 6077                                   | 2008 Judicial Council Capital Outlay Bond Fund | -               | -               | 61,584           |
| <b>TOTALS, EXPENDITURES, ALL FUNDS</b> |                                                | <b>\$25,835</b> | <b>\$94,421</b> | <b>\$183,436</b> |

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS (Reconciliation with Appropriations)**

| 3 CAPITAL OUTLAY                                                                                    |                          | 2006-07*        | 2007-08*        | 2008-09*         |
|-----------------------------------------------------------------------------------------------------|--------------------------|-----------------|-----------------|------------------|
| <b>0660 Public Buildings Construction Fund</b>                                                      |                          |                 |                 |                  |
| APPROPRIATIONS                                                                                      |                          |                 |                 |                  |
| 301                                                                                                 | Budget Act appropriation | \$6,828         | \$3,086         | \$-              |
| Augmentation per Government Code Sections 16352, 16409 and 16354                                    |                          | -               | 2,220           | -                |
| Prior year balances available:                                                                      |                          |                 |                 |                  |
| Item 0250-301-0660, Budget Act of 2002 as reappropriated by Item 0250-490, Budget Acts of 2003-2006 |                          | 14,350          | -               | -                |
| <b>TOTALS, EXPENDITURES</b>                                                                         |                          | <b>\$21,178</b> | <b>\$5,306</b>  | <b>\$-</b>       |
| <b>3037 State Court Facilities Construction Fund</b>                                                |                          |                 |                 |                  |
| APPROPRIATIONS                                                                                      |                          |                 |                 |                  |
| 301                                                                                                 | Budget Act appropriation | \$66,320        | \$35,949        | \$113,355        |
| Prior year balances available:                                                                      |                          |                 |                 |                  |
| Item 0250-301-3037, Budget Act of 2006 as reappropriated by Item 0250-490, Budget Act of 2007       |                          | -               | 61,663          | -                |
| Item 0250-301-3037, Budget Act of 2007                                                              |                          | -               | -               | 8,497            |
| <b>Totals Available</b>                                                                             |                          | <b>\$66,320</b> | <b>\$97,612</b> | <b>\$121,852</b> |
| Balance available in subsequent years                                                               |                          | -61,663         | -8,497          | -                |
| <b>TOTALS, EXPENDITURES</b>                                                                         |                          | <b>\$4,657</b>  | <b>\$89,115</b> | <b>\$121,852</b> |
| <b>6077 2008 Judicial Council Capital Outlay Bond Fund</b>                                          |                          |                 |                 |                  |
| APPROPRIATIONS                                                                                      |                          |                 |                 |                  |
| 301                                                                                                 | Budget Act appropriation | \$-             | \$-             | \$61,584         |
| <b>TOTALS, EXPENDITURES</b>                                                                         |                          | <b>\$-</b>      | <b>\$-</b>      | <b>\$61,584</b>  |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay)</b>                                             |                          | <b>\$25,835</b> | <b>\$94,421</b> | <b>\$183,436</b> |

**0280 Commission on Judicial Performance**

The California Commission on Judicial Performance is the independent state agency responsible for investigating complaints of judicial misconduct and judicial incapacity, and for disciplining judges pursuant to Article VI, Section 18 of the California Constitution. It is the only body with such authority in the state. Its jurisdiction includes all active judges and justices of California's superior courts, Courts of Appeal and Supreme Court, and former judges for conduct prior to retirement or resignation. The Commission also shares authority with the local courts for the oversight of court commissioners and referees. In addition to its disciplinary functions, the Commission is responsible for handling judges' applications for disability retirement.

The Commission's authority is limited to investigating alleged judicial misconduct and, if warranted, imposing discipline. Judicial misconduct usually involves conduct in conflict with the standards set forth in the Code of Judicial Ethics. After investigation and, in some cases a public hearing, the Commission may impose sanctions ranging from confidential discipline to removal from office.

\* Dollars in thousands, except in Salary Range.

## 0280 Commission on Judicial Performance - Continued

The Commission is composed of 11 members: 3 judges appointed by the Supreme Court, 2 attorneys appointed by the Governor, and 6 lay citizens, of which 2 are appointed by the Governor, 2 are appointed by the Senate Committee on Rules, and 2 are appointed by the Speaker of the Assembly. Members are appointed to four-year terms and may serve two terms. Commission members do not receive a salary.

### 3-YR EXPENDITURES AND POSITIONS (Summary of Program Requirements)

|                                                          | Positions   |             |             | Expenditures    |                 |                 |
|----------------------------------------------------------|-------------|-------------|-------------|-----------------|-----------------|-----------------|
|                                                          | 2006-07     | 2007-08     | 2008-09     | 2006-07*        | 2007-08*        | 2008-09*        |
| 10 Commission on Judicial Performance                    | 21.0        | 27.0        | 27.0        | \$4,022         | \$4,495         | \$4,526         |
| <b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b> | <b>21.0</b> | <b>27.0</b> | <b>27.0</b> | <b>\$4,022</b>  | <b>\$4,495</b>  | <b>\$4,526</b>  |
| <b>FUNDING</b>                                           |             |             |             | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
| 0001 General Fund                                        |             |             |             | \$4,027         | \$4,496         | \$4,527         |
| 9728 Judicial Branch Workers' Compensation Fund          |             |             |             | -5              | -1              | -1              |
| <b>TOTALS, EXPENDITURES, ALL FUNDS</b>                   |             |             |             | <b>\$4,022</b>  | <b>\$4,495</b>  | <b>\$4,526</b>  |

### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

California Constitution, Article VI, Sections 8, 18, 18.1 and 18.5; Government Code, Sections 75060 et seq. and 75560 et seq.

### BUDGET-BALANCING REDUCTIONS

- The Budget includes an unallocated General Fund reduction of \$453,000 in 2008-09.

### DETAILED BUDGET ADJUSTMENTS

|                                           | 2007-08*     |             |           | 2008-09*      |             |           |
|-------------------------------------------|--------------|-------------|-----------|---------------|-------------|-----------|
|                                           | General Fund | Other Funds | Positions | General Fund  | Other Funds | Positions |
| <b>Baseline Adjustment Descriptions</b>   |              |             |           |               |             |           |
| • Employee Compensation Adjustments       | \$104        | \$-         | -         | \$114         | \$-         | -         |
| • Price Increase                          | -            | -           | -         | 21            | -           | -         |
| • Retirement Rate Adjustment              | -9           | -           | -         | -9            | -           | -         |
| <b>Totals, Baseline Adjustments</b>       | <b>\$95</b>  | <b>\$-</b>  | <b>-</b>  | <b>\$126</b>  | <b>\$-</b>  | <b>-</b>  |
| <b>TOTALS, BUDGET ADJUSTMENTS</b>         | <b>\$95</b>  | <b>\$-</b>  | <b>-</b>  | <b>\$126</b>  | <b>\$-</b>  | <b>-</b>  |
| <b>Other Adjustments<sup>11</sup></b>     |              |             |           |               |             |           |
| • Budget-Balancing Reductions             | -            | -           | -         | -453          | -           | -         |
| <b>REVISED TOTALS, BUDGET ADJUSTMENTS</b> | <b>\$95</b>  | <b>\$-</b>  | <b>-</b>  | <b>-\$327</b> | <b>\$-</b>  | <b>-</b>  |

<sup>11</sup> These dollars and PYs are included in the General Government agency, therefore not included in the other fiscal statements for this department. These totals are also not included in the applicable Summary Schedules for this department.

### DETAILED EXPENDITURES BY PROGRAM (Program Budget Detail)

|                                                 | 2006-07*       | 2007-08*       | 2008-09*       |
|-------------------------------------------------|----------------|----------------|----------------|
| <b>PROGRAM REQUIREMENTS</b>                     |                |                |                |
| <b>10 COMMISSION ON JUDICIAL PERFORMANCE</b>    |                |                |                |
| <b>State Operations:</b>                        |                |                |                |
| 0001 General Fund                               | \$4,027        | \$4,496        | \$4,527        |
| 9728 Judicial Branch Workers' Compensation Fund | -5             | -1             | -1             |
| <b>Totals, State Operations</b>                 | <b>\$4,022</b> | <b>\$4,495</b> | <b>\$4,526</b> |
| <b>TOTALS, EXPENDITURES</b>                     |                |                |                |
| State Operations                                | 4,022          | 4,495          | 4,526          |

\* Dollars in thousands, except in Salary Range.

**0280 Commission on Judicial Performance - Continued**

|                             | <u>2006-07*</u> |  |  | <u>2007-08*</u> | <u>2008-09*</u> |
|-----------------------------|-----------------|--|--|-----------------|-----------------|
| <b>Totals, Expenditures</b> | <b>\$4,022</b>  |  |  | <b>\$4,495</b>  | <b>\$4,526</b>  |

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**EXPENDITURES BY CATEGORY (Summary By Object)**

| 1 State Operations                                                      | <u>Positions</u> |                |                | <u>Expenditures</u> |                 |                 |
|-------------------------------------------------------------------------|------------------|----------------|----------------|---------------------|-----------------|-----------------|
|                                                                         | <u>2006-07</u>   | <u>2007-08</u> | <u>2008-09</u> | <u>2006-07*</u>     | <u>2007-08*</u> | <u>2008-09*</u> |
| PERSONAL SERVICES                                                       |                  |                |                |                     |                 |                 |
| Authorized Positions (Equals Sch. 7A)                                   | 21.0             | 27.0           | 27.0           | \$2,146             | \$2,656         | \$2,703         |
| Total Adjustments                                                       | -                | -              | -              | -                   | 69              | 69              |
| <b>Net Totals, Salaries and Wages</b>                                   | <b>21.0</b>      | <b>27.0</b>    | <b>27.0</b>    | <b>\$2,146</b>      | <b>\$2,725</b>  | <b>\$2,772</b>  |
| Staff Benefits                                                          | -                | -              | -              | 727                 | 856             | 880             |
| <b>Totals, Personal Services</b>                                        | <b>21.0</b>      | <b>27.0</b>    | <b>27.0</b>    | <b>\$2,873</b>      | <b>\$3,581</b>  | <b>\$3,652</b>  |
| OPERATING EXPENSES AND EQUIPMENT                                        |                  |                |                | \$1,149             | \$914           | \$874           |
| <b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b> |                  |                |                | <b>\$4,022</b>      | <b>\$4,495</b>  | <b>\$4,526</b>  |

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS (Reconciliation with Appropriations)**

| 1 STATE OPERATIONS                                                                    | 2006-07*       | 2007-08*       | 2008-09*       |
|---------------------------------------------------------------------------------------|----------------|----------------|----------------|
| 0001 General Fund                                                                     |                |                |                |
| APPROPRIATIONS                                                                        |                |                |                |
| 001 Budget Act appropriation                                                          | \$4,093        | \$4,400        | \$4,526        |
| Allocation for employee compensation                                                  | 259            | 104            | -              |
| Adjustment per Section 3.60                                                           | 26             | -9             | -              |
| Transfer to 0280-011-0001 per Provision 1                                             | -4             | -              | -              |
| 011 Budget Act appropriation (transfer to Judicial Branch Workers' Compensation Fund) | 1              | 1              | 1              |
| Transfer from 0280-001-0001 per Provision 1                                           | 4              | -              | -              |
| <b>Totals Available</b>                                                               | <b>\$4,379</b> | <b>\$4,496</b> | <b>\$4,527</b> |
| Unexpended balance, estimated savings                                                 | -352           | -              | -              |
| <b>TOTALS, EXPENDITURES</b>                                                           | <b>\$4,027</b> | <b>\$4,496</b> | <b>\$4,527</b> |
| 9728 Judicial Branch Workers' Compensation Fund                                       |                |                |                |
| APPROPRIATIONS                                                                        |                |                |                |
| <b>TOTALS, EXPENDITURES</b>                                                           | <b>\$-</b>     | <b>\$-</b>     | <b>\$-</b>     |
| Less funding provided by the General Fund                                             | -5             | -1             | -1             |
| <b>NET TOTALS, EXPENDITURES</b>                                                       | <b>\$-5</b>    | <b>\$-1</b>    | <b>\$-1</b>    |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>                             | <b>\$4,022</b> | <b>\$4,495</b> | <b>\$4,526</b> |

**CHANGES IN AUTHORIZED POSITIONS**

|                                   | <u>Positions</u> |                |                | <u>Expenditures</u> |                 |                 |
|-----------------------------------|------------------|----------------|----------------|---------------------|-----------------|-----------------|
|                                   | <u>2006-07</u>   | <u>2007-08</u> | <u>2008-09</u> | <u>2006-07*</u>     | <u>2007-08*</u> | <u>2008-09*</u> |
| Totals, Authorized Positions      | 21.0             | 27.0           | 27.0           | \$2,146             | \$2,656         | \$2,703         |
| Salary Adjustments                | -                | -              | -              | -                   | 69              | 69              |
| <b>Total Adjustments</b>          | <b>-</b>         | <b>-</b>       | <b>-</b>       | <b>\$-</b>          | <b>\$69</b>     | <b>\$69</b>     |
| <b>TOTALS, SALARIES AND WAGES</b> | <b>21.0</b>      | <b>27.0</b>    | <b>27.0</b>    | <b>\$2,146</b>      | <b>\$2,725</b>  | <b>\$2,772</b>  |

\* Dollars in thousands, except in Salary Range.

### 0390 Contributions to the Judges' Retirement System

The Judges' Retirement System provides retirement benefit funding for California's Supreme and Appellate Court Judges, as well as Superior and Municipal Court Judges.

The Judges' Retirement System provides retirement, disability and death benefits based on age, years of service, compensation of active judges, and eligibility as determined by specific sections of the Judges' Retirement Law. The Judges' Retirement System receives contributions equal to eight percent of salary from both active judges and the state. Additional contributions come from filing fees for specific civil cases, and investment income. These contributions, however, are not sufficient to fully fund benefit payments. Consequently, current law requires the state to fund the difference between existing contribution resources and the required benefit payments to retired judges.

A second retirement system for judges was established in 1994. All new judges elected or appointed on or after November 9, 1994, become members of Judges' Retirement System II. The Judges' Retirement System II receives contributions from judges equal to 8 percent of their salary as well as investment income. The state's contributions are adjusted annually to maintain actuarial soundness of the fund. Judges' Retirement System II members that are eligible for a service retirement also have the option of choosing the monetary credit plan (a lump-sum return of contributions and interest earned) or the defined benefit plan.

#### 3-YR EXPENDITURES AND POSITIONS (Summary of Program Requirements)

|                                                          |                                   |  |  | Positions |         |         | Expenditures     |                  |                  |
|----------------------------------------------------------|-----------------------------------|--|--|-----------|---------|---------|------------------|------------------|------------------|
|                                                          |                                   |  |  | 2006-07   | 2007-08 | 2008-09 | 2006-07*         | 2007-08*         | 2008-09*         |
| 10                                                       | State Operations                  |  |  | -         | -       | -       | \$2,993          | \$3,364          | \$3,486          |
| 20                                                       | Local Assistance                  |  |  | -         | -       | -       | 153,507          | 191,111          | 224,848          |
| 99                                                       | Unclassified (Benefit Payments)   |  |  | -         | -       | -       | 154,803          | 183,085          | 188,741          |
| <b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b> |                                   |  |  | -         | -       | -       | <b>\$311,303</b> | <b>\$377,560</b> | <b>\$417,075</b> |
| <b>FUNDING</b>                                           |                                   |  |  |           |         |         | <b>2006-07*</b>  | <b>2007-08*</b>  | <b>2008-09*</b>  |
| 0001                                                     | General Fund                      |  |  |           |         |         | \$156,500        | \$194,475        | \$228,334        |
| 0815                                                     | Judges' Retirement Fund           |  |  |           |         |         | 153,798          | 182,047          | 187,508          |
| 0884                                                     | Judges' Retirement System II Fund |  |  |           |         |         | 1,005            | 1,038            | 1,233            |
| <b>TOTALS, EXPENDITURES, ALL FUNDS</b>                   |                                   |  |  |           |         |         | <b>\$311,303</b> | <b>\$377,560</b> | <b>\$417,075</b> |

The amounts for the Judges' Retirement Fund (0815) and the Judges' Retirement System II Fund (0884) are unclassified expenditures for benefit payments.

#### LEGAL CITATIONS AND AUTHORITY

##### DEPARTMENT AUTHORITY

Government Code, Title 8, Chapter 11 and 11.5.

#### DETAILED BUDGET ADJUSTMENTS

| Baseline Adjustment Descriptions            | 2007-08*        |                 |           | 2008-09*        |                 |           |
|---------------------------------------------|-----------------|-----------------|-----------|-----------------|-----------------|-----------|
|                                             | General Fund    | Other Funds     | Positions | General Fund    | Other Funds     | Positions |
| • ECP for Local Assistance Benefit Payments | \$6,537         | \$-             | -         | \$53,132        | \$-             | -         |
| • ECP for State Operations Benefit Payments | 314             | -               | -         | 436             | -               | -         |
| • ECP for Unclassified Benefit Payments     | -               | 23,764          | -         | -               | 29,420          | -         |
| • Judges' Retirement System I Deficiency    | 12,858          | -               | -         | -               | -               | -         |
| <b>Totals, Baseline Adjustments</b>         | <b>\$19,709</b> | <b>\$23,764</b> | <b>-</b>  | <b>\$53,568</b> | <b>\$29,420</b> | <b>-</b>  |
| <b>TOTALS, BUDGET ADJUSTMENTS</b>           | <b>\$19,709</b> | <b>\$23,764</b> | <b>-</b>  | <b>\$53,568</b> | <b>\$29,420</b> | <b>-</b>  |

#### DETAIL OF APPROPRIATIONS AND ADJUSTMENTS (Reconciliation with Appropriations)

\* Dollars in thousands, except in Salary Range.

**0390 Contributions to the Judges' Retirement System - Continued**

| <b>1 STATE OPERATIONS</b>                                                                    | <b>2006-07*</b>         | <b>2007-08*</b>         | <b>2008-09*</b>         |
|----------------------------------------------------------------------------------------------|-------------------------|-------------------------|-------------------------|
| <b>0001 General Fund</b>                                                                     |                         |                         |                         |
| APPROPRIATIONS                                                                               |                         |                         |                         |
| 001 Budget Act appropriation (transfer to Judges' Retirement Fund)                           | \$1,150                 | \$1,150                 | \$1,150                 |
| Government Code Section 75101 (JRS I)                                                        | 1,302                   | 1,536                   | 1,552                   |
| Government Code Section 75600.5 (JRS II)                                                     | <u>541</u>              | <u>678</u>              | <u>784</u>              |
| <b>TOTALS, EXPENDITURES</b>                                                                  | <b><u>\$2,993</u></b>   | <b><u>\$3,364</u></b>   | <b><u>\$3,486</u></b>   |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>                                    | <b>\$2,993</b>          | <b>\$3,364</b>          | <b>\$3,486</b>          |
| <b>2 LOCAL ASSISTANCE</b>                                                                    | <b>2006-07*</b>         | <b>2007-08*</b>         | <b>2008-09*</b>         |
| <b>0001 General Fund</b>                                                                     |                         |                         |                         |
| APPROPRIATIONS                                                                               |                         |                         |                         |
| 101 Budget Act appropriation (transfer to Judges' Retirement Fund)                           | \$119,308               | \$136,304               | \$177,653               |
| Deficiency from special appropriations bill                                                  | -                       | 12,858                  | -                       |
| Government Code Section 75101 (JRS I)                                                        | 7,678                   | 8,705                   | 8,797                   |
| Government Code Section 75600.5 (JRS II)                                                     | <u>26,521</u>           | <u>33,244</u>           | <u>38,398</u>           |
| <b>TOTALS, EXPENDITURES</b>                                                                  | <b><u>\$153,507</u></b> | <b><u>\$191,111</u></b> | <b><u>\$224,848</u></b> |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>                                    | <b>\$153,507</b>        | <b>\$191,111</b>        | <b>\$224,848</b>        |
| <b>4 UNCLASSIFIED</b>                                                                        | <b>2006-07*</b>         | <b>2007-08*</b>         | <b>2008-09*</b>         |
| <b>0815 Judges' Retirement Fund</b>                                                          |                         |                         |                         |
| APPROPRIATIONS                                                                               |                         |                         |                         |
| Government Code Section 75025                                                                | \$153,798               | \$182,047               | \$187,508               |
| Number of Annuitants (JRS I)                                                                 | <u>(1,702)</u>          | <u>(1,715)</u>          | <u>(1,767)</u>          |
| <b>TOTALS, EXPENDITURES</b>                                                                  | <b><u>\$153,798</u></b> | <b><u>\$182,047</u></b> | <b><u>\$187,508</u></b> |
| <b>0884 Judges' Retirement System II Fund</b>                                                |                         |                         |                         |
| APPROPRIATIONS                                                                               |                         |                         |                         |
| Government Code Section 75522                                                                | \$1,005                 | \$1,038                 | \$1,233                 |
| Number of Annuitants (JRS II)                                                                | <u>(11)</u>             | <u>(12)</u>             | <u>(14)</u>             |
| <b>TOTALS, EXPENDITURES</b>                                                                  | <b><u>\$1,005</u></b>   | <b><u>\$1,038</u></b>   | <b><u>\$1,233</u></b>   |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (Unclassified)</b>                                        | <b><u>\$154,803</u></b> | <b><u>\$183,085</u></b> | <b><u>\$188,741</u></b> |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations, Local Assistance and Unclassified)</b> | <b>\$311,303</b>        | <b>\$377,560</b>        | <b>\$417,075</b>        |

**FUND CONDITION STATEMENTS**

|                                                                               | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|-------------------------------------------------------------------------------|-----------------|-----------------|-----------------|
| <b>0815 Judges' Retirement Fund <sup>N</sup></b>                              |                 |                 |                 |
| BEGINNING BALANCE                                                             | \$17,809        | \$6,792         | \$176           |
| Prior year adjustments                                                        | <u>70</u>       | <u>-</u>        | <u>-</u>        |
| Adjusted Beginning Balance                                                    | \$17,879        | \$6,792         | \$176           |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS                                    |                 |                 |                 |
| Revenues:                                                                     |                 |                 |                 |
| 215000 Income From Investments                                                | 1,186           | 1,073           | 1,073           |
| 216000 Fees and Licenses (Filing Fees)                                        | 2,561           | 2,720           | 2,721           |
| 221000 Contributions From Judges                                              | 9,768           | 11,070          | 10,349          |
| 221000 Refunds of Contributions                                               | -               | -162            | -162            |
| 221000 Contributions From State                                               | 8,980           | 10,241          | 10,349          |
| 221000 Contributions For Assignments                                          | 460             | 1,039           | 1,039           |
| 299000 Budget Act Appropriation (Administration) (Transfer From General Fund) | 705             | 705             | 1,037           |
| 299000 Budget Act Appropriation (Transfer From General Fund)                  | 119,753         | 149,607         | 177,766         |

\* Dollars in thousands, except in Salary Range.

**0390 Contributions to the Judges' Retirement System - Continued**

|                                                                    | <u>2006-07*</u>  | <u>2007-08*</u>  | <u>2008-09*</u>  |
|--------------------------------------------------------------------|------------------|------------------|------------------|
| Total Revenues, Transfers, and Other Adjustments                   | <u>\$143,413</u> | <u>\$176,293</u> | <u>\$204,172</u> |
| Total Resources                                                    | \$161,292        | \$183,085        | \$204,348        |
| <b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>                    |                  |                  |                  |
| Expenditures:                                                      |                  |                  |                  |
| 0390 Contributions to the Judges' Retirement System (Unclassified) | 153,798          | 182,047          | 187,508          |
| 0840 State Controller (State Operations)                           | 1                | 1                | 1                |
| 1900 Public Employees' Retirement System (State Operations)        | <u>701</u>       | <u>861</u>       | <u>861</u>       |
| Total Expenditures and Expenditure Adjustments                     | <u>\$154,500</u> | <u>\$182,909</u> | <u>\$188,370</u> |
| FUND BALANCE                                                       | \$6,792          | \$176            | \$15,978         |
| <b>0884 Judges' Retirement System II Fund <sup>N</sup></b>         |                  |                  |                  |
| BEGINNING BALANCE                                                  | \$218,987        | \$290,699        | \$357,493        |
| Prior year adjustments                                             | <u>13</u>        | <u>-</u>         | <u>-</u>         |
| Adjusted Beginning Balance                                         | \$219,000        | \$290,699        | \$357,493        |
| <b>REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS</b>                  |                  |                  |                  |
| Revenues:                                                          |                  |                  |                  |
| 215000 Income From Investments                                     | 35,426           | 21,553           | 21,553           |
| 221000 Contributions From Judges                                   | 11,647           | 13,626           | 15,738           |
| 221000 Contributions From State                                    | 27,062           | 33,922           | 39,182           |
| 221000 Refunds of Contributions                                    | <u>-981</u>      | <u>-690</u>      | <u>-690</u>      |
| Total Revenues, Transfers, and Other Adjustments                   | <u>\$73,154</u>  | <u>\$68,411</u>  | <u>\$75,783</u>  |
| Total Resources                                                    | \$292,154        | \$359,110        | \$433,276        |
| <b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>                    |                  |                  |                  |
| Expenditures:                                                      |                  |                  |                  |
| 0390 Contributions to the Judges' Retirement System (Unclassified) | 1,005            | 1,038            | 1,233            |
| 1900 Public Employees' Retirement System (State Operations)        | <u>450</u>       | <u>579</u>       | <u>579</u>       |
| Total Expenditures and Expenditure Adjustments                     | <u>\$1,455</u>   | <u>\$1,617</u>   | <u>\$1,812</u>   |
| FUND BALANCE                                                       | \$290,699        | \$357,493        | \$431,464        |

**0500 Governor's Office**

Article V of the California Constitution vests the supreme executive power in a chief magistrate, who is called the Governor of the State of California. The Office of the Governor is maintained at Sacramento.

**3-YR EXPENDITURES AND POSITIONS (Summary of Program Requirements)**

|                                                          | <u>Positions</u> |                |                | <u>Expenditures</u> |                 |                 |
|----------------------------------------------------------|------------------|----------------|----------------|---------------------|-----------------|-----------------|
|                                                          | <u>2006-07</u>   | <u>2007-08</u> | <u>2008-09</u> | <u>2006-07*</u>     | <u>2007-08*</u> | <u>2008-09*</u> |
| 10 Governor's Office                                     | <u>185.0</u>     | <u>185.0</u>   | <u>185.0</u>   | <u>\$18,606</u>     | <u>\$19,838</u> | <u>\$20,781</u> |
| <b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b> | <b>185.0</b>     | <b>185.0</b>   | <b>185.0</b>   | <b>\$18,606</b>     | <b>\$19,838</b> | <b>\$20,781</b> |
| <b>FUNDING</b>                                           |                  |                |                | <b>2006-07*</b>     | <b>2007-08*</b> | <b>2008-09*</b> |
| 0001 General Fund                                        |                  |                |                | <u>\$18,606</u>     | <u>\$19,838</u> | <u>\$20,781</u> |
| <b>TOTALS, EXPENDITURES, ALL FUNDS</b>                   |                  |                |                | <b>\$18,606</b>     | <b>\$19,838</b> | <b>\$20,781</b> |

**LEGAL CITATIONS AND AUTHORITY****DEPARTMENT AUTHORITY**

California Constitution, Article V.

\* Dollars in thousands, except in Salary Range.

**0500 Governor's Office - Continued****BUDGET-BALANCING REDUCTIONS**

- The Budget includes an unallocated General Fund reduction of \$2.1 million in 2008-09.

**DETAILED BUDGET ADJUSTMENTS**

|                                           | 2007-08*     |             |           | 2008-09*       |             |           |
|-------------------------------------------|--------------|-------------|-----------|----------------|-------------|-----------|
|                                           | General Fund | Other Funds | Positions | General Fund   | Other Funds | Positions |
| <b>Baseline Adjustment Descriptions</b>   |              |             |           |                |             |           |
| • Workload Budget Adjustment              | \$-          | \$-         | -         | \$943          | \$-         | -         |
| • Data Center Rate Adjustment             | 188          | -           | -         | 188            | -           | -         |
| <b>Totals, Baseline Adjustments</b>       | <b>\$188</b> | <b>\$-</b>  | <b>-</b>  | <b>\$1,131</b> | <b>\$-</b>  | <b>-</b>  |
| <b>TOTALS, BUDGET ADJUSTMENTS</b>         | <b>\$188</b> | <b>\$-</b>  | <b>-</b>  | <b>\$1,131</b> | <b>\$-</b>  | <b>-</b>  |
| <b>Other Adjustments <sup>1/</sup></b>    |              |             |           |                |             |           |
| • Budget-Balancing Reductions             | -            | -           | -         | -2,078         | -           | -         |
| <b>REVISED TOTALS, BUDGET ADJUSTMENTS</b> | <b>\$188</b> | <b>\$-</b>  | <b>-</b>  | <b>-\$947</b>  | <b>\$-</b>  | <b>-</b>  |

<sup>1/</sup> These dollars and PYs are included in the General Government agency, therefore not included in the other fiscal statements for this department. These totals are also not included in the applicable Summary Schedules for this department.

**EXPENDITURES BY CATEGORY (Summary By Object)**

| 1 State Operations                                                      | Positions    |              |              | Expenditures    |                 |                 |
|-------------------------------------------------------------------------|--------------|--------------|--------------|-----------------|-----------------|-----------------|
|                                                                         | 2006-07      | 2007-08      | 2008-09      | 2006-07*        | 2007-08*        | 2008-09*        |
| PERSONAL SERVICES                                                       |              |              |              |                 |                 |                 |
| Authorized Positions (Equals Sch. 7A)                                   | 185.0        | 185.0        | 185.0        | \$16,164        | \$16,451        | \$17,317        |
| <b>Totals, Personal Services</b>                                        | <b>185.0</b> | <b>185.0</b> | <b>185.0</b> | <b>\$16,164</b> | <b>\$16,451</b> | <b>\$17,317</b> |
| OPERATING EXPENSES AND EQUIPMENT                                        |              |              |              | \$2,442         | \$3,387         | \$3,464         |
| <b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b> |              |              |              | <b>\$18,606</b> | <b>\$19,838</b> | <b>\$20,781</b> |

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS (Reconciliation with Appropriations)**

| 1 STATE OPERATIONS                                        | 2006-07*        | 2007-08*        | 2008-09*        |
|-----------------------------------------------------------|-----------------|-----------------|-----------------|
| <b>0001 General Fund</b>                                  |                 |                 |                 |
| APPROPRIATIONS                                            |                 |                 |                 |
| 001 Budget Act appropriation                              | \$18,402        | \$19,650        | \$20,781        |
| Allocation for employee compensation                      | 116             | -               | -               |
| Adjustment per Section 3.60                               | 90              | -               | -               |
| Adjustment per Section 15.25                              | -               | 188             | -               |
| <b>Totals Available</b>                                   | <b>\$18,608</b> | <b>\$19,838</b> | <b>\$20,781</b> |
| Unexpended balance, estimated savings                     | -2              | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                               | <b>\$18,606</b> | <b>\$19,838</b> | <b>\$20,781</b> |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b> | <b>\$18,606</b> | <b>\$19,838</b> | <b>\$20,781</b> |

**0502 Office of the Chief Information Officer**

The Office of the Chief Information Officer establishes and enforces statewide information technology strategic plans, policies, standards, and enterprise architecture, as well as provides review and oversight of information technology projects for all state departments.

**3-YR EXPENDITURES AND POSITIONS (Summary of Program Requirements)**

\* Dollars in thousands, except in Salary Range.

**0502 Office of the Chief Information Officer - Continued**

|                                                          | Positions |             |             | Expenditures    |                 |                 |
|----------------------------------------------------------|-----------|-------------|-------------|-----------------|-----------------|-----------------|
|                                                          | 2006-07   | 2007-08     | 2008-09     | 2006-07*        | 2007-08*        | 2008-09*        |
| 10 Office of the Chief Information Officer               | -         | 23.4        | 32.3        | \$-             | \$4,714         | \$6,691         |
| <b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b> | <b>-</b>  | <b>23.4</b> | <b>32.3</b> | <b>\$-</b>      | <b>\$4,714</b>  | <b>\$6,691</b>  |
| <b>FUNDING</b>                                           |           |             |             | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
| 0001 General Fund                                        |           |             |             | \$-             | \$-             | \$6,691         |
| 9730 Department of Technology Services Revolving Fund    |           |             |             | -               | 4,714           | -               |
| <b>TOTALS, EXPENDITURES, ALL FUNDS</b>                   |           |             |             | <b>\$-</b>      | <b>\$4,714</b>  | <b>\$6,691</b>  |

**LEGAL CITATIONS AND AUTHORITY**

## DEPARTMENT AUTHORITY

Government Code Sections 11545 and 11546.

**MAJOR PROGRAM CHANGES**

- Ongoing Funding - The Governor's Budget includes \$6.7 million General Fund as an ongoing funding source for the Office of the Chief Information Officer (OCIO). In 2007-08, initial funding of \$4.6 million for the OCIO was provided from the Department of Technology Services Revolving Fund with the understanding that a long-term funding source would need to be established. An analysis performed by the Department of Finance's Performance Review Unit concluded that a General Fund appropriation with Pro Rata and SWCAP recovery would be the most cost efficient method of providing funding for the OCIO.

**DETAILED BUDGET ADJUSTMENTS**

|                                           | 2007-08*     |             |           | 2008-09*       |                 |            |
|-------------------------------------------|--------------|-------------|-----------|----------------|-----------------|------------|
|                                           | General Fund | Other Funds | Positions | General Fund   | Other Funds     | Positions  |
| <b>Baseline Adjustment Descriptions</b>   |              |             |           |                |                 |            |
| • Full Year Cost of New/Expanded Programs | \$-          | \$-         | -         | \$-            | \$1,967         | 8.9        |
| • Employee Compensation Adjustments       | -            | 80          | -         | -              | 90              | -          |
| • Retirement Rate Adjustment              | -            | -6          | -         | -              | -6              | -          |
| <b>Totals, Baseline Adjustments</b>       | <b>\$-</b>   | <b>\$74</b> | <b>-</b>  | <b>\$-</b>     | <b>\$2,051</b>  | <b>8.9</b> |
| <b>Policy Adjustment Descriptions</b>     |              |             |           |                |                 |            |
| • Establish Ongoing Funding for OCIO      | \$-          | \$-         | -         | \$6,691        | -\$6,691        | -          |
| <b>Totals, Policy Adjustments</b>         | <b>\$-</b>   | <b>\$-</b>  | <b>-</b>  | <b>\$6,691</b> | <b>-\$6,691</b> | <b>-</b>   |
| <b>TOTALS, BUDGET ADJUSTMENTS</b>         | <b>\$-</b>   | <b>\$74</b> | <b>-</b>  | <b>\$6,691</b> | <b>-\$4,640</b> | <b>8.9</b> |

**PROGRAM DESCRIPTIONS (Program Objectives Statement)**

## 10 - Office of the Chief Information Officer

The Office of the Chief Information Officer maintains current policies for information technology activities to ensure the state adopts and uses "best practices" of the technology industry in managing the programs and functions of the state. The policies developed and adopted by the Chief Information Officer will focus on minimizing overlap, redundancy, and operating cost by promoting the efficient and effective use of information technology. The Chief Information Officer will maintain a state information technology strategic plan, establish statewide information policies and standards, facilitate the development and implementation of enterprise initiatives, and maintain a governance structure to address issues and concerns that arise as part of technology deployment.

In addition, the Office of the Chief Information Officer assumed responsibility for project review and oversight from the Department of Finance on January 1, 2008. These activities are being transferred to ensure that project-specific decisions are consistent with the state's policies and direction for information technology development. The Chief Information Officer will continue oversight for all reportable technology projects, and assess information technology projects and department/agency performance in the areas of project management, oversight and risk mitigation. The Chief Information Officer will also make recommendations to the Department of Finance and the Governor regarding the costs and benefits of providing funding for specific projects and activities, and will advise departments/agencies when proposed projects are not consistent with the information technology policies and direction the state is pursuing.

\* Dollars in thousands, except in Salary Range.

**0502 Office of the Chief Information Officer - Continued****DETAILED EXPENDITURES BY PROGRAM (Program Budget Detail)**

|                             |                                                  | 2006-07*   | 2007-08*       | 2008-09*       |
|-----------------------------|--------------------------------------------------|------------|----------------|----------------|
| <b>PROGRAM REQUIREMENTS</b> |                                                  |            |                |                |
| <b>10</b>                   | <b>OFFICE OF THE CHIEF INFORMATION OFFICER</b>   |            |                |                |
|                             | <b>State Operations:</b>                         |            |                |                |
| 0001                        | General Fund                                     | \$-        | \$-            | \$6,691        |
| 9730                        | Department of Technology Services Revolving Fund | -          | 4,714          | -              |
|                             | <b>Totals, State Operations</b>                  | <b>\$-</b> | <b>\$4,714</b> | <b>\$6,691</b> |

**EXPENDITURES BY CATEGORY (Summary By Object)**

|                                                                         | 1 State Operations |             |             |              |                |                |
|-------------------------------------------------------------------------|--------------------|-------------|-------------|--------------|----------------|----------------|
|                                                                         | Positions          |             |             | Expenditures |                |                |
|                                                                         | 2006-07            | 2007-08     | 2008-09     | 2006-07*     | 2007-08*       | 2008-09*       |
| <b>PERSONAL SERVICES</b>                                                |                    |             |             |              |                |                |
| Authorized Positions (Equals Sch. 7A)                                   | -                  | 23.5        | 34.0        | \$-          | \$2,141        | \$3,110        |
| Total Adjustments                                                       | -                  | -           | -           | -            | 53             | 53             |
| Estimated Salary Savings                                                | -                  | -0.1        | -1.7        | -            | -108           | -157           |
| <b>Net Totals, Salaries and Wages</b>                                   | <b>-</b>           | <b>23.4</b> | <b>32.3</b> | <b>\$-</b>   | <b>\$2,086</b> | <b>\$3,006</b> |
| Staff Benefits                                                          | -                  | -           | -           | -            | 682            | 1,007          |
| <b>Totals, Personal Services</b>                                        | <b>-</b>           | <b>23.4</b> | <b>32.3</b> | <b>\$-</b>   | <b>\$2,768</b> | <b>\$4,013</b> |
| <b>OPERATING EXPENSES AND EQUIPMENT</b>                                 |                    |             |             | <b>\$-</b>   | <b>\$1,946</b> | <b>\$2,678</b> |
| <b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b> |                    |             |             | <b>\$-</b>   | <b>\$4,714</b> | <b>\$6,691</b> |

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS (Reconciliation with Appropriations)**

| 1 STATE OPERATIONS                                                       |  | 2006-07*   | 2007-08*       | 2008-09*       |
|--------------------------------------------------------------------------|--|------------|----------------|----------------|
| 0001 General Fund                                                        |  |            |                |                |
| APPROPRIATIONS                                                           |  |            |                |                |
| 001 Budget Act appropriation                                             |  | \$-        | \$-            | \$6,691        |
| <b>TOTALS, EXPENDITURES</b>                                              |  | <b>\$-</b> | <b>\$-</b>     | <b>\$6,691</b> |
| <b>TOTALS, GENERAL FUND EXPENDITURES</b>                                 |  | <b>\$-</b> | <b>\$-</b>     | <b>\$6,691</b> |
| 9730 Department of Technology Services Revolving Fund                    |  |            |                |                |
| APPROPRIATIONS                                                           |  |            |                |                |
| 001 Budget Act appropriation as amended by Chapter 172, Statutes of 2007 |  | \$-        | \$4,640        | \$-            |
| Allocation for employee compensation                                     |  | -          | 80             | -              |
| Adjustment per Section 3.60                                              |  | -          | -6             | -              |
| <b>TOTALS, EXPENDITURES</b>                                              |  | <b>\$-</b> | <b>\$4,714</b> | <b>\$-</b>     |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>                |  | <b>\$-</b> | <b>\$4,714</b> | <b>\$6,691</b> |

**CHANGES IN AUTHORIZED POSITIONS**

|                                   | Positions |             |             | Expenditures |                |                |
|-----------------------------------|-----------|-------------|-------------|--------------|----------------|----------------|
|                                   | 2006-07   | 2007-08     | 2008-09     | 2006-07*     | 2007-08*       | 2008-09*       |
| Totals, Authorized Positions      | -         | 23.5        | 34.0        | \$-          | \$2,141        | \$3,110        |
| Salary Adjustments                | -         | -           | -           | -            | 53             | 53             |
| <b>Total Adjustments</b>          | <b>-</b>  | <b>-</b>    | <b>-</b>    | <b>\$-</b>   | <b>\$53</b>    | <b>\$53</b>    |
| <b>TOTALS, SALARIES AND WAGES</b> | <b>-</b>  | <b>23.5</b> | <b>34.0</b> | <b>\$-</b>   | <b>\$2,194</b> | <b>\$3,163</b> |

\* Dollars in thousands, except in Salary Range.

## 0510 Secretary for State and Consumer Services

The State and Consumer Services Agency oversees the departments of Consumer Affairs, Fair Employment and Housing, General Services, and Technology Services. The Agency also oversees the California Science Center, the California African American Museum, the Seismic Safety Commission, the Fair Employment and Housing Commission, the Franchise Tax Board, the California Building Standards Commission, the State Personnel Board, the California Public Employees Retirement System, the California State Teachers' Retirement System, the Victim Compensation and Government Claims Board, the Office of Information Security and Privacy Protection, and the Office of the Insurance Advisor.

The entities under the State and Consumer Services Agency are responsible for civil rights enforcement, consumer protection and the licensing of 2.4 million Californians in more than 255 different professions. Agency entities provide oversight and guidance for the procurement of more than \$9 billion worth of goods and services; the management and development of state real estate; operation oversight of two state employee pension funds; collection of state taxes; hiring of state employees; provision of information technology services; adoption of state building standards; and the administration of two state museums. In addition, the Secretary for State and Consumer Services is the Chair of the California Building Standards Commission and the Victim Compensation and Government Claims Board, and operates the Office of Information Security and Privacy Protection.

### 3-YR EXPENDITURES AND POSITIONS (Summary of Program Requirements)

|                                                          |                                                      | Positions  |             |             | Expenditures    |                 |                 |
|----------------------------------------------------------|------------------------------------------------------|------------|-------------|-------------|-----------------|-----------------|-----------------|
|                                                          |                                                      | 2006-07    | 2007-08     | 2008-09     | 2006-07*        | 2007-08*        | 2008-09*        |
| 10                                                       | Administration of State and Consumer Services Agency | 8.5        | 15.8        | 22.7        | \$1,498         | \$2,318         | \$3,702         |
| <b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b> |                                                      | <b>8.5</b> | <b>15.8</b> | <b>22.7</b> | <b>\$1,498</b>  | <b>\$2,318</b>  | <b>\$3,702</b>  |
| <b>FUNDING</b>                                           |                                                      |            |             |             | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
| 0001                                                     | General Fund                                         |            |             |             | \$801           | \$1,686         | \$3,064         |
| 0995                                                     | Reimbursements                                       |            |             |             | 697             | 632             | 638             |
| <b>TOTALS, EXPENDITURES, ALL FUNDS</b>                   |                                                      |            |             |             | <b>\$1,498</b>  | <b>\$2,318</b>  | <b>\$3,702</b>  |

### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

Government Code Sections 12800, 12801, and 12804.

### MAJOR PROGRAM CHANGES

- Office of Information Security and Privacy Protection - The Governor's Budget includes \$1.9 million General Fund and 14 positions to provide full year funding for the Office of Information Security and Privacy Protection. The Office will be responsible for leading state agencies in securing and protecting the State's information assets by identifying critical technology assets and addressing vulnerabilities; deterring identify theft and security incidents; sharing information and technology lessons promptly; enhancing government response and recovery; and developing consumer education programs.

### BUDGET-BALANCING REDUCTIONS

- The Budget includes a General Fund reduction of \$306,000 in 2008-09 for the Secretary for State and Consumer Services. To achieve this reduction, the Agency will reduce legislative reporting, customer service, and privacy protection consumer outreach.

### DETAILED BUDGET ADJUSTMENTS

|                                           | 2007-08*     |             |           | 2008-09*       |             |            |
|-------------------------------------------|--------------|-------------|-----------|----------------|-------------|------------|
|                                           | General Fund | Other Funds | Positions | General Fund   | Other Funds | Positions  |
| <b>Baseline Adjustment Descriptions</b>   |              |             |           |                |             |            |
| • Full Year Cost of New/Expanded Programs | \$-          | \$-         | -         | \$1,254        | \$-         | 6.9        |
| • Other Baseline Adjustments              | -5           | -           | -         | 91             | 5           | -          |
| • Employee Compensation Adjustments       | 46           | 11          | -         | 74             | 12          | -          |
| • Retirement Rate Adjustment              | -4           | -1          | -         | -4             | -1          | -          |
| <b>Totals, Baseline Adjustments</b>       | <b>\$37</b>  | <b>\$10</b> | <b>-</b>  | <b>\$1,415</b> | <b>\$16</b> | <b>6.9</b> |
| <b>TOTALS, BUDGET ADJUSTMENTS</b>         | <b>\$37</b>  | <b>\$10</b> | <b>-</b>  | <b>\$1,415</b> | <b>\$16</b> | <b>6.9</b> |

Other Adjustments <sup>1/</sup>

\* Dollars in thousands, except in Salary Range.

**0510 Secretary for State and Consumer Services - Continued**

|                                           | 2007-08*     |             |           | 2008-09*       |             |            |
|-------------------------------------------|--------------|-------------|-----------|----------------|-------------|------------|
|                                           | General Fund | Other Funds | Positions | General Fund   | Other Funds | Positions  |
| • Budget-Balancing Reductions             | -            | -           | -         | -306           | -           | -          |
| <b>REVISED TOTALS, BUDGET ADJUSTMENTS</b> | <b>\$37</b>  | <b>\$10</b> | <b>-</b>  | <b>\$1,109</b> | <b>\$16</b> | <b>6.9</b> |

<sup>11</sup> These dollars and PYs are included in the General Government agency, therefore not included in the other fiscal statements for this department. These totals are also not included in the applicable Summary Schedules for this department.

**DETAILED EXPENDITURES BY PROGRAM (Program Budget Detail)**

|                                                                |  | 2006-07*       | 2007-08*       | 2008-09*       |
|----------------------------------------------------------------|--|----------------|----------------|----------------|
| <b>PROGRAM REQUIREMENTS</b>                                    |  |                |                |                |
| <b>10 ADMINISTRATION OF STATE AND CONSUMER SERVICES AGENCY</b> |  |                |                |                |
| <b>State Operations:</b>                                       |  |                |                |                |
| 0001 General Fund                                              |  | \$801          | \$1,686        | \$3,064        |
| 0995 Reimbursements                                            |  | 697            | 632            | 638            |
| <b>Totals, State Operations</b>                                |  | <b>\$1,498</b> | <b>\$2,318</b> | <b>\$3,702</b> |
| <b>TOTALS, EXPENDITURES</b>                                    |  |                |                |                |
| State Operations                                               |  | 1,498          | 2,318          | 3,702          |
| <b>Totals, Expenditures</b>                                    |  | <b>\$1,498</b> | <b>\$2,318</b> | <b>\$3,702</b> |

**EXPENDITURES BY CATEGORY (Summary By Object)**

| 1 State Operations                                                      |  | Positions  |             |             | Expenditures   |                |                |
|-------------------------------------------------------------------------|--|------------|-------------|-------------|----------------|----------------|----------------|
|                                                                         |  | 2006-07    | 2007-08     | 2008-09     | 2006-07*       | 2007-08*       | 2008-09*       |
| PERSONAL SERVICES                                                       |  |            |             |             |                |                |                |
| Authorized Positions (Equals Sch. 7A)                                   |  | 8.5        | 16.5        | 23.8        | \$822          | \$1,408        | \$1,962        |
| Total Adjustments                                                       |  | -          | -           | -           | -              | 36             | 94             |
| Estimated Salary Savings                                                |  | -          | -0.7        | -1.1        | -              | -42            | -73            |
| <b>Net Totals, Salaries and Wages</b>                                   |  | <b>8.5</b> | <b>15.8</b> | <b>22.7</b> | <b>\$822</b>   | <b>\$1,402</b> | <b>\$1,983</b> |
| Staff Benefits                                                          |  | -          | -           | -           | 236            | 514            | 752            |
| <b>Totals, Personal Services</b>                                        |  | <b>8.5</b> | <b>15.8</b> | <b>22.7</b> | <b>\$1,058</b> | <b>\$1,916</b> | <b>\$2,735</b> |
| OPERATING EXPENSES AND EQUIPMENT                                        |  |            |             |             | \$440          | \$402          | \$967          |
| <b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b> |  |            |             |             | <b>\$1,498</b> | <b>\$2,318</b> | <b>\$3,702</b> |

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS (Reconciliation with Appropriations)**

| 1 STATE OPERATIONS                                                        | 2006-07* | 2007-08* | 2008-09* |
|---------------------------------------------------------------------------|----------|----------|----------|
| <b>0001 General Fund</b>                                                  |          |          |          |
| APPROPRIATIONS                                                            |          |          |          |
| 001 Budget Act appropriation                                              | \$769    | \$-      | \$-      |
| Allocation for employee compensation                                      | 29       | -        | -        |
| Adjustment per Section 3.60                                               | 5        | -        | -        |
| Adjustment per Section 4.75 Statewide Surcharge                           | -1       | -        | -        |
| 001 Budget Act appropriation, as amended by Chapter 172, Statutes of 2007 | -        | 1,649    | -        |
| Allocation for employee compensation                                      | -        | 46       | -        |
| Adjustment per Section 3.60                                               | -        | -4       | -        |
| Adjustment per Section 4.04                                               | -        | -5       | -        |
| 001 Budget Act appropriation                                              | -        | -        | 3,064    |

\* Dollars in thousands, except in Salary Range.

**0510 Secretary for State and Consumer Services - Continued**

| <b>1 STATE OPERATIONS</b>                                 | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|-----------------------------------------------------------|-----------------|-----------------|-----------------|
| <b>Totals Available</b>                                   | <b>\$802</b>    | <b>\$1,686</b>  | <b>\$3,064</b>  |
| Unexpended balance, estimated savings                     | -1              | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                               | <b>\$801</b>    | <b>\$1,686</b>  | <b>\$3,064</b>  |
| <b>0995 Reimbursements</b>                                |                 |                 |                 |
| APPROPRIATIONS                                            |                 |                 |                 |
| Reimbursements                                            | \$697           | \$632           | \$638           |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b> | <b>\$1,498</b>  | <b>\$2,318</b>  | <b>\$3,702</b>  |

**CHANGES IN AUTHORIZED POSITIONS**

|                                   | <b>Positions</b> |                |                | <b>Expenditures</b> |                 |                 |
|-----------------------------------|------------------|----------------|----------------|---------------------|-----------------|-----------------|
|                                   | <b>2006-07</b>   | <b>2007-08</b> | <b>2008-09</b> | <b>2006-07*</b>     | <b>2007-08*</b> | <b>2008-09*</b> |
| Totals, Authorized Positions      | 8.5              | 16.5           | 23.8           | \$822               | \$1,408         | \$1,962         |
| Salary Adjustments                | -                | -              | -              | -                   | 36              | 94              |
| <b>Total Adjustments</b>          | <b>-</b>         | <b>-</b>       | <b>-</b>       | <b>\$-</b>          | <b>\$36</b>     | <b>\$94</b>     |
| <b>TOTALS, SALARIES AND WAGES</b> | <b>8.5</b>       | <b>16.5</b>    | <b>23.8</b>    | <b>\$822</b>        | <b>\$1,444</b>  | <b>\$2,056</b>  |

**0520 Secretary for Business, Transportation and Housing**

The mission of the Secretary for Business, Transportation and Housing (BTH) is to oversee and coordinate the activities of 13 departments and several important economic development programs and commissions. These entities improve California's place in the global marketplace by leveraging the state's advantages to promote job and business growth, improving transportation mobility, and increasing affordable housing opportunities while promoting environmental quality and safe communities.

Departments in the BTH Agency include:

- California Housing Finance Agency
- Department of Alcoholic Beverage Control
- Department of the California Highway Patrol
- Department of Corporations
- Department of Financial Institutions
- Department of Housing and Community Development
- Department of Managed Health Care, including the Office of the Patient Advocate
- Department of Motor Vehicles
- Department of Real Estate
- Department of Transportation
- Office of Real Estate Appraisers
- Office of Traffic Safety

Additionally, economic development programs include:

- California Film Commission
- California Travel and Tourism Commission
- Infrastructure and Economic Development Bank
- International Trade Promotion
- Office of Military and Aerospace Support
- Small Business Loan Guarantee Program

**3-YR EXPENDITURES AND POSITIONS (Summary of Program Requirements)**

|                                                                  | <b>Positions</b> |                |                | <b>Expenditures</b> |                 |                 |
|------------------------------------------------------------------|------------------|----------------|----------------|---------------------|-----------------|-----------------|
|                                                                  | <b>2006-07</b>   | <b>2007-08</b> | <b>2008-09</b> | <b>2006-07*</b>     | <b>2007-08*</b> | <b>2008-09*</b> |
| 10 Administration of Business, Transportation and Housing Agency | 21.9             | 22.8           | 23.7           | \$3,118             | \$3,445         | \$3,769         |
| 25 Infrastructure Finance and Economic Development Program       | 32.5             | 36.1           | 42.8           | 36,584              | 18,516          | 19,700          |
| <b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>         | <b>54.4</b>      | <b>58.9</b>    | <b>66.5</b>    | <b>\$39,702</b>     | <b>\$21,961</b> | <b>\$23,469</b> |
| <b>FUNDING</b>                                                   |                  |                |                | <b>2006-07*</b>     | <b>2007-08*</b> | <b>2008-09*</b> |
| 0001 General Fund                                                |                  |                |                | \$11,403            | \$7,904         | \$7,933         |

\* Dollars in thousands, except in Salary Range.

**0520 Secretary for Business, Transportation and Housing - Continued**

| <b>FUNDING</b>                                                    | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|-------------------------------------------------------------------|-----------------|-----------------|-----------------|
| 0044 Motor Vehicle Account, State Transportation Fund             | 1,145           | 1,458           | 1,615           |
| 0649 California Infrastructure and Economic Development Bank Fund | 10,468          | 5,426           | 6,486           |
| 0918 Small Business Expansion Fund                                | 13,619          | 1,444           | 1,630           |
| 0942 Special Deposit Fund                                         | 1               | -               | -               |
| 0995 Reimbursements                                               | 2,890           | 5,108           | 5,142           |
| 3083 Welcome Center Fund                                          | 56              | 78              | 78              |
| 3095 Film Promotion and Marketing Fund                            | -               | 10              | 11              |
| 9329 Chrome Plating Pollution Prevention Fund                     | 120             | 533             | 574             |
| <b>TOTALS, EXPENDITURES, ALL FUNDS</b>                            | <b>\$39,702</b> | <b>\$21,961</b> | <b>\$23,469</b> |

**LEGAL CITATIONS AND AUTHORITY**

## DEPARTMENT AUTHORITY

Government Code, Title 2, Division 3, Part 2.5, commencing with Section 12800.

**MAJOR PROGRAM CHANGES**

- The Budget includes 7.0 positions and \$665,000 for public infrastructure and economic development financing through the Infrastructure and Economic Development Bank (I-Bank).
- The Budget includes 1.0 position and \$169,000 General Fund for support of the "Twenty-First Century Government: Expanding Broadband Access and Usage in California" initiative to increase broadband access and adoption throughout California.

**BUDGET-BALANCING REDUCTIONS**

- The Budget includes General Fund reductions of \$776,000 in state operations for 2008-09.

**DETAILED BUDGET ADJUSTMENTS**

|                                                      | <b>2007-08*</b>     |                    |                  | <b>2008-09*</b>     |                    |                  |
|------------------------------------------------------|---------------------|--------------------|------------------|---------------------|--------------------|------------------|
|                                                      | <b>General Fund</b> | <b>Other Funds</b> | <b>Positions</b> | <b>General Fund</b> | <b>Other Funds</b> | <b>Positions</b> |
| <b>Baseline Adjustment Descriptions</b>              |                     |                    |                  |                     |                    |                  |
| • Employee Compensation/Retirement Adjustments       | \$14                | \$155              | -                | \$15                | \$169              | -                |
| • I-Bank Staffing Augmentation                       | -                   | -                  | -                | -                   | 665                | 6.7              |
| • Other Workload Adjustments                         | -985                | -4,300             | -1.9             | -98                 | 801                | -1.9             |
| • One-Time Cost Reductions                           | -                   | -                  | -                | -1,028              | -4,300             | -                |
| <b>Totals, Baseline Adjustments</b>                  | <b>-\$971</b>       | <b>-\$4,145</b>    | <b>-1.9</b>      | <b>-\$1,111</b>     | <b>-\$2,665</b>    | <b>4.8</b>       |
| <b>Policy Adjustment Descriptions</b>                |                     |                    |                  |                     |                    |                  |
| • Expanding Broadband Access and Usage in California | \$-                 | \$-                | -                | \$169               | \$-                | 0.9              |
| <b>Totals, Policy Adjustments</b>                    | <b>\$-</b>          | <b>\$-</b>         | <b>-</b>         | <b>\$169</b>        | <b>\$-</b>         | <b>0.9</b>       |
| <b>TOTALS, BUDGET ADJUSTMENTS</b>                    | <b>-\$971</b>       | <b>-\$4,145</b>    | <b>-1.9</b>      | <b>-\$942</b>       | <b>-\$2,665</b>    | <b>5.7</b>       |
| <b>Other Adjustments <sup>11</sup></b>               |                     |                    |                  |                     |                    |                  |
| • Budget-Balancing Reductions                        | -                   | -                  | -                | -776                | -                  | -1.0             |
| <b>REVISED TOTALS, BUDGET ADJUSTMENTS</b>            | <b>-\$971</b>       | <b>-\$4,145</b>    | <b>-1.9</b>      | <b>-\$1,718</b>     | <b>-\$2,665</b>    | <b>4.7</b>       |

<sup>11</sup> These dollars and PYs are included in the General Government agency, therefore not included in the other fiscal statements for this department. These totals are also not included in the applicable Summary Schedules for this department.

**PROGRAM DESCRIPTIONS (Program Objectives Statement)**

## 10 - ADMINISTRATION OF BUSINESS, TRANSPORTATION AND HOUSING AGENCY

The objective of this program is two-fold. The Secretary, a member of the Governor's Cabinet, advises the Governor on

\* Dollars in thousands, except in Salary Range.

## 0520 Secretary for Business, Transportation and Housing - Continued

major policy and program matters and oversees the operations of the Agency's departments and programs. The Administration Program also provides support services for the Agency.

### 25 - INFRASTRUCTURE FINANCE AND ECONOMIC DEVELOPMENT

The Infrastructure Finance and Economic Development Program's objective is to encourage and promote economic activity and investment within the state through various infrastructure, small business finance, and economic development programs. The Agency serves as a catalyst to help all sectors of the California economy succeed, including technology, tourism, entertainment, and small business. This program also includes the California Infrastructure and Economic Development Bank, which finances public infrastructure and private development that promotes economic growth, revitalizes communities and enhances the quality of life for Californians.

#### DETAILED EXPENDITURES BY PROGRAM (Program Budget Detail)

|                             |                                                                      | 2006-07*        | 2007-08*        | 2008-09*        |
|-----------------------------|----------------------------------------------------------------------|-----------------|-----------------|-----------------|
| <b>PROGRAM REQUIREMENTS</b> |                                                                      |                 |                 |                 |
| <b>10</b>                   | <b>ADMINISTRATION OF BUSINESS, TRANSPORTATION AND HOUSING AGENCY</b> |                 |                 |                 |
|                             | <b>State Operations:</b>                                             |                 |                 |                 |
| 0001                        | General Fund                                                         | \$-             | \$-             | \$169           |
| 0044                        | Motor Vehicle Account, State Transportation Fund                     | 1,145           | 1,458           | 1,615           |
| 0995                        | Reimbursements                                                       | 1,973           | 1,987           | 1,985           |
|                             | <b>Totals, State Operations</b>                                      | <b>\$3,118</b>  | <b>\$3,445</b>  | <b>\$3,769</b>  |
| <b>PROGRAM REQUIREMENTS</b> |                                                                      |                 |                 |                 |
| <b>25</b>                   | <b>INFRASTRUCTURE FINANCE AND ECONOMIC DEVELOPMENT PROGRAM</b>       |                 |                 |                 |
|                             | <b>State Operations:</b>                                             |                 |                 |                 |
| 0001                        | General Fund                                                         | \$8,903         | \$7,904         | \$7,764         |
| 0649                        | California Infrastructure and Economic Development Bank Fund         | 2,367           | 3,249           | 4,309           |
| 0890                        | Federal Trust Fund                                                   | -               | -               | -               |
| 0918                        | Small Business Expansion Fund                                        | 13,619          | 1,444           | 1,630           |
| 0942                        | Special Deposit Fund                                                 | 1               | -               | -               |
| 0995                        | Reimbursements                                                       | 917             | 1,121           | 1,157           |
| 3083                        | Welcome Center Fund                                                  | 56              | 78              | 78              |
| 3095                        | Film Promotion and Marketing Fund                                    | -               | 10              | 11              |
| 9329                        | Chrome Plating Pollution Prevention Fund                             | 120             | 283             | 324             |
|                             | <b>Totals, State Operations</b>                                      | <b>\$25,983</b> | <b>\$14,089</b> | <b>\$15,273</b> |
|                             | <b>Local Assistance:</b>                                             |                 |                 |                 |
| 0001                        | General Fund                                                         | \$2,500         | \$-             | \$-             |
| 0649                        | California Infrastructure and Economic Development Bank Fund         | 8,101           | 2,177           | 2,177           |
| 0995                        | Reimbursements                                                       | -               | 2,000           | 2,000           |
| 9329                        | Chrome Plating Pollution Prevention Fund                             | -               | 250             | 250             |
|                             | <b>Totals, Local Assistance</b>                                      | <b>\$10,601</b> | <b>\$4,427</b>  | <b>\$4,427</b>  |
| <b>ELEMENT REQUIREMENTS</b> |                                                                      |                 |                 |                 |
| <b>25.10</b>                | <b>California Film Commission</b>                                    | <b>\$1,014</b>  | <b>\$1,191</b>  | <b>\$1,215</b>  |
|                             | <b>State Operations:</b>                                             |                 |                 |                 |
| 0001                        | General Fund                                                         | 1,014           | 1,181           | 1,204           |
| 3095                        | Film Promotion and Marketing Fund                                    | -               | 10              | 11              |
| <b>25.20</b>                | <b>Manufacturing Technology Program</b>                              | <b>\$-</b>      | <b>\$2,126</b>  | <b>\$2,126</b>  |
|                             | <b>State Operations:</b>                                             |                 |                 |                 |
| 0995                        | Reimbursements                                                       | -               | 126             | 126             |
|                             | <b>Local Assistance:</b>                                             |                 |                 |                 |
| 0995                        | Reimbursements                                                       | -               | 2,000           | 2,000           |

\* Dollars in thousands, except in Salary Range.

**0520 Secretary for Business, Transportation and Housing - Continued**

|                                                                      |                                                              | <u>2006-07*</u> | <u>2007-08*</u> | <u>2008-09*</u> |
|----------------------------------------------------------------------|--------------------------------------------------------------|-----------------|-----------------|-----------------|
| <b>25.30 Tourism</b>                                                 |                                                              | <b>\$1,513</b>  | <b>\$1,815</b>  | <b>\$1,871</b>  |
|                                                                      | <b>State Operations:</b>                                     |                 |                 |                 |
| 0001                                                                 | General Fund                                                 | 1,000           | 1,027           | 1,047           |
| 0995                                                                 | Reimbursements                                               | 513             | 788             | 824             |
| <b>25.40 California Infrastructure and Economic Development Bank</b> |                                                              | <b>\$15,840</b> | <b>\$5,799</b>  | <b>\$6,693</b>  |
|                                                                      | <b>State Operations:</b>                                     |                 |                 |                 |
| 0001                                                                 | General Fund                                                 | 2,510           | 166             | -               |
| 0649                                                                 | California Infrastructure and Economic Development Bank Fund | 2,367           | 3,249           | 4,309           |
| 0995                                                                 | Reimbursements                                               | 362             | 207             | 207             |
|                                                                      | <b>Local Assistance:</b>                                     |                 |                 |                 |
| 0001                                                                 | General Fund                                                 | 2,500           | -               | -               |
| 0649                                                                 | California Infrastructure and Economic Development Bank Fund | 8,101           | 2,177           | 2,177           |
| <b>25.50 Small Business Expansion</b>                                |                                                              | <b>\$17,500</b> | <b>\$6,890</b>  | <b>\$7,090</b>  |
|                                                                      | <b>State Operations:</b>                                     |                 |                 |                 |
| 0001                                                                 | General Fund                                                 | 3,761           | 4,913           | 4,886           |
| 0918                                                                 | Small Business Expansion Fund                                | 13,619          | 1,444           | 1,630           |
| 0890                                                                 | Federal Trust Fund                                           | -               | -               | -               |
| 9329                                                                 | Chrome Plating Pollution Prevention Fund                     | 120             | 283             | 324             |
|                                                                      | <b>Local Assistance:</b>                                     |                 |                 |                 |
| 9329                                                                 | Chrome Plating Pollution Prevention Fund                     | -               | 250             | 250             |
| <b>25.60 Office of Military and Aerospace Support</b>                |                                                              | <b>\$400</b>    | <b>\$547</b>    | <b>\$557</b>    |
|                                                                      | <b>State Operations:</b>                                     |                 |                 |                 |
| 0001                                                                 | General Fund                                                 | 358             | 547             | 557             |
| 0995                                                                 | Reimbursements                                               | 42              | -               | -               |
| <b>25.65 International Trade and Investment</b>                      |                                                              | <b>\$261</b>    | <b>\$-</b>      | <b>\$-</b>      |
|                                                                      | <b>State Operations:</b>                                     |                 |                 |                 |
| 0001                                                                 | General Fund                                                 | 260             | -               | -               |
| 0942                                                                 | Special Deposit Fund                                         | 1               | -               | -               |
| <b>25.70 Technology, Trade, and Commerce Agency Closure Costs</b>    |                                                              | <b>\$-</b>      | <b>\$70</b>     | <b>\$70</b>     |
|                                                                      | <b>State Operations:</b>                                     |                 |                 |                 |
| 0001                                                                 | General Fund                                                 | -               | 70              | 70              |
| <b>25.80 Welcome Center Program</b>                                  |                                                              | <b>\$56</b>     | <b>\$78</b>     | <b>\$78</b>     |
|                                                                      | <b>State Operations:</b>                                     |                 |                 |                 |
| 3083                                                                 | Welcome Center Fund                                          | 56              | 78              | 78              |
|                                                                      | <b>TOTALS, EXPENDITURES</b>                                  |                 |                 |                 |
|                                                                      | State Operations                                             | 29,101          | 17,534          | 19,042          |
|                                                                      | Local Assistance                                             | 10,601          | 4,427           | 4,427           |
|                                                                      | <b>Totals, Expenditures</b>                                  | <b>\$39,702</b> | <b>\$21,961</b> | <b>\$23,469</b> |

**EXPENDITURES BY CATEGORY (Summary By Object)**

| 1 State Operations                    | Positions |         |         | Expenditures |          |          |
|---------------------------------------|-----------|---------|---------|--------------|----------|----------|
|                                       | 2006-07   | 2007-08 | 2008-09 | 2006-07*     | 2007-08* | 2008-09* |
| PERSONAL SERVICES                     |           |         |         |              |          |          |
| Authorized Positions (Equals Sch. 7A) | 54.4      | 62.0    | 62.0    | \$4,078      | \$4,490  | \$4,529  |

\* Dollars in thousands, except in Salary Range.

**0520 Secretary for Business, Transportation and Housing - Continued**

| 1 State Operations                                                      | Positions   |             |             | Expenditures    |                 |                 |
|-------------------------------------------------------------------------|-------------|-------------|-------------|-----------------|-----------------|-----------------|
|                                                                         | 2006-07     | 2007-08     | 2008-09     | 2006-07*        | 2007-08*        | 2008-09*        |
| Total Adjustments                                                       | -           | -           | 8.0         | -               | 134             | 609             |
| Estimated Salary Savings                                                | -           | -3.1        | -3.5        | -               | -223            | -249            |
| <b>Net Totals, Salaries and Wages</b>                                   | <b>54.4</b> | <b>58.9</b> | <b>66.5</b> | <b>\$4,078</b>  | <b>\$4,401</b>  | <b>\$4,889</b>  |
| Staff Benefits                                                          | -           | -           | -           | 1,318           | 1,451           | 1,648           |
| <b>Totals, Personal Services</b>                                        | <b>54.4</b> | <b>58.9</b> | <b>66.5</b> | <b>\$5,396</b>  | <b>\$5,852</b>  | <b>\$6,537</b>  |
| OPERATING EXPENSES AND EQUIPMENT                                        |             |             |             | \$23,705        | \$11,682        | \$12,505        |
| <b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b> |             |             |             | <b>\$29,101</b> | <b>\$17,534</b> | <b>\$19,042</b> |
| 2 Local Assistance                                                      |             |             |             | Expenditures    |                 |                 |
|                                                                         | 2006-07*    | 2007-08*    | 2008-09*    | 2006-07*        | 2007-08*        | 2008-09*        |
| Grants and subventions                                                  |             |             |             | \$10,601        | \$4,427         | \$4,427         |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>               |             |             |             | <b>\$10,601</b> | <b>\$4,427</b>  | <b>\$4,427</b>  |

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS (Reconciliation with Appropriations)**

| 1 STATE OPERATIONS                                                                                         | 2006-07*        | 2007-08*       | 2008-09*       |
|------------------------------------------------------------------------------------------------------------|-----------------|----------------|----------------|
| <b>0001 General Fund</b>                                                                                   |                 |                |                |
| APPROPRIATIONS                                                                                             |                 |                |                |
| 001 Budget Act appropriation, as amended by Chapter 48, Statutes of 2006 and Chapter 790, Statutes of 2006 | \$11,421        | \$-            | \$-            |
| Allocation for employee compensation                                                                       | 57              | -              | -              |
| Adjustment per Section 3.60                                                                                | 6               | -              | -              |
| Transfer to Legislative Claims (9670)                                                                      | -8              | -              | -              |
| 001 Budget Act appropriation                                                                               | -               | 4,878          | 3,104          |
| Allocation for employee compensation                                                                       | -               | 15             | -              |
| Adjustment per Section 3.60                                                                                | -               | -1             | -              |
| Adjustment per Section 4.04                                                                                | -               | -149           | -              |
| Adjustment per Section 15.25                                                                               | -               | -4             | -              |
| 002 Budget Act appropriation (TTCA closure costs)                                                          | -               | 70             | 70             |
| 011 Budget Act appropriation (transfer to Small Business Expansion Fund)                                   | 3,927           | 3,927          | 3,927          |
| Prior year balances available:                                                                             |                 |                |                |
| Item 0520-001-0001, Budget Act of 2007 as reappropriated by Item 0520-490, Budget Act of 2008              | -               | -              | 832            |
| <b>Totals Available</b>                                                                                    | <b>\$15,403</b> | <b>\$8,736</b> | <b>\$7,933</b> |
| Unexpended balance, estimated savings                                                                      | -6,500          | -              | -              |
| Balance available in subsequent years                                                                      | -               | -832           | -              |
| <b>TOTALS, EXPENDITURES</b>                                                                                | <b>\$8,903</b>  | <b>\$7,904</b> | <b>\$7,933</b> |
| <b>0044 Motor Vehicle Account, State Transportation Fund</b>                                               |                 |                |                |
| APPROPRIATIONS                                                                                             |                 |                |                |
| 001 Budget Act appropriation, as amended by Chapter 48, Statutes of 2006                                   | \$1,171         | \$-            | \$-            |
| Allocation for employee compensation                                                                       | 68              | -              | -              |
| Adjustment per Section 3.60                                                                                | 7               | -              | -              |
| 001 Budget Act appropriation                                                                               | -               | 1,428          | 1,615          |
| Allocation for employee compensation                                                                       | -               | 38             | -              |
| Adjustment per Section 3.60                                                                                | -               | -3             | -              |
| Adjustment per Section 15.25                                                                               | -               | -5             | -              |
| <b>Totals Available</b>                                                                                    | <b>\$1,246</b>  | <b>\$1,458</b> | <b>\$1,615</b> |
| Unexpended balance, estimated savings                                                                      | -101            | -              | -              |
| <b>TOTALS, EXPENDITURES</b>                                                                                | <b>\$1,145</b>  | <b>\$1,458</b> | <b>\$1,615</b> |

\* Dollars in thousands, except in Salary Range.

**0520 Secretary for Business, Transportation and Housing - Continued**

| <b>1 STATE OPERATIONS</b>                                                | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|--------------------------------------------------------------------------|-----------------|-----------------|-----------------|
| <b>0649 California Infrastructure and Economic Development Bank Fund</b> |                 |                 |                 |
| APPROPRIATIONS                                                           |                 |                 |                 |
| 001 Budget Act appropriation                                             | \$3,067         | \$3,183         | \$4,309         |
| Allocation for employee compensation                                     | 101             | 55              | -               |
| Adjustment per Section 3.60                                              | 13              | -5              | -               |
| Prior year balances available:                                           |                 |                 |                 |
| Chapter 263, Statutes of 2004                                            | <u>16</u>       | <u>16</u>       | <u>-</u>        |
| <b>Totals Available</b>                                                  | <b>\$3,197</b>  | <b>\$3,249</b>  | <b>\$4,309</b>  |
| Unexpended balance, estimated savings                                    | -814            | -               | -               |
| Balance available in subsequent years                                    | <u>-16</u>      | <u>-</u>        | <u>-</u>        |
| <b>TOTALS, EXPENDITURES</b>                                              | <b>\$2,367</b>  | <b>\$3,249</b>  | <b>\$4,309</b>  |
| <b>0890 Federal Trust Fund</b>                                           |                 |                 |                 |
| APPROPRIATIONS                                                           |                 |                 |                 |
| 001 Budget Act appropriation                                             | \$-             | \$4,300         | \$-             |
| Budget Adjustment                                                        | <u>-</u>        | <u>-4,300</u>   | <u>-</u>        |
| <b>TOTALS, EXPENDITURES</b>                                              | <b>\$-</b>      | <b>\$-</b>      | <b>\$-</b>      |
| <b>0918 Small Business Expansion Fund</b>                                |                 |                 |                 |
| APPROPRIATIONS                                                           |                 |                 |                 |
| 001 Budget Act appropriation                                             | \$420           | \$437           | \$630           |
| Allocation for employee compensation                                     | 12              | 9               | -               |
| Adjustment per Section 3.60                                              | 2               | -1              | -               |
| Adjustment per Section 15.25                                             | -               | -1              | -               |
| Corporations Code Section 14030                                          | 15,456          | 3,927           | 3,927           |
| Corporations Code Section 14075                                          | <u>1,592</u>    | <u>1,000</u>    | <u>1,000</u>    |
| <b>Totals Available</b>                                                  | <b>\$17,482</b> | <b>\$5,371</b>  | <b>\$5,557</b>  |
| Unexpended balance, estimated savings                                    | <u>-102</u>     | <u>-</u>        | <u>-</u>        |
| <b>TOTALS, EXPENDITURES</b>                                              | <b>\$17,380</b> | <b>\$5,371</b>  | <b>\$5,557</b>  |
| Less funding provided by the General Fund                                | <u>-3,761</u>   | <u>-3,927</u>   | <u>-3,927</u>   |
| <b>NET TOTALS, EXPENDITURES</b>                                          | <b>\$13,619</b> | <b>\$1,444</b>  | <b>\$1,630</b>  |
| <b>0942 Special Deposit Fund</b>                                         |                 |                 |                 |
| APPROPRIATIONS                                                           |                 |                 |                 |
| Government Code Section 13997                                            | <u>\$1</u>      | <u>\$-</u>      | <u>\$-</u>      |
| <b>TOTALS, EXPENDITURES</b>                                              | <b>\$1</b>      | <b>\$-</b>      | <b>\$-</b>      |
| <b>0995 Reimbursements</b>                                               |                 |                 |                 |
| APPROPRIATIONS                                                           |                 |                 |                 |
| Reimbursements                                                           | \$2,890         | \$3,108         | \$3,142         |
| <b>3083 Welcome Center Fund</b>                                          |                 |                 |                 |
| APPROPRIATIONS                                                           |                 |                 |                 |
| 001 Budget Act appropriation                                             | <u>\$56</u>     | <u>\$78</u>     | <u>\$78</u>     |
| <b>TOTALS, EXPENDITURES</b>                                              | <b>\$56</b>     | <b>\$78</b>     | <b>\$78</b>     |
| <b>3095 Film Promotion and Marketing Fund</b>                            |                 |                 |                 |
| APPROPRIATIONS                                                           |                 |                 |                 |
| 001 Budget Act appropriation                                             | <u>\$10</u>     | <u>\$10</u>     | <u>\$11</u>     |
| <b>Totals Available</b>                                                  | <b>\$10</b>     | <b>\$10</b>     | <b>\$11</b>     |
| Unexpended balance, estimated savings                                    | <u>-10</u>      | <u>-</u>        | <u>-</u>        |
| <b>TOTALS, EXPENDITURES</b>                                              | <b>\$-</b>      | <b>\$10</b>     | <b>\$11</b>     |
| <b>9329 Chrome Plating Pollution Prevention Fund</b>                     |                 |                 |                 |
| APPROPRIATIONS                                                           |                 |                 |                 |
| 001 Budget Act appropriation                                             | <u>\$278</u>    | <u>\$283</u>    | <u>\$324</u>    |
| <b>Totals Available</b>                                                  | <b>\$278</b>    | <b>\$283</b>    | <b>\$324</b>    |

\* Dollars in thousands, except in Salary Range.

**0520 Secretary for Business, Transportation and Housing - Continued**

| <b>1 STATE OPERATIONS</b>                                                      | <b>2006-07*</b>     | <b>2007-08*</b>     | <b>2008-09*</b>     |
|--------------------------------------------------------------------------------|---------------------|---------------------|---------------------|
| Unexpended balance, estimated savings                                          | -158                | -                   | -                   |
| <b>TOTALS, EXPENDITURES</b>                                                    | <b>\$120</b>        | <b>\$283</b>        | <b>\$324</b>        |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>                      | <b>\$29,101</b>     | <b>\$17,534</b>     | <b>\$19,042</b>     |
| <br><b>2 LOCAL ASSISTANCE</b>                                                  | <br><b>2006-07*</b> | <br><b>2007-08*</b> | <br><b>2008-09*</b> |
| <b>0001 General Fund</b>                                                       |                     |                     |                     |
| APPROPRIATIONS                                                                 |                     |                     |                     |
| 101 Budget Act appropriation, as amended by Chapter 48, Statutes of 2006       | \$2,500             | \$-                 | \$-                 |
| 101 Budget Act appropriation                                                   | -                   | 0                   | 0                   |
| <b>TOTALS, EXPENDITURES</b>                                                    | <b>\$2,500</b>      | <b>\$-</b>          | <b>\$-</b>          |
| <b>TOTALS, GENERAL FUND EXPENDITURES</b>                                       | <b>\$2,500</b>      | <b>\$-</b>          | <b>\$-</b>          |
| <b>0649 California Infrastructure and Economic Development Bank Fund</b>       |                     |                     |                     |
| APPROPRIATIONS                                                                 |                     |                     |                     |
| Government Code Section 63050                                                  | \$8,101             | \$5,500             | \$5,500             |
| <b>TOTALS, EXPENDITURES</b>                                                    | <b>\$8,101</b>      | <b>\$5,500</b>      | <b>\$5,500</b>      |
| Loan Repayment per Government Code Section 63050                               | -                   | -3,323              | -3,323              |
| <b>NET TOTALS, EXPENDITURES</b>                                                | <b>\$8,101</b>      | <b>\$2,177</b>      | <b>\$2,177</b>      |
| <b>0995 Reimbursements</b>                                                     |                     |                     |                     |
| APPROPRIATIONS                                                                 |                     |                     |                     |
| Reimbursements                                                                 | \$-                 | \$2,000             | \$2,000             |
| <b>9329 Chrome Plating Pollution Prevention Fund</b>                           |                     |                     |                     |
| APPROPRIATIONS                                                                 |                     |                     |                     |
| 101 Budget Act appropriation                                                   | \$250               | \$250               | \$250               |
| <b>Totals Available</b>                                                        | <b>\$250</b>        | <b>\$250</b>        | <b>\$250</b>        |
| Unexpended balance, estimated savings                                          | -250                | -                   | -                   |
| <b>TOTALS, EXPENDITURES</b>                                                    | <b>\$-</b>          | <b>\$250</b>        | <b>\$250</b>        |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>                      | <b>\$10,601</b>     | <b>\$4,427</b>      | <b>\$4,427</b>      |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)</b> | <b>\$39,702</b>     | <b>\$21,961</b>     | <b>\$23,469</b>     |

**FUND CONDITION STATEMENTS**

|                                                                            | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|----------------------------------------------------------------------------|-----------------|-----------------|-----------------|
| <b>3083 Welcome Center Fund<sup>s</sup></b>                                |                 |                 |                 |
| BEGINNING BALANCE                                                          | \$12            | \$12            | \$9             |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS                                 |                 |                 |                 |
| Revenues:                                                                  |                 |                 |                 |
| 161400 Miscellaneous Revenue                                               | 56              | 75              | 75              |
| Total Revenues, Transfers, and Other Adjustments                           | \$56            | \$75            | \$75            |
| Total Resources                                                            | \$68            | \$87            | \$84            |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS                                   |                 |                 |                 |
| Expenditures:                                                              |                 |                 |                 |
| 0520 Secretary for Business, Transportation and Housing (State Operations) | 56              | 78              | 78              |
| Total Expenditures and Expenditure Adjustments                             | \$56            | \$78            | \$78            |
| FUND BALANCE                                                               | \$12            | \$9             | \$6             |
| Reserve for economic uncertainties                                         | 12              | 9               | 6               |
| <b>3095 Film Promotion and Marketing Fund<sup>s</sup></b>                  |                 |                 |                 |
| BEGINNING BALANCE                                                          | -               | \$5             | \$5             |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS                                 |                 |                 |                 |
| Revenues:                                                                  |                 |                 |                 |
| 161400 Miscellaneous Revenue                                               | \$5             | 10              | 10              |

\* Dollars in thousands, except in Salary Range.

**0520 Secretary for Business, Transportation and Housing - Continued**

|                                                                            | 2006-07* | 2007-08* | 2008-09* |
|----------------------------------------------------------------------------|----------|----------|----------|
| Total Revenues, Transfers, and Other Adjustments                           | \$5      | \$10     | \$10     |
| Total Resources                                                            | \$5      | \$15     | \$15     |
| <b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>                            |          |          |          |
| Expenditures:                                                              |          |          |          |
| 0520 Secretary for Business, Transportation and Housing (State Operations) | -        | 10       | 11       |
| Total Expenditures and Expenditure Adjustments                             | -        | \$10     | \$11     |
| <b>FUND BALANCE</b>                                                        | \$5      | \$5      | \$4      |
| Reserve for economic uncertainties                                         | 5        | 5        | 4        |

**CHANGES IN AUTHORIZED POSITIONS**

|                                       | <b>Positions</b> |             |             | <b>Expenditures</b> |                |                |
|---------------------------------------|------------------|-------------|-------------|---------------------|----------------|----------------|
|                                       | 2006-07          | 2007-08     | 2008-09     | 2006-07*            | 2007-08*       | 2008-09*       |
| Totals, Authorized Positions          | 54.4             | 62.0        | 62.0        | \$4,078             | \$4,490        | \$4,529        |
| Salary Adjustments                    | -                | -           | -           | -                   | 134            | 135            |
| <b>Proposed New Positions:</b>        |                  |             |             | <b>Salary Range</b> |                |                |
| Deputy Secretary                      | -                | -           | 1.0         | 9,144               | -              | 110            |
| Assoc Govtl Prog Analyst              | -                | -           | 1.0         | 4,400-5,348         | -              | 59             |
| Loan Ofcr                             | -                | -           | 3.0         | 4,400-5,348         | -              | 175            |
| Sr Acctg Ofcr-Spec                    | -                | -           | 1.0         | 4,400-5,348         | -              | 59             |
| Office Techn-Typing                   | -                | -           | 2.0         | 2,686-3,264         | -              | 71             |
| <b>Totals, Proposed New Positions</b> | -                | -           | <b>8.0</b>  | <b>\$-</b>          | <b>\$-</b>     | <b>\$474</b>   |
| <b>Total Adjustments</b>              | -                | -           | <b>8.0</b>  | <b>\$-</b>          | <b>\$134</b>   | <b>\$609</b>   |
| <b>TOTALS, SALARIES AND WAGES</b>     | <b>54.4</b>      | <b>62.0</b> | <b>70.0</b> | <b>\$4,078</b>      | <b>\$4,624</b> | <b>\$5,138</b> |

**0530 Secretary for California Health and Human Services Agency**

The primary mission of the Health and Human Services Agency (HHS) is to provide policy leadership and direction to the departments, board and programs it oversees, to reduce duplication and fragmentation among HHS departments in policy development and implementation, to improve coordination among departments on common programs, to ensure programmatic integrity, and to advance the Governor's priorities on health and human services issues.

The HHS accomplishes its mission through the administration and coordination of state and federal programs for public health, health care services, social services, public assistance, health planning and licensing, and rehabilitation. These programs touch the lives of millions of California's most needy and vulnerable residents. HHS is committed to striking a balance between the twin imperatives of maintaining access to essential health and human services for California's most disadvantaged and at-risk residents while constantly pursuing ways to better manage and control costs.

The following departments and entities fall under the purview of the HHS:

- Department of Aging
- Department of Alcohol and Drug Programs
- Department of Child Support Services
- Department of Community Services and Development
- Department of Developmental Services
- Emergency Medical Services Authority
- Department of Health Care Services
- Department of Mental Health
- Department of Public Health
- Department of Rehabilitation
- Department of Social Services
- Office of Statewide Health Planning and Development
- Managed Risk Medical Insurance Board

**3-YR EXPENDITURES AND POSITIONS (Summary of Program Requirements)**

|                                            | <b>Positions</b> |         |         | <b>Expenditures</b> |          |          |
|--------------------------------------------|------------------|---------|---------|---------------------|----------|----------|
|                                            | 2006-07          | 2007-08 | 2008-09 | 2006-07*            | 2007-08* | 2008-09* |
| 10 Secretary for Health and Human Services | 21.3             | 27.1    | 27.1    | \$3,240             | \$4,472  | \$4,506  |

\* Dollars in thousands, except in Salary Range.

**0530 Secretary for California Health and Human Services Agency - Continued**

|                                                          |                                                                                      | Positions    |              |              | Expenditures     |                  |                  |
|----------------------------------------------------------|--------------------------------------------------------------------------------------|--------------|--------------|--------------|------------------|------------------|------------------|
|                                                          |                                                                                      | 2006-07      | 2007-08      | 2008-09      | 2006-07*         | 2007-08*         | 2008-09*         |
| 21                                                       | Office of Health Insurance Portability and Accountability Act (HIPAA) Implementation | 9.9          | 10.3         | 13.3         | 3,346            | 4,061            | 4,129            |
| 30                                                       | Office of System Integration                                                         | 179.8        | 189.5        | 211.0        | 156,946          | 173,100          | 276,293          |
| <b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b> |                                                                                      | <b>211.0</b> | <b>226.9</b> | <b>251.4</b> | <b>\$163,532</b> | <b>\$181,633</b> | <b>\$284,928</b> |
| <b>FUNDING</b>                                           |                                                                                      |              |              |              | <b>2006-07*</b>  | <b>2007-08*</b>  | <b>2008-09*</b>  |
| 0001                                                     | General Fund                                                                         |              |              |              | \$4,609          | \$5,258          | \$5,335          |
| 0890                                                     | Federal Trust Fund                                                                   |              |              |              | 110              | 900              | 900              |
| 0995                                                     | Reimbursements                                                                       |              |              |              | 1,867            | 2,375            | 2,400            |
| 9732                                                     | Office of Systems Integration Fund                                                   |              |              |              | 156,946          | 173,100          | 276,293          |
| <b>TOTALS, EXPENDITURES, ALL FUNDS</b>                   |                                                                                      |              |              |              | <b>\$163,532</b> | <b>\$181,633</b> | <b>\$284,928</b> |

**LEGAL CITATIONS AND AUTHORITY**

10-Secretary for Health and Human Services:

Government Code, Title 2, Division 3, Part 2.5, commencing with Section 12800.

21-California Office of HIPAA Implementation (CalOHI):

Health and Safety Code Division 110, Section 130300 et seq.

30-Office of Systems Integration:

Government Code, Title 2, Division 3, Part 2.5, Section 12803.3.

**BUDGET-BALANCING REDUCTIONS**

- The Budget includes General Fund reductions of \$534,000 and 2.0 positions in 2008-09.
- The major budget balancing reductions include:  
Reductions in operating expenses and equipment, external contracts, and staffing.

**DETAILED BUDGET ADJUSTMENTS**

|                                                                            | 2007-08*     |                 |            | 2008-09*      |                 |             |
|----------------------------------------------------------------------------|--------------|-----------------|------------|---------------|-----------------|-------------|
|                                                                            | General Fund | Other Funds     | Positions  | General Fund  | Other Funds     | Positions   |
| <b>Baseline Adjustment Descriptions</b>                                    |              |                 |            |               |                 |             |
| • Case Management Information and Payrolling System (CMIPS II) Project     | \$-          | -\$7,688        | -          | \$-           | \$86,859        | 13.3        |
| • Electronic Benefits Transfer (EBT) Reprocedurement Project               | -            | -               | -          | -             | 2,010           | -           |
| • Child Welfare Services/Case Management System (CWS/CMS) New System       | -            | -               | -          | -             | 1,257           | 9.5         |
| • California Office of HIPAA Implementation                                | -            | -               | 2.8        | -             | -               | 2.8         |
| • ISAWS Migration Project                                                  | -            | -               | -          | -             | -252            | -           |
| • Child Welfare Services/Case Management System Maintenance and Operations | -            | -               | -          | -             | -1,931          | -           |
| • Miscellaneous Baseline Adjustments                                       | -4           | 3,417           | 6.9        | 73            | 11,004          | 8.6         |
| <b>Totals, Baseline Adjustments</b>                                        | <b>-\$4</b>  | <b>-\$4,271</b> | <b>9.7</b> | <b>\$73</b>   | <b>\$98,947</b> | <b>34.2</b> |
| <b>TOTALS, BUDGET ADJUSTMENTS</b>                                          | <b>-\$4</b>  | <b>-\$4,271</b> | <b>9.7</b> | <b>\$73</b>   | <b>\$98,947</b> | <b>34.2</b> |
| <b>Other Adjustments <sup>1/</sup></b>                                     |              |                 |            |               |                 |             |
| • Budget-Balancing Reductions                                              | -            | -               | -          | -534          | -100            | -2.0        |
| <b>REVISED TOTALS, BUDGET ADJUSTMENTS</b>                                  | <b>-\$4</b>  | <b>-\$4,271</b> | <b>9.7</b> | <b>-\$461</b> | <b>\$98,847</b> | <b>32.2</b> |

\* Dollars in thousands, except in Salary Range.

## 0530 Secretary for California Health and Human Services Agency - Continued

<sup>11</sup> These dollars and PYs are included in the General Government agency, therefore not included in the other fiscal statements for this department. These totals are also not included in the applicable Summary Schedules for this department.

### PROGRAM DESCRIPTIONS (Program Objectives Statement)

#### 10 - SECRETARY FOR HEALTH AND HUMAN SERVICES

The Secretary for California Health and Human Services Agency provides the Governor with the highest level of advice on state health, human services and related budget policy issues. The Secretary also provides the highest level of leadership and oversight of the agency wide efforts to promote the health and well being of a growing and increasingly diverse California population.

#### 21 - CALIFORNIA OFFICE OF HIPAA IMPLEMENTATION (CalOHI)

The California Office of HIPAA Implementation (CalOHI) has statewide responsibility for leadership and coordination of state departments' implementation of the federal Health Insurance Portability and Accountability Act (HIPAA). The HIPAA mandates the standardization and simplification of electronic healthcare billing and payments as well as establishes new standards for the privacy, confidentiality and security of personal health information.

#### 30 - OFFICE OF SYSTEMS INTEGRATION

This Office provides project management services for automation projects for the Department of Social Services, and for the Employment Development Department, including:

- Child Welfare Services/Case Management System
- Statewide Automated Welfare System
- Statewide Fingerprint Imaging System
- Electronic Benefit Transfer System
- Case Management, Information and Payrolling System
- Unemployment Insurance Modernization Project

### EXPENDITURES BY CATEGORY (Summary By Object)

| 1 State Operations                                                      | Positions    |              |              | Expenditures     |                  |                  |
|-------------------------------------------------------------------------|--------------|--------------|--------------|------------------|------------------|------------------|
|                                                                         | 2006-07      | 2007-08      | 2008-09      | 2006-07*         | 2007-08*         | 2008-09*         |
| PERSONAL SERVICES                                                       |              |              |              |                  |                  |                  |
| Authorized Positions (Equals Sch. 7A)                                   | 211.0        | 238.6        | 237.0        | \$15,216         | \$17,136         | \$17,282         |
| Total Adjustments                                                       | -            | -            | 27.0         | -                | 575              | 1,950            |
| Estimated Salary Savings                                                | -            | -11.7        | -12.6        | -                | -807             | -819             |
| <b>Net Totals, Salaries and Wages</b>                                   | <b>211.0</b> | <b>226.9</b> | <b>251.4</b> | <b>\$15,216</b>  | <b>\$16,904</b>  | <b>\$18,413</b>  |
| Staff Benefits                                                          | -            | -            | -            | 5,293            | 4,889            | 4,967            |
| <b>Totals, Personal Services</b>                                        | <b>211.0</b> | <b>226.9</b> | <b>251.4</b> | <b>\$20,509</b>  | <b>\$21,793</b>  | <b>\$23,380</b>  |
| OPERATING EXPENSES AND EQUIPMENT                                        |              |              |              | \$143,023        | \$159,840        | \$261,548        |
| <b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b> |              |              |              | <b>\$163,532</b> | <b>\$181,633</b> | <b>\$284,928</b> |

### DETAIL OF APPROPRIATIONS AND ADJUSTMENTS (Reconciliation with Appropriations)

| 1 STATE OPERATIONS                              | 2006-07* | 2007-08* | 2008-09* |
|-------------------------------------------------|----------|----------|----------|
| 0001 General Fund                               |          |          |          |
| APPROPRIATIONS                                  |          |          |          |
| 001 Budget Act appropriation                    | \$1,935  | \$2,093  | \$2,128  |
| Allocation for employee compensation            | 37       | 19       | -        |
| Adjustment per Section 3.60                     | 12       | -5       | -        |
| Adjustment per Section 4.75 Statewide Surcharge | 9        | -        | -        |
| 017 Budget Act appropriation                    | 3,051    | 3,169    | 3,207    |
| Allocation for employee compensation            | 43       | 17       | -        |
| Adjustment per Section 3.60                     | 7        | -2       | -        |

\* Dollars in thousands, except in Salary Range.

**0530 Secretary for California Health and Human Services Agency - Continued**

| <b>1 STATE OPERATIONS</b>                                 | <b>2006-07*</b>  | <b>2007-08*</b>  | <b>2008-09*</b>  |
|-----------------------------------------------------------|------------------|------------------|------------------|
| Adjustment per Section 4.04                               | -                | -33              | -                |
| Adjustment per Section 4.75 Statewide Surcharge           | 14               | -                | -                |
| <b>Totals Available</b>                                   | <b>\$5,108</b>   | <b>\$5,258</b>   | <b>\$5,335</b>   |
| Unexpended balance, estimated savings                     | -499             | -                | -                |
| <b>TOTALS, EXPENDITURES</b>                               | <b>\$4,609</b>   | <b>\$5,258</b>   | <b>\$5,335</b>   |
| <b>0890 Federal Trust Fund</b>                            |                  |                  |                  |
| APPROPRIATIONS                                            |                  |                  |                  |
| 001 Budget Act appropriation                              | \$-              | \$900            | \$900            |
| Federal Funds                                             | 300              | -                | -                |
| Budget Adjustment                                         | -190             | -                | -                |
| <b>TOTALS, EXPENDITURES</b>                               | <b>\$110</b>     | <b>\$900</b>     | <b>\$900</b>     |
| <b>0995 Reimbursements</b>                                |                  |                  |                  |
| APPROPRIATIONS                                            |                  |                  |                  |
| Reimbursements                                            | \$1,867          | \$2,375          | \$2,400          |
| <b>9732 Office of Systems Integration Fund</b>            |                  |                  |                  |
| APPROPRIATIONS                                            |                  |                  |                  |
| 001 Budget Act appropriation                              | \$214,622        | \$177,841        | \$276,293        |
| Allocation for employee compensation                      | 1,186            | 613              | -                |
| Adjustment per Section 3.60                               | 115              | -45              | -                |
| Adjustment per Section 15.25                              | -                | -7               | -                |
| <b>Totals Available</b>                                   | <b>\$215,923</b> | <b>\$178,402</b> | <b>\$276,293</b> |
| Unexpended balance, estimated savings                     | -58,977          | -5,302           | -                |
| <b>TOTALS, EXPENDITURES</b>                               | <b>\$156,946</b> | <b>\$173,100</b> | <b>\$276,293</b> |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b> | <b>\$163,532</b> | <b>\$181,633</b> | <b>\$284,928</b> |

**FUND CONDITION STATEMENTS**

|                                                                                   | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|-----------------------------------------------------------------------------------|-----------------|-----------------|-----------------|
| <b>9732 Office of Systems Integration Fund <sup>N</sup></b>                       |                 |                 |                 |
| BEGINNING BALANCE                                                                 | -               | -               | \$5,288         |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS                                        |                 |                 |                 |
| Revenues:                                                                         |                 |                 |                 |
| Other (Income from Operations)                                                    | \$156,946       | \$178,388       | 271,674         |
| Total Revenues, Transfers, and Other Adjustments                                  | \$156,946       | \$178,388       | \$271,674       |
| Total Resources                                                                   | \$156,946       | \$178,388       | \$276,962       |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS                                          |                 |                 |                 |
| Expenditures:                                                                     |                 |                 |                 |
| 0530 Secretary for California Health and Human Services Agency (State Operations) | 156,946         | 173,100         | 276,293         |
| Total Expenditures and Expenditure Adjustments                                    | \$156,946       | \$173,100       | \$276,293       |
| FUND BALANCE                                                                      | -               | \$5,288         | \$669           |

**CHANGES IN AUTHORIZED POSITIONS**

|                                                                                       | <b>Positions</b> |                |                | <b>Expenditures</b> |                 |                 |
|---------------------------------------------------------------------------------------|------------------|----------------|----------------|---------------------|-----------------|-----------------|
|                                                                                       | <b>2006-07</b>   | <b>2007-08</b> | <b>2008-09</b> | <b>2006-07*</b>     | <b>2007-08*</b> | <b>2008-09*</b> |
| Totals, Authorized Positions                                                          | 211.0            | 238.6          | 237.0          | \$15,216            | \$17,136        | \$17,282        |
| Salary Adjustments                                                                    | -                | -              | -              | -                   | 575             | 630             |
| <b>Proposed New Positions:</b>                                                        |                  |                |                | <b>Salary Range</b> |                 |                 |
| Office of Health Insurance Portability and Accountability Act (HIPAA) Implementation: |                  |                |                |                     |                 |                 |
| Staff Counsel III-Spec                                                                | -                | -              | 1.0            | 7,682-9,478         | -               | 100             |
| Staff Services Mgr II-Supvry                                                          | -                | -              | 1.0            | 5,576-6,727         | -               | 74              |

\* Dollars in thousands, except in Salary Range.

**0530 Secretary for California Health and Human Services Agency - Continued**

|                                        | Positions    |              |              | Expenditures    |                 |                 |
|----------------------------------------|--------------|--------------|--------------|-----------------|-----------------|-----------------|
|                                        | 2006-07      | 2007-08      | 2008-09      | 2006-07*        | 2007-08*        | 2008-09*        |
| Office Techn-Typing                    | -            | -            | 1.0          | 2,686-3,264     | -               | 36              |
| CWS:                                   |              |              |              |                 |                 |                 |
| Office of Systems Integration:         |              |              |              |                 |                 |                 |
| Associate Information Systems Analyst  | -            | -            | 1.0          | 4,467-5,703     | -               | 61              |
| Staff Information Systems Analyst      | -            | -            | 2.0          | 4,898-6,253     | -               | 133             |
| Systems Software Specialist III        | -            | -            | 1.0          | 5,909-7,540     | -               | 81              |
| Senior Information Systems Analyst     | -            | -            | 1.0          | 5,388-6,875     | -               | 74              |
| Senior Information Systems Analyst     | -            | -            | 2.0          | 5,388-6,875     | -               | -               |
| Staff Information Systems Analyst      | -            | -            | 1.0          | 4,898-6,253     | -               | -               |
| Associate Governmental Program Analyst | -            | -            | 1.0          | 4,255-5,172     | -               | -               |
| Associate Information Systems Analyst  | -            | -            | 1.0          | 4,467-5,703     | -               | 57              |
| EBT:                                   |              |              |              |                 |                 |                 |
| DPM III                                | -            | -            | -            | 7,118-8,239     | -               | 61              |
| DPM II                                 | -            | -            | -            | 5,849-7,464     | -               | 107             |
| Senior Information Systems Analyst     | -            | -            | -            | 5,571-7,109     | -               | 380             |
| Staff Information Systems Analyst      | -            | -            | -            | 5,065-6,466     | -               | 58              |
| Associate Governmental Program Analyst | -            | -            | -            | 4,400-5,348     | -               | 97              |
| Overtime                               | -            | -            | -            | -               | -               | 1               |
| CMIPS:                                 |              |              |              |                 |                 |                 |
| DPM III                                | -            | -            | 1.0          | 7,118-8,239     | -               | -               |
| DPM II                                 | -            | -            | 1.0          | 5,849-7,464     | -               | -               |
| Senior Information Systems Analyst     | -            | -            | 5.0          | 5,571-7,109     | -               | -               |
| Staff Information Systems Analyst      | -            | -            | 3.0          | 5,065-6,466     | -               | -               |
| Associate Governmental Program Analyst | -            | -            | 3.0          | 4,255-5,172     | -               | -               |
| Executive Secretary I                  | -            | -            | 1.0          | 3,020-3,672     | -               | -               |
| <b>Totals, Proposed New Positions</b>  | -            | -            | <b>27.0</b>  | <b>\$-</b>      | <b>\$-</b>      | <b>\$1,320</b>  |
| <b>Total Adjustments</b>               | -            | -            | <b>27.0</b>  | <b>\$-</b>      | <b>\$575</b>    | <b>\$1,950</b>  |
| <b>TOTALS, SALARIES AND WAGES</b>      | <b>211.0</b> | <b>238.6</b> | <b>264.0</b> | <b>\$15,216</b> | <b>\$17,711</b> | <b>\$19,232</b> |

**0540 Secretary for Resources**

The mission of the Resources Agency is to restore, protect and manage the state's natural, historical and cultural resources for current and future generations using creative approaches and solutions based on science, collaboration and respect for all involved communities. The Secretary for Resources, a member of the Governor's Cabinet, sets the policies and coordinates the environmental preservation and restoration activities of 24 various departments, boards, commissions, and conservancies, and directly administers the Sea Grant Program, California Environmental Quality Act (CEQA), River Parkways, the Sierra Nevada Cascade grant programs, and the CALFED Bay-Delta Program.

The Resources Agency consists of the departments of Forestry and Fire Protection, Conservation, Fish and Game, Boating and Waterways, Parks and Recreation, and Water Resources; the State Lands Commission; the Colorado River Board; the San Francisco Bay Conservation and Development Commission; the State Reclamation Board; the Energy Resources Conservation and Development Commission; the Wildlife Conservation Board; the Delta Protection Commission; the California Conservation Corps; the California Coastal Commission; the State Coastal Conservancy; the California Tahoe Conservancy; the Santa Monica Mountains Conservancy; the Coachella Valley Mountains Conservancy; the San Joaquin River Conservancy; the San Gabriel and Lower Los Angeles Rivers and Mountains Conservancy; the Baldwin Hills Conservancy; the San Diego River Conservancy; the Sierra Nevada Conservancy; and the Special Resources Program.

The Budget Act of 2006 transferred the executive management and the Science program staff of the California Bay-Delta Authority to the Resources Agency as part of the reorganization of the CALFED Bay-Delta Program.

**3-YR EXPENDITURES AND POSITIONS (Summary of Program Requirements)**

\* Dollars in thousands, except in Salary Range.

**0540 Secretary for Resources - Continued**

|                                                          |                                                                                                         | Positions   |             |             | Expenditures    |                  |                 |
|----------------------------------------------------------|---------------------------------------------------------------------------------------------------------|-------------|-------------|-------------|-----------------|------------------|-----------------|
|                                                          |                                                                                                         | 2006-07     | 2007-08     | 2008-09     | 2006-07*        | 2007-08*         | 2008-09*        |
| 10                                                       | Administration of Resources Agency                                                                      | 31.9        | 37.8        | 42.1        | \$68,036        | \$132,205        | \$54,163        |
| 20                                                       | CALFED Bay-Delta Program                                                                                | 28.5        | 34.2        | 34.0        | 13,156          | 34,160           | 43,420          |
| <b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b> |                                                                                                         | <b>60.4</b> | <b>72.0</b> | <b>76.1</b> | <b>\$81,192</b> | <b>\$166,365</b> | <b>\$97,583</b> |
| <b>FUNDING</b>                                           |                                                                                                         |             |             |             | <b>2006-07*</b> | <b>2007-08*</b>  | <b>2008-09*</b> |
| 0001                                                     | General Fund                                                                                            |             |             |             | \$5,848         | \$5,975          | \$6,249         |
| 0005                                                     | Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund                       |             |             |             | 142             | 12,574           | 211             |
| 0140                                                     | California Environmental License Plate Fund                                                             |             |             |             | 3,208           | 3,363            | 3,243           |
| 0183                                                     | Environmental Enhancement and Mitigation Program Fund                                                   |             |             |             | -               | 125              | 128             |
| 0890                                                     | Federal Trust Fund                                                                                      |             |             |             | 4,070           | 2,959            | 3,210           |
| 0995                                                     | Reimbursements                                                                                          |             |             |             | 1,201           | 16,443           | 16,646          |
| 6015                                                     | River Protection Subaccount                                                                             |             |             |             | -               | 766              | 16              |
| 6029                                                     | California Clean Water, Clean Air, Safe Neighborhood Parks, and Coastal Protection Fund                 |             |             |             | 4,172           | 33,048           | 1,902           |
| 6031                                                     | Water Security, Clean Drinking Water, Coastal and Beach Protection Fund of 2002                         |             |             |             | 62,551          | 76,811           | 21,037          |
| 6051                                                     | Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund of 2006 |             |             |             | -               | 14,301           | 44,941          |
| <b>TOTALS, EXPENDITURES, ALL FUNDS</b>                   |                                                                                                         |             |             |             | <b>\$81,192</b> | <b>\$166,365</b> | <b>\$97,583</b> |

**LEGAL CITATIONS AND AUTHORITY****DEPARTMENT AUTHORITY**

Government Code Sections 12800, 12801, 12802.5, 12805, 12807-12810, 12850-12850.7, 12851-12855. Public Resources Code Section 36000. Water Code Section 79442.

**MAJOR PROGRAM CHANGES**

- The Budget provides \$177,000 General Fund for implementation of Chapter 185, Statutes of 2007 (SB 97) to develop California Environmental Quality Act (CEQA) guidelines for the mitigation of greenhouse gases.
- San Joaquin River Restoration - The Budget provides \$15.9 million Proposition 84 to support implementation of a settlement agreement between the federal government, local water users, and environmental advocates to restore portions of the San Joaquin River, including channel modifications and ecosystem restoration projects that will be implemented by the Department of Water Resources and the Department of Fish and Game.
- River Parkways - The Budget provides \$28.6 million Proposition 84 for the River Parkways Program. The program funds projects that provide public access to river parkways, provide recreational opportunities along rivers, protect riverine habitat, maintain open-space character of lands along rivers, and/or other conservation activities.
- CALFED Science Program - The Budget provides \$26.4 million Proposition 50 for the CALFED Science Program. The Science Program is designed to establish an unbiased, relevant, and authoritative body of knowledge relevant to CALFED actions and their implications.

**BUDGET-BALANCING REDUCTIONS**

- The Budget includes General Fund reductions of \$87,000 in 2007-08 and \$625,000 in 2008-09.

The major budget balancing reductions include:

- 2007-08

Reduce \$87,000 from the CALFED Bay-Delta Program.

- 2008-09

Reduce \$18,000 for implementation of Chapter 185, Statutes of 2007 (SB 97). This reduction will decrease the resources available for external contracts.

Reduce \$607,000 from the CALFED Bay-Delta Program. This reduction will decrease the resources available for legal services.

\* Dollars in thousands, except in Salary Range.

## 0540 Secretary for Resources - Continued

## DETAILED BUDGET ADJUSTMENTS

|                                                                                                     | 2007-08*      |                  |           | 2008-09*      |                 |             |
|-----------------------------------------------------------------------------------------------------|---------------|------------------|-----------|---------------|-----------------|-------------|
|                                                                                                     | General Fund  | Other Funds      | Positions | General Fund  | Other Funds     | Positions   |
| <b>Baseline Adjustment Descriptions</b>                                                             |               |                  |           |               |                 |             |
| • SB 97 Implementation                                                                              | \$-           | \$-              | -         | \$177         | \$-             | 1.2         |
| • Proposition 84: River Parkways                                                                    | -             | -                | -         | -             | 28,606          | 1.9         |
| • Proposition 84: San Joaquin River Restoration                                                     | -             | -                | -         | -             | 15,906          | -           |
| • Employee Compensation/Retirement                                                                  | 63            | 163              | -         | 68            | 156             | -           |
| • Carryover of Proposition 50: CALFED Science Program                                               | -             | 18,759           | -         | -             | -               | -           |
| • Reappropriation/Carryover of Propositions 12, 13, and 40: River Parkways and Other Grant Programs | -             | 88,303           | -         | -             | -               | -           |
| • One Time Reductions: CALFED Science Program                                                       | -             | -                | -         | -             | -16,292         | -           |
| • Zero-Based Bond Funds (Propositions 50 and 84)                                                    | -             | -                | -         | -             | -34,369         | -           |
| • Other Baseline Adjustments                                                                        | -92           | -                | -         | -1            | 301             | -           |
| <b>Totals, Baseline Adjustments</b>                                                                 | <b>-\$29</b>  | <b>\$107,225</b> | <b>-</b>  | <b>\$244</b>  | <b>-\$5,692</b> | <b>3.1</b>  |
| <b>Policy Adjustment Descriptions</b>                                                               |               |                  |           |               |                 |             |
| • CALFED Science Program                                                                            | \$-           | -\$17,389        | -         | \$-           | \$26,363        | 5.7         |
| • Federal Coastal Impact Assistance Plan Implementation                                             | -             | -                | -         | -             | 110             | 1.9         |
| <b>Totals, Policy Adjustments</b>                                                                   | <b>\$-</b>    | <b>-\$17,389</b> | <b>-</b>  | <b>\$-</b>    | <b>\$26,473</b> | <b>7.6</b>  |
| <b>TOTALS, BUDGET ADJUSTMENTS</b>                                                                   | <b>-\$29</b>  | <b>\$89,836</b>  | <b>-</b>  | <b>\$244</b>  | <b>\$20,781</b> | <b>10.7</b> |
| <b>Other Adjustments <sup>11</sup></b>                                                              |               |                  |           |               |                 |             |
| • Budget-Balancing Reductions                                                                       | -87           | -                | -         | -625          | -               | -           |
| <b>REVISED TOTALS, BUDGET ADJUSTMENTS</b>                                                           | <b>-\$116</b> | <b>\$89,836</b>  | <b>-</b>  | <b>-\$381</b> | <b>\$20,781</b> | <b>10.7</b> |

<sup>11</sup> These dollars and PYs are included in the General Government agency, therefore not included in the other fiscal statements for this department. These totals are also not included in the applicable Summary Schedules for this department.

## PROGRAM DESCRIPTIONS (Program Objectives Statement)

## 10 - Administration of Resources Agency

The Resources Agency sets the policies and coordinates the environmental preservation and restoration activities of 24 various departments, boards, commissions, and conservancies, and directly administers the Sea Grant Program, California Environmental Quality Act (CEQA), River Parkways, and the Sierra Nevada Cascade grant programs.

## 20 - CALFED Bay-Delta Program

The CALFED Bay-Delta Program coordinates 24 state and federal agencies to implement a long-term comprehensive plan that will restore ecological health and improve water supply reliability in the San Francisco Bay/Sacramento-San Joaquin Delta (Bay-Delta) system.

Restoration objectives are set forth in a 30-year comprehensive plan to address the ecosystem health and water supply reliability problems in the Bay-Delta. The plan identifies projects and strategies to address eleven major program elements, including ecosystem restoration, drinking water quality, levee system integrity, watershed management, water storage, water transfers, water use efficiency, delta water conveyance, science, water management, and an environmental water account for water purchases.

Effective July 1, 2006, Chapter 77, Statutes of 2006 reorganized the CALFED Bay-Delta Program and transferred the functions of the California Bay Delta Authority to other departments and agencies. The executive management and Science Program functions were transferred to the Secretary for Resources (organization code 0540), the Ecosystem Restoration Program functions to the Department of Fish and Game (organization code 3600), the CALFED Bay-Delta administrative functions to the Department of Forestry and Fire Protection (organization code 3540), the Water Quality program functions to the State Water Resources Control Board (organization code 3940), and the Levees and Water Use Efficiency program functions to the Department of Water Resources (organization code 3860).

\* Dollars in thousands, except in Salary Range.

## 0540 Secretary for Resources - Continued

## DETAILED EXPENDITURES BY PROGRAM (Program Budget Detail)

|                             |                                                                                                         | 2006-07*        | 2007-08*         | 2008-09*        |
|-----------------------------|---------------------------------------------------------------------------------------------------------|-----------------|------------------|-----------------|
| <b>PROGRAM REQUIREMENTS</b> |                                                                                                         |                 |                  |                 |
| <b>10</b>                   | <b>ADMINISTRATION OF RESOURCES AGENCY</b>                                                               |                 |                  |                 |
|                             | <b>State Operations:</b>                                                                                |                 |                  |                 |
| 0001                        | General Fund                                                                                            | \$-             | \$-              | \$177           |
| 0005                        | Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund                       | 142             | 1,204            | 211             |
| 0140                        | California Environmental License Plate Fund                                                             | 3,208           | 3,363            | 3,243           |
| 0183                        | Environmental Enhancement and Mitigation Program Fund                                                   | -               | 125              | 128             |
| 0890                        | Federal Trust Fund                                                                                      | 4,070           | 199              | 293             |
| 0995                        | Reimbursements                                                                                          | 429             | 568              | 578             |
| 6015                        | River Protection Subaccount                                                                             | -               | 27               | 16              |
| 6029                        | California Clean Water, Clean Air, Safe Neighborhood Parks, and Coastal Protection Fund                 | 1,214           | 1,945            | 1,902           |
| 6031                        | Water Security, Clean Drinking Water, Coastal and Beach Protection Fund of 2002                         | 1,652           | 2,674            | 2,674           |
| 6051                        | Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund of 2006 | -               | 14,301           | 16,576          |
|                             | <b>Totals, State Operations</b>                                                                         | <b>\$10,715</b> | <b>\$24,406</b>  | <b>\$25,798</b> |
|                             | <b>Local Assistance:</b>                                                                                |                 |                  |                 |
| 0005                        | Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund                       | \$-             | \$11,370         | \$-             |
| 6015                        | River Protection Subaccount                                                                             | -               | 739              | -               |
| 6029                        | California Clean Water, Clean Air, Safe Neighborhood Parks, and Coastal Protection Fund                 | 2,958           | 31,103           | -               |
| 6031                        | Water Security, Clean Drinking Water, Coastal and Beach Protection Fund of 2002                         | 54,363          | 64,587           | -               |
| 6051                        | Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund of 2006 | -               | -                | 28,365          |
|                             | <b>Totals, Local Assistance</b>                                                                         | <b>\$57,321</b> | <b>\$107,799</b> | <b>\$28,365</b> |
| <b>PROGRAM REQUIREMENTS</b> |                                                                                                         |                 |                  |                 |
| <b>20</b>                   | <b>CALFED BAY-DELTA PROGRAM</b>                                                                         |                 |                  |                 |
|                             | <b>State Operations:</b>                                                                                |                 |                  |                 |
| 0001                        | General Fund                                                                                            | \$5,848         | \$5,975          | \$6,072         |
| 0890                        | Federal Trust Fund                                                                                      | -               | 2,760            | 2,917           |
| 0995                        | Reimbursements                                                                                          | 772             | 15,875           | 16,068          |
| 6031                        | Water Security, Clean Drinking Water, Coastal and Beach Protection Fund of 2002                         | 6,536           | 9,550            | 18,363          |
|                             | <b>Totals, State Operations</b>                                                                         | <b>\$13,156</b> | <b>\$34,160</b>  | <b>\$43,420</b> |
| <b>TOTALS, EXPENDITURES</b> |                                                                                                         |                 |                  |                 |
|                             | State Operations                                                                                        | 23,871          | 58,566           | 69,218          |
|                             | Local Assistance                                                                                        | 57,321          | 107,799          | 28,365          |
|                             | <b>Totals, Expenditures</b>                                                                             | <b>\$81,192</b> | <b>\$166,365</b> | <b>\$97,583</b> |

## EXPENDITURES BY CATEGORY (Summary By Object)

| 1 State Operations | Positions         |         |         | Expenditures |          |          |
|--------------------|-------------------|---------|---------|--------------|----------|----------|
|                    | 2006-07           | 2007-08 | 2008-09 | 2006-07*     | 2007-08* | 2008-09* |
|                    | PERSONAL SERVICES |         |         |              |          |          |

\* Dollars in thousands, except in Salary Range.

**0540 Secretary for Resources - Continued**

| 1 State Operations                                                      | Positions   |             |             | Expenditures    |                  |                 |
|-------------------------------------------------------------------------|-------------|-------------|-------------|-----------------|------------------|-----------------|
|                                                                         | 2006-07     | 2007-08     | 2008-09     | 2006-07*        | 2007-08*         | 2008-09*        |
| Authorized Positions (Equals Sch. 7A)                                   | 60.4        | 76.1        | 69.1        | \$4,617         | \$5,922          | \$5,522         |
| Total Adjustments                                                       | -           | -0.3        | 11.0        | -               | 183              | 945             |
| Estimated Salary Savings                                                | -           | -3.8        | -4.0        | -               | -305             | -285            |
| <b>Net Totals, Salaries and Wages</b>                                   | <b>60.4</b> | <b>72.0</b> | <b>76.1</b> | <b>\$4,617</b>  | <b>\$5,800</b>   | <b>\$6,182</b>  |
| Staff Benefits                                                          | -           | -           | -           | 1,674           | 2,098            | 2,659           |
| <b>Totals, Personal Services</b>                                        | <b>60.4</b> | <b>72.0</b> | <b>76.1</b> | <b>\$6,291</b>  | <b>\$7,898</b>   | <b>\$8,841</b>  |
| OPERATING EXPENSES AND EQUIPMENT                                        |             |             |             | \$17,580        | \$50,668         | \$60,377        |
| <b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b> |             |             |             | <b>\$23,871</b> | <b>\$58,566</b>  | <b>\$69,218</b> |
| 2 Local Assistance                                                      |             |             |             | Expenditures    |                  |                 |
|                                                                         |             |             |             | 2006-07*        | 2007-08*         | 2008-09*        |
| Grants and Subventions                                                  |             |             |             | \$57,321        | \$107,799        | \$28,365        |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>               |             |             |             | <b>\$57,321</b> | <b>\$107,799</b> | <b>\$28,365</b> |

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS (Reconciliation with Appropriations)**

| 1 STATE OPERATIONS                                                                             | 2006-07*       | 2007-08*       | 2008-09*       |
|------------------------------------------------------------------------------------------------|----------------|----------------|----------------|
| 0001 General Fund                                                                              |                |                |                |
| APPROPRIATIONS                                                                                 |                |                |                |
| 001 Budget Act appropriation                                                                   | \$5,824        | \$6,005        | \$6,249        |
| Allocation for employee compensation                                                           | 68             | 68             | -              |
| Adjustment per Section 3.60                                                                    | 15             | -6             | -              |
| Adjustment per Section 4.04                                                                    | -              | -102           | -              |
| Adjustment per Section 4.75 Statewide Surcharge                                                | 2              | -              | -              |
| Adjustment per Section 15.25                                                                   | -              | 10             | -              |
| <b>Totals Available</b>                                                                        | <b>\$5,909</b> | <b>\$5,975</b> | <b>\$6,249</b> |
| Unexpended balance, estimated savings                                                          | -61            | -              | -              |
| <b>TOTALS, EXPENDITURES</b>                                                                    | <b>\$5,848</b> | <b>\$5,975</b> | <b>\$6,249</b> |
| 0005 Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund         |                |                |                |
| APPROPRIATIONS                                                                                 |                |                |                |
| 001 Budget Act appropriation                                                                   | \$214          | \$207          | \$211          |
| Allocation for employee compensation                                                           | 35             | 4              | -              |
| Adjustment per Section 3.60                                                                    | 1              | -              | -              |
| Prior year balances available:                                                                 |                |                |                |
| Item 0540-001-0005, Budget Act of 2002, as reappropriated by Item 0540-490, Budget Act of 2007 | -              | 993            | -              |
| <b>Totals Available</b>                                                                        | <b>\$250</b>   | <b>\$1,204</b> | <b>\$211</b>   |
| Unexpended balance, estimated savings                                                          | -108           | -              | -              |
| <b>TOTALS, EXPENDITURES</b>                                                                    | <b>\$142</b>   | <b>\$1,204</b> | <b>\$211</b>   |
| 0140 California Environmental License Plate Fund                                               |                |                |                |
| APPROPRIATIONS                                                                                 |                |                |                |
| 001 Budget Act appropriation                                                                   | \$3,072        | \$3,316        | \$3,243        |
| Allocation for employee compensation                                                           | 389            | 53             | -              |
| Adjustment per Section 3.60                                                                    | 16             | -6             | -              |
| Adjustment per Section 4.75 Statewide Surcharge                                                | 1              | -              | -              |
| <b>Totals Available</b>                                                                        | <b>\$3,478</b> | <b>\$3,363</b> | <b>\$3,243</b> |
| Unexpended balance, estimated savings                                                          | -270           | -              | -              |
| <b>TOTALS, EXPENDITURES</b>                                                                    | <b>\$3,208</b> | <b>\$3,363</b> | <b>\$3,243</b> |
| 0183 Environmental Enhancement and Mitigation Program Fund                                     |                |                |                |

\* Dollars in thousands, except in Salary Range.

**0540 Secretary for Resources - Continued**

| <b>1 STATE OPERATIONS</b>                                                                                                                                     | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|-----------------|-----------------|
| APPROPRIATIONS                                                                                                                                                |                 |                 |                 |
| 001 Budget Act appropriation                                                                                                                                  | \$-             | \$125           | \$128           |
| <b>TOTALS, EXPENDITURES</b>                                                                                                                                   | <b>\$-</b>      | <b>\$125</b>    | <b>\$128</b>    |
| <b>0890 Federal Trust Fund</b>                                                                                                                                |                 |                 |                 |
| APPROPRIATIONS                                                                                                                                                |                 |                 |                 |
| 001 Budget Act appropriation                                                                                                                                  | \$236           | \$2,959         | \$3,210         |
| Budget Adjustment                                                                                                                                             | -204            | -               | -               |
| Prior year balances available:                                                                                                                                |                 |                 |                 |
| Item 0540-001-0890, Budget Act of 2002 as reappropriated by Item 0540-491, Budget Act of 2006                                                                 | 4,768           | -               | -               |
| Budget Adjustment                                                                                                                                             | -730            | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                                                                                                                                   | <b>\$4,070</b>  | <b>\$2,959</b>  | <b>\$3,210</b>  |
| <b>0995 Reimbursements</b>                                                                                                                                    |                 |                 |                 |
| APPROPRIATIONS                                                                                                                                                |                 |                 |                 |
| Reimbursements                                                                                                                                                | \$1,201         | \$16,443        | \$16,646        |
| <b>6015 River Protection Subaccount</b>                                                                                                                       |                 |                 |                 |
| APPROPRIATIONS                                                                                                                                                |                 |                 |                 |
| 001 Budget Act appropriation                                                                                                                                  | \$-             | \$16            | \$16            |
| Prior year balances available:                                                                                                                                |                 |                 |                 |
| Chapter 688, Statutes of 2005                                                                                                                                 | 11              | 11              | -               |
| <b>Totals Available</b>                                                                                                                                       | <b>\$11</b>     | <b>\$27</b>     | <b>\$16</b>     |
| Balance available in subsequent years                                                                                                                         | -11             | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                                                                                                                                   | <b>\$-</b>      | <b>\$27</b>     | <b>\$16</b>     |
| <b>6029 California Clean Water, Clean Air, Safe Neighborhood Parks, and Coastal Protection Fund</b>                                                           |                 |                 |                 |
| APPROPRIATIONS                                                                                                                                                |                 |                 |                 |
| 001 Budget Act appropriation                                                                                                                                  | \$1,838         | \$1,935         | \$1,902         |
| Allocation for employee compensation                                                                                                                          | 83              | 11              | -               |
| Adjustment per Section 3.60                                                                                                                                   | 1               | -1              | -               |
| <b>Totals Available</b>                                                                                                                                       | <b>\$1,922</b>  | <b>\$1,945</b>  | <b>\$1,902</b>  |
| Unexpended balance, estimated savings                                                                                                                         | -708            | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                                                                                                                                   | <b>\$1,214</b>  | <b>\$1,945</b>  | <b>\$1,902</b>  |
| <b>6031 Water Security, Clean Drinking Water, Coastal and Beach Protection Fund of 2002</b>                                                                   |                 |                 |                 |
| APPROPRIATIONS                                                                                                                                                |                 |                 |                 |
| 001 Budget Act appropriation                                                                                                                                  | \$7,883         | \$-             | \$-             |
| Allocation for employee compensation                                                                                                                          | 217             | -               | -               |
| Adjustment per Section 3.60                                                                                                                                   | 12              | -               | -               |
| 001 Budget Act appropriation, as partially reverted by Item 0540-495, Budget Act of 2008                                                                      | -               | 10,761          | -               |
| Allocation for employee compensation                                                                                                                          | -               | 98              | -               |
| Adjustment per Section 3.60                                                                                                                                   | -               | -5              | -               |
| 001 Budget Act appropriation                                                                                                                                  | -               | -               | 21,037          |
| Prior year balances available:                                                                                                                                |                 |                 |                 |
| Item 3870-001-6031, Budget Act of 2003, as reappropriated by Item 3870-490, Budget Acts of 2004 and 2005, and Item 0540-491, Budget Act of 2006               | 12,097          | -               | -               |
| Item 3870-001-6031, Budget Act of 2003, as reappropriated by 3870-490, BAs of 2004 & 2005, and 0540-491, BA 2006, and partially reverted by 0540-495, BA 2008 | -               | 6,462           | -               |
| Item 3870-001-6031, Budget Act of 2004 as reappropriated by Item 0540-491, Budget Act of 2006, and partially reverted by Item 0540-495, BA of 2008            | 12,297          | 12,297          | -               |
| <b>Totals Available</b>                                                                                                                                       | <b>\$32,506</b> | <b>\$29,613</b> | <b>\$21,037</b> |
| Unexpended balance, estimated savings                                                                                                                         | -5,559          | -17,389         | -               |
| Balance available in subsequent years                                                                                                                         | -18,759         | -               | -               |

\* Dollars in thousands, except in Salary Range.

**0540 Secretary for Resources - Continued**

| <b>1 STATE OPERATIONS</b>                                                                                                            | <b>2006-07*</b> | <b>2007-08*</b>  | <b>2008-09*</b> |
|--------------------------------------------------------------------------------------------------------------------------------------|-----------------|------------------|-----------------|
| <b>TOTALS, EXPENDITURES</b>                                                                                                          | <b>\$8,188</b>  | <b>\$12,224</b>  | <b>\$21,037</b> |
| <b>6051 Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund of 2006</b>                  |                 |                  |                 |
| APPROPRIATIONS                                                                                                                       |                 |                  |                 |
| 001 Budget Act appropriation                                                                                                         | \$-             | \$14,295         | \$16,576        |
| Allocation for employee compensation                                                                                                 | -               | 7                | -               |
| Adjustment per Section 3.60                                                                                                          | -               | -1               | -               |
| <b>TOTALS, EXPENDITURES</b>                                                                                                          | <b>\$-</b>      | <b>\$14,301</b>  | <b>\$16,576</b> |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>                                                                            | <b>\$23,871</b> | <b>\$58,566</b>  | <b>\$69,218</b> |
| <b>2 LOCAL ASSISTANCE</b>                                                                                                            | <b>2006-07*</b> | <b>2007-08*</b>  | <b>2008-09*</b> |
| <b>0005 Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund</b>                                        |                 |                  |                 |
| APPROPRIATIONS                                                                                                                       |                 |                  |                 |
| Prior year balances available:                                                                                                       |                 |                  |                 |
| Item 0540-101-0005, Budget Act of 2000, as reappropriated by Item 0540-490, Budget Acts of 2002 and 2007                             | \$-             | \$11,370         | \$-             |
| <b>TOTALS, EXPENDITURES</b>                                                                                                          | <b>\$-</b>      | <b>\$11,370</b>  | <b>\$-</b>      |
| <b>6015 River Protection Subaccount</b>                                                                                              |                 |                  |                 |
| APPROPRIATIONS                                                                                                                       |                 |                  |                 |
| Prior year balances available:                                                                                                       |                 |                  |                 |
| Item 0540-101-6015, Budget Act of 2002, as reappropriated by Item 0540-490, Budget Act of 2002                                       | \$-             | \$739            | \$-             |
| <b>TOTALS, EXPENDITURES</b>                                                                                                          | <b>\$-</b>      | <b>\$739</b>     | <b>\$-</b>      |
| <b>6029 California Clean Water, Clean Air, Safe Neighborhood Parks, and Coastal Protection Fund</b>                                  |                 |                  |                 |
| APPROPRIATIONS                                                                                                                       |                 |                  |                 |
| 101 Budget Act appropriation                                                                                                         | \$440           | \$-              | \$-             |
| Prior year balances available:                                                                                                       |                 |                  |                 |
| Item 0540-101-6029, Budget Act of 2002, as reappropriated by Item 0540-492, Budget Act of 2004 and Item 0540-490, Budget Act of 2007 | 7,800           | 26,580           | -               |
| Item 0540-101-6029, Budget Act of 2005                                                                                               | 7,041           | 4,083            | -               |
| Item 0540-101-6029, Budget Act of 2006                                                                                               | -               | 440              | -               |
| <b>Totals Available</b>                                                                                                              | <b>\$15,281</b> | <b>\$31,103</b>  | <b>\$-</b>      |
| Balance available in subsequent years                                                                                                | -12,323         | -                | -               |
| <b>TOTALS, EXPENDITURES</b>                                                                                                          | <b>\$2,958</b>  | <b>\$31,103</b>  | <b>\$-</b>      |
| <b>6031 Water Security, Clean Drinking Water, Coastal and Beach Protection Fund of 2002</b>                                          |                 |                  |                 |
| APPROPRIATIONS                                                                                                                       |                 |                  |                 |
| 101 Budget Act appropriation                                                                                                         | \$42,150        | \$20,500         | \$-             |
| Prior year balances available:                                                                                                       |                 |                  |                 |
| Item 0540-101-6031, Budget Act of 2005                                                                                               | 42,150          | 13,295           | -               |
| Item 0540-101-6031, Budget Act of 2006                                                                                               | -               | 30,500           | -               |
| Chapter 230, Statutes of 2004                                                                                                        | 14,150          | 292              | -               |
| <b>Totals Available</b>                                                                                                              | <b>\$98,450</b> | <b>\$64,587</b>  | <b>\$-</b>      |
| Balance available in subsequent years                                                                                                | -44,087         | -                | -               |
| <b>TOTALS, EXPENDITURES</b>                                                                                                          | <b>\$54,363</b> | <b>\$64,587</b>  | <b>\$-</b>      |
| <b>6051 Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund of 2006</b>                  |                 |                  |                 |
| APPROPRIATIONS                                                                                                                       |                 |                  |                 |
| 101 Budget Act appropriation                                                                                                         | \$-             | \$-              | \$28,365        |
| <b>TOTALS, EXPENDITURES</b>                                                                                                          | <b>\$-</b>      | <b>\$-</b>       | <b>\$28,365</b> |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>                                                                            | <b>\$57,321</b> | <b>\$107,799</b> | <b>\$28,365</b> |

\* Dollars in thousands, except in Salary Range.

**0540 Secretary for Resources - Continued****2 LOCAL ASSISTANCE**

|                                                                                | <u>2006-07*</u> | <u>2007-08*</u>  | <u>2008-09*</u> |
|--------------------------------------------------------------------------------|-----------------|------------------|-----------------|
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)</b> | <b>\$81,192</b> | <b>\$166,365</b> | <b>\$97,583</b> |

**CHANGES IN AUTHORIZED POSITIONS**

|                                                                     | <u>Positions</u> |                |                | <u>Expenditures</u> |                 |                 |
|---------------------------------------------------------------------|------------------|----------------|----------------|---------------------|-----------------|-----------------|
|                                                                     | <u>2006-07</u>   | <u>2007-08</u> | <u>2008-09</u> | <u>2006-07*</u>     | <u>2007-08*</u> | <u>2008-09*</u> |
| Totals, Authorized Positions                                        | 60.4             | 76.1           | 69.1           | \$4,617             | \$5,922         | \$5,522         |
| Salary Adjustments                                                  | -                | -              | -              | -                   | 183             | 171             |
| <b>Workload and Administrative Adjustments:</b>                     |                  |                |                | <b>Salary Range</b> |                 |                 |
| Reductions in Authorized Positions:                                 |                  |                |                |                     |                 |                 |
| Temporary Help                                                      | -                | -0.3           | -0.3           | -                   | -               | -               |
| <b>Totals, Workload &amp; Admin Adjustments</b>                     | <b>-</b>         | <b>-0.3</b>    | <b>-0.3</b>    | <b>\$-</b>          | <b>\$-</b>      | <b>\$-</b>      |
| <b>Proposed New Positions:</b>                                      |                  |                |                |                     |                 |                 |
| Administration of Resources Agency:                                 |                  |                |                |                     |                 |                 |
| Staff Counsel III - Specialist (1.0 LT pos eff 11/1/08 exp 6/30/10) | -                | -              | 0.7            | 7,682-9,478         | -               | 68              |
| Assoc Park and Recr Spec (2.0 LT pos exp 6/30/15)                   | -                | -              | 2.0            | 4,833-5,874         | -               | 128             |
| Coastal Program Analyst I                                           | -                | -              | 1.0            | 3,106-4,670         | -               | 46              |
| Office Technician (Typing)                                          | -                | -              | 1.0            | 2,686-3,264         | -               | 36              |
| Sr Legal Typist (1.0 LT pos eff 11/1/08 exp 6/30/10)                | -                | -              | 0.6            | 2,589-3,516         | -               | 25              |
| Science:                                                            |                  |                |                |                     |                 |                 |
| Program Mgr III (1.0 LT pos exp 6/30/12)                            | -                | -              | 1.0            | 8,006-8,830         | -               | 106             |
| Program Mgr II (1.0 LT pos exp 6/30/12)                             | -                | -              | 1.0            | 7,265-8,008         | -               | 96              |
| Staff Envirntl Scientist (2.0 LT pos exp 6/30/12)                   | -                | -              | 2.0            | 5,445-6,575         | -               | 158             |
| Research Analyst II-Gen (1.0 LT pos exp 6/30/12)                    | -                | -              | 1.0            | 4,619-5,616         | -               | 67              |
| Executive Secretary I (1.0 LT pos exp 6/30/12)                      | -                | -              | 1.0            | 3,020-3,672         | -               | 44              |
| <b>Totals, Proposed New Positions</b>                               | <b>-</b>         | <b>-</b>       | <b>11.3</b>    | <b>\$-</b>          | <b>\$-</b>      | <b>\$774</b>    |
| <b>Total Adjustments</b>                                            | <b>-</b>         | <b>-0.3</b>    | <b>11.0</b>    | <b>\$-</b>          | <b>\$183</b>    | <b>\$945</b>    |
| <b>TOTALS, SALARIES AND WAGES</b>                                   | <b>60.4</b>      | <b>75.8</b>    | <b>80.1</b>    | <b>\$4,617</b>      | <b>\$6,105</b>  | <b>\$6,467</b>  |

**0552 Office of the Inspector General**

The Office of the Inspector General oversees the state's correctional system through audits, special reviews, and investigations of the Department of Corrections and Rehabilitation. Although the duties required of the Inspector General's Office are complex, its mission is clear: to protect public safety by safeguarding the integrity of California's correctional system.

The Office of the Inspector General's Bureau of Audits and Investigations promotes accountability through objective, independent audits, special reviews, inspections, and investigations of California's correctional system. As a result of the audits, reviews, inspections, and investigations, the Inspector General provides impartial analysis and policy recommendations to the Governor, the Legislature, correctional administrators, and the public. The Office of the Inspector General is mandated to perform baseline audits of correctional institutions and wardens in addition to evaluating the qualifications of warden and superintendent candidates whose names have been submitted by the Governor.

Established within the Office of the Inspector General is the Bureau of Independent Review, which monitors internal affairs investigations conducted by the Department of Corrections and Rehabilitation to ensure they are performed in a timely and professionally sound manner through the oversight of those investigations and the employee discipline process. With regional offices throughout the state, the bureau provides contemporaneous oversight as internal affairs investigations are conducted.

In addition, the Public Safety and Offender Rehabilitation Services Act of 2007, Chapter 7, Statutes of 2007, created the California Rehabilitation Oversight Board (Board) within the Office of the Inspector General. The Board's mandate is to examine the Department of Corrections and Rehabilitation's various mental health, substance abuse, educational, and employment programs for inmates and parolees. The Board meets quarterly to recommend modifications, additions, and eliminations of offender rehabilitation and treatment programs. The Board also submits biannual reports to the Governor, the Legislature, and the public to convey its findings on the effectiveness of treatment efforts, rehabilitation needs of offenders, gaps in offender rehabilitation services, and levels of offender participation and success.

\* Dollars in thousands, except in Salary Range.

## 0552 Office of the Inspector General - Continued

### 3-YR EXPENDITURES AND POSITIONS (Summary of Program Requirements)

|                                                          | Positions   |              |              | Expenditures    |                 |                 |
|----------------------------------------------------------|-------------|--------------|--------------|-----------------|-----------------|-----------------|
|                                                          | 2006-07     | 2007-08      | 2008-09      | 2006-07*        | 2007-08*        | 2008-09*        |
| 10 Office of the Inspector General                       | 81.1        | 108.9        | 144.0        | \$14,088        | \$19,170        | \$25,552        |
| <b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b> | <b>81.1</b> | <b>108.9</b> | <b>144.0</b> | <b>\$14,088</b> | <b>\$19,170</b> | <b>\$25,552</b> |
| <b>FUNDING</b>                                           |             |              |              | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
| 0001 General Fund                                        |             |              |              | \$14,088        | \$19,170        | \$25,552        |
| <b>TOTALS, EXPENDITURES, ALL FUNDS</b>                   |             |              |              | <b>\$14,088</b> | <b>\$19,170</b> | <b>\$25,552</b> |

### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

Penal Code, Part III, Title 7, Chapter 8.2, Sections 6125 to 6133 and Section 6140.

### MAJOR PROGRAM CHANGES

- Medical Investigation Monitoring-The Budget includes \$878,000 for the Bureau of Independent Review to monitor investigations of serious misconduct by medical staff at the California Department of Corrections and Rehabilitation (CDCR). This monitoring program is proposed in conjunction with the federal health care Receiver in the case of Plata v. Schwarzenegger.
- Monitoring of Use-of-Force Meetings-The Budget includes \$890,000 for the Bureau of Independent Review to attend monthly use-of-force meetings at CDCR. This activity is a critical step in substantiating that CDCR is in compliance with the use-of-force remedial plan for Madrid v. Tilton.

### BUDGET-BALANCING REDUCTIONS

- The Budget includes General Fund reductions of \$1.7 million in 2008-09.
- The Bureau of Independent Review (BIR) has been exempted from the budget balancing reductions. The BIR is responsible for monitoring Madrid v. Tilton compliance and reductions to this unit would hamper the state's ability to comply with the court order.
- Major budget balancing reductions include a reduction of \$1.5 million and 8.2 positions in 2008-09 for the Bureau of Audits and Investigations. This reduction will be achieved by reducing the number of audits and investigations required to be completed annually. An additional \$202,000 and 2.0 positions is being reduced from Administration in recognition of this reduced workload.

### DETAILED BUDGET ADJUSTMENTS

| Baseline Adjustment Descriptions                                    | 2007-08*     |             |           | 2008-09*     |             |           |
|---------------------------------------------------------------------|--------------|-------------|-----------|--------------|-------------|-----------|
|                                                                     | General Fund | Other Funds | Positions | General Fund | Other Funds | Positions |
| • Bureau of Audits and Investigations Workload                      | \$-          | \$-         | -         | \$3,761      | \$-         | 19.0      |
| • AB 971 (Chapter 709, Statutes of 2006):<br>Superintendent Vetting | -            | -           | -         | 959          | -           | 4.8       |
| • Monitoring CDCR Use-of-Force Meetings (Madrid)                    | -            | -           | -         | 890          | -           | 3.8       |
| • Medical Investigations Monitoring (Plata)                         | 627          | -           | 2.8       | 878          | -           | 4.8       |
| • Information Technology and Administrative Staff Workload          | -            | -           | -         | 690          | -           | 5.7       |
| • Employee Compensation Adjustment                                  | 271          | -           | -         | 313          | -           | -         |
| • Price Increase                                                    | -            | -           | -         | 97           | -           | -         |
| • Control Section 3.60 Retirement Rate Adjustment                   | 72           | -           | -         | 72           | -           | -         |
| • BL 07-31 Adjustment                                               | -4           | -           | -         | -4           | -           | -         |
| • Control Section 4.04 Adjustment                                   | -102         | -           | -         | -102         | -           | -         |
| • One-Time Cost Reductions                                          | -            | -           | -         | -308         | -           | -         |

\* Dollars in thousands, except in Salary Range.

## 0552 Office of the Inspector General - Continued

|                                    | 2007-08*     |             |           | 2008-09*     |             |           |
|------------------------------------|--------------|-------------|-----------|--------------|-------------|-----------|
|                                    | General Fund | Other Funds | Positions | General Fund | Other Funds | Positions |
| Totals, Baseline Adjustments       | \$864        | \$-         | 2.8       | \$7,246      | \$-         | 38.1      |
| TOTALS, BUDGET ADJUSTMENTS         | \$864        | \$-         | 2.8       | \$7,246      | \$-         | 38.1      |
| Other Adjustments <sup>11</sup>    |              |             |           |              |             |           |
| • Budget-Balancing Reductions      | -            | -           | -         | -1,672       | -           | -10.2     |
| REVISED TOTALS, BUDGET ADJUSTMENTS | \$864        | \$-         | 2.8       | \$5,574      | \$-         | 27.9      |

<sup>11</sup> These dollars and PYs are included in the General Government agency, therefore not included in the other fiscal statements for this department. These totals are also not included in the applicable Summary Schedules for this department.

## DETAILED EXPENDITURES BY PROGRAM (Program Budget Detail)

|                                    |  | 2006-07* | 2007-08* | 2008-09* |
|------------------------------------|--|----------|----------|----------|
| PROGRAM REQUIREMENTS               |  |          |          |          |
| 10 OFFICE OF THE INSPECTOR GENERAL |  |          |          |          |
| State Operations:                  |  |          |          |          |
| 0001 General Fund                  |  | \$14,088 | \$19,170 | \$25,552 |
| Totals, State Operations           |  | \$14,088 | \$19,170 | \$25,552 |
| TOTALS, EXPENDITURES               |  |          |          |          |
| State Operations                   |  | 14,088   | 19,170   | 25,552   |
| Totals, Expenditures               |  | \$14,088 | \$19,170 | \$25,552 |

## EXPENDITURES BY CATEGORY (Summary By Object)

| 1 State Operations                                               |  | Positions |         |         | Expenditures |          |          |
|------------------------------------------------------------------|--|-----------|---------|---------|--------------|----------|----------|
|                                                                  |  | 2006-07   | 2007-08 | 2008-09 | 2006-07*     | 2007-08* | 2008-09* |
| PERSONAL SERVICES                                                |  |           |         |         |              |          |          |
| Authorized Positions (Equals Sch. 7A)                            |  | 81.1      | 108.0   | 108.0   | \$7,370      | \$9,898  | \$10,023 |
| Total Adjustments                                                |  | -         | 5.0     | 40.0    | -            | 472      | 3,991    |
| Estimated Salary Savings                                         |  | -         | -4.1    | -4.0    | -            | -136     | -311     |
| Net Totals, Salaries and Wages                                   |  | 81.1      | 108.9   | 144.0   | \$7,370      | \$10,234 | \$13,703 |
| Staff Benefits                                                   |  | -         | -       | -       | 2,541        | 4,486    | 6,066    |
| Totals, Personal Services                                        |  | 81.1      | 108.9   | 144.0   | \$9,911      | \$14,720 | \$19,769 |
| OPERATING EXPENSES AND EQUIPMENT                                 |  |           |         |         | \$4,177      | \$4,450  | \$5,783  |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations) |  |           |         |         | \$14,088     | \$19,170 | \$25,552 |

## DETAIL OF APPROPRIATIONS AND ADJUSTMENTS (Reconciliation with Appropriations)

| 1 STATE OPERATIONS                               |  | 2006-07* | 2007-08* | 2008-09* |
|--------------------------------------------------|--|----------|----------|----------|
| 0001 General Fund                                |  |          |          |          |
| APPROPRIATIONS                                   |  |          |          |          |
| 001 Budget Act appropriation                     |  | \$15,225 | \$18,306 | \$25,552 |
| Allocation for employee compensation             |  | 508      | 271      | -        |
| Adjustment per Section 3.60                      |  | 70       | 72       | -        |
| Adjustment per Section 4.04                      |  | -        | -102     | -        |
| Adjustment per Section 4.75 Statewide Surcharge  |  | 11       | -        | -        |
| Adjustment per Section 15.25                     |  | -        | -4       | -        |
| Transfer from Item 5225-002-0001 per Provision 2 |  | -        | 627      | -        |
| Totals Available                                 |  | \$15,814 | \$19,170 | \$25,552 |

\* Dollars in thousands, except in Salary Range.

**0552 Office of the Inspector General - Continued**

| <b>1 STATE OPERATIONS</b>                                 | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|-----------------------------------------------------------|-----------------|-----------------|-----------------|
| Unexpended balance, estimated savings                     | -1,726          | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                               | <b>\$14,088</b> | <b>\$19,170</b> | <b>\$25,552</b> |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b> | <b>\$14,088</b> | <b>\$19,170</b> | <b>\$25,552</b> |

**CHANGES IN AUTHORIZED POSITIONS**

|                                                 | <b>Positions</b> |                |                | <b>Expenditures</b> |                 |                 |
|-------------------------------------------------|------------------|----------------|----------------|---------------------|-----------------|-----------------|
|                                                 | <b>2006-07</b>   | <b>2007-08</b> | <b>2008-09</b> | <b>2006-07*</b>     | <b>2007-08*</b> | <b>2008-09*</b> |
| Totals, Authorized Positions                    | 81.1             | 108.0          | 108.0          | \$7,370             | \$9,898         | \$10,023        |
| Salary Adjustments                              | -                | -              | -              | -                   | 151             | 151             |
| <b>Workload and Administrative Adjustments:</b> |                  |                |                | <b>Salary Range</b> |                 |                 |
| Sr. Assistant Inspector General                 | -                | 1.0            | 1.0            | 8,910-11,002        | 77              | 132             |
| DIG In-charge                                   | -                | -              | 2.0            | 8,895-9,805         | -               | 235             |
| Special Assistant Inspector General             | -                | 3.0            | 7.0            | 8,486-10,477        | 220             | 880             |
| DIG Senior                                      | -                | -              | 3.0            | 7,499-9,065         | -               | 336             |
| Systems Software Specialist III (Technical)     | -                | -              | 1.0            | 6,110-7,796         | -               | 83              |
| Deputy Inspector General                        | -                | -              | 17.0           | 5,061-8,450         | -               | 1,751           |
| Assoc Information Systems Analyst               | -                | -              | 1.0            | 4,619-5,897         | -               | 63              |
| Supv of Technical Publications                  | -                | -              | 1.0            | 5,067-6,114         | -               | 67              |
| AGPA                                            | -                | -              | 1.0            | 4,400-5,348         | -               | 58              |
| Legal Secretary                                 | -                | 1.0            | 1.0            | 3,038-3,878         | 24              | 41              |
| Staff Services Analyst                          | -                | -              | 2.0            | 2,817-4,446         | -               | 87              |
| Office Technician (T)                           | -                | -              | 3.0            | 2,686-3,264         | -               | 107             |
| <b>Workload and Administrative Totals</b>       | <b>-</b>         | <b>5.0</b>     | <b>40.0</b>    | <b>\$-</b>          | <b>\$321</b>    | <b>\$3,840</b>  |
| <b>Total Adjustments</b>                        | <b>-</b>         | <b>5.0</b>     | <b>40.0</b>    | <b>\$-</b>          | <b>\$472</b>    | <b>\$3,991</b>  |
| <b>TOTALS, SALARIES AND WAGES</b>               | <b>81.1</b>      | <b>113.0</b>   | <b>148.0</b>   | <b>\$7,370</b>      | <b>\$10,370</b> | <b>\$14,014</b> |

**0555 Secretary for Environmental Protection**

The Secretary for Environmental Protection is the cabinet level voice for the protection of human health and the environment. Its programs restore, protect and enhance environmental quality, and ensure public health. The Office of the Secretary coordinates the state's environmental regulatory programs and promotes the state's economic vitality. The Secretary also administers the children's environmental health, environmental justice, environmental law enforcement, environmental education, emergency preparedness and response, Certified Unified Program Agencies (CUPAs), and scientific review programs. The Secretary leads greenhouse gas emission reduction and climate change activities in state government, and is responsible for coordinating the implementation of Chapter 488, Statutes of 2006 (AB 32).

**3-YR EXPENDITURES AND POSITIONS (Summary of Program Requirements)**

|                                                          | <b>Positions</b> |                |                | <b>Expenditures</b> |                 |                 |
|----------------------------------------------------------|------------------|----------------|----------------|---------------------|-----------------|-----------------|
|                                                          | <b>2006-07</b>   | <b>2007-08</b> | <b>2008-09</b> | <b>2006-07*</b>     | <b>2007-08*</b> | <b>2008-09*</b> |
| 30 Support                                               | 55.6             | 67.7           | 67.7           | \$11,412            | \$14,387        | \$14,739        |
| <b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b> | <b>55.6</b>      | <b>67.7</b>    | <b>67.7</b>    | <b>\$11,412</b>     | <b>\$14,387</b> | <b>\$14,739</b> |
| <b>FUNDING</b>                                           |                  |                |                | <b>2006-07*</b>     | <b>2007-08*</b> | <b>2008-09*</b> |
| 0001 General Fund                                        |                  |                |                | \$1,971             | \$2,058         | \$2,093         |
| 0014 Hazardous Waste Control Account                     |                  |                |                | 308                 | 326             | 326             |
| 0028 Unified Program Account                             |                  |                |                | 1,258               | 1,440           | 1,482           |
| 0044 Motor Vehicle Account, State Transportation Fund    |                  |                |                | 1,778               | 1,852           | 1,953           |
| 0100 California Used Oil Recycling Fund                  |                  |                |                | 29                  | 32              | 31              |
| 0106 Department of Pesticide Regulation Fund             |                  |                |                | 759                 | 811             | 848             |
| 0115 Air Pollution Control Fund                          |                  |                |                | 637                 | 1,347           | 1,379           |
| 0193 Waste Discharge Permit Fund                         |                  |                |                | 280                 | 298             | 320             |

\* Dollars in thousands, except in Salary Range.

## 0555 Secretary for Environmental Protection - Continued

| FUNDING                                                                                          | 2006-07*        | 2007-08*        | 2008-09*        |
|--------------------------------------------------------------------------------------------------|-----------------|-----------------|-----------------|
| 0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund                        | 60              | 66              | 68              |
| 0281 Recycling Market Development Revolving Loan Subaccount, Integrated Waste Management Account | 141             | 150             | 150             |
| 0387 Integrated Waste Management Account, Integrated Waste Management Fund                       | 715             | 746             | 766             |
| 0439 Underground Storage Tank Cleanup Fund                                                       | 797             | 886             | 899             |
| 0679 State Water Quality Control Fund                                                            | 175             | 181             | 188             |
| 0995 Reimbursements                                                                              | 1,808           | 1,937           | 1,971           |
| 1006 Rural CUPA Reimbursement Account                                                            | -148            | -               | -               |
| 3058 Water Rights Fund                                                                           | 35              | 36              | 40              |
| 8013 Environmental Enforcement and Training Account                                              | 654             | 2,066           | 2,066           |
| 8020 Environmental Education Account                                                             | 155             | 155             | 159             |
| <b>TOTALS, EXPENDITURES, ALL FUNDS</b>                                                           | <b>\$11,412</b> | <b>\$14,387</b> | <b>\$14,739</b> |

### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

Governor's Reorganization Plan No. 1 of 1991, Government Code Section 12812.6, Health and Safety Code Section 42821, Health and Safety Code, Division 37, Section 57000 et seq., and Public Resources Code Sections 71000 and 71110.

### BUDGET-BALANCING REDUCTIONS

- The Budget includes General Fund reductions of \$100,000 in 2007-08 and \$209,000 in 2008-09.

The major balancing reductions include:

- 2007-08

Reduce \$100,000 for Children's Health Center contracts. This will reduce resources for children's environmental health activities and projects that examine the risks, exposures, and health effects of pollutants on infants and children.

- 2008-09

Reduce \$209,000 in funding for Climate Change activities, Children's Health Center contracts, and allocations to Rural Certified Unified Program Agencies.

### DETAILED BUDGET ADJUSTMENTS

|                                                         | 2007-08*      |              |           | 2008-09*      |              |           |
|---------------------------------------------------------|---------------|--------------|-----------|---------------|--------------|-----------|
|                                                         | General Fund  | Other Funds  | Positions | General Fund  | Other Funds  | Positions |
| <b>Baseline Adjustment Descriptions</b>                 |               |              |           |               |              |           |
| • Employee Compensation and Retirement Rate Adjustments | \$12          | \$195        | -         | \$14          | \$222        | -         |
| • Other Baseline Adjustments                            | -43           | -            | -         | -10           | 290          | -         |
| <b>Totals, Baseline Adjustments</b>                     | <b>-\$31</b>  | <b>\$195</b> | <b>-</b>  | <b>\$4</b>    | <b>\$512</b> | <b>-</b>  |
| <b>TOTALS, BUDGET ADJUSTMENTS</b>                       | <b>-\$31</b>  | <b>\$195</b> | <b>-</b>  | <b>\$4</b>    | <b>\$512</b> | <b>-</b>  |
| <b>Other Adjustments <sup>1/</sup></b>                  |               |              |           |               |              |           |
| • Budget-Balancing Reductions                           | -100          | -            | -         | -209          | -            | -         |
| <b>REVISED TOTALS, BUDGET ADJUSTMENTS</b>               | <b>-\$131</b> | <b>\$195</b> | <b>-</b>  | <b>-\$205</b> | <b>\$512</b> | <b>-</b>  |

<sup>1/</sup> These dollars and PYs are included in the General Government agency, therefore not included in the other fiscal statements for this department. These totals are also not included in the applicable Summary Schedules for this department.

### PROGRAM DESCRIPTIONS (Program Objectives Statement)

#### 30 - SUPPORT PROGRAM

The Secretary for Environmental Protection's primary program objectives are to restore, protect and enhance environmental

\* Dollars in thousands, except in Salary Range.

## 0555 Secretary for Environmental Protection - Continued

quality, and protect public health while fostering economic vitality. The Secretary oversees the state's environmental regulatory programs with particular emphasis on ensuring "cross-media" coordination, enhancing risk assessment (especially for children and other sensitive populations), enforcing laws consistently and fairly, and objectively measuring and reporting results.

### DETAILED EXPENDITURES BY PROGRAM (Program Budget Detail)

|                             |                                                                                             | 2006-07*        | 2007-08*        | 2008-09*        |
|-----------------------------|---------------------------------------------------------------------------------------------|-----------------|-----------------|-----------------|
| <b>PROGRAM REQUIREMENTS</b> |                                                                                             |                 |                 |                 |
| <b>30</b>                   | <b>SUPPORT</b>                                                                              |                 |                 |                 |
|                             | <b>State Operations:</b>                                                                    |                 |                 |                 |
| 0001                        | General Fund                                                                                | \$1,971         | \$2,058         | \$2,093         |
| 0014                        | Hazardous Waste Control Account                                                             | 308             | 326             | 326             |
| 0028                        | Unified Program Account                                                                     | 1,258           | 1,440           | 1,482           |
| 0044                        | Motor Vehicle Account, State Transportation Fund                                            | 1,778           | 1,852           | 1,953           |
| 0100                        | California Used Oil Recycling Fund                                                          | 29              | 32              | 31              |
| 0106                        | Department of Pesticide Regulation Fund                                                     | 759             | 811             | 848             |
| 0115                        | Air Pollution Control Fund                                                                  | 637             | 1,347           | 1,379           |
| 0193                        | Waste Discharge Permit Fund                                                                 | 280             | 298             | 320             |
| 0235                        | Public Resources Account, Cigarette and Tobacco Products Surtax Fund                        | 60              | 66              | 68              |
| 0281                        | Recycling Market Development Revolving Loan Subaccount, Integrated Waste Management Account | 141             | 150             | 150             |
| 0387                        | Integrated Waste Management Account, Integrated Waste Management Fund                       | 715             | 746             | 766             |
| 0439                        | Underground Storage Tank Cleanup Fund                                                       | 797             | 886             | 899             |
| 0679                        | State Water Quality Control Fund                                                            | 175             | 181             | 188             |
| 0995                        | Reimbursements                                                                              | 1,808           | 1,937           | 1,971           |
| 1006                        | Rural CUPA Reimbursement Account                                                            | -148            | -               | -               |
| 3058                        | Water Rights Fund                                                                           | 35              | 36              | 40              |
| 8013                        | Environmental Enforcement and Training Account                                              | 654             | 2,066           | 2,066           |
| 8020                        | Environmental Education Account                                                             | 155             | 155             | 159             |
|                             | <b>Totals, State Operations</b>                                                             | <b>\$11,412</b> | <b>\$14,387</b> | <b>\$14,739</b> |
| <b>TOTALS, EXPENDITURES</b> |                                                                                             |                 |                 |                 |
|                             | State Operations                                                                            | 11,412          | 14,387          | 14,739          |
|                             | <b>Totals, Expenditures</b>                                                                 | <b>\$11,412</b> | <b>\$14,387</b> | <b>\$14,739</b> |

### EXPENDITURES BY CATEGORY (Summary By Object)

| 1 State Operations                                                      | Positions   |             |             | Expenditures    |                 |                 |
|-------------------------------------------------------------------------|-------------|-------------|-------------|-----------------|-----------------|-----------------|
|                                                                         | 2006-07     | 2007-08     | 2008-09     | 2006-07*        | 2007-08*        | 2008-09*        |
| PERSONAL SERVICES                                                       |             |             |             |                 |                 |                 |
| Authorized Positions (Equals Sch. 7A)                                   | 55.6        | 72.5        | 72.5        | \$3,790         | \$4,826         | \$4,895         |
| Total Adjustments                                                       | -           | -           | -           | -               | 161             | 164             |
| Estimated Salary Savings                                                | -           | -4.8        | -4.8        | -               | -242            | -242            |
| <b>Net Totals, Salaries and Wages</b>                                   | <b>55.6</b> | <b>67.7</b> | <b>67.7</b> | <b>\$3,790</b>  | <b>\$4,745</b>  | <b>\$4,817</b>  |
| Staff Benefits                                                          | -           | -           | -           | 1,605           | 1,622           | 1,648           |
| <b>Totals, Personal Services</b>                                        | <b>55.6</b> | <b>67.7</b> | <b>67.7</b> | <b>\$5,395</b>  | <b>\$6,367</b>  | <b>\$6,465</b>  |
| OPERATING EXPENSES AND EQUIPMENT                                        |             |             |             | \$6,017         | \$8,020         | \$8,274         |
| <b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b> |             |             |             | <b>\$11,412</b> | <b>\$14,387</b> | <b>\$14,739</b> |

\* Dollars in thousands, except in Salary Range.

## 0555 Secretary for Environmental Protection - Continued

### DETAIL OF APPROPRIATIONS AND ADJUSTMENTS (Reconciliation with Appropriations)

| 1 STATE OPERATIONS                                                          | 2006-07*       | 2007-08*       | 2008-09*       |
|-----------------------------------------------------------------------------|----------------|----------------|----------------|
| <b>0001 General Fund</b>                                                    |                |                |                |
| APPROPRIATIONS                                                              |                |                |                |
| 001 Budget Act appropriation                                                | \$1,040        | \$1,136        | \$1,165        |
| Allocation for employee compensation                                        | -              | 13             | -              |
| Adjustment per Section 3.60                                                 | 3              | -1             | -              |
| Adjustment per Section 4.04                                                 | -              | -18            | -              |
| 011 Budget Act appropriation (transfer to Rural CUPA Reimbursement Account) | 928            | 953            | 928            |
| Adjustment per Section 4.04                                                 | -              | -25            | -              |
| <b>TOTALS, EXPENDITURES</b>                                                 | <b>\$1,971</b> | <b>\$2,058</b> | <b>\$2,093</b> |
| <b>0014 Hazardous Waste Control Account</b>                                 |                |                |                |
| APPROPRIATIONS                                                              |                |                |                |
| 001 Budget Act appropriation                                                | \$307          | \$318          | \$326          |
| Allocation for employee compensation                                        | -              | 8              | -              |
| Adjustment per Section 3.60                                                 | 1              | -              | -              |
| <b>TOTALS, EXPENDITURES</b>                                                 | <b>\$308</b>   | <b>\$326</b>   | <b>\$326</b>   |
| <b>0028 Unified Program Account</b>                                         |                |                |                |
| APPROPRIATIONS                                                              |                |                |                |
| 001 Budget Act appropriation                                                | \$1,407        | \$1,412        | \$1,482        |
| Allocation for employee compensation                                        | 45             | 30             | -              |
| Adjustment per Section 3.60                                                 | 6              | -2             | -              |
| <b>Totals Available</b>                                                     | <b>\$1,458</b> | <b>\$1,440</b> | <b>\$1,482</b> |
| Unexpended balance, estimated savings                                       | -200           | -              | -              |
| <b>TOTALS, EXPENDITURES</b>                                                 | <b>\$1,258</b> | <b>\$1,440</b> | <b>\$1,482</b> |
| <b>0044 Motor Vehicle Account, State Transportation Fund</b>                |                |                |                |
| APPROPRIATIONS                                                              |                |                |                |
| 001 Budget Act appropriation                                                | \$1,694        | \$1,813        | \$1,953        |
| Allocation for employee compensation                                        | 76             | 42             | -              |
| Adjustment per Section 3.60                                                 | 8              | -3             | -              |
| 011 Budget Act appropriation (Transfer to the Air Pollution Control Fund)   | -              | (293)          | -              |
| <b>TOTALS, EXPENDITURES</b>                                                 | <b>\$1,778</b> | <b>\$1,852</b> | <b>\$1,953</b> |
| <b>0100 California Used Oil Recycling Fund</b>                              |                |                |                |
| APPROPRIATIONS                                                              |                |                |                |
| 001 Budget Act appropriation                                                | \$29           | \$31           | \$31           |
| Allocation for employee compensation                                        | -              | 1              | -              |
| <b>TOTALS, EXPENDITURES</b>                                                 | <b>\$29</b>    | <b>\$32</b>    | <b>\$31</b>    |
| <b>0106 Department of Pesticide Regulation Fund</b>                         |                |                |                |
| APPROPRIATIONS                                                              |                |                |                |
| 001 Budget Act appropriation                                                | \$726          | \$794          | \$848          |
| Allocation for employee compensation                                        | 30             | 18             | -              |
| Adjustment per Section 3.60                                                 | 3              | -1             | -              |
| <b>TOTALS, EXPENDITURES</b>                                                 | <b>\$759</b>   | <b>\$811</b>   | <b>\$848</b>   |
| <b>0115 Air Pollution Control Fund</b>                                      |                |                |                |
| APPROPRIATIONS                                                              |                |                |                |
| 001 Budget Act appropriation                                                | \$666          | \$1,331        | \$1,379        |
| Allocation for employee compensation                                        | 8              | 17             | -              |
| Adjustment per Section 3.60                                                 | 1              | -1             | -              |
| <b>Totals Available</b>                                                     | <b>\$675</b>   | <b>\$1,347</b> | <b>\$1,379</b> |

\* Dollars in thousands, except in Salary Range.

**0555 Secretary for Environmental Protection - Continued**

| <b>1 STATE OPERATIONS</b>                                                                               | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|---------------------------------------------------------------------------------------------------------|-----------------|-----------------|-----------------|
| Unexpended balance, estimated savings                                                                   | <u>-38</u>      | <u>-</u>        | <u>-</u>        |
| <b>TOTALS, EXPENDITURES</b>                                                                             | <b>\$637</b>    | <b>\$1,347</b>  | <b>\$1,379</b>  |
| <b>0193 Waste Discharge Permit Fund</b>                                                                 |                 |                 |                 |
| APPROPRIATIONS                                                                                          |                 |                 |                 |
| 001 Budget Act appropriation                                                                            | \$272           | \$292           | \$320           |
| Allocation for employee compensation                                                                    | 7               | 6               | -               |
| Adjustment per Section 3.60                                                                             | <u>1</u>        | <u>-</u>        | <u>-</u>        |
| <b>TOTALS, EXPENDITURES</b>                                                                             | <b>\$280</b>    | <b>\$298</b>    | <b>\$320</b>    |
| <b>0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund</b>                        |                 |                 |                 |
| APPROPRIATIONS                                                                                          |                 |                 |                 |
| 001 Budget Act appropriation                                                                            | \$60            | \$65            | \$68            |
| Allocation for employee compensation                                                                    | -               | 1               | -               |
| <b>TOTALS, EXPENDITURES</b>                                                                             | <b>\$60</b>     | <b>\$66</b>     | <b>\$68</b>     |
| <b>0281 Recycling Market Development Revolving Loan Subaccount, Integrated Waste Management Account</b> |                 |                 |                 |
| APPROPRIATIONS                                                                                          |                 |                 |                 |
| 001 Budget Act appropriation                                                                            | \$140           | \$146           | \$150           |
| Allocation for employee compensation                                                                    | -               | 4               | -               |
| Adjustment per Section 3.60                                                                             | <u>1</u>        | <u>-</u>        | <u>-</u>        |
| <b>TOTALS, EXPENDITURES</b>                                                                             | <b>\$141</b>    | <b>\$150</b>    | <b>\$150</b>    |
| <b>0387 Integrated Waste Management Account, Integrated Waste Management Fund</b>                       |                 |                 |                 |
| APPROPRIATIONS                                                                                          |                 |                 |                 |
| 001 Budget Act appropriation                                                                            | \$671           | \$729           | \$766           |
| Allocation for employee compensation                                                                    | 41              | 18              | -               |
| Adjustment per Section 3.60                                                                             | <u>3</u>        | <u>-1</u>       | <u>-</u>        |
| <b>TOTALS, EXPENDITURES</b>                                                                             | <b>\$715</b>    | <b>\$746</b>    | <b>\$766</b>    |
| <b>0439 Underground Storage Tank Cleanup Fund</b>                                                       |                 |                 |                 |
| APPROPRIATIONS                                                                                          |                 |                 |                 |
| 001 Budget Act appropriation                                                                            | \$761           | \$866           | \$899           |
| Allocation for employee compensation                                                                    | 33              | 21              | -               |
| Adjustment per Section 3.60                                                                             | <u>3</u>        | <u>-1</u>       | <u>-</u>        |
| <b>TOTALS, EXPENDITURES</b>                                                                             | <b>\$797</b>    | <b>\$886</b>    | <b>\$899</b>    |
| <b>0679 State Water Quality Control Fund</b>                                                            |                 |                 |                 |
| APPROPRIATIONS                                                                                          |                 |                 |                 |
| 001 Budget Act appropriation                                                                            | \$167           | \$177           | \$188           |
| Allocation for employee compensation                                                                    | 7               | 4               | -               |
| Adjustment per Section 3.60                                                                             | <u>1</u>        | <u>-</u>        | <u>-</u>        |
| <b>TOTALS, EXPENDITURES</b>                                                                             | <b>\$175</b>    | <b>\$181</b>    | <b>\$188</b>    |
| <b>0995 Reimbursements</b>                                                                              |                 |                 |                 |
| APPROPRIATIONS                                                                                          |                 |                 |                 |
| Reimbursements                                                                                          | \$1,808         | \$1,937         | \$1,971         |
| <b>1006 Rural CUPA Reimbursement Account</b>                                                            |                 |                 |                 |
| APPROPRIATIONS                                                                                          |                 |                 |                 |
| 001 Budget Act appropriation                                                                            | <u>\$928</u>    | <u>\$953</u>    | <u>\$928</u>    |
| <b>Totals Available</b>                                                                                 | <b>\$928</b>    | <b>\$953</b>    | <b>\$928</b>    |
| Unexpended balance, estimated savings                                                                   | <u>-148</u>     | <u>-25</u>      | <u>-</u>        |
| <b>TOTALS, EXPENDITURES</b>                                                                             | <b>\$780</b>    | <b>\$928</b>    | <b>\$928</b>    |
| Less funding provided by General Fund                                                                   | <u>-928</u>     | <u>-928</u>     | <u>-928</u>     |
| <b>NET TOTALS, EXPENDITURES</b>                                                                         | <b>\$-148</b>   | <b>\$-</b>      | <b>\$-</b>      |
| <b>3058 Water Rights Fund</b>                                                                           |                 |                 |                 |

\* Dollars in thousands, except in Salary Range.

**0555 Secretary for Environmental Protection - Continued**

| <b>1 STATE OPERATIONS</b>                                  | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|------------------------------------------------------------|-----------------|-----------------|-----------------|
| APPROPRIATIONS                                             |                 |                 |                 |
| 001 Budget Act appropriation                               | \$34            | \$35            | \$40            |
| Allocation for employee compensation                       | <u>1</u>        | <u>1</u>        | <u>-</u>        |
| <b>TOTALS, EXPENDITURES</b>                                | <b>\$35</b>     | <b>\$36</b>     | <b>\$40</b>     |
| <b>8013 Environmental Enforcement and Training Account</b> |                 |                 |                 |
| APPROPRIATIONS                                             |                 |                 |                 |
| 001 Budget Act appropriation                               | <u>\$2,066</u>  | <u>\$2,066</u>  | <u>\$2,066</u>  |
| <b>Totals Available</b>                                    | <b>\$2,066</b>  | <b>\$2,066</b>  | <b>\$2,066</b>  |
| Unexpended balance, estimated savings                      | <u>-1,412</u>   | <u>-</u>        | <u>-</u>        |
| <b>TOTALS, EXPENDITURES</b>                                | <b>\$654</b>    | <b>\$2,066</b>  | <b>\$2,066</b>  |
| <b>8020 Environmental Education Account</b>                |                 |                 |                 |
| APPROPRIATIONS                                             |                 |                 |                 |
| 001 Budget Act appropriation                               | <u>\$155</u>    | <u>\$155</u>    | <u>\$159</u>    |
| <b>TOTALS, EXPENDITURES</b>                                | <b>\$155</b>    | <b>\$155</b>    | <b>\$159</b>    |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>  | <b>\$11,412</b> | <b>\$14,387</b> | <b>\$14,739</b> |

**FUND CONDITION STATEMENTS**

|                                                                          | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|--------------------------------------------------------------------------|-----------------|-----------------|-----------------|
| <b>0028 Unified Program Account <sup>s</sup></b>                         |                 |                 |                 |
| BEGINNING BALANCE                                                        | \$4,268         | \$5,340         | \$5,490         |
| Prior year adjustments                                                   | <u>243</u>      | <u>-</u>        | <u>-</u>        |
| Adjusted Beginning Balance                                               | \$4,511         | \$5,340         | \$5,490         |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS                               |                 |                 |                 |
| Revenues:                                                                |                 |                 |                 |
| 125600 Other Regulatory Fees                                             | 3,914           | 4,400           | 4,400           |
| 150300 Income From Surplus Money Investments                             | <u>132</u>      | <u>90</u>       | <u>90</u>       |
| Total Revenues, Transfers, and Other Adjustments                         | <u>\$4,046</u>  | <u>\$4,490</u>  | <u>\$4,490</u>  |
| Total Resources                                                          | \$8,557         | \$9,830         | \$9,980         |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS                                 |                 |                 |                 |
| Expenditures:                                                            |                 |                 |                 |
| 0555 Secretary for Environmental Protection (State Operations)           | 1,258           | 1,440           | 1,482           |
| 0690 Office of Emergency Services (State Operations)                     | 365             | 793             | 816             |
| 0840 State Controller (State Operations)                                 | 2               | 2               | 2               |
| 3540 Department of Forestry and Fire Protection (State Operations)       | 257             | 347             | 353             |
| 3940 State Water Resources Control Board (State Operations)              | 603             | 619             | 623             |
| 3960 Department of Toxic Substances Control (State Operations)           | 732             | 1,009           | 1,011           |
| 3980 Office of Environmental Health Hazard Assessment (State Operations) | <u>-</u>        | <u>130</u>      | <u>130</u>      |
| Total Expenditures and Expenditure Adjustments                           | <u>\$3,217</u>  | <u>\$4,340</u>  | <u>\$4,417</u>  |
| FUND BALANCE                                                             | \$5,340         | \$5,490         | \$5,563         |
| Reserve for economic uncertainties                                       | 5,340           | 5,490           | 5,563           |
| <b>1006 Rural CUPA Reimbursement Account <sup>s</sup></b>                |                 |                 |                 |
| BEGINNING BALANCE                                                        | \$1,013         | \$1,161         | \$1,161         |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS                                 |                 |                 |                 |
| Expenditures:                                                            |                 |                 |                 |
| 0555 Secretary for Environmental Protection (State Operations)           | 780             | 928             | 928             |
| Expenditure Adjustments:                                                 |                 |                 |                 |
| 0555 Secretary for Environmental Protection                              |                 |                 |                 |
| Less funding provided by General Fund (State Operations)                 | <u>-928</u>     | <u>-928</u>     | <u>-928</u>     |
| Total Expenditures and Expenditure Adjustments                           | <u>-\$148</u>   | <u>-</u>        | <u>-</u>        |

\* Dollars in thousands, except in Salary Range.

**0555 Secretary for Environmental Protection - Continued**

|                                    | 2006-07* | 2007-08* | 2008-09* |
|------------------------------------|----------|----------|----------|
| FUND BALANCE                       | \$1,161  | \$1,161  | \$1,161  |
| Reserve for economic uncertainties | 1,161    | 1,161    | 1,161    |

**CHANGES IN AUTHORIZED POSITIONS**

|                                   | Positions   |             |             | Expenditures   |                |                |
|-----------------------------------|-------------|-------------|-------------|----------------|----------------|----------------|
|                                   | 2006-07     | 2007-08     | 2008-09     | 2006-07*       | 2007-08*       | 2008-09*       |
| Totals, Authorized Positions      | 55.6        | 72.5        | 72.5        | \$3,790        | \$4,826        | \$4,895        |
| Salary Adjustments                | -           | -           | -           | -              | 161            | 164            |
| <b>Total Adjustments</b>          | -           | -           | -           | <b>\$-</b>     | <b>\$161</b>   | <b>\$164</b>   |
| <b>TOTALS, SALARIES AND WAGES</b> | <b>55.6</b> | <b>72.5</b> | <b>72.5</b> | <b>\$3,790</b> | <b>\$4,987</b> | <b>\$5,059</b> |

**0558 Office of the Secretary of Education**

The Secretary of Education, a member of the Governor's Cabinet, is responsible for advising the Governor and making recommendations on state education policy and legislation. Currently, the Office of the Secretary of Education is funded through the Governor's Office of Planning and Research.

**3-YR EXPENDITURES AND POSITIONS (Summary of Program Requirements)**

|                                                          | Positions   |             |             | Expenditures    |                 |                 |
|----------------------------------------------------------|-------------|-------------|-------------|-----------------|-----------------|-----------------|
|                                                          | 2006-07     | 2007-08     | 2008-09     | 2006-07*        | 2007-08*        | 2008-09*        |
| 10 Office of the Secretary of Education                  | 13.0        | 17.5        | 25.1        | \$2,058         | \$2,328         | \$3,778         |
| <b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b> | <b>13.0</b> | <b>17.5</b> | <b>25.1</b> | <b>\$2,058</b>  | <b>\$2,328</b>  | <b>\$3,778</b>  |
| <b>FUNDING</b>                                           |             |             |             | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
| 0001 General Fund                                        |             |             |             | \$1,794         | \$1,973         | \$3,505         |
| 0995 Reimbursements                                      |             |             |             | 264             | 355             | 273             |
| <b>TOTALS, EXPENDITURES, ALL FUNDS</b>                   |             |             |             | <b>\$2,058</b>  | <b>\$2,328</b>  | <b>\$3,778</b>  |

**MAJOR PROGRAM CHANGES**

- The Administration proposes to consolidate the administrative staff of the State Board of Education (SBE) with the Office of the Secretary of Education (OSE). The SBE staff would be transferred from the Department of Education to the OSE.

**BUDGET-BALANCING REDUCTIONS**

- The Budget includes an unallocated General Fund reduction of \$351,000 in 2008-09.

**DETAILED BUDGET ADJUSTMENTS**

|                                                                                                       | 2007-08*     |              |           | 2008-09*       |             |            |
|-------------------------------------------------------------------------------------------------------|--------------|--------------|-----------|----------------|-------------|------------|
|                                                                                                       | General Fund | Other Funds  | Positions | General Fund   | Other Funds | Positions  |
| <b>Baseline Adjustment Descriptions</b>                                                               |              |              |           |                |             |            |
| • Price Increase                                                                                      | \$-          | \$-          | -         | \$10           | \$-         | -          |
| • Align Reimbursements With Actual Staff Costs for the Proposition 49 After School Advisory Committee | -            | -            | -         | -              | 14          | -          |
| • Align Reimbursements With Actuals                                                                   | -            | 150          | -         | -              | -           | -          |
| • Retirement Rate Adjustment                                                                          | -4           | -            | -         | -4             | -           | -          |
| • Remove 2007-08 Price Increase                                                                       | -19          | -            | -         | -19            | -           | -          |
| <b>Totals, Baseline Adjustments</b>                                                                   | <b>-\$23</b> | <b>\$150</b> | <b>-</b>  | <b>-\$13</b>   | <b>\$14</b> | <b>-</b>   |
| <b>Policy Adjustment Descriptions</b>                                                                 |              |              |           |                |             |            |
| • Combine Governance of Board of Education and Secretary of Education                                 | \$-          | \$-          | -         | \$1,522        | \$54        | 7.6        |
| <b>Totals, Policy Adjustments</b>                                                                     | <b>\$-</b>   | <b>\$-</b>   | <b>-</b>  | <b>\$1,522</b> | <b>\$54</b> | <b>7.6</b> |

\* Dollars in thousands, except in Salary Range.

**0558 Office of the Secretary of Education - Continued**

|                                           | 2007-08*     |              |           | 2008-09*       |             |            |
|-------------------------------------------|--------------|--------------|-----------|----------------|-------------|------------|
|                                           | General Fund | Other Funds  | Positions | General Fund   | Other Funds | Positions  |
| <b>TOTALS, BUDGET ADJUSTMENTS</b>         | <b>-\$23</b> | <b>\$150</b> | <b>-</b>  | <b>\$1,509</b> | <b>\$68</b> | <b>7.6</b> |
| <b>Other Adjustments <sup>1/</sup></b>    |              |              |           |                |             |            |
| • Budget-Balancing Reductions             | -            | -            | -         | -351           | -           | -          |
| <b>REVISED TOTALS, BUDGET ADJUSTMENTS</b> | <b>-\$23</b> | <b>\$150</b> | <b>-</b>  | <b>\$1,158</b> | <b>\$68</b> | <b>7.6</b> |

<sup>1/</sup> These dollars and PYs are included in the General Government agency, therefore not included in the other fiscal statements for this department. These totals are also not included in the applicable Summary Schedules for this department.

**EXPENDITURES BY CATEGORY (Summary By Object)**

| 1 State Operations                                                     | Positions   |             |             | Expenditures   |                |                |
|------------------------------------------------------------------------|-------------|-------------|-------------|----------------|----------------|----------------|
|                                                                        | 2006-07     | 2007-08     | 2008-09     | 2006-07*       | 2007-08*       | 2008-09*       |
| PERSONAL SERVICES                                                      |             |             |             |                |                |                |
| Authorized Positions (Equals Sch. 7A)                                  | 13.0        | 18.0        | 18.0        | \$1,010        | \$1,404        | \$1,404        |
| Total Adjustment                                                       | -           | -           | 8.0         | -              | -              | 648            |
| Estimated Salary Savings                                               | -           | -0.5        | -0.9        | -              | -35            | -67            |
| <b>Net Totals, Salaries and Wages</b>                                  | <b>13.0</b> | <b>17.5</b> | <b>25.1</b> | <b>\$1,010</b> | <b>\$1,369</b> | <b>\$1,985</b> |
| Staff Benefits                                                         | -           | -           | -           | 323            | 397            | 626            |
| <b>Totals, Personal Services</b>                                       | <b>13.0</b> | <b>17.5</b> | <b>25.1</b> | <b>\$1,333</b> | <b>\$1,766</b> | <b>\$2,611</b> |
| OPERATING EXPENSES AND EQUIPMENT                                       |             |             |             | <u>\$725</u>   | <u>\$562</u>   | <u>\$1,167</u> |
| <b>TOTALS, POSITIONS AND EXPENDITURES ALL FUNDS (State Operations)</b> |             |             |             | <b>\$2,058</b> | <b>\$2,328</b> | <b>\$3,778</b> |

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS (Reconciliation with Appropriations)**

| 1 STATE OPERATIONS                                        | 2006-07*       | 2007-08*       | 2008-09*       |
|-----------------------------------------------------------|----------------|----------------|----------------|
| <b>0001 General Fund</b>                                  |                |                |                |
| APPROPRIATIONS                                            |                |                |                |
| 001 Budget Act appropriation                              | \$906          | \$998          | \$1,752        |
| Allocation from Office of Planning and Research           | 907            | 998            | 1,753          |
| Adjustment per Section 3.60                               | 14             | -4             | -              |
| Allocation for employee compensation                      | 9              | -              | -              |
| Adjustment per Section 4.04                               | -              | -19            | -              |
| <b>Totals Available</b>                                   | <b>\$1,836</b> | <b>\$1,973</b> | <b>\$3,505</b> |
| Unexpended balance, estimated savings                     | <u>-42</u>     | <u>-</u>       | <u>-</u>       |
| <b>TOTALS, EXPENDITURES</b>                               | <b>\$1,794</b> | <b>\$1,973</b> | <b>\$3,505</b> |
| <b>0995 Reimbursements</b>                                |                |                |                |
| APPROPRIATIONS                                            |                |                |                |
| Reimbursements                                            | <u>\$264</u>   | <u>\$355</u>   | <u>\$273</u>   |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b> | <b>\$2,058</b> | <b>\$2,328</b> | <b>\$3,778</b> |

**CHANGES IN AUTHORIZED POSITIONS**

|                                | Positions |         |         | Expenditures        |          |          |
|--------------------------------|-----------|---------|---------|---------------------|----------|----------|
|                                | 2006-07   | 2007-08 | 2008-09 | 2006-07*            | 2007-08* | 2008-09* |
| Totals, Authorized Positions   | 13.0      | 18.0    | 18.0    | \$1,010             | \$1,404  | \$1,404  |
| <b>Proposed New Positions:</b> |           |         |         | <b>Salary Range</b> |          |          |
| Chief Counsel                  | -         | -       | 1.0     | 10,672-11,544       | -        | 133      |
| Exec Director                  | -         | -       | 1.0     | 9,755-10,549        | -        | 122      |
| Dep Exec Director              | -         | -       | 1.0     | 8,369-9,053         | -        | 105      |

\* Dollars in thousands, except in Salary Range.

**0558 Office of the Secretary of Education - Continued**

|                                      | Positions   |             |             | Expenditures   |                |                |
|--------------------------------------|-------------|-------------|-------------|----------------|----------------|----------------|
|                                      | 2006-07     | 2007-08     | 2008-09     | 2006-07*       | 2007-08*       | 2008-09*       |
| Educ Policy Consultant               | -           | -           | 1.0         | 6,922-7,485    | -              | 86             |
| Educ Prog Consultant                 | -           | -           | 1.0         | 5,724-6,954    | -              | 76             |
| Legal Asst                           | -           | -           | 1.0         | 3,386-4,116    | -              | 45             |
| Legal Secty                          | -           | -           | 1.0         | 3,038-3,878    | -              | 41             |
| Exec Secty I                         | -           | -           | 1.0         | 3,020-3,672    | -              | 40             |
| <b>Totals Proposed New Positions</b> | -           | -           | <b>8.0</b>  | <b>\$-</b>     | <b>\$-</b>     | <b>\$648</b>   |
| <b>Total Adjustments</b>             | -           | -           | <b>8.0</b>  | <b>\$-</b>     | <b>\$-</b>     | <b>\$648</b>   |
| <b>TOTALS, SALARIES AND WAGES</b>    | <b>13.0</b> | <b>18.0</b> | <b>26.0</b> | <b>\$1,010</b> | <b>\$1,404</b> | <b>\$2,052</b> |

**0559 Secretary for Labor and Workforce Development Agency**

The Labor and Workforce Development Agency was established to address important issues relating to California workers and their employers. The Agency is primarily responsible for three functions: labor law enforcement, workforce development, and benefit payment and adjudication. A leading Agency goal is to better serve workers and employers by coordinating services and programs in an efficient, effective manner that is relevant to current and future economic conditions. The Agency plays a central role in the Economic and Employment Enforcement Coalition, the purpose of which is to help legitimate businesses and California workers combat the underground economy, through a combination of enforcement and education activities.

**3-YR EXPENDITURES AND POSITIONS (Summary of Program Requirements)**

|                                                                | Positions   |             |             | Expenditures    |                 |                 |
|----------------------------------------------------------------|-------------|-------------|-------------|-----------------|-----------------|-----------------|
|                                                                | 2006-07     | 2007-08     | 2008-09     | 2006-07*        | 2007-08*        | 2008-09*        |
| 10 Office of the Secretary for Labor and Workforce Development | 12.3        | 15.1        | 16.9        | \$2,222         | \$2,564         | \$3,114         |
| <b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>       | <b>12.3</b> | <b>15.1</b> | <b>16.9</b> | <b>\$2,222</b>  | <b>\$2,564</b>  | <b>\$3,114</b>  |
| <b>FUNDING</b>                                                 |             |             |             | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
| 0995 Reimbursements                                            |             |             |             | \$2,190         | \$2,353         | \$2,894         |
| 3078 Labor and Workforce Development Fund                      |             |             |             | <u>32</u>       | <u>211</u>      | <u>220</u>      |
| <b>TOTALS, EXPENDITURES, ALL FUNDS</b>                         |             |             |             | <b>\$2,222</b>  | <b>\$2,564</b>  | <b>\$3,114</b>  |

**LEGAL CITATIONS AND AUTHORITY**

## DEPARTMENT AUTHORITY

Government Code Sections 12800 and 12813.

**MAJOR PROGRAM CHANGES**

- Underground Economy - The Governor's Budget includes \$141,000 reimbursements and 0.9 position for the Labor and Workforce Development Agency to provide oversight and policy development for the Economic and Employment Enforcement Coalition, which is a partnership of enforcement agencies that identifies the worst offenders for targeted workplace enforcement actions throughout the state.

**BUDGET-BALANCING REDUCTIONS**

- The Budget includes a reduction of \$226,000 reimbursements and 0.9 position for the Labor and Workforce Development Agency.

**DETAILED BUDGET ADJUSTMENTS**

| Baseline Adjustment Descriptions | 2007-08*     |             |           | 2008-09*     |             |           |
|----------------------------------|--------------|-------------|-----------|--------------|-------------|-----------|
|                                  | General Fund | Other Funds | Positions | General Fund | Other Funds | Positions |

\* Dollars in thousands, except in Salary Range.

**0559 Secretary for Labor and Workforce Development Agency - Continued**

|                                                                              | 2007-08*     |              |           | 2008-09*     |              |            |
|------------------------------------------------------------------------------|--------------|--------------|-----------|--------------|--------------|------------|
|                                                                              | General Fund | Other Funds  | Positions | General Fund | Other Funds  | Positions  |
| • Other Baseline Adjustments                                                 | \$-          | \$89         | -         | \$-          | \$77         | -          |
| • Employee Compensation Adjustments                                          | -            | 35           | -         | -            | 39           | -          |
| • Retirement Rate Adjustment                                                 | -            | -5           | -         | -            | -5           | -          |
| <b>Totals, Baseline Adjustments</b>                                          | <b>\$-</b>   | <b>\$119</b> | <b>-</b>  | <b>\$-</b>   | <b>\$111</b> | <b>-</b>   |
| <b>Policy Adjustment Descriptions</b>                                        |              |              |           |              |              |            |
| • Establish Agency Information Officer and Support Ongoing Operational Costs | \$-          | \$-          | -         | \$-          | \$417        | 0.9        |
| • Economic and Employment Enforcement (EEEC) Coordination                    | -            | -            | -         | -            | 141          | 0.9        |
| <b>Totals, Policy Adjustments</b>                                            | <b>\$-</b>   | <b>\$-</b>   | <b>-</b>  | <b>\$-</b>   | <b>\$558</b> | <b>1.8</b> |
| <b>TOTALS, BUDGET ADJUSTMENTS</b>                                            | <b>\$-</b>   | <b>\$119</b> | <b>-</b>  | <b>\$-</b>   | <b>\$669</b> | <b>1.8</b> |
| <b>Other Adjustments <sup>11</sup></b>                                       |              |              |           |              |              |            |
| • Budget-Balancing Reductions                                                | -            | -            | -         | -            | -226         | -0.9       |
| <b>REVISED TOTALS, BUDGET ADJUSTMENTS</b>                                    | <b>\$-</b>   | <b>\$119</b> | <b>-</b>  | <b>\$-</b>   | <b>\$443</b> | <b>0.9</b> |

<sup>11</sup> These dollars and PYs are included in the General Government agency, therefore not included in the other fiscal statements for this department. These totals are also not included in the applicable Summary Schedules for this department.

**DETAILED EXPENDITURES BY PROGRAM (Program Budget Detail)**

|                                                                       |  | 2006-07*       | 2007-08*       | 2008-09*       |
|-----------------------------------------------------------------------|--|----------------|----------------|----------------|
| <b>PROGRAM REQUIREMENTS</b>                                           |  |                |                |                |
| <b>10 OFFICE OF THE SECRETARY FOR LABOR AND WORKFORCE DEVELOPMENT</b> |  |                |                |                |
| <b>State Operations:</b>                                              |  |                |                |                |
| 0995 Reimbursements                                                   |  | \$2,190        | \$2,353        | \$2,894        |
| 3078 Labor and Workforce Development Fund                             |  | 32             | 211            | 220            |
| <b>Totals, State Operations</b>                                       |  | <b>\$2,222</b> | <b>\$2,564</b> | <b>\$3,114</b> |
| <b>TOTALS, EXPENDITURES</b>                                           |  |                |                |                |
| State Operations                                                      |  | 2,222          | 2,564          | 3,114          |
| <b>Totals, Expenditures</b>                                           |  | <b>\$2,222</b> | <b>\$2,564</b> | <b>\$3,114</b> |

**EXPENDITURES BY CATEGORY (Summary By Object)**

| 1 State Operations                                                      |  | Positions   |             |             | Expenditures   |                |                |
|-------------------------------------------------------------------------|--|-------------|-------------|-------------|----------------|----------------|----------------|
|                                                                         |  | 2006-07     | 2007-08     | 2008-09     | 2006-07*       | 2007-08*       | 2008-09*       |
| <b>PERSONAL SERVICES</b>                                                |  |             |             |             |                |                |                |
| Authorized Positions (Equals Sch. 7A)                                   |  | 12.3        | 16.0        | 16.0        | \$1,161        | \$1,428        | \$1,436        |
| Total Adjustments                                                       |  | -           | -           | 2.0         | -              | 33             | 294            |
| Estimated Salary Savings                                                |  | -           | -0.9        | -1.1        | -              | -66            | -76            |
| <b>Net Totals, Salaries and Wages</b>                                   |  | <b>12.3</b> | <b>15.1</b> | <b>16.9</b> | <b>\$1,161</b> | <b>\$1,395</b> | <b>\$1,654</b> |
| Staff Benefits                                                          |  | -           | -           | -           | 339            | 470            | 544            |
| <b>Totals, Personal Services</b>                                        |  | <b>12.3</b> | <b>15.1</b> | <b>16.9</b> | <b>\$1,500</b> | <b>\$1,865</b> | <b>\$2,198</b> |
| <b>OPERATING EXPENSES AND EQUIPMENT</b>                                 |  |             |             |             | <b>\$722</b>   | <b>\$699</b>   | <b>\$916</b>   |
| <b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b> |  |             |             |             | <b>\$2,222</b> | <b>\$2,564</b> | <b>\$3,114</b> |

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS (Reconciliation with Appropriations)**

\* Dollars in thousands, except in Salary Range.

**0559 Secretary for Labor and Workforce Development Agency - Continued**

| <b>1 STATE OPERATIONS</b>                                 | <b>2006-07*</b>    | <b>2007-08*</b>     | <b>2008-09*</b>     |
|-----------------------------------------------------------|--------------------|---------------------|---------------------|
| <b>0001 General Fund</b>                                  |                    |                     |                     |
| APPROPRIATIONS                                            |                    |                     |                     |
| 001 Budget Act appropriation                              | <u>0</u>           | <u>0</u>            | <u>0</u>            |
| <b>TOTALS, EXPENDITURES</b>                               | <u>\$-</u>         | <u>\$-</u>          | <u>\$-</u>          |
| <b>TOTALS, GENERAL FUND EXPENDITURES</b>                  | <u>\$-</u>         | <u>\$-</u>          | <u>\$-</u>          |
| <b>0995 Reimbursements</b>                                |                    |                     |                     |
| APPROPRIATIONS                                            |                    |                     |                     |
| Reimbursements                                            | \$2,190            | \$2,353             | \$2,894             |
| <b>3078 Labor and Workforce Development Fund</b>          |                    |                     |                     |
| APPROPRIATIONS                                            |                    |                     |                     |
| 001 Budget Act appropriation                              | <u>\$100</u>       | <u>\$211</u>        | <u>\$220</u>        |
| <b>Totals Available</b>                                   | <b>\$100</b>       | <b>\$211</b>        | <b>\$220</b>        |
| Unexpended balance, estimated savings                     | <u>-68</u>         | <u>-</u>            | <u>-</u>            |
| <b>TOTALS, EXPENDITURES</b>                               | <u><b>\$32</b></u> | <u><b>\$211</b></u> | <u><b>\$220</b></u> |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b> | <b>\$2,222</b>     | <b>\$2,564</b>      | <b>\$3,114</b>      |

**FUND CONDITION STATEMENTS**

|                                                                              | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|------------------------------------------------------------------------------|-----------------|-----------------|-----------------|
| <b>3078 Labor and Workforce Development Fund <sup>s</sup></b>                |                 |                 |                 |
| BEGINNING BALANCE                                                            | \$133           | \$1,179         | \$1,468         |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS                                   |                 |                 |                 |
| Revenues:                                                                    |                 |                 |                 |
| 164300 Penalty Assessments                                                   | <u>1,078</u>    | <u>500</u>      | <u>500</u>      |
| Total Revenues, Transfers, and Other Adjustments                             | <u>\$1,078</u>  | <u>\$500</u>    | <u>\$500</u>    |
| Total Resources                                                              | \$1,211         | \$1,679         | \$1,968         |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS                                     |                 |                 |                 |
| Expenditures:                                                                |                 |                 |                 |
| 0559 Secretary for Labor and Workforce Development Agency (State Operations) | <u>32</u>       | <u>211</u>      | <u>220</u>      |
| Total Expenditures and Expenditure Adjustments                               | <u>\$32</u>     | <u>\$211</u>    | <u>\$220</u>    |
| FUND BALANCE                                                                 | \$1,179         | \$1,468         | \$1,748         |
| Reserve for economic uncertainties                                           | 1,179           | 1,468           | 1,748           |

**CHANGES IN AUTHORIZED POSITIONS**

|                                      | <b>Positions</b> |                |                | <b>Expenditures</b> |                 |                 |
|--------------------------------------|------------------|----------------|----------------|---------------------|-----------------|-----------------|
|                                      | <b>2006-07</b>   | <b>2007-08</b> | <b>2008-09</b> | <b>2006-07*</b>     | <b>2007-08*</b> | <b>2008-09*</b> |
| Totals, Authorized Positions         | 12.3             | 16.0           | 16.0           | \$1,161             | \$1,428         | \$1,436         |
| Salary Adjustments                   | -                | -              | -              | -                   | 33              | 88              |
| <b>Proposed New Positions:</b>       |                  |                |                | <b>Salary Range</b> |                 |                 |
| C.E.A IV                             | -                | -              | 1.0            | 8,721-9,612         | -               | 119             |
| Deputy Labor Commissioner IV         | -                | -              | 1.0            | 6,613-7,292         | -               | 87              |
| <b>Totals Proposed New Positions</b> | <u>-</u>         | <u>-</u>       | <u>2.0</u>     | <u>\$-</u>          | <u>\$-</u>      | <u>\$206</u>    |
| <b>Total Adjustments</b>             | <u>-</u>         | <u>-</u>       | <u>2.0</u>     | <u>\$-</u>          | <u>\$33</u>     | <u>\$294</u>    |
| <b>TOTALS, SALARIES AND WAGES</b>    | <b>12.3</b>      | <b>16.0</b>    | <b>18.0</b>    | <b>\$1,161</b>      | <b>\$1,461</b>  | <b>\$1,730</b>  |

**0650 Office of Planning and Research**

The Office of Planning and Research (OPR) assists the Governor and the Administration in planning, research, policy development, legislative analysis, and acts as a liaison with local government. The Office oversees programs for small business advocacy, environmental justice, military affairs, eminent domain, and preservation of Indian sacred sites. In addition, the Office has responsibilities pertaining to state planning, the California Environmental Quality Act, joint land use planning with the military, permit assistance and environmental and federal project review procedures. The California Volunteers is charged with administering the federal AmeriCorps and Citizen Corps programs, coordinating volunteer activity

\* Dollars in thousands, except in Salary Range.

## 0650 Office of Planning and Research - Continued

related to disaster response, and increasing the number of Californians volunteering in the state.

### 3-YR EXPENDITURES AND POSITIONS (Summary of Program Requirements)

|                                                          | Positions   |             |             | Expenditures    |                 |                 |
|----------------------------------------------------------|-------------|-------------|-------------|-----------------|-----------------|-----------------|
|                                                          | 2006-07     | 2007-08     | 2008-09     | 2006-07*        | 2007-08*        | 2008-09*        |
| 11 State Planning and Policy Development                 | 47.6        | 53.0        | 55.5        | \$3,836         | \$5,057         | \$4,716         |
| 21 California Volunteers                                 | 20.4        | 38.3        | 38.7        | 39,300          | 47,554          | 47,325          |
| <b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b> | <b>68.0</b> | <b>91.3</b> | <b>94.2</b> | <b>\$43,136</b> | <b>\$52,611</b> | <b>\$52,041</b> |
| <b>FUNDING</b>                                           |             |             |             | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
| 0001 General Fund                                        |             |             |             | \$9,792         | \$10,678        | \$10,581        |
| 0890 Federal Trust Fund                                  |             |             |             | 31,150          | 38,465          | 37,942          |
| 0995 Reimbursements                                      |             |             |             | 2,194           | 3,468           | 3,518           |
| <b>TOTALS, EXPENDITURES, ALL FUNDS</b>                   |             |             |             | <b>\$43,136</b> | <b>\$52,611</b> | <b>\$52,041</b> |

### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

Government Code Sections 4530-4535.3, 12035-12038, 12078, 13367.5(h), 13367.65, 15202, 56430, 56815.2, 65025-65049, 65050, 65302.6, 65420-65428, 65962.5, 66452.7, 66455.5, and 67470; Public Resources Code Sections 5096.89, 21080.3-21080.4, 21083-21087, 21159.9, 21165, 25616, and 30415; Fish and Game Code Section 711.4; Health and Safety Code Sections 25199-25199.9; Streets and Highways Code Section 228; Unemployment Insurance Code Section 10535; Welfare and Institutions Code Section 10807; California Administrative Code Sections 15051 and 15065.5.

### MAJOR PROGRAM CHANGES

- California Volunteer Matching Network - The Governor's Budget proposes a continuation of \$766,000 General Fund and 2.8 positions to fund the volunteer matching activities on a permanent basis in order to increase volunteerism in California.
- California Environmental Quality Act (CEQA) Guidelines - The Governor's Budget includes \$537,000 General Fund and 3.8 positions on a one-time basis to implement the requirements of Chapter 185, Statutes of 2007 (SB 97, Dutton); which are to develop the CEQA guidelines on how state and local agencies should analyze, and when necessary, mitigate greenhouse gas emissions.

### BUDGET-BALANCING REDUCTIONS

- The Budget includes General Fund reductions of \$86,000 in 2007-08 and \$1.1 million in 2008-09.
- The major budget balancing reductions for 2007-08 include:

A decrease of \$44,000 for the State Planning and Policy Development program which will impact OPR's ability to provide policy and research support to the Governor's Office and affect the statewide outreach activities of the Small Business Advocate.

A decrease of \$42,000 for the California Volunteers program which will reduce the amount of funding available to contract for marketing expertise and activities related to the California Volunteer Matching Network.

- The major budget balancing reductions for 2008-09 include:

A decrease of \$500,000 for the Cesar Chavez Day of Service and Learning program which will lessen the amount of grant funds available for after school service learning programs for middle school students.

A decrease of \$431,000 for the State Planning and Policy Development program which will impact OPR's ability to provide policy and research support to the Governor's Office and affect the statewide outreach activities of the Small Business Advocate.

A decrease of \$127,000 for the California Volunteers program which will reduce the amount of funding available to contract for marketing expertise and activities related to the California Volunteer Matching Network.

### DETAILED BUDGET ADJUSTMENTS

\* Dollars in thousands, except in Salary Range.

**0650 Office of Planning and Research - Continued**

|                                            | 2007-08*     |              |           | 2008-09*      |               |            |
|--------------------------------------------|--------------|--------------|-----------|---------------|---------------|------------|
|                                            | General Fund | Other Funds  | Positions | General Fund  | Other Funds   | Positions  |
| <b>Baseline Adjustment Descriptions</b>    |              |              |           |               |               |            |
| • California Volunteer Matching Network    | \$-          | \$-          | -         | \$766         | \$-           | 2.8        |
| • Implementation of CEQA Guidelines        | -            | -            | -         | 537           | -             | 3.8        |
| • Other Baseline Adjustments               | 63           | 126          | -         | 87            | 155           | -          |
| • Full Year Cost of New/Expanded Programs  | -            | -            | -         | 50            | -             | -          |
| • Price Increase                           | -            | -            | -         | 46            | 71            | -          |
| • SWCAP Adjustment                         | -            | -            | -         | -             | 9             | -          |
| • Carryover/Reappropriation                | 185          | -            | -         | -             | -             | -          |
| • Retirement Rate Adjustment               | -6           | -6           | -         | -6            | -6            | -          |
| • Limited Term Positions/Expiring Programs | -            | -            | -         | -1,335        | -582          | -2.8       |
| <b>Totals, Baseline Adjustments</b>        | <b>\$242</b> | <b>\$120</b> | <b>-</b>  | <b>\$145</b>  | <b>-\$353</b> | <b>3.8</b> |
| <b>TOTALS, BUDGET ADJUSTMENTS</b>          | <b>\$242</b> | <b>\$120</b> | <b>-</b>  | <b>\$145</b>  | <b>-\$353</b> | <b>3.8</b> |
| <b>Other Adjustments <sup>11</sup></b>     |              |              |           |               |               |            |
| • Budget-Balancing Reductions              | -86          | -            | -         | -1,058        | -             | -          |
| <b>REVISED TOTALS, BUDGET ADJUSTMENTS</b>  | <b>\$156</b> | <b>\$120</b> | <b>-</b>  | <b>-\$913</b> | <b>-\$353</b> | <b>3.8</b> |

<sup>11</sup> These dollars and PYs are included in the General Government agency, therefore not included in the other fiscal statements for this department. These totals are also not included in the applicable Summary Schedules for this department.

**PROGRAM DESCRIPTIONS (Program Objectives Statement)****11 - STATE PLANNING AND POLICY DEVELOPMENT**

The major activities of the Office include: (1) recommending and implementing state policies with regard to land-use and growth planning; (2) carrying out a program of policy research for the Governor and Cabinet; (3) providing technical advice to local governments with regard to planning; (4) advising permit applicants and government agencies on provisions of the California Environmental Quality Act and operating the State Clearinghouse for environmental and federal grant documents; (5) preparing guidelines and providing assistance to local agency formation commissions; and (6) conducting other activities as the Governor may direct.

**21 - CALIFORNIA VOLUNTEERS**

The California Volunteers is charged with increasing the number of Californians involved with service and volunteerism throughout the state. The California Volunteers administers programs such as AmeriCorps, Citizen Corps, and the Cesar Chavez Day of Service and Learning, guides policy development to support the non-profit and service fields, and is responsible for the coordination of a statewide network (californiavolunteers.org) that matches Californians to volunteer opportunities in their communities. Through the efforts of the California Volunteers, Californians of all ages and abilities are provided with ongoing opportunities to volunteer, to become better prepared to respond to emergencies and disasters, and ultimately, to embrace a lifetime ethic of service.

**EXPENDITURES BY CATEGORY (Summary By Object)**

| 1 State Operations                                                      | Positions   |             |             | Expenditures    |                 |                 |
|-------------------------------------------------------------------------|-------------|-------------|-------------|-----------------|-----------------|-----------------|
|                                                                         | 2006-07     | 2007-08     | 2008-09     | 2006-07*        | 2007-08*        | 2008-09*        |
| <b>PERSONAL SERVICES</b>                                                |             |             |             |                 |                 |                 |
| Authorized Positions (Equals Sch. 7A)                                   | 68.0        | 96.1        | 92.1        | \$4,071         | \$5,041         | \$4,798         |
| Total Adjustments                                                       | -           | -           | 7.0         | -               | 143             | 643             |
| Estimated Salary Savings                                                | -           | -4.8        | -4.9        | -               | -252            | -276            |
| <b>Net Totals, Salaries and Wages</b>                                   | <b>68.0</b> | <b>91.3</b> | <b>94.2</b> | <b>\$4,071</b>  | <b>\$4,932</b>  | <b>\$5,165</b>  |
| Staff Benefits                                                          | -           | -           | -           | 1,425           | 1,635           | 1,841           |
| <b>Totals, Personal Services</b>                                        | <b>68.0</b> | <b>91.3</b> | <b>94.2</b> | <b>\$5,496</b>  | <b>\$6,567</b>  | <b>\$7,006</b>  |
| <b>OPERATING EXPENSES AND EQUIPMENT</b>                                 |             |             |             | <b>\$8,579</b>  | <b>\$11,044</b> | <b>\$10,035</b> |
| <b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b> |             |             |             | <b>\$14,075</b> | <b>\$17,611</b> | <b>\$17,041</b> |

\* Dollars in thousands, except in Salary Range.

**0650 Office of Planning and Research - Continued****2 Local Assistance**

|                                                           | Expenditures    |                 |                 |
|-----------------------------------------------------------|-----------------|-----------------|-----------------|
|                                                           | 2006-07*        | 2007-08*        | 2008-09*        |
| GRANTS                                                    | \$29,061        | \$35,000        | \$35,000        |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b> | <b>\$29,061</b> | <b>\$35,000</b> | <b>\$35,000</b> |

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS (Reconciliation with Appropriations)**

| <b>1 STATE OPERATIONS</b>                                                  | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|----------------------------------------------------------------------------|-----------------|-----------------|-----------------|
| <b>0001 General Fund</b>                                                   |                 |                 |                 |
| APPROPRIATIONS                                                             |                 |                 |                 |
| 001 Budget Act appropriation                                               | \$5,027         | \$5,436         | \$5,581         |
| Allocation for employee compensation                                       | 31              | 100             | -               |
| Adjustment per Section 3.60                                                | 19              | -6              | -               |
| Adjustment per Section 4.04                                                | -               | -34             | -               |
| Adjustment per Section 4.75 Statewide Surcharge                            | 1               | -               | -               |
| Adjustment per Section 15.25                                               | -               | -3              | -               |
| 011 Budget Act appropriation                                               | 907             | 998             | 1,753           |
| Allocation for employee compensation                                       | 9               | -               | -               |
| Adjustment per Section 3.60                                                | 14              | -4              | -               |
| Adjustment per Section 4.04                                                | -               | -19             | -               |
| Transfer from 0558-001-0001                                                | 906             | 998             | -               |
| Less amount shown in Office of Secretary for Education                     | -1,836          | -1,973          | -1,753          |
| Chapter 213, Statutes of 2000, as amended by Chapter 228, Statutes of 2003 | 5,000           | 5,000           | 5,000           |
| Chapter 232, Statutes of 2006                                              | 85              | -               | -               |
| Chapter 233, Statutes of 2006                                              | 100             | -               | -               |
| Prior year balances available:                                             |                 |                 |                 |
| Chapter 232, Statutes of 2006                                              | -               | 85              | -               |
| Chapter 233, Statutes of 2006                                              | -               | 100             | -               |
| <b>Totals Available</b>                                                    | <b>\$10,263</b> | <b>\$10,678</b> | <b>\$10,581</b> |
| Unexpended balance, estimated savings                                      | -286            | -               | -               |
| Balance available in subsequent years                                      | -185            | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                                                | <b>\$9,792</b>  | <b>\$10,678</b> | <b>\$10,581</b> |
| <b>0890 Federal Trust Fund</b>                                             |                 |                 |                 |
| APPROPRIATIONS                                                             |                 |                 |                 |
| 001 Budget Act appropriation                                               | \$2,802         | \$3,405         | \$2,942         |
| Allocation for employee compensation                                       | 7               | 64              | -               |
| Adjustment per Section 3.60                                                | 10              | -3              | -               |
| Adjustment per Section 4.75 Statewide Surcharge                            | -3              | -               | -               |
| Adjustment per Section 15.25                                               | -               | -1              | -               |
| Budget Adjustment                                                          | -727            | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                                                | <b>\$2,089</b>  | <b>\$3,465</b>  | <b>\$2,942</b>  |
| <b>0995 Reimbursements</b>                                                 |                 |                 |                 |
| APPROPRIATIONS                                                             |                 |                 |                 |
| Reimbursements                                                             | \$2,194         | \$3,468         | \$3,518         |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>                  | <b>\$14,075</b> | <b>\$17,611</b> | <b>\$17,041</b> |

**2 LOCAL ASSISTANCE****0890 Federal Trust Fund**

|                              |          |          |          |
|------------------------------|----------|----------|----------|
| APPROPRIATIONS               |          |          |          |
| 101 Budget Act appropriation | \$35,000 | \$35,000 | \$35,000 |

\* Dollars in thousands, except in Salary Range.

**0650 Office of Planning and Research - Continued**

| <b>2 LOCAL ASSISTANCE</b>                                                      | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|--------------------------------------------------------------------------------|-----------------|-----------------|-----------------|
| Budget Adjustment                                                              | -5,939          | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                                                    | <b>\$29,061</b> | <b>\$35,000</b> | <b>\$35,000</b> |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>                      | <b>\$29,061</b> | <b>\$35,000</b> | <b>\$35,000</b> |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)</b> | <b>\$43,136</b> | <b>\$52,611</b> | <b>\$52,041</b> |

**CHANGES IN AUTHORIZED POSITIONS**

|                                                  | <b>Positions</b> |                |                | <b>Expenditures</b> |                 |                 |
|--------------------------------------------------|------------------|----------------|----------------|---------------------|-----------------|-----------------|
|                                                  | <b>2006-07</b>   | <b>2007-08</b> | <b>2008-09</b> | <b>2006-07*</b>     | <b>2007-08*</b> | <b>2008-09*</b> |
| Totals, Authorized Positions                     | 68.0             | 96.1           | 92.1           | \$4,071             | \$5,041         | \$4,798         |
| Salary Adjustments                               | -                | -              | -              | -                   | 143             | 171             |
| <b>Workload and Administrative Adjustments:</b>  |                  |                |                | <b>Salary Range</b> |                 |                 |
| Temporary Help:                                  |                  |                |                |                     |                 |                 |
| Reduction in Authorized Positions Tribal Consult | -                | -              | -              | -                   | -               | -90             |
| <b>Totals, Workload &amp; Admin Adjustments</b>  | <b>-</b>         | <b>-</b>       | <b>-</b>       | <b>\$-</b>          | <b>\$-</b>      | <b>-\$90</b>    |
| <b>Proposed New Positions</b>                    |                  |                |                |                     |                 |                 |
| Temporary Help                                   | -                | -              | 7.0            | -                   | -               | 562             |
| <b>Totals, Proposed New Positions</b>            | <b>-</b>         | <b>-</b>       | <b>7.0</b>     | <b>\$-</b>          | <b>\$-</b>      | <b>\$562</b>    |
| <b>Total Adjustments</b>                         | <b>-</b>         | <b>-</b>       | <b>7.0</b>     | <b>\$-</b>          | <b>\$143</b>    | <b>\$643</b>    |
| <b>TOTALS, SALARIES AND WAGES</b>                | <b>68.0</b>      | <b>96.1</b>    | <b>99.1</b>    | <b>\$4,071</b>      | <b>\$5,184</b>  | <b>\$5,441</b>  |

**0690 Office of Emergency Services**

The principal objective of the Office of Emergency Services (OES) is the coordination of emergency activities to save lives and reduce property losses during disasters and to expedite recovery from the effects of disasters.

On a day-to-day basis, the OES provides leadership, assistance, and support to state and local agencies in planning and preparing for the most effective use of federal, state, local, and private sector resources in emergencies. This emergency planning is based upon a system of mutual aid in which a jurisdiction relies first on its own resources, and then requests assistance from its neighbors. The OES' plans and programs are coordinated with those of the federal government, other states, and state and local agencies within California.

During an emergency, the OES functions as the Governor's immediate staff to coordinate the state's responsibilities under the Emergency Services Act and applicable federal statutes. It also acts as the conduit for federal assistance through natural disaster grants and federal agency support.

Additionally, the Office of Homeland Security is responsible for the development and coordination of a comprehensive state strategy related to terrorism that includes prevention, preparedness, and response and recovery.

Since department programs drive the need for infrastructure investment, each department has a related capital outlay program to support this need. For the specifics on the Office of Emergency Services' Capital Outlay Program see "Infrastructure Overview."

**3-YR EXPENDITURES AND POSITIONS (Summary of Program Requirements)**

|                                             | <b>Positions</b> |                |                | <b>Expenditures</b> |                 |                 |
|---------------------------------------------|------------------|----------------|----------------|---------------------|-----------------|-----------------|
|                                             | <b>2006-07</b>   | <b>2007-08</b> | <b>2008-09</b> | <b>2006-07*</b>     | <b>2007-08*</b> | <b>2008-09*</b> |
| 10 Support of Office of Homeland Security   | 45.9             | 78.9           | 78.9           | \$336,365           | \$486,452       | \$501,197       |
| 15 Mutual Aid Response                      | 128.4            | 117.3          | 128.6          | 16,766              | 17,849          | 32,890          |
| 35 Plans and Preparedness                   | 72.7             | 109.7          | 127.8          | 33,629              | 43,920          | 50,860          |
| 45 Disaster Assistance                      | 91.4             | 111.4          | 111.4          | 341,107             | 722,876         | 664,258         |
| 50 Criminal Justice Projects                | 56.9             | 72.8           | 83.3           | 214,992             | 242,148         | 237,940         |
| 51 State Terrorism Threat Assessment Center | -                | -              | -              | 6,811               | 6,927           | 7,077           |
| 55.01 Executive and Administration          | 83.2             | 102.8          | 102.8          | 7,550               | 8,693           | 8,804           |
| 55.02 Distributed Administration            | -                | -              | -              | -6,435              | -7,605          | -8,804          |
| 60 Support of Other State Agencies          | -                | -              | -              | 18,006              | 22,000          | 22,328          |

\* Dollars in thousands, except in Salary Range.

**0690 Office of Emergency Services - Continued**

|                                                                                                                                                     | Positions    |              |              | Expenditures     |                    |                    |
|-----------------------------------------------------------------------------------------------------------------------------------------------------|--------------|--------------|--------------|------------------|--------------------|--------------------|
|                                                                                                                                                     | 2006-07      | 2007-08      | 2008-09      | 2006-07*         | 2007-08*           | 2008-09*           |
| <b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>                                                                                            | <b>478.5</b> | <b>592.9</b> | <b>632.8</b> | <b>\$968,791</b> | <b>\$1,543,260</b> | <b>\$1,516,550</b> |
| <b>FUNDING</b>                                                                                                                                      |              |              |              | <b>2006-07*</b>  | <b>2007-08*</b>    | <b>2008-09*</b>    |
| 0001 General Fund                                                                                                                                   |              |              |              | \$193,545        | \$268,218          | \$219,545          |
| 0028 Unified Program Account                                                                                                                        |              |              |              | 365              | 793                | 816                |
| 0029 Nuclear Planning Assessment Special Account                                                                                                    |              |              |              | 3,326            | 3,580              | 3,713              |
| 0214 Restitution Fund                                                                                                                               |              |              |              | -                | 10,215             | 10,500             |
| 0217 Insurance Fund                                                                                                                                 |              |              |              | -                | -                  | 10,210             |
| 0241 Local Public Prosecutors and Public Defenders Training Fund                                                                                    |              |              |              | 798              | 870                | 872                |
| 0425 Victim - Witness Assistance Fund                                                                                                               |              |              |              | 17,181           | 17,857             | 17,901             |
| 0437 State Assistance For Fire Equipment Account                                                                                                    |              |              |              | 7                | 100                | 100                |
| 0597 High Technology Theft Apprehension and Prosecution Program Trust Fund                                                                          |              |              |              | 29               | -                  | -                  |
| 0890 Federal Trust Fund                                                                                                                             |              |              |              | 750,875          | 1,092,002          | 1,088,570          |
| 0995 Reimbursements                                                                                                                                 |              |              |              | 2,649            | 3,815              | 4,196              |
| 3034 Antiterrorism Fund                                                                                                                             |              |              |              | 16               | 2,711              | 221                |
| 3112 Equality in Prevention and Services for Domestic Abuse Fund                                                                                    |              |              |              | -                | 338                | 104                |
| 6061 Transit System Safety, Security, and Disaster Response Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 |              |              |              | -                | 101,456            | 101,478            |
| 6073 Port and Maritime Security Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006                             |              |              |              | -                | 41,105             | 58,121             |
| 8039 Disaster Resistant Communities Account                                                                                                         |              |              |              | -                | 200                | 203                |
| <b>TOTALS, EXPENDITURES, ALL FUNDS</b>                                                                                                              |              |              |              | <b>\$968,791</b> | <b>\$1,543,260</b> | <b>\$1,516,550</b> |

**LEGAL CITATIONS AND AUTHORITY****DEPARTMENT AUTHORITY**

Government Code, Title 2, Division 1, Chapter 7; Penal Code, Part 4, Title 6, Chapter 3.

**MAJOR PROGRAM CHANGES**

- Wildland Firefighting Initiative - The Governor's Budget includes \$10.2 million from the Insurance Fund and 8.7 positions to establish and maintain the appropriate staffing and equipment levels necessary for the OES to be able to respond to major wildfire disasters. This amount includes purchasing additional fire engines that will be available to be deployed to major wildfires.
- Regional Operational Readiness-The Governor's Budget proposes \$1.6 million General Fund, \$1.6 million in federal funds, and 18.1 positions to increase staff at three regional offices to enhance the coordination of emergency preparedness, response, and recovery operations. Increasing staffing at the Inland, Coastal, and Southern regions will provide for better coordination of mutual aid and other emergency-related resources and activities.
- California Multi-jurisdictional Methamphetamine Enforcement Team (Cal-MMET) Program-The Governor's Budget proposes a continuation of \$20.1 million General Fund to fund the OES Cal-MMET Program on a permanent basis. This funding, in addition to the \$9.5 million of existing funds, will provide the resources for additional investigators and prosecutors specializing in methamphetamine offenses to curtail the production and distribution of the illegal substance in California.
- Operational Area Satellite Information System (OASIS)-The Governor's Budget includes \$2 million General Fund for the OES to increase the capability of the OASIS, a satellite-based communications system that provides the ability to communicate between various operational areas and regions during an emergency or disaster. This funding will allow for additional OASIS units to be simultaneously active, and allow for additional information and data to be transmitted between various state and local agencies responsible for providing disaster emergency assistance.
- Replacement of Obsolete Radio Equipment-The Governor's Budget proposes \$3 million General Fund to replace the inventory of OES radios that are at least five years old. Replacing this outdated communication equipment will enhance OES and other first responders' ability to communicate and transmit critical information in the event of a disaster or emergency situation.
- Southern California Wildfires-The Governor's Budget includes \$70.2 million General Fund in 2007-08 and \$21 million General Fund in 2008-09 to fund the disaster response and recovery costs the OES incurred as a result of the 2007

\* Dollars in thousands, except in Salary Range.

## 0690 Office of Emergency Services - Continued

Southern California Wildfires. This amount includes costs for personal services, operating expenses and equipment, as well as public and individual assistance such as debris removal, clean-up, counseling and funeral costs.

### BUDGET-BALANCING REDUCTIONS

- The Budget includes General Fund reductions of \$6.5 million and 8.5 positions in 2007-08 and \$21.2 million and 23.9 positions in 2008-09.

- The major budget balancing reductions for 2007-08 include:

A decrease of \$100,000 for the OES' Fire and Rescue Mutual Aid Response Program which will impact the ability of OES to coordinate statewide response to emergencies.

A decrease of \$100,000 for OES' Warning Center/Information Technology/Telecommunications Program which will result in the slower response time for emergency management coordination during disasters.

A decrease of \$400,000 and 1.9 positions for the Plans and Preparedness Program which will impact OES' administrative regions' ability to serve as the conduit for information to local and regional areas to provide efficient and effective support to local disaster response.

A decrease of \$2.4 million and 2.9 positions for the Disaster Assistance Program which will delay the processing and payment of disaster reimbursement claims from local governments.

A decrease of \$3.1 million and 2 positions for the OES local Criminal Justice Grant programs such as Domestic Violence, War on Methamphetamine, Vertical Prosecution Block Grant, High Tech Theft Apprehension, Rural Crime Prevention, and Sexual Assault Felony Enforcement which will result in a lesser amount of grant funding being distributed to local agencies.

- The major budget balancing reductions for 2008-09 include:

A decrease of \$665,000 in 2008-09 for the OES' Fire and Rescue Mutual Aid Response Program. However, this reduction will be offset by the property insurance surcharge included in the Wildland Firefighting Initiative.

A decrease of \$1.2 million for OES' Warning Center/Information Technology/Telecommunications Program. However, this reduction will be offset by the property insurance surcharge included in the Wildland Firefighting Initiative.

A decrease of \$824,000 and 5.8 positions for the Plans and Preparedness Program which will impact OES' ability to serve as the conduit for information to local and regional areas to provide efficient and effective support to local disaster response.

A decrease of \$9 million and 9.9 positions for OES' Disaster Assistance Program which will delay the processing and payment of disaster reimbursement claims from local governments.

A decrease of \$8.1 million and 4.3 positions for OES' local Criminal Justice Grant programs such as Domestic Violence, War on Methamphetamine, Vertical Prosecution Block Grant, High Tech Theft Apprehension, Rural Crime Prevention, and Sexual Assault Felony Enforcement which will result in a lesser amount of grant funding being distributed to local agencies.

### DETAILED BUDGET ADJUSTMENTS

|                                                              | 2007-08*     |             |           | 2008-09*     |             |           |
|--------------------------------------------------------------|--------------|-------------|-----------|--------------|-------------|-----------|
|                                                              | General Fund | Other Funds | Positions | General Fund | Other Funds | Positions |
| <b>Baseline Adjustment Descriptions</b>                      |              |             |           |              |             |           |
| • California Emergency Council Requirements                  | \$-          | \$-         | -         | \$600        | \$-         | -         |
| • Alert and Warning System Maintenance                       | -            | -           | -         | 230          | -           | 0.9       |
| • Headquarters Facilities Maintenance Increase               | -            | -           | -         | 198          | -           | -         |
| • Coastal Region Office Relocation                           | -            | -           | -         | 32           | -           | -         |
| • Nuclear Planning Assessment Special Account CPI Adjustment | -            | -           | -         | -            | 99          | -         |
| • Justice Assistance Grant Program                           | -            | -           | -         | -            | -           | 3.8       |
| • Mentoring Children of Incarcerated Parents Program         | -            | -           | -         | -            | -270        | -         |
| • Full Year Cost of New/Expanded Programs                    | -            | -           | -         | 47           | 17,111      | 2.7       |
| • Lease Revenue Debt Service Adjustment                      | 4,392        | -           | -         | 4,429        | -           | -         |
| • Employee Compensation Adjustments                          | 634          | 678         | -         | 693          | 698         | -         |
| • Price Increase                                             | -            | -           | -         | 800          | 1,115       | -         |
| • Other Baseline Adjustments                                 | -317         | 7,353       | -         | -557         | 177         | -         |

\* Dollars in thousands, except in Salary Range.

**0690 Office of Emergency Services - Continued**

|                                                                            | 2007-08*        |                 |             | 2008-09*        |                 |             |
|----------------------------------------------------------------------------|-----------------|-----------------|-------------|-----------------|-----------------|-------------|
|                                                                            | General Fund    | Other Funds     | Positions   | General Fund    | Other Funds     | Positions   |
| • Retirement Rate Adjustment                                               | -30             | -58             | -           | -30             | -58             | -           |
| • One-Time Cost Reductions                                                 | -               | -               | -           | -106            | -13             | -2.8        |
| • Limited Term Positions/Expiring Programs                                 | -               | -               | -           | -20,000         | -6,052          | -           |
| • California Multi-Jurisdictional Methamphetamine Enforcement Teams        | -               | -               | -           | 20,110          | -               | 0.9         |
| • Operational Area Satellite Information System (OASIS)                    | -               | -               | -           | 2,000           | -               | -           |
| • Replacement of Critical Communication Equipment                          | -               | -               | -           | 3,030           | -               | -           |
| • Southern California Wildland Fires                                       | 70,222          | -               | -           | 21,000          | -               | -           |
| • Disaster Response and Recovery                                           | 9,173           | -               | -           | -               | -               | -           |
| • Emergency Management Performance Grant Fund                              | -               | -               | -           | -               | 3,353           | -           |
| • Anti-terrorism Training (Chapter 392, Statutes of 2007)                  | -               | 2,500           | -           | -               | -               | -           |
| • Hazard Disaster Management Training                                      | -               | -               | -           | -               | 1,349           | -           |
| • Office of Gang and Youth Violence Policy (Chapter 459, Statutes of 2007) | -               | -               | -           | 1,278           | -               | 6.7         |
| • Parole Revocation Victim Advocacy Program                                | -               | -               | -           | -               | 1,100           | 0.9         |
| • Internet Crimes Against Children Program                                 | -               | -               | -           | -               | 1,000           | -           |
| • Residential Substance Abuse Treatment Program                            | -               | -               | -           | -               | 970             | -           |
| <b>Totals, Baseline Adjustments</b>                                        | <b>\$84,074</b> | <b>\$10,473</b> | <b>-</b>    | <b>\$33,754</b> | <b>\$20,579</b> | <b>13.1</b> |
| <b>Policy Adjustment Descriptions</b>                                      |                 |                 |             |                 |                 |             |
| • Wildland Firefighting Initiative                                         | \$-             | \$-             | -           | \$-             | \$10,210        | 8.7         |
| • Regional Operational Readiness                                           | -               | -               | -           | 1,647           | 1,647           | 18.1        |
| <b>Totals, Policy Adjustments</b>                                          | <b>\$-</b>      | <b>\$-</b>      | <b>-</b>    | <b>\$1,647</b>  | <b>\$11,857</b> | <b>26.8</b> |
| <b>TOTALS, BUDGET ADJUSTMENTS</b>                                          | <b>\$84,074</b> | <b>\$10,473</b> | <b>-</b>    | <b>\$35,401</b> | <b>\$32,436</b> | <b>39.9</b> |
| <b>Other Adjustments <sup>11</sup></b>                                     |                 |                 |             |                 |                 |             |
| • Budget-Balancing Reductions                                              | -6,482          | -               | -8.5        | -21,151         | -21,800         | -23.9       |
| <b>REVISED TOTALS, BUDGET ADJUSTMENTS</b>                                  | <b>\$77,592</b> | <b>\$10,473</b> | <b>-8.5</b> | <b>\$14,250</b> | <b>\$10,636</b> | <b>16.0</b> |

<sup>11</sup> These dollars and PYs are included in the General Government agency, therefore not included in the other fiscal statements for this department. These totals are also not included in the applicable Summary Schedules for this department.

**0690 Office of Emergency Services - Continued****Program 50 - Criminal Justice Projects, Local Assistance**

| Component                                                            | Program Name                                   | Source of Funds                   | Actual                   | Estimated                | Proposed                 |
|----------------------------------------------------------------------|------------------------------------------------|-----------------------------------|--------------------------|--------------------------|--------------------------|
|                                                                      |                                                |                                   | Expenditures<br>2006-07* | Expenditures<br>2007-08* | Expenditures<br>2008-09* |
| 50.20.101                                                            | Victim-Witness Assistance                      | 0425 Victim-Witness Assist Fund   | \$11,871                 | \$11,871                 | \$11,871                 |
| 50.20.102                                                            | Victims' Legal Resource Center                 | 0001 General Fund                 | 41                       | 41                       | 41                       |
| 50.20.151                                                            | Domestic Violence <sup>1</sup>                 | 0001 General Fund                 | 2,730                    | 2,730                    | 2,730                    |
|                                                                      |                                                | 0890 Federal Trust Fund           | 8,751                    | 8,751                    | 8,751                    |
| 50.20.152                                                            | Family Violence Prevention                     | 0001 General Fund                 | 50                       | 50                       | 50                       |
| 50.20.161                                                            | Violence Against Women Act                     | 0890 Federal Trust Fund           | 12,990                   | 12,990                   | 12,990                   |
| 50.20.171                                                            | Rural Domestic Violence/Child Victim           | 0890 Federal Trust Fund           | 571                      | 571                      | 571                      |
| 50.20.200                                                            | Mentoring Children                             | 0890 Federal Trust Fund           | 260                      | 260                      | -                        |
| 50.20.301                                                            | Rape Crisis <sup>1</sup>                       | 0001 General Fund                 | 50                       | 50                       | 50                       |
|                                                                      |                                                | 0425 Victim-Witness Assist Fund   | 3,670                    | 3,670                    | 3,670                    |
| 50.20.351                                                            | Homeless Youth                                 | 0001 General Fund                 | 396                      | 396                      | 396                      |
| 50.20.352                                                            | Youth Emergency Telephone Refer                | 0001 General Fund                 | 127                      | 127                      | 127                      |
| 50.20.353                                                            | Child Sexual Abuse & Exploitation              | 0425 Victim-Witness Assist Fund   | 978                      | 978                      | 978                      |
| 50.20.354                                                            | Child Sexual Abuse Prevention/Trng             | 0001 General Fund                 | 302                      | 302                      | 302                      |
| 50.20.451                                                            | Victims of Crime Act                           | 0890 Federal Trust Fund           | 43,842                   | 46,288                   | 43,000                   |
| 50.20.906                                                            | Equality in Prevention & Serv.                 | 3112 EPSDA                        | -                        | 300                      | -                        |
| 50.20.902                                                            | Child Justice Act                              | 0890 Federal Trust Fund           | -                        | 1,775                    | 1,775                    |
| 50.20.903                                                            | Evidentiary Medical Training                   | 0001 General Fund                 | -                        | 648                      | 648                      |
| 50.20.904                                                            | Public Pros/Pub Defender Training              | 0001 General Fund                 | -                        | 8                        | 8                        |
| 50.20.900                                                            | Child Abuse/Abduct Prevent Ed                  | 0001 General Fund                 | 493                      | -                        | -                        |
| 50.30.502                                                            | War on Methamphetamine                         | 0001 General Fund                 | 29,200                   | 29,400                   | 29,400                   |
| 50.30.503                                                            | Vertical Prosecution Block Grant               | 0001 General Fund                 | 16,176                   | 16,176                   | 16,176                   |
| 50.30.504                                                            | Project Safe Neighborhoods                     | 0890 Federal Trust Fund           | 2,510                    | 2,510                    | 2,510                    |
| 50.30.522                                                            | Evidentiary Medical Training                   | 0001 General Fund                 | 648                      | -                        | -                        |
| 50.30.523                                                            | Forensic Science Improvement Act               | 0890 Federal Trust Fund           | 1,268                    | 1,480                    | 1,110                    |
| 50.30.525                                                            | Children's Justice Act                         | 0890 Federal Trust Fund           | 1,775                    | -                        | -                        |
| 50.30.541                                                            | Public Prosecutors/Defenders Trng <sup>1</sup> | 0001 General Fund                 | 8                        | -                        | -                        |
|                                                                      |                                                | 0241 Local Pub Pros/Def Trng Fund | 792                      | 792                      | 792                      |
| 50.30.550                                                            | BYRNE                                          | 0890 Federal Trust Fund           | 2,173                    | -                        | -                        |
| 50.30.555                                                            | Res Substance Abuse Treatment                  | 0890 Federal Trust Fund           | 3,600                    | 921                      | 921                      |
| 50.30.559                                                            | Peace Officer Protective Equipment             | 0890 Federal Trust Fund           | 1,275                    | 1,275                    | 1,275                    |
| 50.30.560                                                            | Justice Assistance Grant                       | 0890 Federal Trust Fund           | 34,270                   | 34,270                   | 34,270                   |
| 50.30.562                                                            | High Tech Theft Apprehension <sup>1</sup>      | 0001 General Fund                 | 13,300                   | 13,300                   | 13,300                   |
|                                                                      |                                                | 0890 Federal Trust Fund           | -                        | -                        | -                        |
| 50.30.661                                                            | Gang Violence Suppression                      | 0001 General Fund                 | 1,785                    | 1,785                    | 1,785                    |
| 50.30.662                                                            | CALGANG                                        | 0001 General Fund                 | 300                      | 300                      | 300                      |
| 50.30.672                                                            | Multi-Agency Gang Enfrc Consort                | 0001 General Fund                 | 93                       | 93                       | 93                       |
| 50.30.815                                                            | Rural Crime Prevention                         | 0001 General Fund                 | 4,143                    | 4,143                    | 4,143                    |
| 50.30.901                                                            | Sexual Assault Felony Enforce Prog             | 0001 General Fund                 | 5,700                    | 5,700                    | 5,700                    |
| 50.30.907                                                            | Statewide Anti-Gang Coordinator                | 0214 Restitution Fund             | -                        | 9,215                    | 9,500                    |
| 50.30.908                                                            | Internet Crimes Against Children               | 0214 Restitution Fund             | -                        | 1,000                    | 1,000                    |
| 50.30.905                                                            | Anti-Gang Initiative                           | 0890 Federal Trust Fund           | -                        | 4,607                    | 4,607                    |
| 50.30.909                                                            | CA Regional Intel Led Policing                 | 0890 Federal Trust Fund           | -                        | 1,400                    | -                        |
| <b>Total, Program 50-Criminal Justice Projects, Local Assistance</b> |                                                |                                   | <b>\$206,138</b>         | <b>\$220,173</b>         | <b>\$214,840</b>         |

<sup>1</sup> Program has multiple funding sources.

## 0690 Office of Emergency Services - Continued

### PROGRAM DESCRIPTIONS (Program Objectives Statement)

#### 10 - SUPPORT OF OFFICE OF HOMELAND SECURITY

The Office of Homeland Security (OHS) develops and coordinates a comprehensive state strategy related to terrorism that includes prevention, preparedness, and response and recovery. This is consistent with the National Strategy on Homeland Security to secure the nation from terrorist attacks. The Office also serves as the State Administering Agent for federal homeland security grants and as the primary liaison with the United States Department of Homeland Security. Additionally, the Office serves as an advocate for local public safety agencies throughout California with respect to federal homeland security issues.

#### 15 - MUTUAL AID RESPONSE

The Mutual Aid Response Program provides emergency mutual aid services to jurisdictions whose resources and services are overextended in a disaster situation. This includes the effective use of federal, state, and local resources. Central to this effort is maintaining operational readiness at all levels of government.

#### 35 - PLANS AND PREPAREDNESS

The objective of the Plans and Preparedness Program is to develop and implement emergency plans to ensure consistency in planning at all levels of government. This program also provides management courses in preparedness, mitigation, and technical training for radiological response and recovery.

#### 45 - DISASTER ASSISTANCE

The Disaster Assistance Program provides aid to local agencies for repair and restoration of public real property in disaster-stricken areas and ensures that all public facilities will be restored in order to provide necessary services to the citizens of the affected areas.

This Program administers the California Disaster Assistance Act, which provides eligible local agencies with financial assistance to recover from disasters.

This Program also administers funding received through the Federal Disaster Relief Act, whereby local government and other public entities are provided financial and other assistance to recover from the effects of floods, earthquakes, and other natural disasters.

#### 50 - CRIMINAL JUSTICE PROJECTS

The Criminal Justice Projects Program administers federal and state grant funding that supports public and private agencies operating public safety and victim services programs in communities throughout California.

#### 51 - STATE TERRORISM THREAT ASSESSMENT CENTER

The State Terrorism Threat Assessment Center operates a state-level intelligence database that assists local law enforcement in combating terrorist activities in California, and coordinates those efforts with federal law enforcement agencies.

#### 55 - ADMINISTRATION AND EXECUTIVE

The Administration and Executive Program provides the overall policy direction of the Office and support services such as accounting, fiscal, personnel, and business services.

#### 60 - SUPPORT OF OTHER STATE AGENCIES

The Support of Other State Agencies Program provides federal homeland security grant funds to state agencies in support of antiterrorism and other homeland security activities. These funds are administered by the OHS.

#### 65 - OFFICE OF HOMELAND SECURITY

The Office of Homeland Security Program was moved to programs 10 and 60, effective July 1, 2006, to more accurately differentiate between the funding that directly supports the OHS and the funding provided to other state agencies in support of antiterrorism and other homeland security activities.

### DETAILED EXPENDITURES BY PROGRAM (Program Budget Detail)

|                                                  | 2006-07* | 2007-08* | 2008-09* |
|--------------------------------------------------|----------|----------|----------|
| <b>PROGRAM REQUIREMENTS</b>                      |          |          |          |
| <b>10 SUPPORT OF OFFICE OF HOMELAND SECURITY</b> |          |          |          |
| <b>State Operations:</b>                         |          |          |          |
| 0890 Federal Trust Fund                          | \$8,365  | \$13,281 | \$13,480 |

\* Dollars in thousands, except in Salary Range.

**0690 Office of Emergency Services - Continued**

|                                                                                                                                                     | <u>2006-07*</u>  | <u>2007-08*</u>  | <u>2008-09*</u>  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------|------------------|------------------|------------------|
| 3034 Antiterrorism Fund                                                                                                                             | 16               | 2,610            | 118              |
| 6061 Transit System Safety, Security, and Disaster Response Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | -                | 1,456            | 1,478            |
| 6073 Port and Maritime Security Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006                             | -                | 1,105            | 1,121            |
| <b>Totals, State Operations</b>                                                                                                                     | <b>\$8,381</b>   | <b>\$18,452</b>  | <b>\$16,197</b>  |
| <b>Local Assistance:</b>                                                                                                                            |                  |                  |                  |
| 0890 Federal Trust Fund                                                                                                                             | \$327,984        | \$328,000        | \$328,000        |
| 6061 Transit System Safety, Security, and Disaster Response Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | -                | 100,000          | 100,000          |
| 6073 Port and Maritime Security Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006                             | -                | 40,000           | 57,000           |
| <b>Totals, Local Assistance</b>                                                                                                                     | <b>\$327,984</b> | <b>\$468,000</b> | <b>\$485,000</b> |
| <b>PROGRAM REQUIREMENTS</b>                                                                                                                         |                  |                  |                  |
| <b>15 MUTUAL AID RESPONSE</b>                                                                                                                       |                  |                  |                  |
| <b>State Operations:</b>                                                                                                                            |                  |                  |                  |
| 0001 General Fund                                                                                                                                   | \$16,556         | \$17,169         | \$21,792         |
| 0217 Insurance Fund                                                                                                                                 |                  |                  | 10,210           |
| 0437 State Assistance for Fire Equipment Account                                                                                                    | 7                | 100              | 100              |
| 0890 Federal Trust Fund                                                                                                                             | 203              | 580              | 685              |
| 3034 Antiterrorism Fund                                                                                                                             | -                | -                | 103              |
| <b>Totals, State Operations</b>                                                                                                                     | <b>\$16,766</b>  | <b>\$17,849</b>  | <b>\$32,890</b>  |
| <b>ELEMENT REQUIREMENTS</b>                                                                                                                         |                  |                  |                  |
| <b>15.10 Fire and Rescue</b>                                                                                                                        | <b>\$6,763</b>   | <b>\$7,227</b>   | <b>\$17,555</b>  |
| <b>State Operations:</b>                                                                                                                            |                  |                  |                  |
| 0001 General Fund                                                                                                                                   | 6,622            | 6,748            | 6,852            |
| 0217 Insurance Fund                                                                                                                                 | -                | -                | 10,210           |
| 0437 State Assistance for Fire Equipment Account                                                                                                    | 7                | 100              | 100              |
| 0890 Federal Trust Fund                                                                                                                             | 134              | 379              | 393              |
| <b>15.20 Law Enforcement</b>                                                                                                                        | <b>\$2,161</b>   | <b>\$2,187</b>   | <b>\$2,218</b>   |
| <b>State Operations:</b>                                                                                                                            |                  |                  |                  |
| 0001 General Fund                                                                                                                                   | 2,153            | 2,165            | 2,195            |
| 0890 Federal Trust Fund                                                                                                                             | 8                | 22               | 23               |
| <b>15.30 Information Technology/Operations Support</b>                                                                                              | <b>\$7,842</b>   | <b>\$8,435</b>   | <b>\$13,117</b>  |
| <b>State Operations:</b>                                                                                                                            |                  |                  |                  |
| 0001 General Fund                                                                                                                                   | 7,781            | 8,256            | 12,745           |
| 0890 Federal Trust Fund                                                                                                                             | 61               | 179              | 269              |
| 3034 Antiterrorism Fund                                                                                                                             | -                | -                | 103              |
| <b>PROGRAM REQUIREMENTS</b>                                                                                                                         |                  |                  |                  |
| <b>35 PLANS AND PREPAREDNESS</b>                                                                                                                    |                  |                  |                  |
| <b>State Operations:</b>                                                                                                                            |                  |                  |                  |
| 0001 General Fund                                                                                                                                   | \$5,497          | \$6,513          | \$10,786         |
| 0028 Unified Program Account                                                                                                                        | 365              | 793              | 816              |
| 0029 Nuclear Planning Assessment Special Account                                                                                                    | 891              | 1,111            | 1,175            |
| 0890 Federal Trust Fund                                                                                                                             | 7,995            | 12,939           | 15,066           |

\* Dollars in thousands, except in Salary Range.

**0690 Office of Emergency Services - Continued**

|                                                                            | <u>2006-07*</u>  | <u>2007-08*</u>  | <u>2008-09*</u>  |
|----------------------------------------------------------------------------|------------------|------------------|------------------|
| 0995 Reimbursements                                                        | 2,649            | 3,795            | 4,176            |
| 8039 Disaster Resistant Communities Account                                | -                | 200              | 203              |
| <b>Totals, State Operations</b>                                            | <b>\$17,397</b>  | <b>\$25,351</b>  | <b>\$32,222</b>  |
| <b>Local Assistance:</b>                                                   |                  |                  |                  |
| 0029 Nuclear Planning Assessment Special Account                           | \$2,435          | \$2,469          | \$2,538          |
| 0890 Federal Trust Fund                                                    | 13,797           | 16,100           | 16,100           |
| <b>Totals, Local Assistance</b>                                            | <b>\$16,232</b>  | <b>\$18,569</b>  | <b>\$18,638</b>  |
| <b>ELEMENT REQUIREMENTS</b>                                                |                  |                  |                  |
| <b>35.10 Plans and Preparedness</b>                                        | <b>\$26,954</b>  | <b>\$36,594</b>  | <b>\$42,994</b>  |
| <b>State Operations:</b>                                                   |                  |                  |                  |
| 0001 General Fund                                                          | 3,135            | 4,712            | 8,898            |
| 0028 Unified Program Account                                               | 365              | 793              | 816              |
| 0029 Nuclear Planning Assessment Special Account                           | 891              | 1,111            | 1,175            |
| 0890 Federal Trust Fund                                                    | 6,236            | 10,973           | 13,078           |
| 0995 Reimbursements                                                        | 95               | 236              | 186              |
| 8039 Disaster Resistant Communities Account                                | -                | 200              | 203              |
| <b>Local Assistance:</b>                                                   |                  |                  |                  |
| 0029 Nuclear Planning Assessment Special Account                           | 2,435            | 2,469            | 2,538            |
| 0890 Federal Trust Fund                                                    | 13,797           | 16,100           | 16,100           |
| <b>35.30 Training</b>                                                      | <b>\$6,675</b>   | <b>\$7,326</b>   | <b>\$7,866</b>   |
| <b>State Operations:</b>                                                   |                  |                  |                  |
| 0001 General Fund                                                          | 2,362            | 1,801            | 1,888            |
| 0890 Federal Trust Fund                                                    | 1,759            | 1,966            | 1,988            |
| 0995 Reimbursements                                                        | 2,554            | 3,559            | 3,990            |
| <b>PROGRAM REQUIREMENTS</b>                                                |                  |                  |                  |
| <b>45 DISASTER ASSISTANCE</b>                                              |                  |                  |                  |
| <b>State Operations:</b>                                                   |                  |                  |                  |
| 0001 General Fund                                                          | \$10,139         | \$29,344         | \$13,967         |
| 0890 Federal Trust Fund                                                    | 7,160            | 15,215           | 14,647           |
| <b>Totals, State Operations</b>                                            | <b>\$17,299</b>  | <b>\$44,559</b>  | <b>\$28,614</b>  |
| <b>Local Assistance:</b>                                                   |                  |                  |                  |
| 0001 General Fund                                                          | \$74,600         | \$120,591        | \$77,918         |
| 0890 Federal Trust Fund                                                    | 249,208          | 557,726          | 557,726          |
| <b>Totals, Local Assistance</b>                                            | <b>\$323,808</b> | <b>\$678,317</b> | <b>\$635,644</b> |
| <b>PROGRAM REQUIREMENTS</b>                                                |                  |                  |                  |
| <b>50 CRIMINAL JUSTICE PROJECTS</b>                                        |                  |                  |                  |
| <b>State Operations:</b>                                                   |                  |                  |                  |
| 0001 General Fund                                                          | \$3,285          | \$11,488         | \$12,756         |
| 0241 Local Public Prosecutors and Public Defenders Training Fund           | 6                | 78               | 80               |
| 0425 Victim - Witness Assistance Fund                                      | 662              | 1,338            | 1,382            |
| 0597 High Technology Theft Apprehension and Prosecution Program Trust Fund | 29               | -                | -                |
| 0890 Federal Trust Fund                                                    | 4,872            | 9,013            | 8,758            |
| 0995 Reimbursements                                                        | -                | 20               | 20               |
| 3112 Equality in Prevention and Services for Domestic Abuse Fund           | -                | 38               | 104              |
| <b>Totals, State Operations</b>                                            | <b>\$8,854</b>   | <b>\$21,975</b>  | <b>\$23,100</b>  |
| <b>Local Assistance:</b>                                                   |                  |                  |                  |

\* Dollars in thousands, except in Salary Range.

**0690 Office of Emergency Services - Continued**

|              |                                                                       | <u>2006-07*</u>  | <u>2007-08*</u>  | <u>2008-09*</u>  |
|--------------|-----------------------------------------------------------------------|------------------|------------------|------------------|
| 0001         | General Fund                                                          | \$75,542         | \$75,249         | \$75,249         |
| 0214         | Restitution Fund                                                      | -                | 10,215           | 10,500           |
| 0241         | Local Public Prosecutors and Public Defenders Training Fund           | 792              | 792              | 792              |
| 0425         | Victim - Witness Assistance Fund                                      | 16,519           | 16,519           | 16,519           |
| 0890         | Federal Trust Fund                                                    | 113,285          | 117,098          | 111,780          |
| 3112         | Equality in Prevention and Services for Domestic Abuse Fund           | -                | 300              | -                |
|              | <b>Totals, Local Assistance</b>                                       | <b>\$206,138</b> | <b>\$220,173</b> | <b>\$214,840</b> |
|              | <b>ELEMENT REQUIREMENTS</b>                                           |                  |                  |                  |
| <b>50.10</b> | <b>Planning, Policy, and Management</b>                               | <b>\$8,854</b>   | <b>\$21,975</b>  | <b>\$23,100</b>  |
|              | <b>State Operations:</b>                                              |                  |                  |                  |
| 0001         | General Fund                                                          | 3,285            | 11,488           | 12,756           |
| 0241         | Local Public Prosecutors and Public Defenders Training Fund           | 6                | 78               | 80               |
| 0425         | Victim - Witness Assistance Fund                                      | 662              | 1,338            | 1,382            |
| 0597         | High Technology Theft Apprehension and Prosecution Program Trust Fund | 29               | -                | -                |
| 0890         | Federal Trust Fund                                                    | 4,872            | 9,013            | 8,758            |
| 0995         | Reimbursements                                                        | -                | 20               | 20               |
| 3112         | Equality in Prevention and Services for Domestic Abuse Fund           | -                | 38               | 104              |
| <b>50.20</b> | <b>Victim Services</b>                                                | <b>\$86,629</b>  | <b>\$91,806</b>  | <b>\$87,958</b>  |
|              | <b>Local Assistance:</b>                                              |                  |                  |                  |
| 0001         | General Fund                                                          | 3,696            | 4,352            | 4,352            |
| 0425         | Victim - Witness Assistance Fund                                      | 16,519           | 16,519           | 16,519           |
| 0890         | Federal Trust Fund                                                    | 66,414           | 70,635           | 67,087           |
| 3112         | Equality in Prevention and Services for Domestic Abuse Fund           | -                | 300              | -                |
| <b>50.30</b> | <b>Public Safety</b>                                                  | <b>\$119,509</b> | <b>\$128,367</b> | <b>\$126,882</b> |
|              | <b>Local Assistance:</b>                                              |                  |                  |                  |
| 0001         | General Fund                                                          | 71,846           | 70,897           | 70,897           |
| 0214         | Restitution Fund                                                      | -                | 10,215           | 10,500           |
| 0241         | Local Public Prosecutors and Public Defenders Training Fund           | 792              | 792              | 792              |
| 0890         | Federal Trust Fund                                                    | 46,871           | 46,463           | 44,693           |
|              | <b>PROGRAM REQUIREMENTS</b>                                           |                  |                  |                  |
| <b>51</b>    | <b>STATE TERRORISM THREAT ASSESSMENT CENTER</b>                       |                  |                  |                  |
|              | <b>State Operations:</b>                                              |                  |                  |                  |
| 0001         | General Fund                                                          | <u>\$6,811</u>   | <u>\$6,927</u>   | <u>\$7,077</u>   |
|              | <b>Totals, State Operations</b>                                       | <b>\$6,811</b>   | <b>\$6,927</b>   | <b>\$7,077</b>   |
|              | <b>PROGRAM REQUIREMENTS</b>                                           |                  |                  |                  |
| <b>55</b>    | <b>EXECUTIVE AND ADMINISTRATION</b>                                   |                  |                  |                  |
|              | <b>State Operations:</b>                                              |                  |                  |                  |
| 0001         | General Fund                                                          | \$1,115          | \$937            | \$-              |
| 0890         | Federal Trust Fund                                                    | -                | 50               | -                |
| 3034         | Antiterrorism Fund                                                    | <u>-</u>         | <u>101</u>       | <u>-</u>         |
|              | <b>Totals, State Operations</b>                                       | <b>\$1,115</b>   | <b>\$1,088</b>   | <b>\$-</b>       |

\* Dollars in thousands, except in Salary Range.

**0690 Office of Emergency Services - Continued**

|                             |                                        | <u>2006-07*</u>  | <u>2007-08*</u>    | <u>2008-09*</u>    |
|-----------------------------|----------------------------------------|------------------|--------------------|--------------------|
| <b>ELEMENT REQUIREMENTS</b> |                                        |                  |                    |                    |
| 55.01                       | Executive and Administration           | 7,550            | 8,693              | 8,804              |
| 55.02                       | Distributed Administration             | -6,435           | -7,605             | -8,804             |
| <b>PROGRAM REQUIREMENTS</b> |                                        |                  |                    |                    |
| <b>60</b>                   | <b>SUPPORT OF OTHER STATE AGENCIES</b> |                  |                    |                    |
| <b>State Operations:</b>    |                                        |                  |                    |                    |
| 0890                        | Federal Trust Fund                     | <u>\$18,006</u>  | <u>\$22,000</u>    | <u>\$22,328</u>    |
|                             | <b>Totals, State Operations</b>        | <b>\$18,006</b>  | <b>\$22,000</b>    | <b>\$22,328</b>    |
| <b>TOTALS, EXPENDITURES</b> |                                        |                  |                    |                    |
|                             | State Operations                       | 94,629           | 158,201            | 162,428            |
|                             | Local Assistance                       | <u>874,162</u>   | <u>1,385,059</u>   | <u>1,354,122</u>   |
|                             | <b>Totals, Expenditures</b>            | <b>\$968,791</b> | <b>\$1,543,260</b> | <b>\$1,516,550</b> |

**EXPENDITURES BY CATEGORY (Summary By Object)**

|                                                      | <b>1 State Operations</b> |                |                | <b>Expenditures</b> |                  |                  |
|------------------------------------------------------|---------------------------|----------------|----------------|---------------------|------------------|------------------|
|                                                      | <u>2006-07</u>            | <u>2007-08</u> | <u>2008-09</u> | <u>2006-07*</u>     | <u>2007-08*</u>  | <u>2008-09*</u>  |
| <b>PERSONAL SERVICES</b>                             |                           |                |                |                     |                  |                  |
| Authorized Positions (Equals Sch. 7A)                | 478.5                     | 624.1          | 622.1          | \$26,376            | \$38,371         | \$38,987         |
| Total Adjustments                                    | -                         | -              | 44.0           | -                   | 963              | 3,508            |
| Estimated Salary Savings                             | <u>-</u>                  | <u>-31.2</u>   | <u>-33.3</u>   | <u>-</u>            | <u>-1,967</u>    | <u>-2,125</u>    |
| <b>Net Totals, Salaries and Wages</b>                | <b>478.5</b>              | <b>592.9</b>   | <b>632.8</b>   | <b>\$26,376</b>     | <b>\$37,367</b>  | <b>\$40,370</b>  |
| Staff Benefits                                       | <u>-</u>                  | <u>-</u>       | <u>-</u>       | <u>9,232</u>        | <u>13,079</u>    | <u>14,130</u>    |
| <b>Totals, Personal Services</b>                     | <b>478.5</b>              | <b>592.9</b>   | <b>632.8</b>   | <b>\$35,608</b>     | <b>\$50,446</b>  | <b>\$54,500</b>  |
| <b>OPERATING EXPENSES AND EQUIPMENT</b>              |                           |                |                | <u>\$59,021</u>     | <u>\$107,755</u> | <u>\$107,928</u> |
| <b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS</b> |                           |                |                | <b>\$94,629</b>     | <b>\$158,201</b> | <b>\$162,428</b> |
| <b>(State Operations)</b>                            |                           |                |                |                     |                  |                  |

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS (Reconciliation with Appropriations)**

| <b>1 STATE OPERATIONS</b> |                                                   | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|---------------------------|---------------------------------------------------|-----------------|-----------------|-----------------|
|                           | <b>0001 General Fund</b>                          |                 |                 |                 |
| <b>APPROPRIATIONS</b>     |                                                   |                 |                 |                 |
| 001                       | Budget Act appropriation                          | \$33,692        | \$38,244        | \$46,545        |
|                           | Allocation for employee compensation              | 1,667           | 574             | -               |
|                           | Allocation for contingencies or emergencies       | -               | 1,853           | -               |
|                           | Adjustment per Section 3.60                       | 166             | -25             | -               |
|                           | Adjustment per Section 4.04                       | -               | -542            | -               |
|                           | Adjustment per Section 4.75 Statewide Surcharge   | 24              | -               | -               |
|                           | Adjustment per Section 15.25                      | -               | -10             | -               |
|                           | Revised expenditure authority per Provision 6     | 200             | -               | -               |
|                           | Adjustment per Government Code Section 8690.6 (a) | -               | 13,869          | -               |
| 002                       | Budget Act appropriation                          | 9,790           | 11,073          | 12,745          |
|                           | Allocation for employee compensation              | 386             | 170             | -               |
|                           | Adjustment per Section 3.60                       | 42              | -5              | -               |
|                           | Adjustment per Section 4.04                       | -               | -156            | -               |
|                           | Adjustment per Section 4.75 Statewide Surcharge   | 7               | -               | -               |
|                           | Adjustment per Section 15.25                      | -               | 5               | -               |
|                           | Amended per Chapter 733, Statutes of 2006         | 300             | -               | -               |

\* Dollars in thousands, except in Salary Range.

**0690 Office of Emergency Services - Continued**

| <b>1 STATE OPERATIONS</b>                                                                                        | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|------------------------------------------------------------------------------------------------------------------|-----------------|-----------------|-----------------|
| Revised expenditure authority                                                                                    | -               | 285             | -               |
| 003 Budget Act appropriation                                                                                     | 30              | 1,969           | 6,398           |
| Adjustment per Section 4.30 (Lease-Revenue)                                                                      | -               | 4,392           | -               |
| 013 Budget Act appropriation (transfer to High Technology Theft Apprehension and Prosecution Program Trust Fund) | 679             | 691             | 690             |
| Allocation for employee compensation                                                                             | -               | 1               | -               |
| Adjustment per Section 4.04                                                                                      | -               | -12             | -               |
| Chapter 337, Statutes of 2006                                                                                    | 2               | -               | -               |
| Prior year balances available:                                                                                   |                 |                 |                 |
| Chapter 337, Statutes of 2006                                                                                    | -               | 2               | -               |
| <b>Totals Available</b>                                                                                          | <b>\$46,985</b> | <b>\$72,378</b> | <b>\$66,378</b> |
| Unexpended balance, estimated savings                                                                            | -3,580          | -               | -               |
| Balance available in subsequent years                                                                            | -2              | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                                                                                      | <b>\$43,403</b> | <b>\$72,378</b> | <b>\$66,378</b> |
| <b>0028 Unified Program Account</b>                                                                              |                 |                 |                 |
| APPROPRIATIONS                                                                                                   |                 |                 |                 |
| 001 Budget Act appropriation                                                                                     | \$708           | \$781           | \$816           |
| Allocation for employee compensation                                                                             | 53              | 13              | -               |
| Adjustment per Section 3.60                                                                                      | 5               | -1              | -               |
| Adjustment per Section 4.75 Statewide Surcharge                                                                  | 1               | -               | -               |
| <b>Totals Available</b>                                                                                          | <b>\$767</b>    | <b>\$793</b>    | <b>\$816</b>    |
| Unexpended balance, estimated savings                                                                            | -402            | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                                                                                      | <b>\$365</b>    | <b>\$793</b>    | <b>\$816</b>    |
| <b>0029 Nuclear Planning Assessment Special Account</b>                                                          |                 |                 |                 |
| APPROPRIATIONS                                                                                                   |                 |                 |                 |
| 001 Budget Act appropriation                                                                                     | \$974           | \$1,080         | \$1,175         |
| Allocation for employee compensation                                                                             | 29              | 33              | -               |
| Adjustment per Section 3.60                                                                                      | 3               | -2              | -               |
| Adjustment per Section 4.75 Statewide Surcharge                                                                  | 1               | -               | -               |
| Prior year balances available:                                                                                   |                 |                 |                 |
| Item 0690-001-0029, Budget Act of 2005                                                                           | 404             | -               | -               |
| <b>Totals Available</b>                                                                                          | <b>\$1,411</b>  | <b>\$1,111</b>  | <b>\$1,175</b>  |
| Unexpended balance, estimated savings                                                                            | -520            | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                                                                                      | <b>\$891</b>    | <b>\$1,111</b>  | <b>\$1,175</b>  |
| <b>0217 Insurance Fund</b>                                                                                       |                 |                 |                 |
| APPROPRIATIONS                                                                                                   |                 |                 |                 |
| 001 Budget Act appropriation                                                                                     | \$-             | \$-             | \$10,210        |
| <b>TOTALS, EXPENDITURES</b>                                                                                      | <b>\$-</b>      | <b>\$-</b>      | <b>\$10,210</b> |
| <b>0241 Local Public Prosecutors and Public Defenders Training Fund</b>                                          |                 |                 |                 |
| APPROPRIATIONS                                                                                                   |                 |                 |                 |
| 002 Budget Act appropriation                                                                                     | \$78            | \$76            | \$80            |
| Allocation for employee compensation                                                                             | -               | 2               | -               |
| <b>Totals Available</b>                                                                                          | <b>\$78</b>     | <b>\$78</b>     | <b>\$80</b>     |
| Unexpended balance, estimated savings                                                                            | -72             | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                                                                                      | <b>\$6</b>      | <b>\$78</b>     | <b>\$80</b>     |
| <b>0425 Victim - Witness Assistance Fund</b>                                                                     |                 |                 |                 |
| APPROPRIATIONS                                                                                                   |                 |                 |                 |
| 002 Budget Act appropriation                                                                                     | \$1,376         | \$1,335         | \$1,382         |
| Allocation for employee compensation                                                                             | -               | 3               | -               |
| Adjustment per Section 4.75 Statewide Surcharge                                                                  | 1               | -               | -               |

\* Dollars in thousands, except in Salary Range.

**0690 Office of Emergency Services - Continued**

| <b>1 STATE OPERATIONS</b>                                                                                        | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|------------------------------------------------------------------------------------------------------------------|-----------------|-----------------|-----------------|
| <b>Totals Available</b>                                                                                          | <b>\$1,377</b>  | <b>\$1,338</b>  | <b>\$1,382</b>  |
| Unexpended balance, estimated savings                                                                            | -715            | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                                                                                      | <b>\$662</b>    | <b>\$1,338</b>  | <b>\$1,382</b>  |
| <b>0437 State Assistance For Fire Equipment Account</b>                                                          |                 |                 |                 |
| APPROPRIATIONS                                                                                                   |                 |                 |                 |
| Government Code Section 8589.16                                                                                  | \$7             | \$100           | \$100           |
| <b>TOTALS, EXPENDITURES</b>                                                                                      | <b>\$7</b>      | <b>\$100</b>    | <b>\$100</b>    |
| <b>0597 High Technology Theft Apprehension and Prosecution Program Trust Fund</b>                                |                 |                 |                 |
| APPROPRIATIONS                                                                                                   |                 |                 |                 |
| 002 Budget Act appropriation                                                                                     | \$712           | \$691           | \$690           |
| Allocation for employee compensation                                                                             | -               | 1               | -               |
| <b>Totals Available</b>                                                                                          | <b>\$712</b>    | <b>\$692</b>    | <b>\$690</b>    |
| Unexpended balance, estimated savings                                                                            | -4              | -12             | -               |
| <b>TOTALS, EXPENDITURES</b>                                                                                      | <b>\$708</b>    | <b>\$680</b>    | <b>\$690</b>    |
| Less funding provided by the General Fund                                                                        | -679            | -680            | -690            |
| <b>NET TOTALS, EXPENDITURES</b>                                                                                  | <b>\$29</b>     | <b>\$-</b>      | <b>\$-</b>      |
| <b>0890 Federal Trust Fund</b>                                                                                   |                 |                 |                 |
| APPROPRIATIONS                                                                                                   |                 |                 |                 |
| 001 Budget Act appropriation                                                                                     | \$22,783        | \$24,402        | \$30,246        |
| Allocation for employee compensation                                                                             | 136             | 285             | -               |
| Adjustment per Section 3.60                                                                                      | 9               | -22             | -               |
| Adjustment per Section 4.75 Statewide Surcharge                                                                  | -28             | -               | -               |
| Adjustment per Section 15.25                                                                                     | -               | 2               | -               |
| Revised expenditure authority                                                                                    | -               | 3,967           | -               |
| Budget Adjustment                                                                                                | -7,542          | -               | -               |
| 002 Budget Act appropriation                                                                                     | 7,892           | 8,586           | 8,758           |
| Allocation for employee compensation                                                                             | -               | 146             | -               |
| Adjustment per Section 3.60                                                                                      | -               | -16             | -               |
| Budget Adjustment                                                                                                | -3,020          | 297             | -               |
| 011 Budget Act appropriation                                                                                     | 33,571          | 35,195          | 35,808          |
| Allocation for employee compensation                                                                             | 314             | 105             | -               |
| Adjustment per Section 3.60                                                                                      | 33              | -12             | -               |
| Adjustment per Section 15.25                                                                                     | -               | -7              | -               |
| Budget Adjustment                                                                                                | -7,547          | -               | -               |
| 013 Budget Act appropriation (transfer to High Technology Theft Apprehension and Prosecution Program Trust Fund) | 33              | -               | -               |
| Budget Adjustment                                                                                                | -33             | -               | -               |
| Chapter 764, Statutes of 2006                                                                                    | 150             | -               | -               |
| Prior year balances available:                                                                                   |                 |                 |                 |
| Chapter 764, Statutes of 2006                                                                                    | -               | 150             | 152             |
| <b>Totals Available</b>                                                                                          | <b>\$46,751</b> | <b>\$73,078</b> | <b>\$74,964</b> |
| Balance available in subsequent years                                                                            | -150            | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                                                                                      | <b>\$46,601</b> | <b>\$73,078</b> | <b>\$74,964</b> |
| <b>0995 Reimbursements</b>                                                                                       |                 |                 |                 |
| APPROPRIATIONS                                                                                                   |                 |                 |                 |
| Reimbursements                                                                                                   | \$2,649         | \$3,815         | \$4,196         |
| <b>3034 Antiterrorism Fund</b>                                                                                   |                 |                 |                 |
| APPROPRIATIONS                                                                                                   |                 |                 |                 |
| 010 Budget Act appropriation                                                                                     | \$102           | \$110           | \$118           |

\* Dollars in thousands, except in Salary Range.

**0690 Office of Emergency Services - Continued**

| <b>1 STATE OPERATIONS</b>                                                                                                                                  | <b>2006-07*</b>  | <b>2007-08*</b>  | <b>2008-09*</b>  |
|------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|------------------|------------------|
| 015 Budget Act appropriation                                                                                                                               | 100              | 101              | 103              |
| Chapter 392, Statutes of 2007                                                                                                                              | -                | 2,500            | -                |
| <b>Totals Available</b>                                                                                                                                    | <b>\$202</b>     | <b>\$2,711</b>   | <b>\$221</b>     |
| Unexpended balance, estimated savings                                                                                                                      | -186             | -                | -                |
| <b>TOTALS, EXPENDITURES</b>                                                                                                                                | <b>\$16</b>      | <b>\$2,711</b>   | <b>\$221</b>     |
| <b>3112 Equality in Prevention and Services for Domestic Abuse Fund</b>                                                                                    |                  |                  |                  |
| APPROPRIATIONS                                                                                                                                             |                  |                  |                  |
| 001 Budget Act appropriation                                                                                                                               | \$-              | \$38             | \$104            |
| <b>TOTALS, EXPENDITURES</b>                                                                                                                                | <b>\$-</b>       | <b>\$38</b>      | <b>\$104</b>     |
| <b>6061 Transit System Safety, Security, and Disaster Response Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006</b> |                  |                  |                  |
| APPROPRIATIONS                                                                                                                                             |                  |                  |                  |
| 001 Budget Act appropriation, as amended by Chapter 172, Statutes of 2007                                                                                  | \$-              | \$1,456          | \$-              |
| 001 Budget Act appropriation                                                                                                                               | -                | -                | 1,478            |
| <b>TOTALS, EXPENDITURES</b>                                                                                                                                | <b>\$-</b>       | <b>\$1,456</b>   | <b>\$1,478</b>   |
| <b>6073 Port and Maritime Security Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006</b>                             |                  |                  |                  |
| APPROPRIATIONS                                                                                                                                             |                  |                  |                  |
| 001 Budget Act appropriation, as amended by Chapter 172, Statutes of 2007                                                                                  | \$-              | \$1,105          | \$-              |
| 001 Budget Act appropriation                                                                                                                               | -                | -                | 1,121            |
| <b>TOTALS, EXPENDITURES</b>                                                                                                                                | <b>\$-</b>       | <b>\$1,105</b>   | <b>\$1,121</b>   |
| <b>8039 Disaster Resistant Communities Account</b>                                                                                                         |                  |                  |                  |
| APPROPRIATIONS                                                                                                                                             |                  |                  |                  |
| 001 Budget Act appropriation                                                                                                                               | \$-              | \$200            | \$203            |
| <b>TOTALS, EXPENDITURES</b>                                                                                                                                | <b>\$-</b>       | <b>\$200</b>     | <b>\$203</b>     |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>                                                                                                  | <b>\$94,629</b>  | <b>\$158,201</b> | <b>\$162,428</b> |
| <b>2 LOCAL ASSISTANCE</b>                                                                                                                                  | <b>2006-07*</b>  | <b>2007-08*</b>  | <b>2008-09*</b>  |
| <b>0001 General Fund</b>                                                                                                                                   |                  |                  |                  |
| APPROPRIATIONS                                                                                                                                             |                  |                  |                  |
| 102 Budget Act appropriation, as amended by Chapter 48, Statutes of 2006                                                                                   | \$56,249         | \$-              | \$-              |
| Revised expenditure authority per Provision 6                                                                                                              | -200             | -                | -                |
| Amended per Chapter 733, Statutes of 2006                                                                                                                  | 5,700            | -                | -                |
| 102 Budget Act appropriation                                                                                                                               | -                | 61,949           | 61,949           |
| 112 Budget Act appropriation                                                                                                                               | 55,793           | 55,793           | 76,793           |
| Deficiency from special appropriations bill                                                                                                                | 17,685           | 9,173            | -                |
| Adjustment per Government Code Section 8690.6 (a)                                                                                                          | -                | 54,500           | -                |
| 113 Budget Act appropriation (transfer to High Technology Theft Apprehension and Prosecution Program Trust Fund)                                           | 13,300           | 13,300           | 13,300           |
| 115 Budget Act appropriation                                                                                                                               | 1,125            | 1,125            | 1,125            |
| Revised expenditure authority per Provision 2                                                                                                              | 532              | -                | -                |
| Chapter 337, Statutes of 2006                                                                                                                              | 493              | -                | -                |
| <b>Totals Available</b>                                                                                                                                    | <b>\$150,677</b> | <b>\$195,840</b> | <b>\$153,167</b> |
| Unexpended balance, estimated savings                                                                                                                      | -535             | -                | -                |
| <b>TOTALS, EXPENDITURES</b>                                                                                                                                | <b>\$150,142</b> | <b>\$195,840</b> | <b>\$153,167</b> |
| <b>0029 Nuclear Planning Assessment Special Account</b>                                                                                                    |                  |                  |                  |
| APPROPRIATIONS                                                                                                                                             |                  |                  |                  |
| 101 Budget Act appropriation                                                                                                                               | \$2,376          | \$2,469          | \$2,538          |
| Prior year balances available:                                                                                                                             |                  |                  |                  |
| Item 0690-101-0029, Budget Act of 2005                                                                                                                     | 255              | -                | -                |

\* Dollars in thousands, except in Salary Range.

**0690 Office of Emergency Services - Continued**

| <b>2 LOCAL ASSISTANCE</b>                                                                                                                                  | <b>2006-07*</b>  | <b>2007-08*</b>    | <b>2008-09*</b>    |
|------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|--------------------|--------------------|
| <b>Totals Available</b>                                                                                                                                    | <b>\$2,631</b>   | <b>\$2,469</b>     | <b>\$2,538</b>     |
| Unexpended balance, estimated savings                                                                                                                      | -196             | -                  | -                  |
| <b>TOTALS, EXPENDITURES</b>                                                                                                                                | <b>\$2,435</b>   | <b>\$2,469</b>     | <b>\$2,538</b>     |
| <b>0214 Restitution Fund</b>                                                                                                                               |                  |                    |                    |
| APPROPRIATIONS                                                                                                                                             |                  |                    |                    |
| 102 Budget Act appropriation                                                                                                                               | \$-              | \$10,500           | \$10,500           |
| Revised expenditure authority                                                                                                                              | -                | -285               | -                  |
| <b>TOTALS, EXPENDITURES</b>                                                                                                                                | <b>\$-</b>       | <b>\$10,215</b>    | <b>\$10,500</b>    |
| <b>0241 Local Public Prosecutors and Public Defenders Training Fund</b>                                                                                    |                  |                    |                    |
| APPROPRIATIONS                                                                                                                                             |                  |                    |                    |
| 102 Budget Act appropriation                                                                                                                               | \$792            | \$792              | \$792              |
| <b>TOTALS, EXPENDITURES</b>                                                                                                                                | <b>\$792</b>     | <b>\$792</b>       | <b>\$792</b>       |
| <b>0425 Victim - Witness Assistance Fund</b>                                                                                                               |                  |                    |                    |
| APPROPRIATIONS                                                                                                                                             |                  |                    |                    |
| 102 Budget Act appropriation                                                                                                                               | \$16,519         | \$16,519           | \$16,519           |
| <b>TOTALS, EXPENDITURES</b>                                                                                                                                | <b>\$16,519</b>  | <b>\$16,519</b>    | <b>\$16,519</b>    |
| <b>0597 High Technology Theft Apprehension and Prosecution Program Trust Fund</b>                                                                          |                  |                    |                    |
| APPROPRIATIONS                                                                                                                                             |                  |                    |                    |
| 102 Budget Act appropriation                                                                                                                               | \$13,518         | \$13,300           | \$13,300           |
| <b>Totals Available</b>                                                                                                                                    | <b>\$13,518</b>  | <b>\$13,300</b>    | <b>\$13,300</b>    |
| Unexpended balance, estimated savings                                                                                                                      | -218             | -                  | -                  |
| <b>TOTALS, EXPENDITURES</b>                                                                                                                                | <b>\$13,300</b>  | <b>\$13,300</b>    | <b>\$13,300</b>    |
| Less funding provided by the General Fund                                                                                                                  | -13,300          | -13,300            | -13,300            |
| <b>NET TOTALS, EXPENDITURES</b>                                                                                                                            | <b>\$-</b>       | <b>\$-</b>         | <b>\$-</b>         |
| <b>0890 Federal Trust Fund</b>                                                                                                                             |                  |                    |                    |
| APPROPRIATIONS                                                                                                                                             |                  |                    |                    |
| 101 Budget Act appropriation                                                                                                                               | \$573,150        | \$573,826          | \$573,826          |
| Budget Adjustment                                                                                                                                          | -310,145         | -                  | -                  |
| 102 Budget Act appropriation                                                                                                                               | 103,458          | 114,777            | 111,780            |
| Budget Adjustment                                                                                                                                          | 4,054            | 2,321              | -                  |
| 111 Budget Act appropriation                                                                                                                               | 328,000          | 328,000            | 328,000            |
| Budget Adjustment                                                                                                                                          | -16              | -                  | -                  |
| 113 Budget Act appropriation (transfer to High Technology Theft Apprehension and Prosecution Program Trust Fund)                                           | 218              | -                  | -                  |
| Budget Adjustment                                                                                                                                          | -218             | -                  | -                  |
| Prior year balances available:                                                                                                                             |                  |                    |                    |
| Item 8100-101-0890, Budget Act of 2003 pursuant to Section 25.00, Budget Act of 2003, as reappropriated by Item 0690-490, Budget Act of 2006               | 5,773            | -                  | -                  |
| <b>TOTALS, EXPENDITURES</b>                                                                                                                                | <b>\$704,274</b> | <b>\$1,018,924</b> | <b>\$1,013,606</b> |
| <b>3112 Equality in Prevention and Services for Domestic Abuse Fund</b>                                                                                    |                  |                    |                    |
| APPROPRIATIONS                                                                                                                                             |                  |                    |                    |
| 102 Budget Act appropriation                                                                                                                               | \$-              | \$300              | \$-                |
| <b>TOTALS, EXPENDITURES</b>                                                                                                                                | <b>\$-</b>       | <b>\$300</b>       | <b>\$-</b>         |
| <b>6061 Transit System Safety, Security, and Disaster Response Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006</b> |                  |                    |                    |
| APPROPRIATIONS                                                                                                                                             |                  |                    |                    |
| 101 Budget Act appropriation, as amended by Chapter 172, Statutes of 2007                                                                                  | \$-              | \$100,000          | \$-                |
| 101 Budget Act appropriation                                                                                                                               | -                | -                  | 100,000            |
| <b>TOTALS, EXPENDITURES</b>                                                                                                                                | <b>\$-</b>       | <b>\$100,000</b>   | <b>\$100,000</b>   |
| <b>6073 Port and Maritime Security Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006</b>                             |                  |                    |                    |

\* Dollars in thousands, except in Salary Range.

**0690 Office of Emergency Services - Continued**

| <b>2 LOCAL ASSISTANCE</b>                                                      | <b>2006-07*</b>  | <b>2007-08*</b>    | <b>2008-09*</b>    |
|--------------------------------------------------------------------------------|------------------|--------------------|--------------------|
| APPROPRIATIONS                                                                 |                  |                    |                    |
| 101 Budget Act appropriation, as amended by Chapter 172, Statutes of 2007      | \$-              | \$40,000           | \$-                |
| 101 Budget Act appropriation                                                   | -                | -                  | 57,000             |
| <b>TOTALS, EXPENDITURES</b>                                                    | <b>\$-</b>       | <b>\$40,000</b>    | <b>\$57,000</b>    |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>                      | <b>\$874,162</b> | <b>\$1,385,059</b> | <b>\$1,354,122</b> |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)</b> | <b>\$968,791</b> | <b>\$1,543,260</b> | <b>\$1,516,550</b> |

**FUND CONDITION STATEMENTS**

|                                                                                      | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|--------------------------------------------------------------------------------------|-----------------|-----------------|-----------------|
| <b>0029 Nuclear Planning Assessment Special Account <sup>s</sup></b>                 |                 |                 |                 |
| BEGINNING BALANCE                                                                    | \$283           | \$233           | -               |
| Prior year adjustments                                                               | 440             | -               | -               |
| Adjusted Beginning Balance                                                           | \$723           | \$233           | -               |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS                                           |                 |                 |                 |
| Revenues:                                                                            |                 |                 |                 |
| 125600 Other Regulatory Fees                                                         | 3,557           | 4,261           | \$4,663         |
| Total Revenues, Transfers, and Other Adjustments                                     | \$3,557         | \$4,261         | \$4,663         |
| Total Resources                                                                      | \$4,280         | \$4,494         | \$4,663         |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS                                             |                 |                 |                 |
| Expenditures:                                                                        |                 |                 |                 |
| 0690 Office of Emergency Services                                                    |                 |                 |                 |
| State Operations                                                                     | 891             | 1,111           | 1,175           |
| Local Assistance                                                                     | 2,435           | 2,469           | 2,538           |
| 0840 State Controller (State Operations)                                             | 1               | -               | -               |
| 4260 Department of Health Care Services (State Operations)                           | 720             | -               | -               |
| 4265 Department of Public Health (State Operations)                                  | -               | 914             | 950             |
| Total Expenditures and Expenditure Adjustments                                       | \$4,047         | \$4,494         | \$4,663         |
| FUND BALANCE                                                                         | \$233           | -               | -               |
| Reserve for economic uncertainties                                                   | 233             | -               | -               |
| <b>0241 Local Public Prosecutors and Public Defenders Training Fund <sup>s</sup></b> |                 |                 |                 |
| BEGINNING BALANCE                                                                    | \$701           | \$799           | \$793           |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS                                           |                 |                 |                 |
| Revenues:                                                                            |                 |                 |                 |
| 150300 Income From Surplus Money Investments                                         | 46              | 14              | 14              |
| 164300 Penalty Assessments                                                           | 850             | 850             | 850             |
| Total Revenues, Transfers, and Other Adjustments                                     | \$896           | \$864           | \$864           |
| Total Resources                                                                      | \$1,597         | \$1,663         | \$1,657         |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS                                             |                 |                 |                 |
| Expenditures:                                                                        |                 |                 |                 |
| 0690 Office of Emergency Services                                                    |                 |                 |                 |
| State Operations                                                                     | 6               | 78              | 80              |
| Local Assistance                                                                     | 792             | 792             | 792             |
| Total Expenditures and Expenditure Adjustments                                       | \$798           | \$870           | \$872           |
| FUND BALANCE                                                                         | \$799           | \$793           | \$785           |
| Reserve for economic uncertainties                                                   | 799             | 793             | 785             |
| <b>0372 Disaster Relief Fund <sup>s</sup></b>                                        |                 |                 |                 |
| BEGINNING BALANCE                                                                    | \$11            | \$11            | \$11            |
| FUND BALANCE                                                                         | \$11            | \$11            | \$11            |
| Reserve for economic uncertainties                                                   | 11              | 11              | 11              |

\* Dollars in thousands, except in Salary Range.

**0690 Office of Emergency Services - Continued**

|                                                                                                       | 2006-07*        | 2007-08*        | 2008-09*        |
|-------------------------------------------------------------------------------------------------------|-----------------|-----------------|-----------------|
| <b>0425 Victim - Witness Assistance Fund <sup>s</sup></b>                                             |                 |                 |                 |
| BEGINNING BALANCE                                                                                     | \$2,303         | \$1,725         | \$2,980         |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS                                                            |                 |                 |                 |
| Revenues:                                                                                             |                 |                 |                 |
| 130800 Penalties on Felony Convictions                                                                | 1               | 3               | 3               |
| 150300 Income From Surplus Money Investments                                                          | 743             | 400             | 400             |
| 161000 Escheat of Unclaimed Checks & Warrants                                                         | 4               | 4               | 4               |
| 164300 Penalty Assessments                                                                            | 11,735          | 14,585          | 14,805          |
| Transfers and Other Adjustments:                                                                      |                 |                 |                 |
| FO0178 From Driver Training Penalty Assessment Fund per CS 24.10, Budget Acts of 2006, 2007, and 2008 | 4,121           | 4,121           | 4,121           |
| Total Revenues, Transfers, and Other Adjustments                                                      | <u>\$16,604</u> | <u>\$19,113</u> | <u>\$19,333</u> |
| Total Resources                                                                                       | \$18,907        | \$20,838        | \$22,313        |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS                                                              |                 |                 |                 |
| Expenditures:                                                                                         |                 |                 |                 |
| 0690 Office of Emergency Services                                                                     |                 |                 |                 |
| State Operations                                                                                      | 662             | 1,338           | 1,382           |
| Local Assistance                                                                                      | 16,519          | 16,519          | 16,519          |
| 0840 State Controller (State Operations)                                                              | <u>1</u>        | <u>1</u>        | <u>-</u>        |
| Total Expenditures and Expenditure Adjustments                                                        | <u>\$17,182</u> | <u>\$17,858</u> | <u>\$17,901</u> |
| FUND BALANCE                                                                                          | \$1,725         | \$2,980         | \$4,412         |
| Reserve for economic uncertainties                                                                    | 1,725           | 2,980           | 4,412           |
| <b>0437 State Assistance For Fire Equipment Account <sup>s</sup></b>                                  |                 |                 |                 |
| BEGINNING BALANCE                                                                                     | \$421           | \$463           | \$413           |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS                                                            |                 |                 |                 |
| Revenues:                                                                                             |                 |                 |                 |
| 131700 Misc Revenue From Local Agencies                                                               | <u>49</u>       | <u>50</u>       | <u>50</u>       |
| Total Revenues, Transfers, and Other Adjustments                                                      | <u>\$49</u>     | <u>\$50</u>     | <u>\$50</u>     |
| Total Resources                                                                                       | \$470           | \$513           | \$463           |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS                                                              |                 |                 |                 |
| Expenditures:                                                                                         |                 |                 |                 |
| 0690 Office of Emergency Services (State Operations)                                                  | <u>7</u>        | <u>100</u>      | <u>100</u>      |
| Total Expenditures and Expenditure Adjustments                                                        | <u>\$7</u>      | <u>\$100</u>    | <u>\$100</u>    |
| FUND BALANCE                                                                                          | \$463           | \$413           | \$363           |
| Reserve for economic uncertainties                                                                    | 463             | 413             | 363             |
| <b>0903 State Penalty Fund <sup>n</sup></b>                                                           |                 |                 |                 |
| BEGINNING BALANCE                                                                                     | -               | -               | -               |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS                                                            |                 |                 |                 |
| Revenues:                                                                                             |                 |                 |                 |
| 217500 Penalties on Traffic Violations and Felony Convictions                                         | \$160,530       | \$170,452       | \$173,008       |
| Less Revenues Collected For Other Funds:                                                              |                 |                 |                 |
| Restitution Fund (Indemnity Fund)                                                                     | -51,083         | -54,518         | -55,354         |
| Peace Officers Training Fund                                                                          | -40,183         | -40,496         | -41,108         |
| Fish and Game Preservation Fund                                                                       | -532            | -643            | -652            |
| Corrections Training Fund                                                                             | -10,703         | -13,302         | -13,503         |
| Driver Training Penalty Assessment Fund                                                               | -43,106         | -43,383         | -44,038         |
| Local Public Prosecutors/Defenders Training Fund                                                      | -850            | -850            | -850            |
| Victim/Witness Assistance Fund                                                                        | -11,735         | -14,585         | -14,805         |
| Traumatic Brain Injury Fund                                                                           | -896            | -1,114          | -1,131          |

\* Dollars in thousands, except in Salary Range.

**0690 Office of Emergency Services - Continued**

|                                                                                                       | 2006-07*       | 2007-08*       | 2008-09*       |
|-------------------------------------------------------------------------------------------------------|----------------|----------------|----------------|
| Transfers and Other Adjustments:                                                                      |                |                |                |
| TO0840 California Motorcyclist Safety Fund per Item 2720-012-0903, Budget Acts of 2006, 2007 and 2008 | -250           | -250           | -250           |
| Total Revenues, Transfers, and Other Adjustments                                                      | <u>\$1,192</u> | <u>\$1,311</u> | <u>\$1,317</u> |
| Total Resources                                                                                       | \$1,192        | \$1,311        | \$1,317        |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS                                                              |                |                |                |
| Expenditures:                                                                                         |                |                |                |
| 0840 State Controller (State Operations)                                                              | <u>1,192</u>   | <u>1,311</u>   | <u>1,317</u>   |
| Total Expenditures and Expenditure Adjustments                                                        | <u>\$1,192</u> | <u>\$1,311</u> | <u>\$1,317</u> |
| FUND BALANCE                                                                                          | -              | -              | -              |
| <b>3034 Antiterrorism Fund <sup>s</sup></b>                                                           |                |                |                |
| BEGINNING BALANCE                                                                                     | \$5,370        | \$6,374        | \$4,262        |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS                                                            |                |                |                |
| Revenues:                                                                                             |                |                |                |
| 143000 Personalized License Plates                                                                    | <u>1,581</u>   | <u>1,600</u>   | <u>1,600</u>   |
| Total Revenues, Transfers, and Other Adjustments                                                      | <u>\$1,581</u> | <u>\$1,600</u> | <u>\$1,600</u> |
| Total Resources                                                                                       | \$6,951        | \$7,974        | \$5,862        |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS                                                              |                |                |                |
| Expenditures:                                                                                         |                |                |                |
| 0690 Office of Emergency Services (State Operations)                                                  | 16             | 2,711          | 221            |
| 0840 State Controller (State Operations)                                                              | -              | 1              | -              |
| 8120 Commission on Peace Officer Standards and Training (State Operations)                            | -              | 500            | 2,000          |
| 8570 Department of Food and Agriculture (State Operations)                                            | <u>561</u>     | <u>500</u>     | <u>548</u>     |
| Total Expenditures and Expenditure Adjustments                                                        | <u>\$577</u>   | <u>\$3,712</u> | <u>\$2,769</u> |
| FUND BALANCE                                                                                          | \$6,374        | \$4,262        | \$3,093        |
| Reserve for economic uncertainties                                                                    | 6,374          | 4,262          | 3,093          |
| <b>3075 Unlawful Sales Reduction Fund <sup>s</sup></b>                                                |                |                |                |
| BEGINNING BALANCE                                                                                     | \$68           | \$80           | \$135          |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS                                                            |                |                |                |
| Revenues:                                                                                             |                |                |                |
| 164300 Penalty Assessments                                                                            | <u>12</u>      | <u>55</u>      | <u>55</u>      |
| Total Revenues, Transfers, and Other Adjustments                                                      | <u>\$12</u>    | <u>\$55</u>    | <u>\$55</u>    |
| Total Resources                                                                                       | <u>\$80</u>    | <u>\$135</u>   | <u>\$190</u>   |
| FUND BALANCE                                                                                          | \$80           | \$135          | \$190          |
| Reserve for economic uncertainties                                                                    | 80             | 135            | 190            |
| <b>3112 Equality in Prevention and Services for Domestic Abuse Fund <sup>s</sup></b>                  |                |                |                |
| BEGINNING BALANCE                                                                                     | -              | \$39           | \$39           |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS                                                            |                |                |                |
| Revenues:                                                                                             |                |                |                |
| 125600 Other Regulatory Fees                                                                          | \$39           | -              | -              |
| 142000 General Fees--Secretary of State                                                               | -              | 38             | 103            |
| Transfers and Other Adjustments:                                                                      |                |                |                |
| FO0214 From Restitution Fund per item 1870-011-0214, Budget Act of 2007.                              | <u>-</u>       | <u>300</u>     | <u>-</u>       |
| Total Revenues, Transfers, and Other Adjustments                                                      | <u>\$39</u>    | <u>\$338</u>   | <u>\$103</u>   |
| Total Resources                                                                                       | \$39           | \$377          | \$142          |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS                                                              |                |                |                |
| Expenditures:                                                                                         |                |                |                |
| 0690 Office of Emergency Services                                                                     |                |                |                |
| State Operations                                                                                      | -              | 38             | 104            |

\* Dollars in thousands, except in Salary Range.

**0690 Office of Emergency Services - Continued**

|                                                | 2006-07* | 2007-08* | 2008-09* |
|------------------------------------------------|----------|----------|----------|
| Local Assistance                               | -        | 300      | -        |
| Total Expenditures and Expenditure Adjustments | -        | \$338    | \$104    |
| FUND BALANCE                                   | \$39     | \$39     | \$38     |
| Reserve for economic uncertainties             | 39       | 39       | 38       |

**CHANGES IN AUTHORIZED POSITIONS**

|                                                                  | Positions |         |         | Expenditures        |          |          |
|------------------------------------------------------------------|-----------|---------|---------|---------------------|----------|----------|
|                                                                  | 2006-07   | 2007-08 | 2008-09 | 2006-07*            | 2007-08* | 2008-09* |
| Totals, Authorized Positions                                     | 478.5     | 624.1   | 622.1   | \$26,376            | \$38,371 | \$38,987 |
| Salary Adjustments                                               | -         | -       | -       | -                   | 963      | 963      |
| <b>Proposed New Positions:</b>                                   |           |         |         | <b>Salary Range</b> |          |          |
| Admin/Executive Division:                                        |           |         |         |                     |          |          |
| Deputy Director, Communications                                  | -         | -       | 1.0     | 8,369               | -        | 100      |
| Chief, Fire and Rescue Services                                  | -         | -       | 1.0     | 7,286-8,035         | -        | 92       |
| Staff Services Manager I                                         | -         | -       | -1.0    | 5,079-6,127         | -        | -67      |
| Accounting Technician                                            | -         | -       | -1.0    | 2,551-3,103         | -        | -34      |
| Communication and Tech Develmt Brch, Tech,<br>Headquarters (HQ): |           |         |         |                     |          |          |
| Staff Information System Analyst (Spec)                          | -         | -       | 2.0     | 5,065-6,466         | -        | 138      |
| Overtime                                                         | -         | -       | -       | -                   | -        | 5        |
| Law Enforcement and Victim Services Division:                    |           |         |         |                     |          |          |
| Public Safety Branch:                                            |           |         |         |                     |          |          |
| Criminal Justice Specialist II                                   | -         | -       | 2.0     | 4,833-5,874         | -        | -        |
| Criminal Justice Specialist I                                    | -         | -       | 3.0     | 4,400-5,348         | -        | 58       |
| Overtime                                                         | -         | -       | -       | -                   | -        | 15       |
| Victim Services Branch:                                          |           |         |         |                     |          |          |
| Criminal Justice Specialist I                                    | -         | -       | 1.0     | 4,400-5,348         | -        | 58       |
| Office of Gang and Youth Policy:                                 |           |         |         |                     |          |          |
| Chief Deputy Director - CEA II                                   | -         | -       | 1.0     | 5,970-12,941        | -        | 113      |
| Research Program Specialist II (GIS)                             | -         | -       | 1.0     | 5,309-6,451         | -        | 71       |
| Research Analyst II (GIS)                                        | -         | -       | 3.0     | 4,619-5,616         | -        | 184      |
| Executive Assistant                                              | -         | -       | 1.0     | 3,288-3,996         | -        | 44       |
| Response and Recovery Division:                                  |           |         |         |                     |          |          |
| Southern Regional Branch:                                        |           |         |         |                     |          |          |
| Senior Emergency Services Coordinator                            | -         | -       | 1.0     | 4,964-5,987         | -        | 66       |
| Emergency Services Coordinator                                   | -         | -       | 7.0     | 3,748-4,519         | -        | 347      |
| Overtime                                                         | -         | -       | -       | -                   | -        | 25       |
| Inland Regional Branch:                                          |           |         |         |                     |          |          |
| Senior Emergency Services Coordinator                            | -         | -       | 1.0     | 4,964-5,987         | -        | 66       |
| Emergency Services Coordinator                                   | -         | -       | 2.0     | 3,748-4,519         | -        | 99       |
| Overtime                                                         | -         | -       | -       | -                   | -        | 25       |
| Coastal Regional Branch:                                         |           |         |         |                     |          |          |
| Emergency Services Coordinator                                   | -         | -       | 8.0     | 3,748-4,519         | -        | 397      |
| Overtime                                                         | -         | -       | -       | -                   | -        | 25       |
| Fire and Rescue (HQ):                                            |           |         |         |                     |          |          |
| Coordinator (Fire and Rescue Services)                           | -         | -       | 6.0     | 5,457-6,592         | -        | 433      |
| Forestry Equipment Manager I                                     | -         | -       | 1.0     | 4,713-5,729         | -        | 62       |
| Staff Services Analyst                                           | -         | -       | 1.0     | 3,817-3,426         | -        | 43       |
| Heavy Equipment Mechanic                                         | -         | -       | 2.0     | 3,740-4,106         | -        | 94       |

\* Dollars in thousands, except in Salary Range.

**0690 Office of Emergency Services - Continued**

|                                       | Positions    |              |              | Expenditures    |                 |                 |
|---------------------------------------|--------------|--------------|--------------|-----------------|-----------------|-----------------|
|                                       | 2006-07      | 2007-08      | 2008-09      | 2006-07*        | 2007-08*        | 2008-09*        |
| Office Technician (Typing)            | -            | -            | 1.0          | 2,686-3,264     | -               | 36              |
| Overtime                              | -            | -            | -            | -               | -               | 50              |
| <b>Totals, Proposed New Positions</b> | -            | -            | <b>44.0</b>  | <b>\$-</b>      | <b>\$963</b>    | <b>\$3,508</b>  |
| <b>Totals, Adjustments</b>            | -            | -            | <b>44.0</b>  | <b>\$-</b>      | <b>\$963</b>    | <b>\$3,508</b>  |
| <b>TOTALS, SALARIES AND WAGES</b>     | <b>478.5</b> | <b>624.1</b> | <b>666.1</b> | <b>\$26,376</b> | <b>\$39,334</b> | <b>\$42,495</b> |

**INFRASTRUCTURE OVERVIEW**

The Office of Emergency Services (OES) is located in a state-of-the-art headquarters facility in Sacramento County, which provides the central point of control during emergency response. In addition, the OES operates a Coastal Region operations center in Oakland, a Southern Regional coordination center at Los Alamitos Air Field, the California Specialized Training Institute at Camp San Luis Obispo, and various small field offices throughout the state.

**MAJOR PROJECT CHANGES**

- The Governor's Budget includes \$963,000 from the General Fund to begin the design phase for the construction of a replacement facility for the Southern Region Emergency Operation Center.

**SUMMARY OF PROJECTS**

|                                           |                               | State Building Program Expenditures | 2006-07*        | 2007-08*        | 2008-09*          |
|-------------------------------------------|-------------------------------|-------------------------------------|-----------------|-----------------|-------------------|
| <b>80</b>                                 | <b>CAPITAL OUTLAY</b>         |                                     |                 |                 |                   |
|                                           | <b>Major Projects</b>         |                                     |                 |                 |                   |
| <b>80.10</b>                              | <b>SOUTHERN REGION</b>        |                                     | <b>\$-</b>      | <b>\$-</b>      | <b>\$963</b>      |
| 80.10.006                                 | OES Southern Region Facility  |                                     | -               | -               | 963 <sup>Pg</sup> |
|                                           | <b>Totals, Major Projects</b> |                                     | <b>\$-</b>      | <b>\$-</b>      | <b>\$963</b>      |
| <b>TOTALS, EXPENDITURES, ALL PROJECTS</b> |                               |                                     | <b>\$-</b>      | <b>\$-</b>      | <b>\$963</b>      |
| <b>FUNDING</b>                            |                               |                                     | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b>   |
| 0001                                      | General Fund                  |                                     | \$-             | \$-             | \$963             |
| <b>TOTALS, EXPENDITURES, ALL FUNDS</b>    |                               |                                     | <b>\$-</b>      | <b>\$-</b>      | <b>\$963</b>      |

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS (Reconciliation with Appropriations)**

|                                                                 |                          |                          |                 |                 |                 |
|-----------------------------------------------------------------|--------------------------|--------------------------|-----------------|-----------------|-----------------|
| <b>3</b>                                                        | <b>CAPITAL OUTLAY</b>    |                          | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|                                                                 |                          | <b>0001 General Fund</b> |                 |                 |                 |
| APPROPRIATIONS                                                  |                          |                          |                 |                 |                 |
| 301                                                             | Budget Act appropriation |                          | \$-             | \$-             | \$963           |
| Prior year balances available:                                  |                          |                          |                 |                 |                 |
| Item 0690-301-0001,                                             | Budget Act of 2005       |                          | 155             | -               | -               |
| Reversion per Government Code Sections 16351, 16351.5 and 16408 |                          |                          | -155            | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                                     |                          |                          | <b>\$-</b>      | <b>\$-</b>      | <b>\$963</b>    |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay)</b>         |                          |                          | <b>\$-</b>      | <b>\$-</b>      | <b>\$963</b>    |

**0750 Office of the Lieutenant Governor**

Under California's Constitution, the Lieutenant Governor serves as Acting Governor whenever the Governor is absent from the state, and automatically becomes Governor if a vacancy occurs in the Office of Governor. The Lieutenant Governor is also President of the Senate and votes in case of a tie.

The Lieutenant Governor serves as a voting member of the Board of Regents of the University of California and a voting member of the Board of Trustees of the California State University system.

The Lieutenant Governor also serves on, and rotates with the State Controller, as chair of the three-member State Lands Commission, which oversees the control and leasing of millions of acres of state-owned land, including offshore oil resources, as well as use and permitting for all navigable waterways in California. The Commission also manages state

\* Dollars in thousands, except in Salary Range.

## 0750 Office of the Lieutenant Governor - Continued

land-use planning and revenues, and related interstate issues. During alternate years, when the Lieutenant Governor serves as Chairperson of the State Lands Commission, he also serves as a member of the Coastal Commission.

In addition, under state statutes, the Lieutenant Governor chairs the California Commission for Economic Development, which provides support and guidance to the Governor, Legislature and private sector regarding the development of California's economy. The Lieutenant Governor is also a member of the State Job Training Coordinating Council and the California Emergency Council.

### 3-YR EXPENDITURES AND POSITIONS (Summary of Program Requirements)

|                                                          | Positions   |             |             | Expenditures    |                 |                 |
|----------------------------------------------------------|-------------|-------------|-------------|-----------------|-----------------|-----------------|
|                                                          | 2006-07     | 2007-08     | 2008-09     | 2006-07*        | 2007-08*        | 2008-09*        |
| 10 General Activities                                    | 29.5        | 29.7        | 29.7        | \$2,773         | \$3,145         | \$3,070         |
| <b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b> | <b>29.5</b> | <b>29.7</b> | <b>29.7</b> | <b>\$2,773</b>  | <b>\$3,145</b>  | <b>\$3,070</b>  |
| <b>FUNDING</b>                                           |             |             |             | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
| 0001 General Fund                                        |             |             |             | \$2,773         | \$3,145         | \$3,070         |
| <b>TOTALS, EXPENDITURES, ALL FUNDS</b>                   |             |             |             | <b>\$2,773</b>  | <b>\$3,145</b>  | <b>\$3,070</b>  |

### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

California Constitution, Article V, Sections 9 and 10, and Article IX, Section 9; Education Code Section 66602; Government Code Sections 8704, 8575, 14999-14999.8, and 15364.2; Public Resources Code Section 6101; Unemployment Insurance Code Section 15036; Vehicle Code Section 2600.

### BUDGET-BALANCING REDUCTIONS

- The Budget includes an unallocated General Fund reduction of \$307,000 in 2008-09.

### DETAILED BUDGET ADJUSTMENTS

|                                           | 2007-08*     |             |           | 2008-09*      |             |           |
|-------------------------------------------|--------------|-------------|-----------|---------------|-------------|-----------|
|                                           | General Fund | Other Funds | Positions | General Fund  | Other Funds | Positions |
| <b>Baseline Adjustment Descriptions</b>   |              |             |           |               |             |           |
| • Other Baseline Adjustments              | \$-          | \$-         | -         | \$50          | \$-         | -         |
| • Retirement Rate Adjustment              | -7           | -           | -         | -7            | -           | -         |
| • One Time Cost Reductions                | -            | -           | -         | -125          | -           | -         |
| <b>Totals, Baseline Adjustments</b>       | <b>-\$7</b>  | <b>\$-</b>  | <b>-</b>  | <b>-\$82</b>  | <b>\$-</b>  | <b>-</b>  |
| <b>TOTALS, BUDGET ADJUSTMENTS</b>         | <b>-\$7</b>  | <b>\$-</b>  | <b>-</b>  | <b>-\$82</b>  | <b>\$-</b>  | <b>-</b>  |
| <b>Other Adjustments <sup>11</sup></b>    |              |             |           |               |             |           |
| • Budget-Balancing Reductions             | -            | -           | -         | -307          | -           | -         |
| <b>REVISED TOTALS, BUDGET ADJUSTMENTS</b> | <b>-\$7</b>  | <b>\$-</b>  | <b>-</b>  | <b>-\$389</b> | <b>\$-</b>  | <b>-</b>  |

<sup>11</sup> These dollars and PYs are included in the General Government agency, therefore not included in the other fiscal statements for this department. These totals are also not included in the applicable Summary Schedules for this department.

### EXPENDITURES BY CATEGORY (Summary By Object)

| 1 State Operations                    | Positions   |             |             | Expenditures   |                |                |
|---------------------------------------|-------------|-------------|-------------|----------------|----------------|----------------|
|                                       | 2006-07     | 2007-08     | 2008-09     | 2006-07*       | 2007-08*       | 2008-09*       |
| <b>PERSONAL SERVICES</b>              |             |             |             |                |                |                |
| Authorized Positions (Equals Sch. 7A) | 29.5        | 31.3        | 31.3        | \$1,507        | \$1,935        | \$1,983        |
| Total Adjustments                     | -           | -           | -           | -              | -              | 26             |
| Estimated Salary Savings              | -           | -1.6        | -1.6        | -              | -96            | -99            |
| <b>Net Totals, Salaries and Wages</b> | <b>29.5</b> | <b>29.7</b> | <b>29.7</b> | <b>\$1,507</b> | <b>\$1,839</b> | <b>\$1,910</b> |

\* Dollars in thousands, except in Salary Range.

**0750 Office of the Lieutenant Governor - Continued**

| 1 State Operations                                                      | Positions   |             |             | Expenditures   |                |                |
|-------------------------------------------------------------------------|-------------|-------------|-------------|----------------|----------------|----------------|
|                                                                         | 2006-07     | 2007-08     | 2008-09     | 2006-07*       | 2007-08*       | 2008-09*       |
| Staff Benefits                                                          | -           | -           | -           | 870            | 610            | 613            |
| <b>Totals, Personal Services</b>                                        | <b>29.5</b> | <b>29.7</b> | <b>29.7</b> | <b>\$2,377</b> | <b>\$2,449</b> | <b>\$2,523</b> |
| OPERATING EXPENSES AND EQUIPMENT                                        |             |             |             | \$396          | \$696          | \$547          |
| <b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b> |             |             |             | <b>\$2,773</b> | <b>\$3,145</b> | <b>\$3,070</b> |

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS (Reconciliation with Appropriations)**

| 1 STATE OPERATIONS                                        | 2006-07*       | 2007-08*       | 2008-09*       |
|-----------------------------------------------------------|----------------|----------------|----------------|
| <b>0001 General Fund</b>                                  |                |                |                |
| APPROPRIATIONS                                            |                |                |                |
| 001 Budget Act appropriation                              | \$2,784        | \$3,152        | \$3,070        |
| Allocation for employee compensation                      | 35             | -              | -              |
| Adjustment per Section 3.60                               | 19             | -7             | -              |
| Transfer to Legislative Claims (9670)                     | -33            | -              | -              |
| <b>Totals Available</b>                                   | <b>\$2,805</b> | <b>\$3,145</b> | <b>\$3,070</b> |
| Unexpended balance, estimated savings                     | -32            | -              | -              |
| <b>TOTALS, EXPENDITURES</b>                               | <b>\$2,773</b> | <b>\$3,145</b> | <b>\$3,070</b> |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b> | <b>\$2,773</b> | <b>\$3,145</b> | <b>\$3,070</b> |

**CHANGES IN AUTHORIZED POSITIONS**

|                                   | Positions   |             |             | Expenditures   |                |                |
|-----------------------------------|-------------|-------------|-------------|----------------|----------------|----------------|
|                                   | 2006-07     | 2007-08     | 2008-09     | 2006-07*       | 2007-08*       | 2008-09*       |
| Totals, Authorized Positions      | 29.5        | 31.3        | 31.3        | \$1,507        | \$1,935        | \$1,983        |
| Salary Adjustments                | -           | -           | -           | -              | -              | 26             |
| <b>Total Adjustments</b>          | <b>-</b>    | <b>-</b>    | <b>-</b>    | <b>\$-</b>     | <b>\$-</b>     | <b>\$26</b>    |
| <b>TOTALS, SALARIES AND WAGES</b> | <b>29.5</b> | <b>31.3</b> | <b>31.3</b> | <b>\$1,507</b> | <b>\$1,935</b> | <b>\$2,009</b> |

**0820 Department of Justice**

The constitutional office of the Attorney General, as chief law officer of the state, has the responsibility to see that the laws of California are uniformly and adequately enforced. This responsibility is fulfilled through the diverse programs of the Department of Justice (DOJ).

The DOJ is responsible for providing skillful and efficient legal services on behalf of the people of California. The Attorney General represents the people in all matters before the Appellate and Supreme Courts of California and the United States; serves as legal counsel to state officers, boards, commissions, and departments; represents the people in actions to protect the environment and to enforce consumer, antitrust, and civil rights laws; and assists district attorneys in the administration of justice. The Department also coordinates efforts to address the statewide narcotic enforcement problem; assists local law enforcement in the investigation and analysis of crimes; provides person and property identification and information services to criminal justice agencies; supports the telecommunications and data processing needs of the California criminal justice community; and pursues projects designed to protect the people of California from fraudulent, unfair, and illegal activities.

Since department programs drive the need for infrastructure investment, each department has a related capital outlay program to support this need. For the specifics on the Department of Justice's Capital Outlay Program see "Infrastructure Overview."

**3-YR EXPENDITURES AND POSITIONS (Summary of Program Requirements)**

|                                                   | Positions |         |         | Expenditures |          |          |
|---------------------------------------------------|-----------|---------|---------|--------------|----------|----------|
|                                                   | 2006-07   | 2007-08 | 2008-09 | 2006-07*     | 2007-08* | 2008-09* |
| 11.01 Directorate and Administration              | 259.4     | 270.3   | 1,067.4 | \$28,794     | \$30,570 | \$96,117 |
| 11.02 Distributed Directorate and Administration  | -         | -       | -       | -28,794      | -30,570  | -96,117  |
| 12.01 Legal Support and Technology Administration | 615.0     | 704.1   | -       | 50,926       | 54,036   | -        |

\* Dollars in thousands, except in Salary Range.

**0820 Department of Justice - Continued**

|                                                               | Positions      |                |                | Expenditures     |                  |                  |
|---------------------------------------------------------------|----------------|----------------|----------------|------------------|------------------|------------------|
|                                                               | 2006-07        | 2007-08        | 2008-09        | 2006-07*         | 2007-08*         | 2008-09*         |
| 12.02 Distributed Legal Support and Technology Administration | -              | -              | -              | -50,926          | -54,036          | -                |
| 25 Executive Programs                                         | 114.1          | 123.8          | -              | 15,051           | 16,469           | -                |
| 30 Civil Law                                                  | 571.4          | 586.5          | 585.5          | 127,313          | 146,992          | 149,321          |
| 40 Criminal Law                                               | 540.2          | 645.1          | 700.8          | 107,644          | 123,130          | 136,879          |
| 45 Public Rights                                              | 287.5          | 337.4          | 339.3          | 73,230           | 89,824           | 91,352           |
| 50 Law Enforcement                                            | 992.5          | 1,550.9        | 1,564.1        | 213,925          | 269,209          | 280,141          |
| 60 California Justice Information Services                    | 1,158.5        | 1,324.6        | 1,317.0        | 165,022          | 189,276          | 175,257          |
| 65 Gambling                                                   | 128.6          | -              | -              | 17,356           | -                | -                |
| 70 Firearms                                                   | 116.1          | -              | -              | 17,664           | -                | -                |
| <b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>      | <b>4,783.3</b> | <b>5,542.7</b> | <b>5,574.1</b> | <b>\$737,205</b> | <b>\$834,900</b> | <b>\$832,950</b> |
| <b>FUNDING</b>                                                |                |                |                | <b>2006-07*</b>  | <b>2007-08*</b>  | <b>2008-09*</b>  |
| 0001 General Fund                                             |                |                |                | \$398,749        | \$416,751        | \$422,430        |
| 0012 Attorney General Antitrust Account                       |                |                |                | 730              | 1,316            | 1,329            |
| 0014 Hazardous Waste Control Account                          |                |                |                | 1,958            | 992              | -                |
| 0017 Fingerprint Fees Account                                 |                |                |                | 67,200           | 73,568           | 69,123           |
| 0032 Firearm Safety Account                                   |                |                |                | 329              | 331              | 331              |
| 0044 Motor Vehicle Account, State Transportation Fund         |                |                |                | 24,056           | 25,324           | 24,840           |
| 0142 Department of Justice Sexual Habitual Offender Fund      |                |                |                | 2,047            | 2,945            | 2,317            |
| 0158 Travel Seller Fund                                       |                |                |                | 863              | 1,335            | 1,344            |
| 0195 Conservatorship Registry Fund                            |                |                |                | 361              | -                | -                |
| 0214 Restitution Fund                                         |                |                |                | 2,984            | 6,695            | 6,694            |
| 0256 Sexual Predator Public Information Account               |                |                |                | 385              | 167              | 199              |
| 0367 Indian Gaming Special Distribution Fund                  |                |                |                | 12,869           | 15,505           | 15,225           |
| 0378 False Claims Act Fund                                    |                |                |                | 8,616            | 13,300           | 10,533           |
| 0460 Dealers' Record of Sale Special Account                  |                |                |                | 8,316            | 9,996            | 11,668           |
| 0557 Toxic Substances Control Account                         |                |                |                | 2,345            | 1,188            | -                |
| 0566 Department of Justice Child Abuse Fund                   |                |                |                | 270              | 358              | 359              |
| 0567 Gambling Control Fund                                    |                |                |                | 6,286            | 7,183            | 8,943            |
| 0569 Gambling Control Fines and Penalties Account             |                |                |                | 25               | 45               | 46               |
| 0641 Domestic Violence Restraining Order Reimbursement Fund   |                |                |                | 1,918            | 1,918            | 1,918            |
| 0890 Federal Trust Fund                                       |                |                |                | 39,560           | 41,815           | 42,242           |
| 0942 Special Deposit Fund                                     |                |                |                | 800              | 2,708            | 2,736            |
| 0995 Reimbursements                                           |                |                |                | 33,819           | 44,250           | 40,290           |
| 1008 Firearms Safety and Enforcement Special Fund             |                |                |                | 2,960            | 3,114            | 3,164            |
| 3016 Missing Persons DNA Data Base Fund                       |                |                |                | 3,109            | 4,489            | 4,638            |
| 3053 Public Rights Law Enforcement Special Fund               |                |                |                | 1,089            | 5,955            | 5,997            |
| 3061 Ratepayer Relief Fund                                    |                |                |                | 7,869            | 7,165            | 7,198            |
| 3086 DNA Identification Fund                                  |                |                |                | 12,713           | 21,259           | 19,923           |
| 3087 Unfair Competition Law Fund                              |                |                |                | 2,368            | 3,532            | 3,565            |
| 3088 Registry of Charitable Trusts Fund                       |                |                |                | 2,551            | 2,844            | 2,898            |
| 9731 Legal Services Revolving Fund                            |                |                |                | 90,060           | 118,852          | 123,000          |
| <b>TOTALS, EXPENDITURES, ALL FUNDS</b>                        |                |                |                | <b>\$737,205</b> | <b>\$834,900</b> | <b>\$832,950</b> |

Less amount funded in the Political Reform Act (2006-07 \$216 and 2007-08 \$216); 0942 Special Deposit Fund includes State Asset Forfeiture Account (2006-07 \$134, 2007-08 \$575, and 2008-09 \$578), Federal Asset Forfeiture Account (2006-07 \$94, 2007-08 \$1,483, and 2008-09 \$1,508), and Electronic Recording Authorization Account (2006-07 \$572, 2007-08 \$650, and 2008-09 \$650).

\* Dollars in thousands, except in Salary Range.

## 0820 Department of Justice - Continued

### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

California Constitution, Article V, Section 13

#### PROGRAM AUTHORITY

11-Directorate and Administration:

Civil Code Section 51.1; Government Code Section 12519

30-Civil Law:

California Constitution, Article V, Section 13

40-Criminal Law:

California Constitution, Article V, Section 13; Penal Code Sections 1256, 1475, and 1548.3; Government Code Section 12511

45-Public Rights:

California Constitution, Article V, Section 13; Business and Professions Code Sections 17200 and 17500; Civil Code Section 51 et seq.; Government Code Sections 4458, 11043, 11157, 12510 et seq., 12580 et seq., 12600 et seq., 12657 et seq., 12989.3, and 16645-16649; Health and Safety Code Sections 19958.5, 25180, 25249.7 and 104555-104557; Revenue and Taxation Code Section 30165.1

50-Law Enforcement:

California Constitution, Article V, Section 13; Business and Professions Code Sections 7583.26, 19800-19807; Penal Code Sections 295, 295.1, 297, 830.1, 832.15, 2071, 11006-11054, 11060, 11061, 11061.5, 12054, 12072, 12076, 12084, 12086, 12096, 12130, 12131, 12231, 12250, 12285, 12287, 12289, 12305, 12424, 13511, 14160-14167, 14250, and 19800-19807; Government Code Sections 15001.1 and 15001.2; and Health and Safety Code Sections 11100, 11102, 11106, 11165, 11450, 11641, and 11647.

60-California Justice Information Services:

California Constitution, Article V, Section 13; Government Code Sections 15150-15167 and 27390-27399; and Penal Code Sections 11102.1, 11105, and 14200-14213.

### MAJOR PROGRAM CHANGES

- Gang Suppression Enforcement Teams-The Budget includes \$5.3 million General Fund and 31.9 positions to fund the Department's four existing Gang Suppression Enforcement Teams on a permanent basis. These teams provide a dedicated force with specialized knowledge of gang activities across multiple jurisdictions to curtail the threat of gangs.
- Correctional Writs and Appeals Unit-The Budget includes \$4.3 million General Fund and 24.9 positions for the DOJ to support increased habeas corpus workload, including federal habeas corpus appeals, which have increased dramatically since the courts, in November 2005, eliminated the requirement that inmates needed permission from the court before filing federal habeas corpus appeals.
- Cardroom Compliance and Enforcement-The Budget includes \$1.7 million Gambling Control Fund and 10.4 positions for the DOJ to conduct background investigations of third-party providers/vendors, increase the frequency of field inspections to two per year at each of the 91 licensed cardrooms throughout the state, and investigate a higher percentage of the complaints filed against cardroom owners, patrons, and third-party providers.
- Class Action Quality Improvement-The Budget includes \$2.2 million General Fund and 12.4 positions to enhance the state's legal defense against class action lawsuits. This augmentation would allow the DOJ to dedicate resources to a more proactive approach that includes opposing class certification more aggressively, enhancing the state's participation in the discovery process, and monitoring and litigating remedial orders.

### BUDGET-BALANCING REDUCTIONS

- The Budget includes an unallocated General Fund reduction of \$41.6 million in 2008-09.

### DETAILED BUDGET ADJUSTMENTS

**0820 Department of Justice - Continued**

|                                                                           | 2007-08*       |                |           | 2008-09*         |                 |             |
|---------------------------------------------------------------------------|----------------|----------------|-----------|------------------|-----------------|-------------|
|                                                                           | General Fund   | Other Funds    | Positions | General Fund     | Other Funds     | Positions   |
| <b>Baseline Adjustment Descriptions</b>                                   |                |                |           |                  |                 |             |
| • Gang Suppression Enforcement Teams                                      | \$-            | \$-            | -         | \$5,347          | \$-             | 31.9        |
| • Correctional Writs and Appeals Unit                                     | -              | -              | -         | 4,272            | -               | 24.9        |
| • Underwriters Litigation                                                 | -              | -              | -         | 2,912            | -               | 2.5         |
| • Lab Facilities - Scheduled Maintenance and Repair                       | -              | -              | -         | 646              | -               | -           |
| • Anti-Gang Violence Parenting Curriculum (Chapter 457, Statutes of 2007) | -              | -              | -         | 102              | -               | -           |
| • Air Resources Board Workload                                            | -              | -              | -         | -                | 1,849           | 9.3         |
| • Cardroom Compliance and Enforcement                                     | -              | -              | -         | -                | 1,725           | 10.4        |
| • Automated Firearms System Redesign                                      | -              | -              | -         | -                | 1,258           | -           |
| • National Criminal History Improvement Program                           | -              | -              | -         | -                | 550             | -           |
| • Bureau of Firearms Workload Increase                                    | -              | -              | -         | -                | 266             | 2.9         |
| • Employee Compensation Adjustments                                       | 5,586          | 5,362          | -         | 7,234            | 6,386           | -           |
| • Price Increase                                                          | -              | -              | -         | 2,777            | 3,783           | -           |
| • Full Year Cost of New/Expanded Programs                                 | -              | -              | -         | 2,003            | 227             | -           |
| • Pro Rata Adjustment                                                     | -              | -              | -         | -                | 1,473           | -           |
| • SWCAP Adjustment                                                        | -              | -              | -         | -                | 440             | -           |
| • Retirement Rate Adjustment                                              | -292           | -348           | -         | -292             | -348            | -           |
| • Lease Revenue Debt Service Adjustment                                   | -1,179         | -12            | -         | -373             | -12             | -           |
| • Carryover/Reappropriation                                               | 301            | -              | -         | -1,333           | -               | -           |
| • One Time Cost Reductions                                                | -              | -              | -         | -1,684           | -2,481          | -           |
| • Limited Term Positions/Expiring Programs                                | -              | -              | -         | -13,534          | -5,842          | -48.1       |
| • Other Baseline Adjustments                                              | 201            | 430            | -         | -15              | -11,472         | -7.9        |
| <b>Totals, Baseline Adjustments</b>                                       | <b>\$4,617</b> | <b>\$5,432</b> | <b>-</b>  | <b>\$8,062</b>   | <b>-\$2,198</b> | <b>25.9</b> |
| <b>Policy Adjustment Descriptions</b>                                     |                |                |           |                  |                 |             |
| • Class Action Quality Improvement                                        | \$-            | \$-            | -         | \$2,233          | \$-             | 12.4        |
| <b>Totals, Policy Adjustments</b>                                         | <b>\$-</b>     | <b>\$-</b>     | <b>-</b>  | <b>\$2,233</b>   | <b>\$-</b>      | <b>12.4</b> |
| <b>TOTALS, BUDGET ADJUSTMENTS</b>                                         | <b>\$4,617</b> | <b>\$5,432</b> | <b>-</b>  | <b>\$10,295</b>  | <b>-\$2,198</b> | <b>38.3</b> |
| <b>Other Adjustments <sup>11</sup></b>                                    |                |                |           |                  |                 |             |
| • Budget-Balancing Reductions                                             | -              | -              | -         | -41,605          | -               | -           |
| <b>REVISED TOTALS, BUDGET ADJUSTMENTS</b>                                 | <b>\$4,617</b> | <b>\$5,432</b> | <b>-</b>  | <b>-\$31,310</b> | <b>-\$2,198</b> | <b>38.3</b> |

<sup>11</sup> These dollars and PYs are included in the General Government agency, therefore not included in the other fiscal statements for this department. These totals are also not included in the applicable Summary Schedules for this department.

**PROGRAM DESCRIPTIONS (Program Objectives Statement)****11 - DIRECTORATE AND ADMINISTRATION**

The Directorate and Administration Division of the Department of Justice consists of the Attorney General's Executive Office and the Division of Administrative Support. The Division maintains overall direction and administration while providing oversight and monitoring of the diverse programs and projects of the Department, including but not limited to, the Equal Employment Rights and Resolution Office and the Opinions Unit. The Opinions Unit performs the Attorney General's duty under Government Code Section 12519 to provide written opinions to designated public officials on questions of law relating to their respective offices. This unit drafts all formal opinions issued by the Attorney General, most of which are published. Additionally, the Division provides administrative support functions consisting of fiscal, personnel, and technical support activities for the entire department including specialized services such as legal secretarial support, litigation support, law library services, and word processing, essential to the operations of a law firm.

**12 - DIVISION OF LEGAL SUPPORT AND TECHNOLOGY**

The Division of Legal Support and Technology (DLST) encompasses a broad scope of programs and services that provide support to the Department's legal divisions. The DLST will be consolidated within the Directorate and Administration Division, effective July 1, 2008.

\* Dollars in thousands, except in Salary Range.

## 0820 Department of Justice - Continued

### 25 - EXECUTIVE PROGRAMS

The primary responsibility of the Division of Executive Programs is the establishment and maintenance of communications between the Department and the public, the news media, the Legislature, and law enforcement. The Division consists of the Legislative Unit, the Crime and Violence Prevention Center, the Office of Victim Services, the Public Inquiry Unit, the Office of Community and Consumer Affairs, Press, Communications, and Media, Protective Services and Special Liaisons, the Office of Native American Affairs, the Office of Training and Professional Development, and the Special Assistant Attorneys General.

The Crime and Violence Prevention Center, the Office of Victim Services, and the Office of Native American Affairs will be consolidated within the Criminal Law Division, effective July 1, 2008. The remainder of the Executive Programs Division will be consolidated within the Directorate and Administration Division at that time.

### 30 - CIVIL LAW

The Division of Civil Law represents the State of California and its officers, agencies, departments, boards and commissions, and employees in civil matters. It provides advice to these clients, defends cases brought against them, and prosecutes cases to vindicate state interests. Deputy Attorneys General in the Division of Civil Law have primary responsibility to manage and litigate cases in both state and federal courts at the trial level and on appeal, including appeals before the United States and California Supreme Courts. Deputies work in one of eight sections: Business and Tax; Correctional Law; Employment, Regulation and Administration; Government Law; Health, Education and Welfare; Health Quality Enforcement; Licensing; and Tort and Condemnation.

### 40 - CRIMINAL LAW

The Criminal Law Program represents the state in all criminal matters before the Appellate and Supreme courts. The Criminal Law Program also fulfills the Attorney General's responsibilities of assisting district attorneys in cases for which they are disqualified, conducts criminal investigations and prosecutions of those engaged in illegal activities where local resources are inadequate to perform these functions, and defends state and federal habeas corpus matters. Additional responsibilities include enforcing the Political Reform Act, advising the Governor on extradition matters, investigating and prosecuting Medi-Cal provider fraud, investigating and prosecuting the abuse or neglect of elder and dependent adults residing in health care facilities, and investigating, prosecuting, and coordinating litigation involving white-collar crime, high-tech/computer crime, and financial crimes against the elderly. The Criminal Law program also includes the Crime and Violence Prevention Center, the Office of Native American Affairs, and the Office of Victim Services.

### 45 - PUBLIC RIGHTS

The Public Rights Program protects and preserves the public interest by providing skilled legal services to all state agencies and Constitutional Officers. The Public Rights Program provides specialized services in the following areas: Civil Rights Enforcement; Charitable Trusts (including Registry of Charitable Trusts); Natural Resources; False Claims; Energy and Corporate Responsibility; Indian and Gaming Law; Environmental Law; Land Law; Consumer Law; Antitrust Law; and Tobacco Litigation Enforcement.

### 50 - LAW ENFORCEMENT

The Division of Law Enforcement is organized into seven elements: (1) the Bureau of Investigation, (2) the Bureau of Narcotic Enforcement, (3) the Bureau of Forensic Services, (4) the Western States Information Network, (5) the Criminal Intelligence Bureau, (6) the Bureau of Firearms, and (7) the Bureau of Gambling Control.

The Bureau of Investigation conducts criminal investigations of statewide importance and provides investigative services to criminal justice agencies, public agencies and to the Department of Justice in criminal cases. The Bureau of Narcotic Enforcement combats the state's narcotic problem by providing leadership, coordination, and support to law enforcement through 9 regional offices and 39 multi-agency drug task forces, special operations units, drug diversion, gang suppression enforcement teams, clandestine laboratory teams, and crackdown teams.

The Bureau of Forensic Services provides evaluation and analysis of physical evidence including expert court testimony to state and local law enforcement agencies by operating 11 specialized laboratories serving 46 counties as well as a forensic training facility and a DNA laboratory that is compiling and maintaining a database of sex and violent offenders.

The Western States Information Network provides an automated database of suspected narcotic traffickers and other criminal elements for member agencies in Alaska, Hawaii, California, Oregon, and Washington.

The Criminal Intelligence Bureau provides timely collection, coordination, analysis, investigation, and dissemination of criminal intelligence regarding organized crime, street gangs, and terrorist activity to federal, state, and local law enforcement agencies.

The Bureau of Firearms provides oversight, enforcement and regulation of firearms in California by conducting firearms eligibility reviews, administering: (1) the handgun safety certificate program, (2) a centralized list of firearms dealers, and (3) the gun show producer and assault weapon registration programs, conducting firearms dealer and manufacturer inspections, and investigating violations. The Bureau of Firearms also conducts investigations on armed and prohibited persons, in accordance with state and federal law, and administers the armed and prohibited persons database and the safe handgun and firearms safety device programs.

The Bureau of Gambling Control regulates legal gambling activities in California to ensure gambling is conducted honestly

## 0820 Department of Justice - Continued

and is free from criminal and corruptive elements by investigating the qualifications of individuals who apply for state gambling licenses, monitoring the conduct of these licensees to ensure compliance with the Gambling Control Act. Its role also includes regulating Tribal Gaming by ensuring that the each Tribe is in compliance with all aspects of the gaming compact entered into between the Tribe and the State.

### 60 - CALIFORNIA JUSTICE INFORMATION SERVICES

The Division of California Justice Information Services provides criminal justice intelligence, information, and identification services to law enforcement, regulatory agencies, and the public. Four major functional areas carry out these primary services. The Bureau of Criminal Identification and Information maintains and administers the fingerprint identification system and the criminal history record system. The Bureau of Criminal Information and Analysis maintains and administers databases on persons, property, vehicles, and firearms. The Hawkins Data Center operates the Criminal Justice Information System and the California Law Enforcement Telecommunications System (CLETS). The Operation Support Bureau provides business resumption planning, project oversight to information technology projects, and assistance and training to users of criminal offender record information and CLETS, and oversight for the Electronic Recording Delivery Act.

### 65 - DIVISION OF GAMBLING CONTROL

The Division of Gambling Control was consolidated within the Division of Law Enforcement, effective July 1, 2007.

### 70 - FIREARMS DIVISION

The Firearms Division was consolidated within the Division of Law Enforcement, effective July 1, 2007.

### DETAILED EXPENDITURES BY PROGRAM (Program Budget Detail)

|                                                               | 2006-07*        | 2007-08*        | 2008-09*   |
|---------------------------------------------------------------|-----------------|-----------------|------------|
| <b>PROGRAM REQUIREMENTS</b>                                   |                 |                 |            |
| <b>11 DIRECTORATE AND ADMINISTRATION</b>                      |                 |                 |            |
| <b>ELEMENT REQUIREMENTS</b>                                   |                 |                 |            |
| 11.01 Directorate and Administration                          | \$28,794        | \$30,570        | \$96,117   |
| (Directorate)                                                 | 4,469           | 4,170           | 10,027     |
| (Administration)                                              | 24,325          | 26,400          | 86,090     |
| 11.02 Distributed Directorate and Administration              | -28,794         | -30,570         | -96,117    |
| <b>PROGRAM REQUIREMENTS</b>                                   |                 |                 |            |
| <b>12 LEGAL SUPPORT AND TECHNOLOGY</b>                        |                 |                 |            |
| <b>ADMINISTRATION</b>                                         |                 |                 |            |
| <b>ELEMENT REQUIREMENTS</b>                                   |                 |                 |            |
| 12.01 Legal Support and Technology Administration             | 50,926          | 54,036          | -          |
| 12.02 Distributed Legal Support and Technology Administration | -50,926         | -54,036         | -          |
| <b>PROGRAM REQUIREMENTS</b>                                   |                 |                 |            |
| <b>25 EXECUTIVE PROGRAMS</b>                                  |                 |                 |            |
| <b>State Operations:</b>                                      |                 |                 |            |
| 0001 General Fund                                             | \$12,976        | \$14,317        | \$-        |
| 0012 Attorney General Antitrust Account                       | 3               | 3               | -          |
| 0014 Hazardous Waste Control Account                          | 5               | 5               | -          |
| 0017 Fingerprint Fees Account                                 | 259             | 266             | -          |
| 0044 Motor Vehicle Account, State Transportation Fund         | 69              | 70              | -          |
| 0142 Department of Justice Sexual Habitual Offender Fund      | 7               | 7               | -          |
| 0158 Travel Seller Fund                                       | 3               | 3               | -          |
| 0367 Indian Gaming Special Distribution Fund                  | 30              | 33              | -          |
| 0557 Toxic Substances Control Account                         | 6               | 6               | -          |
| 0567 Gambling Control Fund                                    | 20              | 19              | -          |
| 0890 Federal Trust Fund                                       | 283             | 290             | -          |
| 0995 Reimbursements                                           | 1,390           | 1,450           | -          |
| <b>Totals, State Operations</b>                               | <b>\$15,051</b> | <b>\$16,469</b> | <b>\$-</b> |
| <b>ELEMENT REQUIREMENTS</b>                                   |                 |                 |            |
| <b>25.10 Executive</b>                                        | <b>\$630</b>    | <b>\$540</b>    | <b>\$-</b> |

\* Dollars in thousands, except in Salary Range.

**0820 Department of Justice - Continued**

|              |                                                        | 2006-07*       | 2007-08*       | 2008-09*   |
|--------------|--------------------------------------------------------|----------------|----------------|------------|
|              | <b>State Operations:</b>                               |                |                |            |
| 0001         | General Fund                                           | 579            | 481            | -          |
| 0017         | Fingerprint Fees Account                               | 48             | 56             | -          |
| 0367         | Indian Gaming Special Distribution Fund                | 3              | 3              | -          |
| <b>25.20</b> | <b>Legislative Unit</b>                                | <b>\$861</b>   | <b>\$1,062</b> | <b>\$-</b> |
|              | <b>State Operations:</b>                               |                |                |            |
| 0001         | General Fund                                           | 861            | 1,062          | -          |
| <b>25.30</b> | <b>Crime Prevention Center</b>                         | <b>\$5,416</b> | <b>\$5,613</b> | <b>\$-</b> |
|              | <b>State Operations:</b>                               |                |                |            |
| 0001         | General Fund                                           | 3,757          | 3,894          | -          |
| 0890         | Federal Trust Fund                                     | 283            | 290            | -          |
| 0995         | Reimbursements                                         | 1,376          | 1,429          | -          |
| <b>25.40</b> | <b>Public Inquiry Unit</b>                             | <b>\$1,059</b> | <b>\$1,152</b> | <b>\$-</b> |
|              | <b>State Operations:</b>                               |                |                |            |
| 0001         | General Fund                                           | 1,059          | 1,152          | -          |
| <b>25.50</b> | <b>Community and Consumer Affairs</b>                  | <b>\$16</b>    | <b>\$686</b>   | <b>\$-</b> |
|              | <b>State Operations:</b>                               |                |                |            |
| 0001         | General Fund                                           | 16             | 686            | -          |
| <b>25.60</b> | <b>Press, Communications, and Media</b>                | <b>\$650</b>   | <b>\$822</b>   | <b>\$-</b> |
|              | <b>State Operations:</b>                               |                |                |            |
| 0001         | General Fund                                           | 650            | 822            | -          |
| <b>25.70</b> | <b>Special Assistant Attorney General</b>              | <b>\$1,886</b> | <b>\$1,756</b> | <b>\$-</b> |
|              | <b>State Operations:</b>                               |                |                |            |
| 0001         | General Fund                                           | 1,886          | 1,756          | -          |
| <b>25.80</b> | <b>Office of Training and Professional Development</b> | <b>\$2,132</b> | <b>\$1,839</b> | <b>\$-</b> |
|              | <b>State Operations:</b>                               |                |                |            |
| 0001         | General Fund                                           | 1,875          | 1,615          | -          |
| 0012         | Attorney General Antitrust Account                     | 2              | 2              | -          |
| 0014         | Hazardous Waste Control Account                        | 3              | 3              | -          |
| 0017         | Fingerprint Fees Account                               | 155            | 132            | -          |
| 0044         | Motor Vehicle Account, State Transportation Fund       | 51             | 45             | -          |
| 0142         | Department of Justice Sexual Habitual Offender Fund    | 5              | 5              | -          |
| 0158         | Travel Seller Fund                                     | 2              | 2              | -          |
| 0367         | Indian Gaming Special Distribution Fund                | 20             | 18             | -          |
| 0557         | Toxic Substances Control Account                       | 4              | 4              | -          |
| 0567         | Gambling Control Fund                                  | 15             | 13             | -          |
| <b>25.90</b> | <b>Office of Native American Affairs</b>               | <b>\$328</b>   | <b>\$321</b>   | <b>\$-</b> |
|              | <b>State Operations:</b>                               |                |                |            |
| 0001         | General Fund                                           | 314            | 300            | -          |
| 0995         | Reimbursements                                         | 14             | 21             | -          |
| <b>25.95</b> | <b>Program Evaluation and Audit</b>                    | <b>\$669</b>   | <b>\$697</b>   | <b>\$-</b> |
|              | <b>State Operations:</b>                               |                |                |            |
| 0001         | General Fund                                           | 575            | 568            | -          |
| 0012         | Attorney General Antitrust Account                     | 1              | 1              | -          |
| 0014         | Hazardous Waste Control Account                        | 2              | 2              | -          |
| 0017         | Fingerprint Fees Account                               | 56             | 78             | -          |
| 0044         | Motor Vehicle Account, State Transportation Fund       | 18             | 25             | -          |
| 0142         | Department of Justice Sexual Habitual Offender Fund    | 2              | 2              | -          |
| 0158         | Travel Seller Fund                                     | 1              | 1              | -          |

\* Dollars in thousands, except in Salary Range.

**0820 Department of Justice - Continued**

|              |                                                                  | <u>2006-07*</u>  | <u>2007-08*</u>  | <u>2008-09*</u>  |
|--------------|------------------------------------------------------------------|------------------|------------------|------------------|
| 0367         | Indian Gaming Special Distribution Fund                          | 7                | 12               | -                |
| 0557         | Toxic Substances Control Account                                 | 2                | 2                | -                |
| 0567         | Gambling Control Fund                                            | 5                | 6                | -                |
| <b>25.96</b> | <b>Case Management Section</b>                                   | <b>\$1,404</b>   | <b>\$1,981</b>   | <b>\$-</b>       |
|              | <b>State Operations:</b>                                         |                  |                  |                  |
| 0001         | General Fund                                                     | 1,404            | 1,981            | -                |
|              | <b>PROGRAM REQUIREMENTS</b>                                      |                  |                  |                  |
| <b>30</b>    | <b>CIVIL LAW</b>                                                 |                  |                  |                  |
|              | <b>State Operations:</b>                                         |                  |                  |                  |
| 0001         | General Fund                                                     | \$49,778         | \$49,624         | \$52,556         |
| 0942         | Electronic Recording Authorization Account, Special Deposit Fund | 90               | 71               | 71               |
| 0995         | Reimbursements                                                   | 385              | 20               | -                |
| 9731         | Legal Services Revolving Fund                                    | <u>77,060</u>    | <u>97,277</u>    | <u>96,694</u>    |
|              | <b>Totals, State Operations</b>                                  | <b>\$127,313</b> | <b>\$146,992</b> | <b>\$149,321</b> |
|              | <b>ELEMENT REQUIREMENTS</b>                                      |                  |                  |                  |
| <b>30.10</b> | <b>Licensing</b>                                                 | <b>\$21,402</b>  | <b>\$20,398</b>  | <b>\$21,716</b>  |
|              | <b>State Operations:</b>                                         |                  |                  |                  |
| 0001         | General Fund                                                     | 2,151            | 1,270            | 1,314            |
| 9731         | Legal Services Revolving Fund                                    | 19,251           | 19,128           | 20,402           |
| <b>30.20</b> | <b>State Government</b>                                          | <b>\$5,982</b>   | <b>\$12,955</b>  | <b>\$6,913</b>   |
|              | <b>State Operations:</b>                                         |                  |                  |                  |
| 0001         | General Fund                                                     | 4,075            | 3,787            | 3,939            |
| 9731         | Legal Services Revolving Fund                                    | 1,907            | 9,168            | 2,974            |
| <b>30.30</b> | <b>Business and Tax</b>                                          | <b>\$8,381</b>   | <b>\$10,275</b>  | <b>\$10,700</b>  |
|              | <b>State Operations:</b>                                         |                  |                  |                  |
| 0001         | General Fund                                                     | 5,308            | 5,647            | 5,893            |
| 0942         | Electronic Recording Authorization Account, Special Deposit Fund | 90               | 71               | 71               |
| 9731         | Legal Services Revolving Fund                                    | 2,983            | 4,557            | 4,736            |
| <b>30.40</b> | <b>Health, Education, and Welfare</b>                            | <b>\$14,602</b>  | <b>\$16,871</b>  | <b>\$17,878</b>  |
|              | <b>State Operations:</b>                                         |                  |                  |                  |
| 0001         | General Fund                                                     | 4,709            | 4,918            | 5,139            |
| 0995         | Reimbursements                                                   | 385              | 20               | -                |
| 9731         | Legal Services Revolving Fund                                    | 9,508            | 11,933           | 12,739           |
| <b>30.60</b> | <b>Health Quality Enforcement</b>                                | <b>\$13,003</b>  | <b>\$11,755</b>  | <b>\$12,524</b>  |
|              | <b>State Operations:</b>                                         |                  |                  |                  |
| 0001         | General Fund                                                     | 379              | 340              | 360              |
| 9731         | Legal Services Revolving Fund                                    | 12,624           | 11,415           | 12,164           |
| <b>30.70</b> | <b>Tort and Condemnation</b>                                     | <b>\$23,424</b>  | <b>\$33,610</b>  | <b>\$34,155</b>  |
|              | <b>State Operations:</b>                                         |                  |                  |                  |
| 0001         | General Fund                                                     | 10,927           | 13,483           | 12,681           |
| 9731         | Legal Services Revolving Fund                                    | 12,497           | 20,127           | 21,474           |
| <b>30.80</b> | <b>Employment, Regulation, and Administration</b>                | <b>\$20,309</b>  | <b>\$23,037</b>  | <b>\$24,400</b>  |
|              | <b>State Operations:</b>                                         |                  |                  |                  |
| 0001         | General Fund                                                     | 2,019            | 2,088            | 2,195            |
| 9731         | Legal Services Revolving Fund                                    | 18,290           | 20,949           | 22,205           |
| <b>30.95</b> | <b>Correctional Law</b>                                          | <b>\$20,210</b>  | <b>\$18,091</b>  | <b>\$21,035</b>  |
|              | <b>State Operations:</b>                                         |                  |                  |                  |

\* Dollars in thousands, except in Salary Range.

## 0820 Department of Justice - Continued

|              |                                          | 2006-07*         | 2007-08*         | 2008-09*         |
|--------------|------------------------------------------|------------------|------------------|------------------|
| 0001         | General Fund                             | 20,210           | 18,091           | 21,035           |
|              | <b>PROGRAM REQUIREMENTS</b>              |                  |                  |                  |
| <b>40</b>    | <b>CRIMINAL LAW</b>                      |                  |                  |                  |
|              | <b>State Operations:</b>                 |                  |                  |                  |
| 0001         | General Fund                             | \$81,444         | \$93,008         | \$105,288        |
| 0378         | False Claims Act Fund                    | 642              | 1,058            | 1,066            |
| 0890         | Federal Trust Fund                       | 21,514           | 24,573           | 24,936           |
| 0995         | Reimbursements                           | 994              | 1,441            | 2,539            |
| 9731         | Legal Services Revolving Fund            | 5                | 5                | 5                |
|              | <b>Totals, State Operations</b>          | <b>\$104,599</b> | <b>\$120,085</b> | <b>\$133,834</b> |
|              | <b>Local Assistance:</b>                 |                  |                  |                  |
| 0001         | General Fund                             | \$3,045          | \$3,045          | \$3,045          |
|              | <b>Totals, Local Assistance</b>          | <b>\$3,045</b>   | <b>\$3,045</b>   | <b>\$3,045</b>   |
|              | <b>ELEMENT REQUIREMENTS</b>              |                  |                  |                  |
| <b>40.10</b> | <b>Appeals, Writs, and Trials</b>        | <b>\$74,826</b>  | <b>\$76,798</b>  | <b>\$80,533</b>  |
|              | <b>State Operations:</b>                 |                  |                  |                  |
| 0001         | General Fund                             | 71,776           | 73,727           | 77,162           |
| 0995         | Reimbursements                           | -                | 21               | 321              |
| 9731         | Legal Services Revolving Fund            | 5                | 5                | 5                |
|              | <b>Local Assistance:</b>                 |                  |                  |                  |
| 0001         | General Fund                             | 3,045            | 3,045            | 3,045            |
| <b>40.20</b> | <b>Correctional Writs and Appeals</b>    | <b>\$-</b>       | <b>\$9,612</b>   | <b>\$13,899</b>  |
|              | <b>State Operations:</b>                 |                  |                  |                  |
| 0001         | General Fund                             | -                | 9,612            | 13,899           |
| <b>40.30</b> | <b>Trials and Special Operations</b>     | <b>\$4,033</b>   | <b>\$4,343</b>   | <b>\$4,210</b>   |
|              | <b>State Operations:</b>                 |                  |                  |                  |
| 0001         | General Fund                             | 3,648            | 3,567            | 3,732            |
| 0995         | Reimbursements                           | 385              | 776              | 478              |
| <b>40.35</b> | <b>Crime Prevention Center</b>           | <b>\$-</b>       | <b>\$-</b>       | <b>\$5,073</b>   |
|              | <b>State Operations:</b>                 |                  |                  |                  |
| 0001         | General Fund                             | -                | -                | 4,009            |
| 0995         | Reimbursements                           | -                | -                | 1,064            |
| <b>40.40</b> | <b>Office of Native American Affairs</b> | <b>\$-</b>       | <b>\$-</b>       | <b>\$326</b>     |
|              | <b>State Operations:</b>                 |                  |                  |                  |
| 0001         | General Fund                             | -                | -                | 304              |
| 0995         | Reimbursements                           | -                | -                | 22               |
| <b>40.90</b> | <b>Medi-Cal Fraud and Patient Abuse</b>  | <b>\$28,785</b>  | <b>\$32,377</b>  | <b>\$32,838</b>  |
|              | <b>State Operations:</b>                 |                  |                  |                  |
| 0001         | General Fund                             | 6,020            | 6,102            | 6,182            |
| 0378         | False Claims Act Fund                    | 642              | 1,058            | 1,066            |
| 0890         | Federal Trust Fund                       | 21,514           | 24,573           | 24,936           |
| 0995         | Reimbursements                           | 609              | 644              | 654              |
|              | <b>PROGRAM REQUIREMENTS</b>              |                  |                  |                  |
| <b>45</b>    | <b>PUBLIC RIGHTS</b>                     |                  |                  |                  |
|              | <b>State Operations:</b>                 |                  |                  |                  |
| 0001         | General Fund                             | \$31,554         | \$31,272         | \$32,736         |
| 0012         | Attorney General Antitrust Account       | 694              | 1,274            | 1,289            |
| 0014         | Hazardous Waste Control Account          | 1,930            | 964              | -                |
| 0158         | Travel Seller Fund                       | 847              | 1,319            | 1,331            |

\* Dollars in thousands, except in Salary Range.

**0820 Department of Justice - Continued**

|                                                 | 2006-07*        | 2007-08*        | 2008-09*        |
|-------------------------------------------------|-----------------|-----------------|-----------------|
| 0195 Conservatorship Registry Fund              | 361             | -               | -               |
| 0367 Indian Gaming Special Distribution Fund    | 1,066           | 1,079           | 1,117           |
| 0378 False Claims Act Fund                      | 7,059           | 11,123          | 8,325           |
| 0557 Toxic Substances Control Account           | 2,313           | 1,156           | -               |
| 0567 Gambling Control Fund                      | 369             | 371             | 393             |
| 0995 Reimbursements                             | 459             | 467             | 472             |
| 3053 Public Rights Law Enforcement Special Fund | 1,089           | 5,955           | 5,997           |
| 3061 Ratepayer Relief Fund                      | 7,869           | 7,165           | 7,198           |
| 3087 Unfair Competition Law Fund                | 2,368           | 3,532           | 3,565           |
| 3088 Registry of Charitable Trusts Fund         | 2,257           | 2,577           | 2,628           |
| 9731 Legal Services Revolving Fund              | 12,995          | 21,570          | 26,301          |
| <b>Totals, State Operations</b>                 | <b>\$73,230</b> | <b>\$89,824</b> | <b>\$91,352</b> |
| <b>ELEMENT REQUIREMENTS</b>                     |                 |                 |                 |
| <b>45.10 Charitable Trust Register</b>          | <b>\$5,969</b>  | <b>\$6,429</b>  | <b>\$6,669</b>  |
| <b>State Operations:</b>                        |                 |                 |                 |
| 0001 General Fund                               | 3,010           | 3,462           | 3,624           |
| 0195 Conservatorship Registry Fund              | 361             | -               | -               |
| 3053 Public Rights Law Enforcement Special Fund | 78              | 89              | 95              |
| 3088 Registry of Charitable Trusts Fund         | 2,257           | 2,577           | 2,628           |
| 9731 Legal Services Revolving Fund              | 263             | 301             | 322             |
| <b>45.15 Civil Rights Enforcement</b>           | <b>\$2,615</b>  | <b>\$2,367</b>  | <b>\$2,473</b>  |
| <b>State Operations:</b>                        |                 |                 |                 |
| 0001 General Fund                               | 2,464           | 2,229           | 2,327           |
| 3053 Public Rights Law Enforcement Special Fund | 151             | 138             | 146             |
| <b>45.20 Natural Resources</b>                  | <b>\$14,837</b> | <b>\$18,570</b> | <b>\$20,402</b> |
| <b>State Operations:</b>                        |                 |                 |                 |
| 0001 General Fund                               | 8,273           | 7,584           | 7,967           |
| 0995 Reimbursements                             | 456             | 467             | 472             |
| 3053 Public Rights Law Enforcement Special Fund | 121             | 430             | 92              |
| 9731 Legal Services Revolving Fund              | 5,987           | 10,089          | 11,871          |
| <b>45.25 Indian Gaming</b>                      | <b>\$1,842</b>  | <b>\$1,897</b>  | <b>\$1,987</b>  |
| <b>State Operations:</b>                        |                 |                 |                 |
| 0001 General Fund                               | 170             | 187             | 200             |
| 0367 Indian Gaming Special Distribution Fund    | 1,066           | 1,079           | 1,117           |
| 0567 Gambling Control Fund                      | 369             | 371             | 393             |
| 9731 Legal Services Revolving Fund              | 237             | 260             | 277             |
| <b>45.30 Environment</b>                        | <b>\$9,928</b>  | <b>\$7,600</b>  | <b>\$7,965</b>  |
| <b>State Operations:</b>                        |                 |                 |                 |
| 0001 General Fund                               | 4,162           | 2,685           | 2,820           |
| 0014 Hazardous Waste Control Account            | 1,930           | 964             | -               |
| 0557 Toxic Substances Control Account           | 2,313           | 1,156           | -               |
| 3087 Unfair Competition Law Fund                | 601             | 707             | 759             |
| 9731 Legal Services Revolving Fund              | 922             | 2,088           | 4,386           |
| <b>45.40 Land Law</b>                           | <b>\$6,594</b>  | <b>\$7,728</b>  | <b>\$8,093</b>  |
| <b>State Operations:</b>                        |                 |                 |                 |
| 0001 General Fund                               | 4,733           | 5,660           | 5,887           |
| 3053 Public Rights Law Enforcement Special Fund | 28              | 31              | 33              |
| 9731 Legal Services Revolving Fund              | 1,833           | 2,037           | 2,173           |
| <b>45.50 Consumer Law</b>                       | <b>\$7,188</b>  | <b>\$8,493</b>  | <b>\$8,927</b>  |

\* Dollars in thousands, except in Salary Range.

**0820 Department of Justice - Continued**

|                                 |                                                        | <u>2006-07*</u>  | <u>2007-08*</u>  | <u>2008-09*</u>  |
|---------------------------------|--------------------------------------------------------|------------------|------------------|------------------|
| <b>State Operations:</b>        |                                                        |                  |                  |                  |
| 0001                            | General Fund                                           | 2,437            | 2,741            | 2,858            |
| 0158                            | Travel Seller Fund                                     | 847              | 1,319            | 1,331            |
| 0995                            | Reimbursements                                         | 3                | -                | -                |
| 3087                            | Unfair Competition Law Fund                            | 870              | 990              | 1,062            |
| 9731                            | Legal Services Revolving Fund                          | 3,031            | 3,443            | 3,676            |
| <b>45.60</b>                    | <b>Antitrust</b>                                       | <b>\$5,564</b>   | <b>\$6,624</b>   | <b>\$6,939</b>   |
| <b>State Operations:</b>        |                                                        |                  |                  |                  |
| 0001                            | General Fund                                           | 3,504            | 3,744            | 3,930            |
| 0012                            | Attorney General Antitrust Account                     | 694              | 1,274            | 1,289            |
| 3087                            | Unfair Competition Law Fund                            | 644              | 686              | 737              |
| 9731                            | Legal Services Revolving Fund                          | 722              | 920              | 983              |
| <b>45.80</b>                    | <b>Tobacco</b>                                         | <b>\$3,055</b>   | <b>\$3,253</b>   | <b>\$3,413</b>   |
| <b>State Operations:</b>        |                                                        |                  |                  |                  |
| 0001                            | General Fund                                           | 2,801            | 2,980            | 3,123            |
| 3053                            | Public Rights Law Enforcement Special Fund             | 254              | 273              | 290              |
| <b>45.85</b>                    | <b>False Claims</b>                                    | <b>\$7,059</b>   | <b>\$11,123</b>  | <b>\$8,325</b>   |
| <b>State Operations:</b>        |                                                        |                  |                  |                  |
| 0378                            | False Claims Act Fund                                  | 7,059            | 11,123           | 8,325            |
| <b>45.90</b>                    | <b>Energy and Corporate Responsibility</b>             | <b>\$8,579</b>   | <b>\$15,740</b>  | <b>\$16,159</b>  |
| <b>State Operations:</b>        |                                                        |                  |                  |                  |
| 3053                            | Public Rights Law Enforcement Special Fund             | 457              | 4,994            | 5,341            |
| 3061                            | Ratepayer Relief Fund                                  | 7,869            | 7,165            | 7,198            |
| 3087                            | Unfair Competition Law Fund                            | 253              | 1,149            | 1,007            |
| 9731                            | Legal Services Revolving Fund                          | -                | 2,432            | 2,613            |
| <b>PROGRAM REQUIREMENTS</b>     |                                                        |                  |                  |                  |
| <b>50</b>                       | <b>LAW ENFORCEMENT</b>                                 |                  |                  |                  |
| <b>State Operations:</b>        |                                                        |                  |                  |                  |
| 0001                            | General Fund                                           | \$148,872        | \$155,227        | \$161,362        |
| 0012                            | Attorney General Antitrust Account                     | 19               | 25               | 26               |
| 0032                            | Firearm Safety Account                                 | -                | 331              | 331              |
| 0142                            | Department of Justice Sexual Habitual Offender Fund    | 648              | 765              | 769              |
| 0214                            | Restitution Fund                                       | 134              | 340              | 339              |
| 0378                            | False Claims Act Fund                                  | 460              | 651              | 666              |
| 0367                            | Indian Gaming Special Distribution Fund                | -                | 14,068           | 13,780           |
| 0460                            | Dealers' Record of Sale Special Account                | -                | 8,144            | 9,798            |
| 0567                            | Gambling Control Fund                                  | -                | 6,793            | 8,550            |
| 0569                            | Gambling Control Fines and Penalties Account           | -                | 19               | 20               |
| 0890                            | Federal Trust Fund                                     | 16,172           | 15,578           | 16,290           |
| 0942                            | State Asset Forfeiture Account, Special Deposit Fund   | 127              | 568              | 571              |
| 0942                            | Federal Asset Forfeiture Account, Special Deposit Fund | 94               | 1,483            | 1,508            |
| 1008                            | Firearms Safety and Enforcement Special Fund           | -                | 3,114            | 3,164            |
| 0995                            | Reimbursements                                         | 30,031           | 34,155           | 34,654           |
| 3016                            | Missing Persons DNA Data Base Fund                     | 3,109            | 4,489            | 4,638            |
| 3086                            | DNA Identification Fund                                | 11,409           | 17,076           | 17,292           |
| <b>Totals, State Operations</b> |                                                        | <b>\$211,075</b> | <b>\$262,826</b> | <b>\$273,758</b> |
| <b>Local Assistance:</b>        |                                                        |                  |                  |                  |
| 0214                            | Restitution Fund                                       | \$2,850          | \$6,355          | \$6,355          |
| 0460                            | Dealers' Record of Sale Special Account                | -                | 28               | 28               |

\* Dollars in thousands, except in Salary Range.

**0820 Department of Justice - Continued**

|              |                                                        | 2006-07*        | 2007-08*        | 2008-09*        |
|--------------|--------------------------------------------------------|-----------------|-----------------|-----------------|
|              | <b>Totals, Local Assistance</b>                        | <b>\$2,850</b>  | <b>\$6,383</b>  | <b>\$6,383</b>  |
|              | <b>ELEMENT REQUIREMENTS</b>                            |                 |                 |                 |
| <b>50.10</b> | <b>Investigations</b>                                  | <b>\$19,330</b> | <b>\$24,839</b> | <b>\$25,390</b> |
|              | <b>State Operations:</b>                               |                 |                 |                 |
| 0001         | General Fund                                           | 13,600          | 15,093          | 15,585          |
| 0012         | Attorney General Antitrust Account                     | 19              | 25              | 26              |
| 0214         | Restitution Fund                                       | 134             | 340             | 339             |
| 0378         | False Claims Act Fund                                  | 460             | 651             | 666             |
| 0890         | Federal Trust Fund                                     | 99              | 58              | 58              |
| 0995         | Reimbursements                                         | 2,168           | 2,317           | 2,361           |
|              | <b>Local Assistance:</b>                               |                 |                 |                 |
| 0214         | Restitution Fund                                       | 2,850           | 6,355           | 6,355           |
| <b>50.15</b> | <b>Mission Support Branch</b>                          | <b>\$23,948</b> | <b>\$26,835</b> | <b>\$28,749</b> |
|              | <b>State Operations:</b>                               |                 |                 |                 |
| 0001         | General Fund                                           | 20,360          | 18,508          | 19,373          |
| 0890         | Federal Trust Fund                                     | 844             | 4,400           | 5,221           |
| 0995         | Reimbursements                                         | 2,655           | 3,782           | 4,009           |
| 3086         | DNA Identification Fund                                | 89              | 145             | 146             |
| <b>50.20</b> | <b>Narcotic Enforcement</b>                            | <b>\$62,229</b> | <b>\$63,712</b> | <b>\$64,966</b> |
|              | <b>State Operations:</b>                               |                 |                 |                 |
| 0001         | General Fund                                           | 47,539          | 46,935          | 48,728          |
| 0890         | Federal Trust Fund                                     | 3,304           | 3,198           | 2,522           |
| 0942         | State Asset Forfeiture Account, Special Deposit Fund   | 127             | 568             | 571             |
| 0942         | Federal Asset Forfeiture Account, Special Deposit Fund | 94              | 1,483           | 1,508           |
| 0995         | Reimbursements                                         | 11,165          | 11,528          | 11,637          |
| <b>50.30</b> | <b>Forensic Services</b>                               | <b>\$79,977</b> | <b>\$88,801</b> | <b>\$91,668</b> |
|              | <b>State Operations:</b>                               |                 |                 |                 |
| 0001         | General Fund                                           | 59,940          | 61,638          | 64,428          |
| 0142         | Department of Justice Sexual Habitual Offender Fund    | 648             | 765             | 769             |
| 0890         | Federal Trust Fund                                     | 2,714           | 1,796           | 1,825           |
| 0995         | Reimbursements                                         | 2,246           | 3,182           | 2,862           |
| 3016         | Missing Persons DNA Data Base Fund                     | 3,109           | 4,489           | 4,638           |
| 3086         | DNA Identification Fund                                | 11,320          | 16,931          | 17,146          |
| <b>50.60</b> | <b>Western States Information Network</b>              | <b>\$7,544</b>  | <b>\$7,838</b>  | <b>\$8,637</b>  |
|              | <b>State Operations:</b>                               |                 |                 |                 |
| 0890         | Federal Trust Fund                                     | 5,894           | 6,070           | 6,608           |
| 0995         | Reimbursements                                         | 1,650           | 1,768           | 2,029           |
| <b>50.70</b> | <b>Criminal Intelligence Bureau</b>                    | <b>\$20,897</b> | <b>\$18,766</b> | <b>\$19,038</b> |
|              | <b>State Operations:</b>                               |                 |                 |                 |
| 0001         | General Fund                                           | 7,433           | 7,132           | 7,226           |
| 0890         | Federal Trust Fund                                     | 3,317           | 56              | 56              |
| 0995         | Reimbursements                                         | 10,147          | 11,578          | 11,756          |
| <b>50.75</b> | <b>Gambling</b>                                        | <b>\$-</b>      | <b>\$20,880</b> | <b>\$22,350</b> |
|              | <b>State Operations:</b>                               |                 |                 |                 |
| 0367         | Indian Gaming Special Distribution Fund                | -               | 14,068          | 13,780          |
| 0567         | Gambling Control Fund                                  | -               | 6,793           | 8,550           |
| 0569         | Gambling Control Fines and Penalties Account           | -               | 19              | 20              |
| 0995         | Reimbursements                                         | -               | -               | -               |
| <b>50.80</b> | <b>Firearms</b>                                        | <b>\$-</b>      | <b>\$17,538</b> | <b>\$19,343</b> |

\* Dollars in thousands, except in Salary Range.

**0820 Department of Justice - Continued**

|                                 |                                                                  | <u>2006-07*</u>  | <u>2007-08*</u>  | <u>2008-09*</u>  |
|---------------------------------|------------------------------------------------------------------|------------------|------------------|------------------|
| <b>State Operations:</b>        |                                                                  |                  |                  |                  |
| 0001                            | General Fund                                                     | -                | 5,921            | 6,022            |
| 0032                            | Firearm Safety Account                                           | -                | 331              | 331              |
| 0460                            | Dealers' Record of Sale Special Account                          | -                | 8,144            | 9,798            |
| 1008                            | Firearms Safety and Enforcement Special Fund                     | -                | 3,114            | 3,164            |
| <b>Local Assistance:</b>        |                                                                  |                  |                  |                  |
| 0460                            | Dealers' Record of Sale Special Account                          | -                | 28               | 28               |
| <b>PROGRAM REQUIREMENTS</b>     |                                                                  |                  |                  |                  |
| <b>60</b>                       | <b>CALIFORNIA JUSTICE INFORMATION SERVICES</b>                   |                  |                  |                  |
| <b>State Operations:</b>        |                                                                  |                  |                  |                  |
| 0001                            | General Fund                                                     | \$63,794         | \$70,258         | \$67,443         |
| 0012                            | Attorney General Antitrust Account                               | 14               | 14               | 14               |
| 0014                            | Hazardous Waste Control Account                                  | 23               | 23               | -                |
| 0017                            | Fingerprint Fees Account                                         | 66,941           | 73,302           | 69,123           |
| 0044                            | Motor Vehicle Account, State Transportation Fund                 | 23,987           | 25,254           | 24,840           |
| 0142                            | Department of Justice Sexual Habitual Offender Fund              | 1,392            | 2,173            | 1,548            |
| 0158                            | Travel Seller Fund                                               | 13               | 13               | 13               |
| 0256                            | Sexual Predator Public Information Account                       | 385              | 167              | 199              |
| 0367                            | Indian Gaming Special Distribution Fund                          | 314              | 325              | 328              |
| 0378                            | False Claims Act Fund                                            | 455              | 468              | 476              |
| 0460                            | Dealers' Record of Sale Special Account                          | 1,227            | 1,824            | 1,842            |
| 0557                            | Toxic Substances Control Account                                 | 26               | 26               | -                |
| 0566                            | Department of Justice Child Abuse Fund                           | 270              | 358              | 359              |
| 0569                            | Gambling Control Fines and Penalties Account                     | 25               | 26               | 26               |
| 0890                            | Federal Trust Fund                                               | 1,591            | 1,374            | 1,016            |
| 0942                            | State Asset Forfeiture Account, Special Deposit Fund             | 7                | 7                | 7                |
| 0942                            | Electronic Recording Authorization Account, Special Deposit Fund | 482              | 579              | 579              |
| 0995                            | Reimbursements                                                   | 560              | 6,717            | 2,625            |
| 3086                            | DNA Identification Fund                                          | 1,304            | 4,183            | 2,631            |
| 3088                            | Registry of Charitable Trusts Fund                               | 294              | 267              | 270              |
| <b>Totals, State Operations</b> |                                                                  | <b>\$163,104</b> | <b>\$187,358</b> | <b>\$173,339</b> |
| <b>Local Assistance:</b>        |                                                                  |                  |                  |                  |
| 0641                            | Domestic Violence Restraining Order Reimbursement Fund           | \$1,918          | \$1,918          | \$1,918          |
| <b>Totals, Local Assistance</b> |                                                                  | <b>\$1,918</b>   | <b>\$1,918</b>   | <b>\$1,918</b>   |
| <b>ELEMENT REQUIREMENTS</b>     |                                                                  |                  |                  |                  |
| <b>60.10</b>                    | <b>O. J. Hawkins Data Center</b>                                 | <b>\$53,876</b>  | <b>\$61,243</b>  | <b>\$56,756</b>  |
| <b>State Operations:</b>        |                                                                  |                  |                  |                  |
| 0001                            | General Fund                                                     | 27,605           | 31,217           | 27,614           |
| 0012                            | Attorney General Antitrust Account                               | 14               | 14               | 14               |
| 0014                            | Hazardous Waste Control Account                                  | 23               | 23               | -                |
| 0017                            | Fingerprint Fees Account                                         | 917              | 984              | 996              |
| 0044                            | Motor Vehicle Account, State Transportation Fund                 | 22,236           | 23,783           | 23,290           |
| 0158                            | Travel Seller Fund                                               | 13               | 13               | 13               |
| 0367                            | Indian Gaming Special Distribution Fund                          | 314              | 325              | 328              |
| 0378                            | False Claims Act Fund                                            | 455              | 468              | 476              |
| 0460                            | Dealers' Record of Sale Special Account                          | 805              | 876              | 887              |
| 0557                            | Toxic Substances Control Account                                 | 26               | 26               | -                |

\* Dollars in thousands, except in Salary Range.

**0820 Department of Justice - Continued**

|                                                                       | 2006-07*        | 2007-08*        | 2008-09*        |
|-----------------------------------------------------------------------|-----------------|-----------------|-----------------|
| 0569 Gambling Control Fines and Penalties Account                     | 25              | 26              | 26              |
| 0942 State Asset Forfeiture Account, Special Deposit Fund             | 7               | 7               | 7               |
| 0995 Reimbursements                                                   | 394             | 2,248           | 1,845           |
| 3086 DNA Identification Fund                                          | 770             | 992             | 1,016           |
| 3088 Registry of Charitable Trusts Fund                               | 272             | 241             | 244             |
| <b>60.30 Criminal Information and Analysis</b>                        | <b>\$16,659</b> | <b>\$19,455</b> | <b>\$18,999</b> |
| <b>State Operations:</b>                                              |                 |                 |                 |
| 0001 General Fund                                                     | 11,752          | 13,862          | 13,907          |
| 0017 Fingerprint Fees Account                                         | 1,182           | 1,008           | 1,018           |
| 0044 Motor Vehicle Account, State Transportation Fund                 | 1,702           | 1,417           | 1,495           |
| 0142 Department of Justice Sexual Habitual Offender Fund              | 1,324           | 2,097           | 1,470           |
| 0256 Sexual Predator Public Information Account                       | 368             | 148             | 180             |
| 0460 Dealers' Record of Sale Special Account                          | -               | 484             | 488             |
| 0566 Department of Justice Child Abuse Fund                           | 263             | 350             | 351             |
| 0890 Federal Trust Fund                                               | 5               | -               | -               |
| 0995 Reimbursements                                                   | 63              | 89              | 90              |
| <b>60.40 Criminal Identification and Information</b>                  | <b>\$87,462</b> | <b>\$95,116</b> | <b>\$90,191</b> |
| <b>State Operations:</b>                                              |                 |                 |                 |
| 0001 General Fund                                                     | 22,718          | 22,483          | 23,195          |
| 0017 Fingerprint Fees Account                                         | 60,434          | 66,328          | 62,047          |
| 0460 Dealers' Record of Sale Special Account                          | 181             | 194             | 194             |
| 0890 Federal Trust Fund                                               | 1,586           | 902             | 1,016           |
| 0995 Reimbursements                                                   | 102             | 112             | 218             |
| 3086 DNA Identification Fund                                          | 523             | 3,179           | 1,603           |
| <b>Local Assistance:</b>                                              |                 |                 |                 |
| 0641 Domestic Violence Restraining Order Reimbursement Fund           | 1,918           | 1,918           | 1,918           |
| <b>60.60 Criminal Justice Operation Branch</b>                        | <b>\$7,025</b>  | <b>\$13,462</b> | <b>\$9,311</b>  |
| <b>State Operations:</b>                                              |                 |                 |                 |
| 0001 General Fund                                                     | 1,719           | 2,696           | 2,727           |
| 0017 Fingerprint Fees Account                                         | 4,408           | 4,982           | 5,062           |
| 0044 Motor Vehicle Account, State Transportation Fund                 | 49              | 54              | 55              |
| 0142 Department of Justice Sexual Habitual Offender Fund              | 68              | 76              | 78              |
| 0256 Sexual Predator Public Information Account                       | 17              | 19              | 19              |
| 0460 Dealers' Record of Sale Special Account                          | 241             | 270             | 273             |
| 0566 Department of Justice Child Abuse Fund                           | 7               | 8               | 8               |
| 0890 Federal Trust Fund                                               | -               | 472             | -               |
| 0942 Electronic Recording Authorization Account, Special Deposit Fund | 482             | 579             | 579             |
| 0995 Reimbursements                                                   | 1               | 4,268           | 472             |
| 3086 DNA Identification Fund                                          | 11              | 12              | 12              |
| 3088 Registry of Charitable Trusts Fund                               | 22              | 26              | 26              |
| <b>PROGRAM REQUIREMENTS</b>                                           |                 |                 |                 |
| <b>65 GAMBLING</b>                                                    |                 |                 |                 |
| <b>State Operations:</b>                                              |                 |                 |                 |
| 0367 Indian Gaming Special Distribution Fund                          | \$11,459        | \$-             | \$-             |
| 0567 Gambling Control Fund                                            | 5,897           | -               | -               |
| <b>Totals, State Operations</b>                                       | <b>\$17,356</b> | <b>\$-</b>      | <b>\$-</b>      |
| <b>PROGRAM REQUIREMENTS</b>                                           |                 |                 |                 |

\* Dollars in thousands, except in Salary Range.

**0820 Department of Justice - Continued**

|                                                   | 2006-07*         | 2007-08*         | 2008-09*         |
|---------------------------------------------------|------------------|------------------|------------------|
| <b>70 FIREARMS</b>                                |                  |                  |                  |
| <b>State Operations:</b>                          |                  |                  |                  |
| 0001 General Fund                                 | \$7,286          | \$-              | \$-              |
| 0032 Firearm Safety Account                       | 329              | -                | -                |
| 0460 Dealers' Record of Sale Special Account      | 7,061            | -                | -                |
| 1008 Firearms Safety and Enforcement Special Fund | 2,960            | -                | -                |
| <b>Totals, State Operations</b>                   | <b>\$17,636</b>  | <b>\$-</b>       | <b>\$-</b>       |
| <b>Local Assistance:</b>                          |                  |                  |                  |
| 0460 Dealers' Record of Sale Special Account      | \$28             | \$-              | \$-              |
| <b>Totals, Local Assistance</b>                   | <b>\$28</b>      | <b>\$-</b>       | <b>\$-</b>       |
| <b>TOTALS, EXPENDITURES</b>                       |                  |                  |                  |
| State Operations                                  | 729,364          | 823,554          | 821,604          |
| Local Assistance                                  | 7,841            | 11,346           | 11,346           |
| <b>Totals, Expenditures</b>                       | <b>\$737,205</b> | <b>\$834,900</b> | <b>\$832,950</b> |

**EXPENDITURES BY CATEGORY (Summary By Object)**

| 1 State Operations                                                      | Positions      |                |                | Expenditures     |                  |                  |
|-------------------------------------------------------------------------|----------------|----------------|----------------|------------------|------------------|------------------|
|                                                                         | 2006-07        | 2007-08        | 2008-09        | 2006-07*         | 2007-08*         | 2008-09*         |
| PERSONAL SERVICES                                                       |                |                |                |                  |                  |                  |
| Authorized Positions (Equals Sch. 7A)                                   | 4,783.3        | 5,819.4        | 5,768.1        | \$346,710        | \$398,584        | \$399,231        |
| Total Adjustments                                                       | -              | 14.8           | 99.3           | -                | 7,915            | 15,409           |
| Estimated Salary Savings                                                | -              | -291.5         | -293.3         | -                | -20,325          | -20,806          |
| <b>Net Totals, Salaries and Wages</b>                                   | <b>4,783.3</b> | <b>5,542.7</b> | <b>5,574.1</b> | <b>\$346,710</b> | <b>\$386,174</b> | <b>\$393,834</b> |
| Staff Benefits                                                          | -              | -              | -              | 115,807          | 126,211          | 126,419          |
| <b>Totals, Personal Services</b>                                        | <b>4,783.3</b> | <b>5,542.7</b> | <b>5,574.1</b> | <b>\$462,517</b> | <b>\$512,385</b> | <b>\$520,253</b> |
| OPERATING EXPENSES AND EQUIPMENT                                        |                |                |                | \$266,847        | \$311,169        | \$301,351        |
| <b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b> |                |                |                | <b>\$729,364</b> | <b>\$823,554</b> | <b>\$821,604</b> |
| 2 Local Assistance                                                      |                |                |                | Expenditures     |                  |                  |
|                                                                         | 2006-07*       | 2007-08*       | 2008-09*       | 2006-07*         | 2007-08*         | 2008-09*         |
| Grants and Subventions                                                  |                |                |                | \$7,841          | \$11,346         | \$11,346         |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>               |                |                |                | <b>\$7,841</b>   | <b>\$11,346</b>  | <b>\$11,346</b>  |

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS (Reconciliation with Appropriations)**

| 1 STATE OPERATIONS                              | 2006-07*  | 2007-08*  | 2008-09*  |
|-------------------------------------------------|-----------|-----------|-----------|
| 0001 General Fund                               |           |           |           |
| APPROPRIATIONS                                  |           |           |           |
| 001 Budget Act appropriation                    | \$379,639 | \$403,237 | \$415,238 |
| Allocation for employee compensation            | 19,841    | 5,585     | -         |
| Adjustment per Section 3.60                     | 1,781     | -292      | -         |
| Adjustment per Section 4.75 Statewide Surcharge | 18        | -         | -         |
| Adjustment per Section 15.25                    | -         | -15       | -         |
| Transfer from Item 8640-001-0001                | 216       | 216       | -         |
| 003 Budget Act appropriation (Lease-Revenue)    | 3,795     | 4,520     | 4,147     |
| Adjustment per Section 4.30 (Lease-Revenue)     | -874      | -1,179    | -         |
| Prior year balances available:                  |           |           |           |

\* Dollars in thousands, except in Salary Range.

**0820 Department of Justice - Continued**

| <b>1 STATE OPERATIONS</b>                                                                     | <b>2006-07*</b>  | <b>2007-08*</b>  | <b>2008-09*</b>  |
|-----------------------------------------------------------------------------------------------|------------------|------------------|------------------|
| Item 0820-001-0001, Budget Act of 2006 as reappropriated by Item 0820-490, Budget Act of 2007 | -                | 1,605            | -                |
| Chapter 745, Statutes of 2004                                                                 | 29               | 29               | -                |
| <b>Totals Available</b>                                                                       | <b>\$404,445</b> | <b>\$413,706</b> | <b>\$419,385</b> |
| Unexpended balance, estimated savings                                                         | -7,107           | -                | -                |
| Balance available in subsequent years                                                         | -1,634           | -                | -                |
| <b>TOTALS, EXPENDITURES</b>                                                                   | <b>\$395,704</b> | <b>\$413,706</b> | <b>\$419,385</b> |
| <b>0012 Attorney General Antitrust Account</b>                                                |                  |                  |                  |
| APPROPRIATIONS                                                                                |                  |                  |                  |
| 001 Budget Act appropriation                                                                  | \$1,239          | \$1,306          | \$1,329          |
| Allocation for employee compensation                                                          | 59               | 12               | -                |
| Adjustment per Section 3.60                                                                   | 6                | -2               | -                |
| <b>Totals Available</b>                                                                       | <b>\$1,304</b>   | <b>\$1,316</b>   | <b>\$1,329</b>   |
| Unexpended balance, estimated savings                                                         | -574             | -                | -                |
| <b>TOTALS, EXPENDITURES</b>                                                                   | <b>\$730</b>     | <b>\$1,316</b>   | <b>\$1,329</b>   |
| <b>0014 Hazardous Waste Control Account</b>                                                   |                  |                  |                  |
| APPROPRIATIONS                                                                                |                  |                  |                  |
| 001 Budget Act appropriation                                                                  | \$1,846          | \$987            | \$-              |
| Allocation for employee compensation                                                          | 102              | 7                | -                |
| Adjustment per Section 3.60                                                                   | 10               | -2               | -                |
| <b>TOTALS, EXPENDITURES</b>                                                                   | <b>\$1,958</b>   | <b>\$992</b>     | <b>\$-</b>       |
| <b>0017 Fingerprint Fees Account</b>                                                          |                  |                  |                  |
| APPROPRIATIONS                                                                                |                  |                  |                  |
| 001 Budget Act appropriation                                                                  | \$72,036         | \$72,586         | \$69,123         |
| Allocation for employee compensation                                                          | 1,597            | 1,055            | -                |
| Adjustment per Section 3.60                                                                   | 184              | -73              | -                |
| Adjustment per Section 4.75 Statewide Surcharge                                               | 3                | -                | -                |
| <b>Totals Available</b>                                                                       | <b>\$73,820</b>  | <b>\$73,568</b>  | <b>\$69,123</b>  |
| Unexpended balance, estimated savings                                                         | -6,620           | -                | -                |
| <b>TOTALS, EXPENDITURES</b>                                                                   | <b>\$67,200</b>  | <b>\$73,568</b>  | <b>\$69,123</b>  |
| <b>0032 Firearm Safety Account</b>                                                            |                  |                  |                  |
| APPROPRIATIONS                                                                                |                  |                  |                  |
| 001 Budget Act appropriation                                                                  | \$326            | \$329            | \$331            |
| Allocation for employee compensation                                                          | 3                | 2                | -                |
| <b>TOTALS, EXPENDITURES</b>                                                                   | <b>\$329</b>     | <b>\$331</b>     | <b>\$331</b>     |
| <b>0044 Motor Vehicle Account, State Transportation Fund</b>                                  |                  |                  |                  |
| APPROPRIATIONS                                                                                |                  |                  |                  |
| 001 Budget Act appropriation                                                                  | \$23,160         | \$24,876         | \$24,840         |
| Allocation for employee compensation                                                          | 802              | 483              | -                |
| Adjustment per Section 3.60                                                                   | 93               | -35              | -                |
| Adjustment per Section 4.75 Statewide Surcharge                                               | 1                | -                | -                |
| <b>TOTALS, EXPENDITURES</b>                                                                   | <b>\$24,056</b>  | <b>\$25,324</b>  | <b>\$24,840</b>  |
| <b>0142 Department of Justice Sexual Habitual Offender Fund</b>                               |                  |                  |                  |
| APPROPRIATIONS                                                                                |                  |                  |                  |
| 001 Budget Act appropriation                                                                  | \$2,715          | \$2,835          | \$2,317          |
| Allocation for employee compensation                                                          | 129              | 117              | -                |
| Adjustment per Section 3.60                                                                   | 9                | -7               | -                |
| <b>Totals Available</b>                                                                       | <b>\$2,853</b>   | <b>\$2,945</b>   | <b>\$2,317</b>   |
| Unexpended balance, estimated savings                                                         | -806             | -                | -                |
| <b>TOTALS, EXPENDITURES</b>                                                                   | <b>\$2,047</b>   | <b>\$2,945</b>   | <b>\$2,317</b>   |

\* Dollars in thousands, except in Salary Range.

**0820 Department of Justice - Continued**

| <b>1 STATE OPERATIONS</b>                                            | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|----------------------------------------------------------------------|-----------------|-----------------|-----------------|
| <b>0158 Travel Seller Fund</b>                                       |                 |                 |                 |
| APPROPRIATIONS                                                       |                 |                 |                 |
| 001 Budget Act appropriation                                         | \$1,249         | \$1,316         | \$1,344         |
| Allocation for employee compensation                                 | 42              | 21              | -               |
| Adjustment per Section 3.60                                          | 5               | -2              | -               |
| <b>Totals Available</b>                                              | <b>\$1,296</b>  | <b>\$1,335</b>  | <b>\$1,344</b>  |
| Unexpended balance, estimated savings                                | -433            | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                                          | <b>\$863</b>    | <b>\$1,335</b>  | <b>\$1,344</b>  |
| <b>0195 Conservatorship Registry Fund</b>                            |                 |                 |                 |
| APPROPRIATIONS                                                       |                 |                 |                 |
| 001 Budget Act appropriation                                         | \$368           | \$-             | \$-             |
| <b>Totals Available</b>                                              | <b>\$368</b>    | <b>\$-</b>      | <b>\$-</b>      |
| Unexpended balance, estimated savings                                | -7              | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                                          | <b>\$361</b>    | <b>\$-</b>      | <b>\$-</b>      |
| <b>0214 Restitution Fund</b>                                         |                 |                 |                 |
| APPROPRIATIONS                                                       |                 |                 |                 |
| 001 Budget Act appropriation                                         | \$63            | \$334           | \$339           |
| Allocation for employee compensation                                 | 5               | 6               | -               |
| Transfer from Item 0820-101-0214, Budget Act of 2006 per Provision 2 | 87              | -               | -               |
| <b>Totals Available</b>                                              | <b>\$155</b>    | <b>\$340</b>    | <b>\$339</b>    |
| Unexpended balance, estimated savings                                | -21             | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                                          | <b>\$134</b>    | <b>\$340</b>    | <b>\$339</b>    |
| <b>0256 Sexual Predator Public Information Account</b>               |                 |                 |                 |
| APPROPRIATIONS                                                       |                 |                 |                 |
| 001 Budget Act appropriation                                         | \$567           | \$165           | \$199           |
| Allocation for employee compensation                                 | 13              | 2               | -               |
| <b>Totals Available</b>                                              | <b>\$580</b>    | <b>\$167</b>    | <b>\$199</b>    |
| Unexpended balance, estimated savings                                | -195            | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                                          | <b>\$385</b>    | <b>\$167</b>    | <b>\$199</b>    |
| <b>0367 Indian Gaming Special Distribution Fund</b>                  |                 |                 |                 |
| APPROPRIATIONS                                                       |                 |                 |                 |
| 001 Budget Act appropriation                                         | \$12,276        | \$15,180        | \$15,225        |
| Allocation for employee compensation                                 | 670             | 310             | -               |
| Adjustment per Section 3.60                                          | 61              | 15              | -               |
| Adjustment per Section 4.75 Statewide Surcharge                      | 1               | -               | -               |
| <b>Totals Available</b>                                              | <b>\$13,008</b> | <b>\$15,505</b> | <b>\$15,225</b> |
| Unexpended balance, estimated savings                                | -139            | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                                          | <b>\$12,869</b> | <b>\$15,505</b> | <b>\$15,225</b> |
| <b>0378 False Claims Act Fund</b>                                    |                 |                 |                 |
| APPROPRIATIONS                                                       |                 |                 |                 |
| 001 Budget Act appropriation                                         | \$12,618        | \$13,195        | \$10,533        |
| Allocation for employee compensation                                 | 386             | 117             | -               |
| Adjustment per Section 3.60                                          | 42              | -12             | -               |
| Adjustment per Section 4.75 Statewide Surcharge                      | 1               | -               | -               |
| 011 Budget Act appropriation (transfer to the General Fund)          | (33,000)        | -               | -               |
| <b>Totals Available</b>                                              | <b>\$13,047</b> | <b>\$13,300</b> | <b>\$10,533</b> |
| Unexpended balance, estimated savings                                | -4,431          | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                                          | <b>\$8,616</b>  | <b>\$13,300</b> | <b>\$10,533</b> |
| <b>0460 Dealers' Record of Sale Special Account</b>                  |                 |                 |                 |
| APPROPRIATIONS                                                       |                 |                 |                 |

\* Dollars in thousands, except in Salary Range.

**0820 Department of Justice - Continued**

| <b>1 STATE OPERATIONS</b>                                                                      | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|------------------------------------------------------------------------------------------------|-----------------|-----------------|-----------------|
| 001 Budget Act appropriation                                                                   | \$8,658         | \$9,745         | \$11,640        |
| Allocation for employee compensation                                                           | 396             | 215             | -               |
| Adjustment per Section 3.60                                                                    | 34              | -10             | -               |
| Adjustment per Section 15.25                                                                   | -               | 18              | -               |
| Prior year balances available:                                                                 |                 |                 |                 |
| Chapter 754, Statutes of 2003                                                                  | <u>548</u>      | <u>-</u>        | <u>-</u>        |
| <b>Totals Available</b>                                                                        | <b>\$9,636</b>  | <b>\$9,968</b>  | <b>\$11,640</b> |
| Unexpended balance, estimated savings                                                          | <u>-1,348</u>   | <u>-</u>        | <u>-</u>        |
| <b>TOTALS, EXPENDITURES</b>                                                                    | <b>\$8,288</b>  | <b>\$9,968</b>  | <b>\$11,640</b> |
| <b>0557 Toxic Substances Control Account</b>                                                   |                 |                 |                 |
| APPROPRIATIONS                                                                                 |                 |                 |                 |
| 001 Budget Act appropriation                                                                   | \$2,210         | \$1,181         | \$-             |
| Allocation for employee compensation                                                           | 123             | 9               | -               |
| Adjustment per Section 3.60                                                                    | <u>12</u>       | <u>-2</u>       | <u>-</u>        |
| <b>TOTALS, EXPENDITURES</b>                                                                    | <b>\$2,345</b>  | <b>\$1,188</b>  | <b>\$-</b>      |
| <b>0566 Department of Justice Child Abuse Fund</b>                                             |                 |                 |                 |
| APPROPRIATIONS                                                                                 |                 |                 |                 |
| 001 Budget Act appropriation                                                                   | \$336           | \$350           | \$359           |
| Allocation for employee compensation                                                           | 16              | 9               | -               |
| Adjustment per Section 3.60                                                                    | <u>1</u>        | <u>-1</u>       | <u>-</u>        |
| <b>Totals Available</b>                                                                        | <b>\$353</b>    | <b>\$358</b>    | <b>\$359</b>    |
| Unexpended balance, estimated savings                                                          | <u>-83</u>      | <u>-</u>        | <u>-</u>        |
| <b>TOTALS, EXPENDITURES</b>                                                                    | <b>\$270</b>    | <b>\$358</b>    | <b>\$359</b>    |
| <b>0567 Gambling Control Fund</b>                                                              |                 |                 |                 |
| APPROPRIATIONS                                                                                 |                 |                 |                 |
| 001 Budget Act appropriation                                                                   | \$6,736         | \$7,021         | \$8,943         |
| Allocation for employee compensation                                                           | 308             | 164             | -               |
| Adjustment per Section 3.60                                                                    | <u>33</u>       | <u>-2</u>       | <u>-</u>        |
| <b>Totals Available</b>                                                                        | <b>\$7,077</b>  | <b>\$7,183</b>  | <b>\$8,943</b>  |
| Unexpended balance, estimated savings                                                          | <u>-791</u>     | <u>-</u>        | <u>-</u>        |
| <b>TOTALS, EXPENDITURES</b>                                                                    | <b>\$6,286</b>  | <b>\$7,183</b>  | <b>\$8,943</b>  |
| <b>0569 Gambling Control Fines and Penalties Account</b>                                       |                 |                 |                 |
| APPROPRIATIONS                                                                                 |                 |                 |                 |
| 001 Budget Act appropriation                                                                   | <u>\$26</u>     | <u>\$45</u>     | <u>\$46</u>     |
| <b>Totals Available</b>                                                                        | <b>\$26</b>     | <b>\$45</b>     | <b>\$46</b>     |
| Unexpended balance, estimated savings                                                          | <u>-1</u>       | <u>-</u>        | <u>-</u>        |
| <b>TOTALS, EXPENDITURES</b>                                                                    | <b>\$25</b>     | <b>\$45</b>     | <b>\$46</b>     |
| <b>0890 Federal Trust Fund</b>                                                                 |                 |                 |                 |
| APPROPRIATIONS                                                                                 |                 |                 |                 |
| 001 Budget Act appropriation                                                                   | \$39,444        | \$41,222        | \$42,242        |
| Allocation for employee compensation                                                           | 1,581           | 571             | -               |
| Adjustment per Section 3.60                                                                    | 130             | 22              | -               |
| Budget Adjustment                                                                              | -4,907          | -               | -               |
| Prior year balances available:                                                                 |                 |                 |                 |
| Item 0820-001-0890, Budget Act of 2005, as reappropriated by Item 0820-490, Budget Act of 2006 | 3,590           | -               | -               |
| Budget Adjustment                                                                              | <u>-278</u>     | <u>-</u>        | <u>-</u>        |
| <b>TOTALS, EXPENDITURES</b>                                                                    | <b>\$39,560</b> | <b>\$41,815</b> | <b>\$42,242</b> |
| <b>0942 Special Deposit Fund</b>                                                               |                 |                 |                 |
| APPROPRIATIONS                                                                                 |                 |                 |                 |

\* Dollars in thousands, except in Salary Range.

**0820 Department of Justice - Continued**

| <b>1 STATE OPERATIONS</b>                                     | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|---------------------------------------------------------------|-----------------|-----------------|-----------------|
| 001 Budget Act appropriation                                  | \$1,415         | \$1,475         | \$1,508         |
| Allocation for employee compensation                          | 35              | 9               | -               |
| Adjustment per Section 3.60                                   | 1               | -1              | -               |
| 011 Budget Act appropriation (State Asset Forfeiture Account) | 556             | 562             | 578             |
| Allocation for employee compensation                          | 3               | 14              | -               |
| Adjustment per Section 3.60                                   | 2               | -1              | -               |
| Government Code Section 27397 (e)                             | <u>572</u>      | <u>650</u>      | <u>650</u>      |
| <b>Totals Available</b>                                       | <b>\$2,584</b>  | <b>\$2,708</b>  | <b>\$2,736</b>  |
| Unexpended balance, estimated savings                         | <u>-1,784</u>   | <u>-</u>        | <u>-</u>        |
| <b>TOTALS, EXPENDITURES</b>                                   | <b>\$800</b>    | <b>\$2,708</b>  | <b>\$2,736</b>  |
| <b>0995 Reimbursements</b>                                    |                 |                 |                 |
| APPROPRIATIONS                                                |                 |                 |                 |
| Reimbursements                                                | \$33,819        | \$44,250        | \$40,290        |
| <b>1008 Firearms Safety and Enforcement Special Fund</b>      |                 |                 |                 |
| APPROPRIATIONS                                                |                 |                 |                 |
| 001 Budget Act appropriation                                  | \$2,931         | \$3,075         | \$3,164         |
| Allocation for employee compensation                          | 96              | 36              | -               |
| Adjustment per Section 3.60                                   | <u>6</u>        | <u>3</u>        | <u>-</u>        |
| <b>Totals Available</b>                                       | <b>\$3,033</b>  | <b>\$3,114</b>  | <b>\$3,164</b>  |
| Unexpended balance, estimated savings                         | <u>-73</u>      | <u>-</u>        | <u>-</u>        |
| <b>TOTALS, EXPENDITURES</b>                                   | <b>\$2,960</b>  | <b>\$3,114</b>  | <b>\$3,164</b>  |
| <b>3016 Missing Persons DNA Data Base Fund</b>                |                 |                 |                 |
| APPROPRIATIONS                                                |                 |                 |                 |
| 001 Budget Act appropriation                                  | \$4,354         | \$4,370         | \$4,638         |
| Allocation for employee compensation                          | 71              | 124             | -               |
| Adjustment per Section 3.60                                   | <u>1</u>        | <u>-5</u>       | <u>-</u>        |
| <b>Totals Available</b>                                       | <b>\$4,426</b>  | <b>\$4,489</b>  | <b>\$4,638</b>  |
| Unexpended balance, estimated savings                         | <u>-1,317</u>   | <u>-</u>        | <u>-</u>        |
| <b>TOTALS, EXPENDITURES</b>                                   | <b>\$3,109</b>  | <b>\$4,489</b>  | <b>\$4,638</b>  |
| <b>3053 Public Rights Law Enforcement Special Fund</b>        |                 |                 |                 |
| APPROPRIATIONS                                                |                 |                 |                 |
| 001 Budget Act appropriation                                  | \$5,410         | \$5,928         | \$5,997         |
| Allocation for employee compensation                          | 99              | 30              | -               |
| Adjustment per Section 3.60                                   | <u>14</u>       | <u>-3</u>       | <u>-</u>        |
| <b>Totals Available</b>                                       | <b>\$5,523</b>  | <b>\$5,955</b>  | <b>\$5,997</b>  |
| Unexpended balance, estimated savings                         | <u>-4,434</u>   | <u>-</u>        | <u>-</u>        |
| <b>TOTALS, EXPENDITURES</b>                                   | <b>\$1,089</b>  | <b>\$5,955</b>  | <b>\$5,997</b>  |
| <b>3061 Ratepayer Relief Fund</b>                             |                 |                 |                 |
| APPROPRIATIONS                                                |                 |                 |                 |
| 001 Budget Act appropriation                                  | \$11,996        | \$7,147         | \$7,198         |
| Allocation for employee compensation                          | 254             | 25              | -               |
| Adjustment per Section 3.60                                   | <u>31</u>       | <u>-7</u>       | <u>-</u>        |
| <b>Totals Available</b>                                       | <b>\$12,281</b> | <b>\$7,165</b>  | <b>\$7,198</b>  |
| Unexpended balance, estimated savings                         | <u>-4,412</u>   | <u>-</u>        | <u>-</u>        |
| <b>TOTALS, EXPENDITURES</b>                                   | <b>\$7,869</b>  | <b>\$7,165</b>  | <b>\$7,198</b>  |
| <b>3086 DNA Identification Fund</b>                           |                 |                 |                 |
| APPROPRIATIONS                                                |                 |                 |                 |
| 001 Budget Act appropriation (DNA Initiative)                 | \$17,315        | \$20,986        | \$19,923        |
| Allocation for employee compensation                          | 130             | 284             | -               |

\* Dollars in thousands, except in Salary Range.

**0820 Department of Justice - Continued**

| <b>1 STATE OPERATIONS</b>                                          | <b>2006-07*</b>  | <b>2007-08*</b>  | <b>2008-09*</b>  |
|--------------------------------------------------------------------|------------------|------------------|------------------|
| Adjustment per Section 3.60                                        | 9                | -11              | -                |
| Adjustment per Section 4.75 Statewide Surcharge                    | <u>1</u>         | <u>-</u>         | <u>-</u>         |
| <b>Totals Available</b>                                            | <b>\$17,455</b>  | <b>\$21,259</b>  | <b>\$19,923</b>  |
| Unexpended balance, estimated savings                              | <u>-4,742</u>    | <u>-</u>         | <u>-</u>         |
| <b>TOTALS, EXPENDITURES</b>                                        | <b>\$12,713</b>  | <b>\$21,259</b>  | <b>\$19,923</b>  |
| <b>3087 Unfair Competition Law Fund</b>                            |                  |                  |                  |
| APPROPRIATIONS                                                     |                  |                  |                  |
| 001 Budget Act appropriation (Unfair Competition Law Fund)         | \$3,101          | \$3,510          | \$3,565          |
| Allocation for employee compensation                               | 132              | 28               | -                |
| Adjustment per Section 3.60                                        | <u>14</u>        | <u>-6</u>        | <u>-</u>         |
| <b>Totals Available</b>                                            | <b>\$3,247</b>   | <b>\$3,532</b>   | <b>\$3,565</b>   |
| Unexpended balance, estimated savings                              | <u>-879</u>      | <u>-</u>         | <u>-</u>         |
| <b>TOTALS, EXPENDITURES</b>                                        | <b>\$2,368</b>   | <b>\$3,532</b>   | <b>\$3,565</b>   |
| <b>3088 Registry of Charitable Trusts Fund</b>                     |                  |                  |                  |
| APPROPRIATIONS                                                     |                  |                  |                  |
| 001 Budget Act appropriation                                       | \$2,501          | \$2,785          | \$2,898          |
| Allocation for employee compensation                               | 95               | 63               | -                |
| Adjustment per Section 3.60                                        | <u>13</u>        | <u>-4</u>        | <u>-</u>         |
| <b>Totals Available</b>                                            | <b>\$2,609</b>   | <b>\$2,844</b>   | <b>\$2,898</b>   |
| Unexpended balance, estimated savings                              | <u>-58</u>       | <u>-</u>         | <u>-</u>         |
| <b>TOTALS, EXPENDITURES</b>                                        | <b>\$2,551</b>   | <b>\$2,844</b>   | <b>\$2,898</b>   |
| <b>9731 Legal Services Revolving Fund</b>                          |                  |                  |                  |
| APPROPRIATIONS                                                     |                  |                  |                  |
| 001 Budget Act appropriation (Legal Services Revolving Fund)       | \$86,835         | \$118,165        | \$123,000        |
| Allocation for employee compensation                               | 5,210            | 909              | -                |
| Adjustment per Section 3.60                                        | 532              | -222             | -                |
| Adjustment per Section 4.75 Statewide Surcharge                    | <u>4</u>         | <u>-</u>         | <u>-</u>         |
| <b>Totals Available</b>                                            | <b>\$92,581</b>  | <b>\$118,852</b> | <b>\$123,000</b> |
| Unexpended balance, estimated savings                              | <u>-2,521</u>    | <u>-</u>         | <u>-</u>         |
| <b>TOTALS, EXPENDITURES</b>                                        | <b>\$90,060</b>  | <b>\$118,852</b> | <b>\$123,000</b> |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>          | <b>\$729,364</b> | <b>\$823,554</b> | <b>\$821,604</b> |
| <b>2 LOCAL ASSISTANCE</b>                                          | <b>2006-07*</b>  | <b>2007-08*</b>  | <b>2008-09*</b>  |
| <b>0001 General Fund</b>                                           |                  |                  |                  |
| APPROPRIATIONS                                                     |                  |                  |                  |
| 101 Budget Act appropriation                                       | <u>\$3,045</u>   | <u>\$3,045</u>   | <u>\$3,045</u>   |
| <b>TOTALS, EXPENDITURES</b>                                        | <b>\$3,045</b>   | <b>\$3,045</b>   | <b>\$3,045</b>   |
| <b>0214 Restitution Fund</b>                                       |                  |                  |                  |
| APPROPRIATIONS                                                     |                  |                  |                  |
| 101 Budget Act appropriation                                       | \$2,937          | \$6,355          | \$6,355          |
| Transfer to Item 0820-001-0214, Budget Act of 2006 per Provision 2 | <u>-87</u>       | <u>-</u>         | <u>-</u>         |
| <b>TOTALS, EXPENDITURES</b>                                        | <b>\$2,850</b>   | <b>\$6,355</b>   | <b>\$6,355</b>   |
| <b>0460 Dealers' Record of Sale Special Account</b>                |                  |                  |                  |
| APPROPRIATIONS                                                     |                  |                  |                  |
| 101 Budget Act appropriation                                       | <u>\$28</u>      | <u>\$28</u>      | <u>\$28</u>      |
| <b>TOTALS, EXPENDITURES</b>                                        | <b>\$28</b>      | <b>\$28</b>      | <b>\$28</b>      |
| <b>0641 Domestic Violence Restraining Order Reimbursement Fund</b> |                  |                  |                  |
| APPROPRIATIONS                                                     |                  |                  |                  |
| 101 Budget Act appropriation                                       | \$1,918          | \$1,918          | \$1,918          |

\* Dollars in thousands, except in Salary Range.

**0820 Department of Justice - Continued**

| <b>2 LOCAL ASSISTANCE</b>                                                      | <b>2006-07*</b>  | <b>2007-08*</b>  | <b>2008-09*</b>  |
|--------------------------------------------------------------------------------|------------------|------------------|------------------|
| <b>TOTALS, EXPENDITURES</b>                                                    | <b>\$1,918</b>   | <b>\$1,918</b>   | <b>\$1,918</b>   |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>                      | <b>\$7,841</b>   | <b>\$11,346</b>  | <b>\$11,346</b>  |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)</b> | <b>\$737,205</b> | <b>\$834,900</b> | <b>\$832,950</b> |

**FUND CONDITION STATEMENTS**

|                                                             | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|-------------------------------------------------------------|-----------------|-----------------|-----------------|
| <b>0012 Attorney General Antitrust Account <sup>s</sup></b> |                 |                 |                 |
| BEGINNING BALANCE                                           | \$245           | \$573           | \$362           |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS                  |                 |                 |                 |
| Revenues:                                                   |                 |                 |                 |
| 150300 Income From Surplus Money Investments                | 8               | 7               | 8               |
| 160100 Attorney General Proceeds of Anti-Trust              | 1,051           | 1,100           | 1,200           |
| Total Revenues, Transfers, and Other Adjustments            | \$1,059         | \$1,107         | \$1,208         |
| Total Resources                                             | \$1,304         | \$1,680         | \$1,570         |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS                    |                 |                 |                 |
| Expenditures:                                               |                 |                 |                 |
| 0820 Department of Justice (State Operations)               | 730             | 1,316           | 1,329           |
| 0840 State Controller (State Operations)                    | 1               | 2               | 1               |
| Total Expenditures and Expenditure Adjustments              | \$731           | \$1,318         | \$1,330         |
| FUND BALANCE                                                | \$573           | \$362           | \$240           |
| Reserve for economic uncertainties                          | 573             | 362             | 240             |
| <b>0017 Fingerprint Fees Account <sup>s</sup></b>           |                 |                 |                 |
| BEGINNING BALANCE                                           | \$10,308        | \$11,497        | \$5,870         |
| Prior year adjustments                                      | 2,623           | -               | -               |
| Adjusted Beginning Balance                                  | \$12,931        | \$11,497        | \$5,870         |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS                  |                 |                 |                 |
| Revenues:                                                   |                 |                 |                 |
| 131600 Fingerprint ID Card Fees                             | 65,446          | 67,673          | 66,673          |
| 150300 Income From Surplus Money Investments                | 386             | 386             | 386             |
| 161000 Escheat of Unclaimed Checks & Warrants               | 1               | -               | -               |
| Total Revenues, Transfers, and Other Adjustments            | \$65,833        | \$68,059        | \$67,059        |
| Total Resources                                             | \$78,764        | \$79,556        | \$72,929        |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS                    |                 |                 |                 |
| Expenditures:                                               |                 |                 |                 |
| 0820 Department of Justice (State Operations)               | 67,200          | 73,568          | 69,123          |
| 0840 State Controller (State Operations)                    | 67              | 118             | 59              |
| Total Expenditures and Expenditure Adjustments              | \$67,267        | \$73,686        | \$69,182        |
| FUND BALANCE                                                | \$11,497        | \$5,870         | \$3,747         |
| Reserve for economic uncertainties                          | 11,497          | 5,870           | 3,747           |
| <b>0032 Firearm Safety Account <sup>s</sup></b>             |                 |                 |                 |
| BEGINNING BALANCE                                           | \$508           | \$561           | \$613           |
| Prior year adjustments                                      | -2              | -               | -               |
| Adjusted Beginning Balance                                  | \$506           | \$561           | \$613           |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS                  |                 |                 |                 |
| Revenues:                                                   |                 |                 |                 |
| 142500 Miscellaneous Services to the Public                 | 364             | 364             | 364             |
| 150300 Income From Surplus Money Investments                | 20              | 20              | 20              |
| Total Revenues, Transfers, and Other Adjustments            | \$384           | \$384           | \$384           |

\* Dollars in thousands, except in Salary Range.

**0820 Department of Justice - Continued**

|                                                                              | 2006-07* | 2007-08* | 2008-09* |
|------------------------------------------------------------------------------|----------|----------|----------|
| Total Resources                                                              | \$890    | \$945    | \$997    |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS                                     |          |          |          |
| Expenditures:                                                                |          |          |          |
| 0820 Department of Justice (State Operations)                                | 329      | 331      | 331      |
| 0840 State Controller (State Operations)                                     | -        | 1        | -        |
| Total Expenditures and Expenditure Adjustments                               | \$329    | \$332    | \$331    |
| FUND BALANCE                                                                 | \$561    | \$613    | \$666    |
| Reserve for economic uncertainties                                           | 561      | 613      | 666      |
| <b>0142 Department of Justice Sexual Habitual Offender Fund <sup>s</sup></b> |          |          |          |
| BEGINNING BALANCE                                                            | \$2,204  | \$2,526  | \$1,874  |
| Prior year adjustments                                                       | 15       | -        | -        |
| Adjusted Beginning Balance                                                   | \$2,219  | \$2,526  | \$1,874  |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS                                   |          |          |          |
| Revenues:                                                                    |          |          |          |
| 142500 Miscellaneous Services to the Public                                  | 2,288    | 2,228    | 2,288    |
| 150300 Income From Surplus Money Investments                                 | 69       | 69       | 69       |
| Total Revenues, Transfers, and Other Adjustments                             | \$2,357  | \$2,297  | \$2,357  |
| Total Resources                                                              | \$4,576  | \$4,823  | \$4,231  |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS                                     |          |          |          |
| Expenditures:                                                                |          |          |          |
| 0820 Department of Justice (State Operations)                                | 2,047    | 2,945    | 2,317    |
| 0840 State Controller (State Operations)                                     | 3        | 4        | 2        |
| Total Expenditures and Expenditure Adjustments                               | \$2,050  | \$2,949  | \$2,319  |
| FUND BALANCE                                                                 | \$2,526  | \$1,874  | \$1,912  |
| Reserve for economic uncertainties                                           | 2,526    | 1,874    | 1,912    |
| <b>0158 Travel Seller Fund <sup>s</sup></b>                                  |          |          |          |
| BEGINNING BALANCE                                                            | \$3,335  | \$3,359  | \$2,833  |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS                                   |          |          |          |
| Revenues:                                                                    |          |          |          |
| 142500 Miscellaneous Services to the Public                                  | 713      | 713      | 713      |
| 150300 Income From Surplus Money Investments                                 | 175      | 98       | 98       |
| Total Revenues, Transfers, and Other Adjustments                             | \$888    | \$811    | \$811    |
| Total Resources                                                              | \$4,223  | \$4,170  | \$3,644  |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS                                     |          |          |          |
| Expenditures:                                                                |          |          |          |
| 0820 Department of Justice (State Operations)                                | 863      | 1,335    | 1,344    |
| 0840 State Controller (State Operations)                                     | 1        | 2        | 1        |
| Total Expenditures and Expenditure Adjustments                               | \$864    | \$1,337  | \$1,345  |
| FUND BALANCE                                                                 | \$3,359  | \$2,833  | \$2,299  |
| Reserve for economic uncertainties                                           | 3,359    | 2,833    | 2,299    |
| <b>0195 Conservatorship Registry Fund <sup>s</sup></b>                       |          |          |          |
| BEGINNING BALANCE                                                            | \$385    | \$38     | \$37     |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS                                   |          |          |          |
| Revenues:                                                                    |          |          |          |
| 150300 Income From Surplus Money Investments                                 | 14       | -        | -        |
| Total Revenues, Transfers, and Other Adjustments                             | \$14     | -        | -        |
| Total Resources                                                              | \$399    | \$38     | \$37     |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS                                     |          |          |          |
| Expenditures:                                                                |          |          |          |

\* Dollars in thousands, except in Salary Range.

**0820 Department of Justice - Continued**

|                                                                                            | 2006-07*       | 2007-08*     | 2008-09*     |
|--------------------------------------------------------------------------------------------|----------------|--------------|--------------|
| 0820 Department of Justice (State Operations)                                              | 361            | -            | -            |
| 0840 State Controller (State Operations)                                                   | -              | 1            | -            |
| 9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)           | -              | -            | 10           |
| Total Expenditures and Expenditure Adjustments                                             | <u>\$361</u>   | <u>\$1</u>   | <u>\$10</u>  |
| FUND BALANCE                                                                               | \$38           | \$37         | \$27         |
| Reserve for economic uncertainties                                                         | 38             | 37           | 27           |
| <b>0255 Department of Justice DNA Testing Fund <sup>s</sup></b>                            |                |              |              |
| BEGINNING BALANCE                                                                          | <u>\$222</u>   | <u>\$222</u> | <u>\$222</u> |
| FUND BALANCE                                                                               | \$222          | \$222        | \$222        |
| Reserve for economic uncertainties                                                         | 222            | 222          | 222          |
| <b>0256 Sexual Predator Public Information Account <sup>s</sup></b>                        |                |              |              |
| BEGINNING BALANCE                                                                          | \$386          | \$164        | \$161        |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS                                                 |                |              |              |
| Revenues:                                                                                  |                |              |              |
| 142500 Miscellaneous Services to the Public                                                | 148            | 148          | 148          |
| 150300 Income From Surplus Money Investments                                               | <u>16</u>      | <u>16</u>    | <u>16</u>    |
| Total Revenues, Transfers, and Other Adjustments                                           | <u>\$164</u>   | <u>\$164</u> | <u>\$164</u> |
| Total Resources                                                                            | \$550          | \$328        | \$325        |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS                                                   |                |              |              |
| Expenditures:                                                                              |                |              |              |
| 0820 Department of Justice (State Operations)                                              | 385            | 167          | 199          |
| 0840 State Controller (State Operations)                                                   | <u>1</u>       | <u>-</u>     | <u>-</u>     |
| Total Expenditures and Expenditure Adjustments                                             | <u>\$386</u>   | <u>\$167</u> | <u>\$199</u> |
| FUND BALANCE                                                                               | \$164          | \$161        | \$126        |
| Reserve for economic uncertainties                                                         | 164            | 161          | 126          |
| <b>0288 The Registry of International Student Exchange Visitor Placement Organizations</b> |                |              |              |
| <b>Fund <sup>s</sup></b>                                                                   |                |              |              |
| BEGINNING BALANCE                                                                          | \$53           | \$62         | \$62         |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS                                                 |                |              |              |
| Revenues:                                                                                  |                |              |              |
| 142500 Miscellaneous Services to the Public                                                | 6              | -            | -            |
| 150300 Income From Surplus Money Investments                                               | <u>3</u>       | <u>-</u>     | <u>-</u>     |
| Total Revenues, Transfers, and Other Adjustments                                           | <u>\$9</u>     | <u>-</u>     | <u>-</u>     |
| Total Resources                                                                            | <u>\$62</u>    | <u>\$62</u>  | <u>\$62</u>  |
| FUND BALANCE                                                                               | \$62           | \$62         | \$62         |
| Reserve for economic uncertainties                                                         | 62             | 62           | 62           |
| <b>0378 False Claims Act Fund <sup>s</sup></b>                                             |                |              |              |
| BEGINNING BALANCE                                                                          | \$2,186        | \$14,895     | \$13,427     |
| Prior year adjustments                                                                     | <u>302</u>     | <u>-</u>     | <u>-</u>     |
| Adjusted Beginning Balance                                                                 | \$2,488        | \$14,895     | \$13,427     |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS                                                 |                |              |              |
| Revenues:                                                                                  |                |              |              |
| 150300 Income From Surplus Money Investments                                               | 637            | 307          | 422          |
| 164400 Civil & Criminal Violation Assessment                                               | 53,398         | 11,546       | 8,846        |
| Transfers and Other Adjustments:                                                           |                |              |              |
| TO0001 To General Fund per Item 0820-011-0378, Budget Act of 2006                          | <u>-33,000</u> | <u>-</u>     | <u>-</u>     |
| Total Revenues, Transfers, and Other Adjustments                                           | \$21,035       | \$11,853     | \$9,268      |

\* Dollars in thousands, except in Salary Range.

**0820 Department of Justice - Continued**

|                                                                       | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|-----------------------------------------------------------------------|-----------------|-----------------|-----------------|
| Total Resources                                                       | \$23,523        | \$26,748        | \$22,695        |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS                              |                 |                 |                 |
| Expenditures:                                                         |                 |                 |                 |
| 0820 Department of Justice (State Operations)                         | 8,616           | 13,300          | 10,533          |
| 0840 State Controller (State Operations)                              | <u>12</u>       | <u>21</u>       | <u>11</u>       |
| Total Expenditures and Expenditure Adjustments                        | <u>\$8,628</u>  | <u>\$13,321</u> | <u>\$10,544</u> |
| FUND BALANCE                                                          | \$14,895        | \$13,427        | \$12,151        |
| Reserve for economic uncertainties                                    | 14,895          | 13,427          | 12,151          |
| <b>0460 Dealers' Record of Sale Special Account <sup>s</sup></b>      |                 |                 |                 |
| BEGINNING BALANCE                                                     | \$3,791         | \$5,828         | \$6,256         |
| Prior year adjustments                                                | <u>-76</u>      | <u>-</u>        | <u>-</u>        |
| Adjusted Beginning Balance                                            | \$3,715         | \$5,828         | \$6,256         |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS                            |                 |                 |                 |
| Revenues:                                                             |                 |                 |                 |
| 125700 Other Regulatory Licenses and Permits                          | 2,359           | 2,359           | 2,359           |
| 142500 Miscellaneous Services to the Public                           | 7,934           | 7,934           | 7,934           |
| 150300 Income From Surplus Money Investments                          | 142             | 142             | 142             |
| 161000 Escheat of Unclaimed Checks & Warrants                         | <u>3</u>        | <u>3</u>        | <u>3</u>        |
| Total Revenues, Transfers, and Other Adjustments                      | <u>\$10,438</u> | <u>\$10,438</u> | <u>\$10,438</u> |
| Total Resources                                                       | \$14,153        | \$16,266        | \$16,694        |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS                              |                 |                 |                 |
| Expenditures:                                                         |                 |                 |                 |
| 0820 Department of Justice                                            |                 |                 |                 |
| State Operations                                                      | 8,288           | 9,968           | 11,640          |
| Local Assistance                                                      | 28              | 28              | 28              |
| 0840 State Controller (State Operations)                              | <u>9</u>        | <u>14</u>       | <u>8</u>        |
| Total Expenditures and Expenditure Adjustments                        | <u>\$8,325</u>  | <u>\$10,010</u> | <u>\$11,676</u> |
| FUND BALANCE                                                          | \$5,828         | \$6,256         | \$5,018         |
| Reserve for economic uncertainties                                    | 5,828           | 6,256           | 5,018           |
| <b>0566 Department of Justice Child Abuse Fund <sup>s</sup></b>       |                 |                 |                 |
| BEGINNING BALANCE                                                     | \$872           | \$1,025         | \$1,090         |
| Prior year adjustments                                                | <u>-1</u>       | <u>-</u>        | <u>-</u>        |
| Adjusted Beginning Balance                                            | \$871           | \$1,025         | \$1,090         |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS                            |                 |                 |                 |
| Revenues:                                                             |                 |                 |                 |
| 142500 Miscellaneous Services to the Public                           | 379             | 379             | 379             |
| 150300 Income From Surplus Money Investments                          | <u>45</u>       | <u>45</u>       | <u>45</u>       |
| Total Revenues, Transfers, and Other Adjustments                      | <u>\$424</u>    | <u>\$424</u>    | <u>\$424</u>    |
| Total Resources                                                       | \$1,295         | \$1,449         | \$1,514         |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS                              |                 |                 |                 |
| Expenditures:                                                         |                 |                 |                 |
| 0820 Department of Justice (State Operations)                         | 270             | 358             | 359             |
| 0840 State Controller (State Operations)                              | <u>-</u>        | <u>1</u>        | <u>-</u>        |
| Total Expenditures and Expenditure Adjustments                        | <u>\$270</u>    | <u>\$359</u>    | <u>\$359</u>    |
| FUND BALANCE                                                          | \$1,025         | \$1,090         | \$1,155         |
| Reserve for economic uncertainties                                    | 1,025           | 1,090           | 1,155           |
| <b>0569 Gambling Control Fines and Penalties Account <sup>s</sup></b> |                 |                 |                 |
| BEGINNING BALANCE                                                     | \$177           | \$219           | \$204           |

\* Dollars in thousands, except in Salary Range.

**0820 Department of Justice - Continued**

|                                                                       | 2006-07*       | 2007-08*       | 2008-09*       |
|-----------------------------------------------------------------------|----------------|----------------|----------------|
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS                            |                |                |                |
| Revenues:                                                             |                |                |                |
| 150300 Income From Surplus Money Investments                          | 7              | 7              | 7              |
| 164300 Penalty Assessments                                            | 60             | 23             | 23             |
| Total Revenues, Transfers, and Other Adjustments                      | <u>\$67</u>    | <u>\$30</u>    | <u>\$30</u>    |
| Total Resources                                                       | \$244          | \$249          | \$234          |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS                              |                |                |                |
| Expenditures:                                                         |                |                |                |
| 0820 Department of Justice (State Operations)                         | 25             | 45             | 46             |
| Total Expenditures and Expenditure Adjustments                        | <u>\$25</u>    | <u>\$45</u>    | <u>\$46</u>    |
| FUND BALANCE                                                          | \$219          | \$204          | \$188          |
| Reserve for economic uncertainties                                    | 219            | 204            | 188            |
| <b>1008 Firearms Safety and Enforcement Special Fund <sup>s</sup></b> |                |                |                |
| BEGINNING BALANCE                                                     | \$1,301        | \$1,302        | \$1,161        |
| Prior year adjustments                                                | -14            | -              | -              |
| Adjusted Beginning Balance                                            | \$1,287        | \$1,302        | \$1,161        |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS                            |                |                |                |
| Revenues:                                                             |                |                |                |
| 142500 Miscellaneous Services to the Public                           | 2,904          | 2,904          | 2,904          |
| 150300 Income From Surplus Money Investments                          | 74             | 74             | 74             |
| Total Revenues, Transfers, and Other Adjustments                      | <u>\$2,978</u> | <u>\$2,978</u> | <u>\$2,978</u> |
| Total Resources                                                       | \$4,265        | \$4,280        | \$4,139        |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS                              |                |                |                |
| Expenditures:                                                         |                |                |                |
| 0820 Department of Justice (State Operations)                         | 2,960          | 3,114          | 3,164          |
| 0840 State Controller (State Operations)                              | 3              | 5              | 3              |
| Total Expenditures and Expenditure Adjustments                        | <u>\$2,963</u> | <u>\$3,119</u> | <u>\$3,167</u> |
| FUND BALANCE                                                          | \$1,302        | \$1,161        | \$972          |
| Reserve for economic uncertainties                                    | 1,302          | 1,161          | 972            |
| <b>3016 Missing Persons DNA Data Base Fund <sup>s</sup></b>           |                |                |                |
| BEGINNING BALANCE                                                     | \$4,710        | \$5,168        | \$4,422        |
| Prior year adjustments                                                | -48            | -              | -              |
| Adjusted Beginning Balance                                            | \$4,662        | \$5,168        | \$4,422        |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS                            |                |                |                |
| Revenues:                                                             |                |                |                |
| 131700 Misc Revenue From Local Agencies                               | 3,370          | 3,500          | 3,500          |
| 150300 Income From Surplus Money Investments                          | 248            | 250            | 250            |
| Total Revenues, Transfers, and Other Adjustments                      | <u>\$3,618</u> | <u>\$3,750</u> | <u>\$3,750</u> |
| Total Resources                                                       | \$8,280        | \$8,918        | \$8,172        |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS                              |                |                |                |
| Expenditures:                                                         |                |                |                |
| 0820 Department of Justice (State Operations)                         | 3,109          | 4,489          | 4,638          |
| 0840 State Controller (State Operations)                              | 3              | 7              | 4              |
| Total Expenditures and Expenditure Adjustments                        | <u>\$3,112</u> | <u>\$4,496</u> | <u>\$4,642</u> |
| FUND BALANCE                                                          | \$5,168        | \$4,422        | \$3,530        |
| Reserve for economic uncertainties                                    | 5,168          | 4,422          | 3,530          |
| <b>3053 Public Rights Law Enforcement Special Fund <sup>s</sup></b>   |                |                |                |
| BEGINNING BALANCE                                                     | -              | \$1,027        | \$748          |

\* Dollars in thousands, except in Salary Range.

**0820 Department of Justice - Continued**

|                                                             | 2006-07*        | 2007-08*        | 2008-09*        |
|-------------------------------------------------------------|-----------------|-----------------|-----------------|
| <b>REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS</b>           |                 |                 |                 |
| Revenues:                                                   |                 |                 |                 |
| 150300 Income From Surplus Money Investments                | \$17            | 46              | 46              |
| 163000 Settlements/Judgments(not Anti-trust)                | <u>2,104</u>    | <u>5,630</u>    | <u>5,510</u>    |
| Total Revenues, Transfers, and Other Adjustments            | <u>\$2,121</u>  | <u>\$5,676</u>  | <u>\$5,556</u>  |
| Total Resources                                             | \$2,121         | \$6,703         | \$6,304         |
| <b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>             |                 |                 |                 |
| Expenditures:                                               |                 |                 |                 |
| 0820 Department of Justice (State Operations)               | 1,089           | 5,955           | 5,997           |
| 0840 State Controller (State Operations)                    | <u>5</u>        | <u>-</u>        | <u>5</u>        |
| Total Expenditures and Expenditure Adjustments              | <u>\$1,094</u>  | <u>\$5,955</u>  | <u>\$6,002</u>  |
| FUND BALANCE                                                | \$1,027         | \$748           | \$302           |
| Reserve for economic uncertainties                          | 1,027           | 748             | 302             |
| <b>3086 DNA Identification Fund <sup>s</sup></b>            |                 |                 |                 |
| BEGINNING BALANCE                                           | \$3,922         | \$11,862        | \$19,895        |
| Prior year adjustments                                      | <u>-16</u>      | <u>-</u>        | <u>-</u>        |
| Adjusted Beginning Balance                                  | \$3,906         | \$11,862        | \$19,895        |
| <b>REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS</b>           |                 |                 |                 |
| Revenues:                                                   |                 |                 |                 |
| 150300 Income From Surplus Money Investments                | 387             | 458             | 398             |
| 164300 Penalty Assessments                                  | <u>20,282</u>   | <u>28,834</u>   | <u>36,583</u>   |
| Total Revenues, Transfers, and Other Adjustments            | <u>\$20,669</u> | <u>\$29,292</u> | <u>\$36,981</u> |
| Total Resources                                             | \$24,575        | \$41,154        | \$56,876        |
| <b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>             |                 |                 |                 |
| Expenditures:                                               |                 |                 |                 |
| 0820 Department of Justice (State Operations)               | <u>12,713</u>   | <u>21,259</u>   | <u>19,923</u>   |
| Total Expenditures and Expenditure Adjustments              | <u>\$12,713</u> | <u>\$21,259</u> | <u>\$19,923</u> |
| FUND BALANCE                                                | \$11,862        | \$19,895        | \$36,953        |
| Reserve for economic uncertainties                          | 11,862          | 19,895          | 36,953          |
| <b>3087 Unfair Competition Law Fund <sup>s</sup></b>        |                 |                 |                 |
| BEGINNING BALANCE                                           | \$5,059         | \$5,901         | \$4,483         |
| Prior year adjustments                                      | <u>95</u>       | <u>-</u>        | <u>-</u>        |
| Adjusted Beginning Balance                                  | \$5,154         | \$5,901         | \$4,483         |
| <b>REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS</b>           |                 |                 |                 |
| Revenues:                                                   |                 |                 |                 |
| 150300 Income From Surplus Money Investments                | 282             | 119             | 119             |
| 164300 Penalty Assessments                                  | <u>2,836</u>    | <u>2,000</u>    | <u>2,000</u>    |
| Total Revenues, Transfers, and Other Adjustments            | <u>\$3,118</u>  | <u>\$2,119</u>  | <u>\$2,119</u>  |
| Total Resources                                             | \$8,272         | \$8,020         | \$6,602         |
| <b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>             |                 |                 |                 |
| Expenditures:                                               |                 |                 |                 |
| 0820 Department of Justice (State Operations)               | 2,368           | 3,532           | 3,565           |
| 0840 State Controller (State Operations)                    | <u>3</u>        | <u>5</u>        | <u>3</u>        |
| Total Expenditures and Expenditure Adjustments              | <u>\$2,371</u>  | <u>\$3,537</u>  | <u>\$3,568</u>  |
| FUND BALANCE                                                | \$5,901         | \$4,483         | \$3,034         |
| Reserve for economic uncertainties                          | 5,901           | 4,483           | 3,034           |
| <b>3088 Registry of Charitable Trusts Fund <sup>s</sup></b> |                 |                 |                 |
| BEGINNING BALANCE                                           | \$623           | \$1,111         | \$1,199         |

\* Dollars in thousands, except in Salary Range.

**0820 Department of Justice - Continued**

|                                                   | 2006-07* | 2007-08* | 2008-09* |
|---------------------------------------------------|----------|----------|----------|
| Prior year adjustments                            | -1       | -        | -        |
| Adjusted Beginning Balance                        | \$622    | \$1,111  | \$1,199  |
| <b>REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS</b> |          |          |          |
| Revenues:                                         |          |          |          |
| 142500 Miscellaneous Services to the Public       | 2,984    | 2,900    | 2,900    |
| 150300 Income From Surplus Money Investments      | 58       | 36       | 36       |
| Total Revenues, Transfers, and Other Adjustments  | \$3,042  | \$2,936  | \$2,936  |
| Total Resources                                   | \$3,664  | \$4,047  | \$4,135  |
| <b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>   |          |          |          |
| Expenditures:                                     |          |          |          |
| 0820 Department of Justice (State Operations)     | 2,551    | 2,844    | 2,898    |
| 0840 State Controller (State Operations)          | 2        | 4        | 2        |
| Total Expenditures and Expenditure Adjustments    | \$2,553  | \$2,848  | \$2,900  |
| FUND BALANCE                                      | \$1,111  | \$1,199  | \$1,235  |
| Reserve for economic uncertainties                | 1,111    | 1,199    | 1,235    |

**CHANGES IN AUTHORIZED POSITIONS**

|                                                    | Positions |             |          | Expenditures        |            |            |
|----------------------------------------------------|-----------|-------------|----------|---------------------|------------|------------|
|                                                    | 2006-07   | 2007-08     | 2008-09  | 2006-07*            | 2007-08*   | 2008-09*   |
| Totals, Authorized Positions                       | 4,783.3   | 5,819.4     | 5,768.1  | \$346,710           | \$398,584  | \$399,231  |
| Salary Adjustments                                 | -         | -           | -        | -                   | 7,915      | 9,343      |
| <b>Workload and Administrative Adjustments:</b>    |           |             |          | <b>Salary Range</b> |            |            |
| Positions Established:                             |           |             |          |                     |            |            |
| Division of Legal Support and Technology:          |           |             |          |                     |            |            |
| Legal Support Services:                            |           |             |          |                     |            |            |
| Legal Secretary                                    | -         | 5.8         | -        | 2,938-3,750         | -          | -          |
| Civil Law Division:                                |           |             |          |                     |            |            |
| Health Quality Enforcement Section:                |           |             |          |                     |            |            |
| Deputy Attorney General III                        | -         | 9.0         | -        | 7,682-9,478         | -          | -          |
| <b>Totals, Workload &amp; Admin Adjustments</b>    | <b>-</b>  | <b>14.8</b> | <b>-</b> | <b>\$-</b>          | <b>\$-</b> | <b>\$-</b> |
| <b>Proposed New Positions:</b>                     |           |             |          |                     |            |            |
| Administrative Services Division:                  |           |             |          |                     |            |            |
| Temporary Help-Regular                             | -         | -           | -        | -                   | -          | 51         |
| Legal Support and Technology:                      |           |             |          |                     |            |            |
| Legal Secretary (1.0 pos exp 06/30/09)             | -         | -           | 20.1     | 2,938-3,750         | -          | 856        |
| Civil Law Division:                                |           |             |          |                     |            |            |
| Correctional Law Section:                          |           |             |          |                     |            |            |
| Deputy Attorney General III                        | -         | -           | 4.0      | 7,682-9,478         | -          | 455        |
| Legal Analyst                                      | -         | -           | 4.0      | 3,715-4,516         | -          | 204        |
| Tort and Condemnation Section:                     |           |             |          |                     |            |            |
| Deputy Attorney General III (1.6 pos exp 06/30/09) | -         | -           | 1.6      | 7,682-9,478         | -          | 165        |
| Criminal Law Division:                             |           |             |          |                     |            |            |
| Appeals Writs & Trials:                            |           |             |          |                     |            |            |
| Temporary Help-Regular                             | -         | -           | -        | -                   | -          | 300        |
| Correctional Writs & Appeals Section:              |           |             |          |                     |            |            |
| Supervising Deputy Attorney General                | -         | -           | 2.0      | 8,909-11,002        | -          | 239        |
| Deputy Attorney General III                        | -         | -           | 11.0     | 7,682-9,478         | -          | 1,133      |
| Legal Analyst                                      | -         | -           | 3.0      | 3,715-4,516         | -          | 153        |
| Crime and Violence Prevention Center:              |           |             |          |                     |            |            |
| Temporary Help-Regular                             | -         | -           | -        | -                   | -          | 30         |

\* Dollars in thousands, except in Salary Range.

**0820 Department of Justice - Continued**

|                                              | Positions      |                |                | Expenditures     |                  |                  |
|----------------------------------------------|----------------|----------------|----------------|------------------|------------------|------------------|
|                                              | 2006-07        | 2007-08        | 2008-09        | 2006-07*         | 2007-08*         | 2008-09*         |
| Public Rights Division:                      |                |                |                |                  |                  |                  |
| Natural Resources Section:                   |                |                |                |                  |                  |                  |
| Deputy Attorney General III                  | -              | -              | 4.0            | 7,682-9,478      | -                | 412              |
| Legal Analyst                                | -              | -              | 2.0            | 3,715-4,516      | -                | 102              |
| Division of Law Enforcement:                 |                |                |                |                  |                  |                  |
| Mission Support Branch:                      |                |                |                |                  |                  |                  |
| Business Services Asst - Spec                | -              | -              | 0.8            | 2,413-3,586      | -                | 25               |
| Bureau of Narcotic Enforcement:              |                |                |                |                  |                  |                  |
| Special Agent Supvr, DOJ                     | -              | -              | 4.0            | 5,925-7,335      | -                | 369              |
| Special Agent, DOJ                           | -              | -              | 20.8           | 3,740-6,674      | -                | 1,435            |
| Criminal Intelligence Spec II                | -              | -              | 4.0            | 3,311-3,980      | -                | 127              |
| Office Techn-Typing                          | -              | -              | 4.0            | 2,598-3,157      | -                | 40               |
| Overtime-Agent                               | -              | -              | -              | -                | -                | 419              |
| Bureau of Gambling Control:                  |                |                |                |                  |                  |                  |
| Special Agent Supvr, DOJ                     | -              | -              | 1.0            | 5,925-7,335      | -                | 85               |
| Special Agent, DOJ                           | -              | -              | 3.0            | 3,740-6,674      | -                | 223              |
| Field Rep, DOJ                               | -              | -              | 4.0            | 4,370-5,274      | -                | 239              |
| Staff Services Analyst-Gen                   | -              | -              | 3.0            | 2,724-4,300      | -                | 122              |
| Temporary Help-Regular                       | -              | -              | -              | -                | -                | 22               |
| Overtime-Agent                               | -              | -              | -              | -                | -                | 58               |
| Bureau of Firearms:                          |                |                |                |                  |                  |                  |
| Criminal ID Spec II                          | -              | -              | 3.0            | 3,311-3,980      | -                | 136              |
| Temporary Help-Regular                       | -              | -              | -              | -                | -                | 6                |
| California Justice Information Services:     |                |                |                |                  |                  |                  |
| Bureau of Criminal Information & Analysis:   |                |                |                |                  |                  |                  |
| Overtime-Regular                             | -              | -              | -              | -                | -                | -56              |
| Bureau of Criminal Identity and Information: |                |                |                |                  |                  |                  |
| Overtime-Regular                             | -              | -              | -              | -                | -                | -1,284           |
| <b>Totals, Proposed New Positions</b>        | <b>-</b>       | <b>-</b>       | <b>99.3</b>    | <b>\$-</b>       | <b>\$-</b>       | <b>\$6,066</b>   |
| <b>Total Adjustments</b>                     | <b>-</b>       | <b>14.8</b>    | <b>99.3</b>    | <b>\$-</b>       | <b>\$7,915</b>   | <b>\$15,409</b>  |
| <b>TOTALS, SALARIES AND WAGES</b>            | <b>4,783.3</b> | <b>5,834.2</b> | <b>5,867.4</b> | <b>\$346,710</b> | <b>\$406,499</b> | <b>\$414,640</b> |

**INFRASTRUCTURE OVERVIEW**

The Department of Justice (DOJ) has 86 facilities statewide consisting of 11 forensic laboratories, 11 legal offices, 22 storage locations, 2 air hangars and 40 other multi-functional locations totaling 1.8 million square feet.

These facilities support the constitutional office of the Attorney General who, as chief law officer of the state, has the responsibility to see that the laws of California are uniformly and adequately enforced. This responsibility is fulfilled through the diverse legal, law enforcement and criminal justice information programs of the DOJ.

**SUMMARY OF PROJECTS**

| State Building Program Expenditures       |                                      | 2006-07*          | 2007-08*          | 2008-09*   |
|-------------------------------------------|--------------------------------------|-------------------|-------------------|------------|
| <b>85</b>                                 | <b>CAPITAL OUTLAY</b>                |                   |                   |            |
|                                           | <b>Major Projects</b>                |                   |                   |            |
| <b>85.60</b>                              | <b>STATEWIDE</b>                     | <b>\$780</b>      | <b>\$567</b>      | <b>\$-</b> |
| 85.60.010                                 | Santa Barbara Replacement Laboratory | 240 <sup>Cs</sup> | -                 | -          |
| 85.60.020                                 | Santa Rosa Replacement Laboratory    | 540 <sup>Cs</sup> | 567 <sup>Cs</sup> | -          |
|                                           | <b>Totals, Major Projects</b>        | <b>\$780</b>      | <b>\$567</b>      | <b>\$-</b> |
| <b>TOTALS, EXPENDITURES, ALL PROJECTS</b> |                                      | <b>\$780</b>      | <b>\$567</b>      | <b>\$-</b> |

\* Dollars in thousands, except in Salary Range.

**0820 Department of Justice - Continued**

| <b>FUNDING</b>                          | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|-----------------------------------------|-----------------|-----------------|-----------------|
| 0660 Public Buildings Construction Fund | \$780           | \$567           | \$-             |
| <b>TOTALS, EXPENDITURES, ALL FUNDS</b>  | <b>\$780</b>    | <b>\$567</b>    | <b>\$-</b>      |

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS (Reconciliation with Appropriations)**

| <b>3 CAPITAL OUTLAY</b>                                          | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|------------------------------------------------------------------|-----------------|-----------------|-----------------|
| <b>0660 Public Buildings Construction Fund</b>                   |                 |                 |                 |
| APPROPRIATIONS                                                   |                 |                 |                 |
| Prior year balances available:                                   |                 |                 |                 |
| Item 0820-301-0660, Budget Act of 2004                           | 0               | \$-             | \$-             |
| Augmentation per Government Code Sections 16352, 16409 and 16354 | \$240           | -               | -               |
| Item 0820-301-0660, Budget Act of 2005                           | 774             | 70              | -               |
| Reversion per Government Code Sections 16351, 16351.5 and 16408  | -704            | -               | -               |
| Augmentation per Government Code Sections 16352, 16409 and 16354 | 540             | 497             | -               |
| <b>Totals Available</b>                                          | <b>\$850</b>    | <b>\$567</b>    | <b>\$-</b>      |
| Balance available in subsequent years                            | -70             | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                                      | <b>\$780</b>    | <b>\$567</b>    | <b>\$-</b>      |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay)</b>          | <b>\$780</b>    | <b>\$567</b>    | <b>\$-</b>      |

**0840 State Controller**

The State Controller is the Chief Financial Officer of the state, elected by the people. The Controller's primary objectives are to:

- Provide sound fiscal control over both receipts and disbursements of public funds.
- Report periodically on the financial operations and condition of both state and local governments.
- Ensure money due the state is collected through fair, equitable, and effective tax administration.
- Provide fiscal guidance to local governments.
- Administer the Unclaimed Property and Property Tax Postponement Programs.
- Develop and establish policy for a significant number of boards and commissions, including all major tax boards.

**3-YR EXPENDITURES AND POSITIONS (Summary of Program Requirements)**

|                                                          |                                                 | <b>Positions</b> |                 |                 | <b>Expenditures</b> |                  |                  |
|----------------------------------------------------------|-------------------------------------------------|------------------|-----------------|-----------------|---------------------|------------------|------------------|
|                                                          |                                                 | <b>2006-07</b>   | <b>2007-08</b>  | <b>2008-09</b>  | <b>2006-07*</b>     | <b>2007-08*</b>  | <b>2008-09*</b>  |
| 10                                                       | Accounting and Reporting                        | 143.8            | 145.6           | 158.9           | \$14,275            | \$14,716         | \$16,389         |
| 20                                                       | Audits                                          | 257.2            | 311.9           | 309.4           | 25,154              | 29,860           | 29,686           |
| 30                                                       | Personnel/Payroll Services                      | 278.4            | 304.8           | 296.2           | 56,463              | 61,877           | 62,454           |
| 40                                                       | Information Systems                             | 120.1            | 143.2           | 153.1           | 17,781              | 18,194           | 19,603           |
| 50                                                       | Collections                                     | 242.9            | 316.5           | 301.7           | 18,929              | 27,963           | 24,241           |
| 60                                                       | Disbursements and Support                       | 93.1             | 97.1            | 97.6            | 36,532              | 44,109           | 44,893           |
| 70.01                                                    | Distributed to Other Programs                   | -                | -               | -               | -11,441             | -10,929          | -10,929          |
| 80                                                       | Loan Repayment Programs                         | -                | -               | -               | -699                | -593             | -593             |
| <b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b> |                                                 | <b>1,135.5</b>   | <b>1,319.1</b>  | <b>1,316.9</b>  | <b>\$156,994</b>    | <b>\$185,197</b> | <b>\$185,744</b> |
| <b>FUNDING</b>                                           |                                                 | <b>2006-07*</b>  | <b>2007-08*</b> | <b>2008-09*</b> |                     |                  |                  |
| 0001                                                     | General Fund                                    | \$100,233        | \$116,877       | \$89,858        |                     |                  |                  |
| 0002                                                     | Property Acquisition Law Money Account          | 2                | 2               | 2               |                     |                  |                  |
| 0003                                                     | Motor Vehicle Parking Facilities Moneys Account | 3                | 2               | 2               |                     |                  |                  |
| 0006                                                     | Disability Access Account                       | 4                | 4               | 4               |                     |                  |                  |
| 0007                                                     | Breast Cancer Research Account                  | -                | 1               | 1               |                     |                  |                  |
| 0009                                                     | Breast Cancer Control Account                   | 6                | 5               | 4               |                     |                  |                  |

\* Dollars in thousands, except in Salary Range.

**0840 State Controller - Continued**

| <b>FUNDING</b>                                                                  | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|---------------------------------------------------------------------------------|-----------------|-----------------|-----------------|
| 0012 Attorney General Antitrust Account                                         | 1               | 2               | 1               |
| 0014 Hazardous Waste Control Account                                            | 45              | 53              | 42              |
| 0017 Fingerprint Fees Account                                                   | 67              | 118             | 59              |
| 0020 California State Law Library Special Account                               | 1               | 1               | 1               |
| 0022 State Emergency Telephone Number Account                                   | 8               | 9               | 22              |
| 0025 Leaking Underground Storage Tank Cost Recovery Fund                        | -               | 1,014           | 5               |
| 0026 State Motor Vehicle Insurance Account                                      | 17              | 18              | 15              |
| 0028 Unified Program Account                                                    | 2               | 2               | 2               |
| 0029 Nuclear Planning Assessment Special Account                                | 1               | -               | -               |
| 0032 Firearm Safety Account                                                     | -               | 1               | -               |
| 0035 Surface Mining and Reclamation Account                                     | 2               | -               | -               |
| 0041 Aeronautics Account, State Transportation Fund                             | 4               | 4               | 4               |
| 0042 State Highway Account, State Transportation Fund                           | 3,024           | 3,345           | 3,383           |
| 0044 Motor Vehicle Account, State Transportation Fund                           | 3,400           | 3,436           | 3,462           |
| 0046 Public Transportation Account, State Transportation Fund                   | 182             | 192             | 189             |
| 0054 New Motor Vehicle Board Account                                            | 3               | 4               | 3               |
| 0061 Motor Vehicle Fuel Account, Transportation Tax Fund                        | 3,683           | 4,074           | 4,095           |
| 0062 Highway Users Tax Account, Transportation Tax Fund                         | 1,345           | 1,457           | 1,448           |
| 0064 Motor Vehicle License Fee Account, Transportation Tax Fund                 | 543             | 550             | 571             |
| 0066 Sale of Tobacco to Minors Control Account                                  | 2               | 2               | 1               |
| 0067 State Corporations Fund                                                    | 26              | 37              | 26              |
| 0069 State Board of Barbering and Cosmetology Fund                              | 8               | 12              | 12              |
| 0070 Occupational Lead Poisoning Prevention Account                             | 4               | 5               | 4               |
| 0074 Medical Waste Management Fund                                              | 1               | 2               | 1               |
| 0075 Radiation Control Fund                                                     | 13              | 12              | 10              |
| 0076 Tissue Bank License Fund                                                   | -               | 1               | -               |
| 0078 Graphic Design License Plate Account                                       | 1               | 1               | 1               |
| 0080 Childhood Lead Poisoning Prevention Fund                                   | 8               | 7               | 7               |
| 0082 Export Document Program Fund                                               | -               | 1               | -               |
| 0096 Cal-OSHA Targeted Inspection and Consultation Fund                         | 14              | 23              | 16              |
| 0098 Clinical Laboratory Improvement Fund                                       | 3               | 3               | 2               |
| 0099 Health Statistics Special Fund                                             | 11              | 13              | 11              |
| 0100 California Used Oil Recycling Fund                                         | 3               | 3               | 4               |
| 0102 State Fire Marshal Licensing and Certification Fund                        | 5               | 6               | 7               |
| 0106 Department of Pesticide Regulation Fund                                    | 32              | 35              | 34              |
| 0108 Acupuncture Fund                                                           | 1               | 2               | 2               |
| 0111 Department of Agriculture Account, Department of Food and Agriculture Fund | 137             | 95              | 50              |
| 0115 Air Pollution Control Fund                                                 | 54              | 45              | 64              |
| 0116 Wine Safety Fund                                                           | -               | 1               | -               |
| 0117 Alcoholic Beverage Control Appeals Fund                                    | 1               | 1               | 1               |
| 0121 Hospital Building Fund                                                     | 30              | 23              | 25              |
| 0129 Water Device Certification Special Account                                 | -               | 1               | -               |
| 0132 Workers' Compensation Managed Care Fund                                    | -               | 1               | -               |
| 0133 California Beverage Container Recycling Fund                               | 58              | 60              | 61              |
| 0139 Driving Under-the-Influence Program Licensing Trust Fund                   | 2               | 2               | 1               |
| 0140 California Environmental License Plate Fund                                | 41              | 61              | 40              |
| 0142 Department of Justice Sexual Habitual Offender Fund                        | 3               | 4               | 2               |
| 0143 California Health Data and Planning Fund                                   | -               | 11              | 11              |
| 0152 State Board of Chiropractic Examiners Fund                                 | 2               | 2               | 2               |

\* Dollars in thousands, except in Salary Range.

**0840 State Controller - Continued**

| <b>FUNDING</b>                                                                                   | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|--------------------------------------------------------------------------------------------------|-----------------|-----------------|-----------------|
| 0158 Travel Seller Fund                                                                          | 1               | 2               | 1               |
| 0166 Certification Account, Consumer Affairs Fund                                                | 1               | 1               | 1               |
| 0169 California Debt Limit Allocation Committee Fund                                             | 1               | 1               | 1               |
| 0170 Corrections Training Fund                                                                   | 35              | 6               | 45              |
| 0171 California Debt and Investment Advisory Commission Fund                                     | 1               | 1               | 1               |
| 0177 Food Safety Fund                                                                            | 3               | 4               | 3               |
| 0178 Driver Training Penalty Assessment Fund                                                     | 1               | 1               | 1               |
| 0179 Environmental Laboratory Improvement Fund                                                   | 2               | 2               | 1               |
| 0181 Registered Nurse Education Fund                                                             | 1               | 1               | 1               |
| 0184 Employment Development Department Benefit Audit Fund                                        | 36              | -               | -               |
| 0185 Employment Development Department Contingent Fund                                           | 212             | -               | -               |
| 0191 Fair and Exposition Fund                                                                    | 11              | 10              | 8               |
| 0192 Satellite Wagering Account                                                                  | 1               | 1               | -               |
| 0193 Waste Discharge Permit Fund                                                                 | 16              | 18              | 20              |
| 0194 Emergency Medical Services Training Program Approval Fund                                   | 1               | 1               | -               |
| 0195 Conservatorship Registry Fund                                                               | -               | 1               | -               |
| 0198 California Fire and Arson Training Fund                                                     | 4               | 4               | 5               |
| 0200 Fish and Game Preservation Fund                                                             | 93              | 91              | 72              |
| 0203 Genetic Disease Testing Fund                                                                | 53              | 59              | 52              |
| 0205 Geology and Geophysics Fund                                                                 | 1               | 1               | 1               |
| 0207 Fish and Wildlife Pollution Account                                                         | 3               | 2               | 2               |
| 0208 Hearing Aid Dispensers Fund                                                                 | 1               | 1               | 1               |
| 0209 California Hazardous Liquid Pipeline Safety Fund                                            | 7               | 7               | 8               |
| 0212 Marine Invasive Species Control Fund                                                        | 3               | 3               | 4               |
| 0214 Restitution Fund                                                                            | 33              | 34              | 31              |
| 0217 Insurance Fund                                                                              | 2               | 1               | 151             |
| 0223 Workers' Compensation Administration Revolving Fund                                         | 138             | 251             | 152             |
| 0226 California Tire Recycling Management Fund                                                   | 7               | 6               | -               |
| 0228 Secretary of State's Business Fees Fund                                                     | 6               | 54              | 31              |
| 0231 Health Education Account, Cigarette and Tobacco Products Surtax Fund                        | 5               | 5               | 4               |
| 0234 Research Account, Cigarette and Tobacco Products Surtax Fund                                | 4               | 4               | 3               |
| 0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund                        | 34              | 98              | 24              |
| 0236 Unallocated Account, Cigarette and Tobacco Products Surtax Fund                             | 4               | -               | -               |
| 0239 Private Security Services Fund                                                              | 8               | 12              | 9               |
| 0242 Court Collection Account                                                                    | 9               | 11              | 10              |
| 0243 Narcotic Treatment Program Licensing Trust Fund                                             | 1               | 1               | 1               |
| 0245 Mobilehome Park Revolving Fund                                                              | 5               | 6               | 6               |
| 0247 Drinking Water Operator Certification Special Account                                       | 1               | 1               | 1               |
| 0256 Sexual Predator Public Information Account                                                  | 1               | -               | -               |
| 0260 Nursing Home Administrator's State License Examining Fund                                   | -               | 1               | -               |
| 0262 Habitat Conservation Fund                                                                   | 1               | 1               | 1               |
| 0263 Off-Highway Vehicle Trust Fund                                                              | 238             | 366             | 72              |
| 0264 Osteopathic Medical Board of California Contingent Fund                                     | 1               | 1               | 1               |
| 0267 Exposition Park Improvement Fund                                                            | 5               | 5               | 5               |
| 0268 Peace Officers' Training Fund                                                               | 1               | -               | -               |
| 0272 Infant Botulism Treatment and Prevention Fund                                               | 1               | 2               | 1               |
| 0280 Physician Assistant Fund                                                                    | 1               | 1               | 1               |
| 0281 Recycling Market Development Revolving Loan Subaccount, Integrated Waste Management Account | 1               | 1               | 1               |

\* Dollars in thousands, except in Salary Range.

**0840 State Controller - Continued**

| <b>FUNDING</b>                                                                                     | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|----------------------------------------------------------------------------------------------------|-----------------|-----------------|-----------------|
| 0293 Motor Carriers Safety Improvement Fund                                                        | 3               | 3               | 4               |
| 0295 Board of Podiatric Medicine Fund                                                              | 1               | 1               | 1               |
| 0298 Financial Institutions Fund                                                                   | 18              | 19              | 19              |
| 0299 Credit Union Fund                                                                             | 4               | 4               | 4               |
| 0300 Professional Forester Registration Fund                                                       | 1               | 1               | 1               |
| 0305 Private Postsecondary and Vocational Education Administration Fund                            | 7               | -               | 4               |
| 0306 Safe Drinking Water Account                                                                   | 6               | 6               | 5               |
| 0309 Perinatal Insurance Fund                                                                      | 1               | 1               | -               |
| 0310 Psychology Fund                                                                               | 2               | 2               | 2               |
| 0312 Emergency Medical Services Personnel Fund                                                     | 3               | 4               | 1               |
| 0313 Major Risk Medical Insurance Fund                                                             | 1               | 1               | 1               |
| 0317 Real Estate Fund                                                                              | 35              | 41              | 42              |
| 0318 Collins-Dugan California Conservation Corps Reimbursement Account                             | 122             | 121             | 79              |
| 0319 Respiratory Care Fund                                                                         | 2               | 2               | 2               |
| 0320 Oil Spill Prevention and Administration Fund                                                  | 31              | 31              | 31              |
| 0325 Electronic and Appliance Repair Fund                                                          | 2               | 3               | 2               |
| 0326 Athletic Commission Fund                                                                      | -               | 2               | 1               |
| 0328 Public School Planning, Design, and Construction Review Revolving Fund                        | 19              | 19              | 18              |
| 0330 Local Revenue Fund                                                                            | 639             | 708             | 688             |
| 0335 Registered Environmental Health Specialist Fund                                               | -               | 1               | -               |
| 0338 Strong-Motion Instrumentation and Seismic Hazards Mapping Fund                                | 1               | 1               | 1               |
| 0347 School Land Bank Fund                                                                         | -               | 2               | -               |
| 0371 California Beach and Coastal Enhancement Account, California Environmental License Plate Fund | 1               | 1               | 1               |
| 0376 Speech-Language Pathology and Audiology Fund                                                  | -               | 1               | 1               |
| 0378 False Claims Act Fund                                                                         | 12              | 21              | 11              |
| 0380 State Dental Auxiliary Fund                                                                   | 1               | 2               | 2               |
| 0381 Public Interest Research, Development, and Demonstration Fund                                 | 12              | 12              | 10              |
| 0382 Renewable Resource Trust Fund                                                                 | 24              | 28              | 30              |
| 0386 Solid Waste Disposal Site Cleanup Trust Fund                                                  | 1               | 2               | 2               |
| 0387 Integrated Waste Management Account, Integrated Waste Management Fund                         | 14              | 16              | 18              |
| 0392 State Parks and Recreation Fund                                                               | 238             | -               | -               |
| 0396 Self-Insurance Plans Fund                                                                     | -               | 5               | 3               |
| 0400 Real Estate Appraisers Regulation Fund                                                        | 3               | 3               | 3               |
| 0407 Teacher Credentials Fund                                                                      | 12              | 12              | 18              |
| 0408 Test Development and Administration Account, Teacher Credentials Fund                         | 4               | 4               | 5               |
| 0421 Vehicle Inspection and Repair Fund                                                            | 127             | 131             | 105             |
| 0425 Victim - Witness Assistance Fund                                                              | 1               | 1               | -               |
| 0439 Underground Storage Tank Cleanup Fund                                                         | 76              | 86              | 94              |
| 0447 Wildlife Restoration Fund                                                                     | 1               | 1               | 1               |
| 0448 Occupancy Compliance Monitoring Account, Tax Credit Allocation Fee Account                    | 1               | 1               | 1               |
| 0452 Elevator Safety Account                                                                       | 12              | 2               | -               |
| 0453 Pressure Vessel Account                                                                       | 3               | -               | -               |
| 0457 Tax Credit Allocation Fee Account                                                             | 1               | 1               | 1               |
| 0460 Dealers' Record of Sale Special Account                                                       | 9               | 14              | 8               |
| 0461 Public Utilities Commission Transportation Reimbursement Account                              | 1               | 1               | 1               |
| 0462 Public Utilities Commission Utilities Reimbursement Account                                   | 6               | 10              | 8               |
| 0464 California High-Cost Fund-A Administrative Committee Fund                                     | 3               | 6               | 5               |
| 0465 Energy Resources Programs Account                                                             | 12              | 12              | 11              |

\* Dollars in thousands, except in Salary Range.

**0840 State Controller - Continued**

| <b>FUNDING</b>                                                                  | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|---------------------------------------------------------------------------------|-----------------|-----------------|-----------------|
| 0470 California High-Cost Fund-B Administrative Committee Fund                  | 32              | 43              | 35              |
| 0471 Universal Lifeline Telephone Service Trust Administrative Committee Fund   | 20              | 29              | 23              |
| 0478 Vectorborne Disease Account                                                | -               | 1               | -               |
| 0483 Deaf and Disabled Telecommunications Program Administrative Committee Fund | 5               | -               | -               |
| 0493 California Teleconnect Fund Administrative Committee Fund                  | 1               | 4               | 2               |
| 0494 Other Unallocated Special Funds                                            | 111             | 108             | 81              |
| 0501 California Housing Finance Fund                                            | 25              | 30              | 29              |
| 0502 California Water Resources Development Bond Fund                           | 221             | 235             | 278             |
| 0506 Central Valley Water Project Construction Fund                             | 58              | -               | -               |
| 0507 Central Valley Water Project Revenue Fund                                  | -               | 108             | 121             |
| 0512 Compensation Insurance Fund                                                | 1,215           | 1,281           | 1,037           |
| 0514 Employment Training Fund                                                   | 67              | -               | 168             |
| 0516 Harbors and Watercraft Revolving Fund                                      | 21              | 22              | 16              |
| 0518 Health Facility Construction Loan Insurance Fund                           | 5               | 3               | 3               |
| 0530 Mobilehome Park Purchase Fund                                              | 1               | 1               | 1               |
| 0557 Toxic Substances Control Account                                           | 39              | 38              | 39              |
| 0565 State Coastal Conservancy Fund                                             | 5               | 6               | 5               |
| 0566 Department of Justice Child Abuse Fund                                     | -               | 1               | -               |
| 0567 Gambling Control Fund                                                      | 11              | 17              | 12              |
| 0572 Stringfellow Insurance Proceeds Account                                    | -               | -               | 1               |
| 0582 High Polluter Repair or Removal Account                                    | 47              | 13              | 56              |
| 0587 Family Law Trust Fund                                                      | 1               | 1               | 2               |
| 0588 Unemployment Compensation Disability Fund                                  | 601             | 1,037           | 700             |
| 0602 Architecture Revolving Fund                                                | 23              | 23              | 21              |
| 0622 Drinking Water Treatment and Research Fund                                 | -               | 1               | -               |
| 0638 Administration Account, California Children and Families Trust Fund        | 4               | 4               | 5               |
| 0642 Domestic Violence Training and Education Fund                              | 1               | 1               | -               |
| 0648 Mobilehome-Manufactured Home Revolving Fund                                | 18              | 20              | 21              |
| 0666 Service Revolving Fund                                                     | 415             | 447             | 434             |
| 0687 Donated Food Revolving Fund                                                | 7               | 7               | 7               |
| 0704 Accountancy Fund, Professions and Vocations Fund                           | 6               | 7               | 8               |
| 0706 California Architects Board Fund                                           | 2               | 2               | 2               |
| 0717 Cemetery Fund, Professions and Vocations Fund                              | 2               | 3               | 2               |
| 0735 Contractors' License Fund                                                  | 30              | 39              | 40              |
| 0741 State Dentistry Fund                                                       | 5               | 6               | 7               |
| 0750 State Funeral Directors and Embalmers Fund, Professions and Vocations Fund | 2               | 2               | 2               |
| 0752 Bureau of Home Furnishings and Thermal Insulation Fund                     | 4               | 5               | 4               |
| 0757 California Board of Architectural Examiners - Landscape Architects Fund    | 1               | 1               | 1               |
| 0758 Contingent Fund of the Medical Board of California                         | 25              | 37              | 36              |
| 0759 Physical Therapy Fund                                                      | 1               | 2               | 2               |
| 0761 Board of Registered Nursing Fund, Professions and Vocations Fund           | 11              | 16              | 16              |
| 0763 State Optometry Fund, Professions and Vocations Fund                       | 1               | 1               | 1               |
| 0767 Pharmacy Board Contingent Fund, Professions and Vocations Fund             | 5               | 6               | 7               |
| 0769 Private Investigator Fund                                                  | 1               | 1               | 1               |
| 0770 Professional Engineers' and Land Surveyors' Fund                           | 5               | 6               | 6               |
| 0771 Court Reporters Fund                                                       | -               | 1               | 1               |
| 0773 Behavioral Science Examiners Fund, Professions and Vocations Fund          | 3               | 4               | 4               |
| 0775 Structural Pest Control Fund, Professions and Vocations Fund               | 2               | 3               | 3               |
| 0777 Veterinary Medical Board Contingent Fund                                   | 1               | 2               | 2               |

\* Dollars in thousands, except in Salary Range.

**0840 State Controller - Continued**

| <b>FUNDING</b>                                                                                    | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|---------------------------------------------------------------------------------------------------|-----------------|-----------------|-----------------|
| 0779 Vocational Nursing & Psychiatric Technicians Fund                                            | 3               | 4               | 5               |
| 0780 Psychiatric Technicians Account, Vocational Nursing and Psychiatric Technicians Fund         | 1               | 1               | 1               |
| 0784 Student Loan Operating Fund                                                                  | 20              | 19              | -               |
| 0797 Unallocated Bonds Funds - Select                                                             | 429             | 410             | 478             |
| 0813 Self - Help Housing Fund                                                                     | 2               | 2               | 2               |
| 0815 Judges' Retirement Fund                                                                      | 1               | 1               | 1               |
| 0822 Public Employees' Health Care Fund (PEHCF)                                                   | 4               | 7               | 211             |
| 0823 California Alzheimer's Disease and Related Disorders Research Fund                           | 1               | 1               | -               |
| 0829 Health Professions Education Fund                                                            | 1               | 1               | 1               |
| 0830 Public Employees' Retirement Fund                                                            | 167             | 185             | 189             |
| 0831 California State Lottery Education Fund California Youth Authority                           | 4               | 1               | 5               |
| 0835 Teachers' Retirement Fund                                                                    | 72              | -               | 79              |
| 0877 DMV Local Agency Collection Fund                                                             | 2               | 2               | 2               |
| 0890 Federal Trust Fund                                                                           | 700             | 1,414           | 1,410           |
| 0903 State Penalty Fund                                                                           | 1,192           | 1,311           | 1,317           |
| 0904 California Health Facilities Financing Authority Fund                                        | 1               | 1               | 2               |
| 0908 School Employees Fund                                                                        | 3               | 4               | 3               |
| 0915 Deferred Compensation Plan Fund                                                              | 4               | 6               | 7               |
| 0916 California Housing Loan Insurance Fund                                                       | 2               | 1               | 1               |
| 0917 Inmate Welfare Fund                                                                          | -               | 146             | -               |
| 0925 California Community Colleges Business Resource Assistance and Innovation Network Trust Fund | 2               | -               | -               |
| 0927 Joe Serna, Jr. Farmworker Housing Grant Fund                                                 | 2               | 2               | 2               |
| 0928 Forest Resources Improvement Fund                                                            | 12              | 19              | -               |
| 0929 Housing Rehabilitation Loan Fund                                                             | 9               | 11              | 10              |
| 0932 Trial Court Trust Fund                                                                       | 152             | 169             | 164             |
| 0933 Managed Care Fund                                                                            | 28              | 42              | 32              |
| 0938 Rental Housing Construction Fund                                                             | 2               | 1               | 1               |
| 0950 Public Employees Contingency Reserve Fund                                                    | 13              | 17              | 19              |
| 0965 Timber Tax Fund                                                                              | 8               | 10              | 11              |
| 0969 Public Safety Account, Local Public Safety Fund                                              | 221             | 259             | 253             |
| 0970 Unclaimed Property Fund                                                                      | -               | -               | 26,103          |
| 0985 Emergency Housing and Assistance Fund                                                        | -               | -               | 2               |
| 0988 Various Other Unallocated Non-Governmental Cost Funds                                        | 328             | 341             | 310             |
| 0995 Reimbursements                                                                               | 33,617          | 41,424          | 44,846          |
| 1008 Firearms Safety and Enforcement Special Fund                                                 | 3               | 5               | 3               |
| 3002 Electrician Certification Fund                                                               | 2               | 4               | 3               |
| 3003 Permanent Amusement Ride Safety Inspection Fund                                              | 1               | -               | -               |
| 3004 Garment Industry Regulations Fund                                                            | 3               | 5               | 3               |
| 3007 Traffic Congestion Relief Fund                                                               | 129             | 38              | 41              |
| 3010 Pierce's Disease Management Account                                                          | 17              | 33              | -               |
| 3015 Gas Consumption Surcharge Fund                                                               | 22              | 30              | 23              |
| 3016 Missing Persons DNA Data Base Fund                                                           | 3               | 7               | 4               |
| 3017 Occupational Therapy Fund                                                                    | -               | 1               | 1               |
| 3018 Drug and Device Safety Fund                                                                  | 2               | 3               | 2               |
| 3022 Apprenticeship Training Contribution Fund                                                    | 4               | 7               | 6               |
| 3030 Workers' Occupational Safety and Health Education Fund                                       | 1               | 2               | 1               |
| 3034 Antiterrorism Fund                                                                           | -               | 1               | -               |
| 3036 Alcohol Beverages Control Fund                                                               | 64              | 73              | 74              |

\* Dollars in thousands, except in Salary Range.

**0840 State Controller - Continued**

| <b>FUNDING</b>                                                                             | <b>2006-07*</b>  | <b>2007-08*</b>  | <b>2008-09*</b>  |
|--------------------------------------------------------------------------------------------|------------------|------------------|------------------|
| 3037 State Court Facilities Construction Fund                                              | 16               | 17               | 23               |
| 3046 Oil, Gas, and Geothermal Administrative Fund                                          | 1                | 1                | 1                |
| 3053 Public Rights Law Enforcement Special Fund                                            | 5                | -                | 5                |
| 3056 Safe Drinking Water and Toxic Enforcement Fund                                        | -                | -                | 1                |
| 3057 Dam Safety Fund                                                                       | 9                | 4                | 4                |
| 3058 Water Rights Fund                                                                     | 4                | -                | -                |
| 3061 Ratepayer Relief Fund                                                                 | 13               | 20               | 6                |
| 3065 Electronic Waste Recovery and Recycling Account, Integrated Waste Management Fund     | 36               | 42               | 45               |
| 3067 Cigarette and Tobacco Products Compliance Fund                                        | 31               | 29               | 5                |
| 3070 Nontoxic Dry Cleaning Incentive Trust Fund                                            | 1                | 1                | 1                |
| 3074 Medical Marijuana Program Fund                                                        | 1                | -                | -                |
| 3080 AIDS Drug Assistance Program Rebate Fund                                              | 1                | 1                | 1                |
| 3081 Cannery Inspection Fund                                                               | 1                | 2                | 1                |
| 3084 State Certified Unified Program Account                                               | 1                | 1                | 1                |
| 3085 Mental Health Services Fund                                                           | 43               | 49               | 42               |
| 3087 Unfair Competition Law Fund                                                           | 3                | 5                | 3                |
| 3088 Registry of Charitable Trusts Fund                                                    | 2                | 4                | 2                |
| 3089 Public Utilities Commission Ratepayer Advocate Account                                | 1                | 2                | 2                |
| 3099 Licensing and Certification Fund, Mental Health                                       | -                | 1                | -                |
| 3103 Hatchery and Inland Fisheries Fund                                                    | -                | 16               | 13               |
| 3108 Professional Fiduciary Fund                                                           | -                | -                | 1                |
| 3109 Natural Gas Subaccount, Public Interest Research, Development, and Demonstration Fund | -                | -                | 2                |
| 3113 Residential and Outpatient Program Licensing Fund                                     | -                | -                | 1                |
| 3114 Birth Defects Monitoring Fund                                                         | -                | 3                | 2                |
| 6044 2004 State School Facilities Fund                                                     | 805              | 958              | -                |
| 6057 2006 State School Facilities Fund                                                     | -                | -                | 968              |
| 6801 Transportation Financing Subaccount, State Highway Account, State Transportation Fund | 16               | 20               | 13               |
| 8001 Teachers' Health Benefits Fund                                                        | -                | 76               | -                |
| 8018 Salton Sea Restoration Fund                                                           | 2                | 3                | 2                |
| 8025 California Prostate Cancer Research Fund                                              | -                | 1                | -                |
| 8034 Medically Underserved Account for Physicians, Health Professions Education Fund       | -                | 1                | 1                |
| 9730 Department of Technology Services Revolving Fund                                      | 95               | 82               | 85               |
| 9731 Legal Services Revolving Fund                                                         | 88               | 143              | 96               |
| 9736 Transit-Oriented Development Implementation Fund                                      | -                | -                | 1                |
| <b>TOTALS, EXPENDITURES, ALL FUNDS</b>                                                     | <b>\$156,994</b> | <b>\$185,197</b> | <b>\$185,744</b> |

**LEGAL CITATIONS AND AUTHORITY****DEPARTMENT AUTHORITY**

Constitution, Article V, Section 11.

**PROGRAM AUTHORITY**

10-Accounting and Reporting:

Constitution, Article XVI, Section 7 and Government Code Section 12400 et seq.

20-Audits:

\* Dollars in thousands, except in Salary Range.

## 0840 State Controller - Continued

Constitution, Article XVI, Section 7 and Government Code Section 12400 et seq.

30-Personnel/Payroll Services:

Constitution, Article XVI, Section 7 and Government Code Section 12400 et seq., and Government Code Section 19822.3

40-Information Systems:

Constitution, Article XVI, Section 7 and Government Code Section 12400 et seq.

50-Collections:

Constitution, Article XVI, Section 7 and Government Code Section 12400 et seq., Code of Civil Procedure Part 3, Title 10. Revenue and Taxation Code, Division 2, Parts 2, 7, 8, 9.5, and 10.5. Public Resources Code, Division 3, Chapter 1, Articles 5.5 to 7.

60-Administration and Disbursements:

Constitution, Article XVI, Section 7 and Government Code Section 12400 et seq. Membership by State Controller on boards and commissions, principally: State Board of Equalization-Constitution, Articles VII, XIX, and XIII. State Board of Control-Government Code Section 13901. Franchise Tax Board-Government Code Section 15700. Pooled Money Investment Board-Government Code Section 16480.1. State Teachers' Retirement Board-Education Code Section 13851. Various bond and finance committees-Education Code Section 19510; Military and Veterans Code Section 991; Chapter 765/27, Chapter 23/63, 1st Extraordinary Session; Water Code Section 12933; Harbors and Navigation Code Sections 3902-3; and Government Code Section 17220. California Exposition and Fair Executive Committee-Food and Agricultural Code Section 72.1. State Lands Commission-Public Resources Code Section 6101. Reapportionment Commission-Constitution, Articles IV and VI. Reciprocity Commission-Vehicle Code Section 2600. Interagency Council for Ocean Resources-Government Code Sections 8810-11. Intergovernmental Council on Urban Growth-Government Code Section 34200.

### MAJOR PROGRAM CHANGES

- Human Resources Management System (aka 21st Century Project) - The Governor's Budget proposes to add \$38.3 million (\$21.9 million General Fund, \$13.5 million in special funds, and \$2.9 million in reimbursements) and 67.0 one-year limited term positions in 2008-09 to continue activities associated with the replacement of the existing employment history, payroll, leave accounting, and position control systems.

### BUDGET-BALANCING REDUCTIONS

- A reduction of \$9.0 million in 2008-09 for the State Controller's Office. This is an unallocated reduction and the implications will not be known until the Controller allocates the reduction to programs. The Administration expects the reduction will be allocated in a manner that minimizes any adverse impact on program, services, or General Fund revenues.

### DETAILED BUDGET ADJUSTMENTS

|                                                                                    | 2007-08*       |                |            | 2008-09*         |                 |              |
|------------------------------------------------------------------------------------|----------------|----------------|------------|------------------|-----------------|--------------|
|                                                                                    | General Fund   | Other Funds    | Positions  | General Fund     | Other Funds     | Positions    |
| <b>Baseline Adjustment Descriptions</b>                                            |                |                |            |                  |                 |              |
| • Special Fund Shift for Unclaimed Property Program                                | \$-            | \$-            | -          | -\$26,103        | \$26,103        | -            |
| • Human Resources Management System - 21st Century Project                         | -              | -              | -          | 21,864           | 16,446          | 67.0         |
| • Back Out One-Year Limited Term Funding for the Human Resources Management System | -              | -              | -          | -20,657          | -18,079         | -77.5        |
| • Human Resources Management System Federal Fund Repayment                         | -              | -              | -          | 969              | -               | -            |
| • Local Government E-Claims System                                                 | -              | -              | -          | 444              | -               | 4.3          |
| • GASB Statement No. 45 (OPEB)                                                     | -              | -              | -          | -                | 399             | 1.9          |
| • Bond and PMIA Loan Program                                                       | -              | -              | -          | -                | 277             | 2.8          |
| • California Child Support Automation System                                       | -              | -              | -          | -                | 201             | 1.8          |
| • Remittance Processing Workload                                                   | -              | -              | -          | -                | 93              | 0.9          |
| • Price Increase                                                                   | -              | -              | -          | 745              | 365             | -            |
| • Other Baseline Adjustments                                                       | 1,970          | 1,240          | 6.7        | -2,311           | -653            | -28.1        |
| <b>Totals, Baseline Adjustments</b>                                                | <b>\$1,970</b> | <b>\$1,240</b> | <b>6.7</b> | <b>-\$25,049</b> | <b>\$25,152</b> | <b>-26.9</b> |
| <b>Policy Adjustment Descriptions</b>                                              |                |                |            |                  |                 |              |

\* Dollars in thousands, except in Salary Range.

**0840 State Controller - Continued**

|                                                         | 2007-08*       |                |             | 2008-09*         |                 |             |
|---------------------------------------------------------|----------------|----------------|-------------|------------------|-----------------|-------------|
|                                                         | General Fund   | Other Funds    | Positions   | General Fund     | Other Funds     | Positions   |
| • Financial Information System for California (FI\$Cal) | \$-            | \$-            | -           | \$-              | \$3,340         | 28.5        |
| • Proposition 1B Audits                                 | -              | 1,484          | 11.3        | -                | 1,876           | 14.2        |
| • California State University Audits                    | -              | 500            | 3.8         | -                | 422             | 3.8         |
| <b>Totals, Policy Adjustments</b>                       | <b>\$-</b>     | <b>\$1,984</b> | <b>15.1</b> | <b>\$-</b>       | <b>\$5,638</b>  | <b>46.5</b> |
| <b>TOTALS, BUDGET ADJUSTMENTS</b>                       | <b>\$1,970</b> | <b>\$3,224</b> | <b>21.8</b> | <b>-\$25,049</b> | <b>\$30,790</b> | <b>19.6</b> |
| <b>Other Adjustments <sup>11</sup></b>                  |                |                |             |                  |                 |             |
| • Budget-Balancing Reductions                           | -              | -              | -           | -8,986           | -               | -           |
| <b>REVISED TOTALS, BUDGET ADJUSTMENTS</b>               | <b>\$1,970</b> | <b>\$3,224</b> | <b>21.8</b> | <b>-\$34,035</b> | <b>\$30,790</b> | <b>19.6</b> |

<sup>11</sup> These dollars and PYs are included in the General Government agency, therefore not included in the other fiscal statements for this department. These totals are also not included in the applicable Summary Schedules for this department.

**PROGRAM DESCRIPTIONS (Program Objectives Statement)****10 - ACCOUNTING AND REPORTING**

The Accounting and Reporting Division maintains uniform and systematic control accounts of all receipts, payments, state fund balances, and bonded indebtedness; reports the financial condition of the state; maintains a database of information and reports on local financial transactions; apportions shared revenues to local governments; administers local mandated cost programs; monitors the cash flow of the General Fund; and prescribes uniform accounting procedures for counties and special districts.

**20 - AUDITS**

The Audits Division determines the legality and accuracy of all claims against the state through the performance of prepayment audits; assures the accuracy of local government claims and financial statements submitted to the state and federal governments by establishing and updating audit guidelines, reviewing audits performed by independent local auditors, and performing field audits for a variety of state and federal programs; and audits major businesses for compliance with the Unclaimed Property Law.

**30 - PERSONNEL/PAYROLL SERVICES**

The Personnel/Payroll Services Division administers the state's payroll, employment history and leave accounting processes in a manner that provides accurate, timely and efficient processing for the users of these systems. The Division pays state employees within the rules of the Uniform State Payroll process; maintains the state's official employment history and leave accounting processes in conformance with the appropriate laws, rules and memorandums of understanding and policies of the participating salary setting authorities; maintains the state's position roster and provides a wide variety of management and user information. The Division works with other entities, e.g., Department of Personnel Administration, Department of Finance, California State University Chancellor's Office, to maximize the efficiency of the state's personnel and payroll functions, while continuing to maintain the highest level and quality of customer service.

The 21st Century Project is a newly created initiative that will result in an integrated Human Resources Management System/Payroll system to replace the state's existing payroll, employment, position management and leave accounting systems and to develop a statewide time and attendance reporting capability. Additionally, the new system will provide significant ad-hoc report capabilities to a broad spectrum of control agency and departmental staff, employee self-service functionality to reduce work for departmental human resources offices and an environment based on electronic workflow.

The Division also administers and maintains the California Automated Statewide Travel Expense Reimbursement System (CalATERS) for managing and processing travel claim advance and expense reimbursement payments. Per Government Code Section 19822.3, unless exempted, all departments are required to use CalATERS starting July 1, 2009.

**40 - INFORMATION SYSTEMS**

The Information Systems Division develops, maintains, and operates all of the department's mainframe, client-server and web-based systems; and oversees the planning, procurement, use, and maintenance of information technology hardware and software.

**50 - COLLECTIONS**

The Collections Division administers the Unclaimed Property Law by receiving unclaimed property from banks, savings and loans, and other business firms and restoring property to owners; administers and collects estate and inheritance taxes; collects delinquent insurance and motor vehicle taxes and petroleum and gas assessments; services and collects loans formerly serviced by the Technology, Trade and Commerce Agency (abolished January 1, 2004); refunds gasoline taxes paid on fuel consumed for off-highway purposes; instructs and advises county tax collectors; administers the Property Tax Postponement Program for senior and disabled citizens; administers the statewide discharge from accountability program in conjunction with the California Victim Compensation and Government Claims Board; and administers the Interagency

\* Dollars in thousands, except in Salary Range.

## 0840 State Controller - Continued

Intercept Collections Program for State and Local agencies requesting to participate in the intercept of tax refunds or state lottery prizes.

### 60 - ADMINISTRATION AND DISBURSEMENTS

The Executive Office and the Administration and Disbursements Division provide executive direction and support services to programs in the State Controller's Office. The primary responsibilities include the establishment and maintenance of communications between the department and the public, the news media, budgeting, personnel, accounting information systems, and business services. Disbursements' primary responsibility is to produce and mail or deliver all payments of the state's obligations, including personal income tax refunds, payroll, and retirement payments.

#### DETAILED EXPENDITURES BY PROGRAM (Program Budget Detail)

|                             |                                                            | 2006-07*        | 2007-08*        | 2008-09*        |
|-----------------------------|------------------------------------------------------------|-----------------|-----------------|-----------------|
| <b>PROGRAM REQUIREMENTS</b> |                                                            |                 |                 |                 |
| <b>10</b>                   | <b>ACCOUNTING AND REPORTING</b>                            |                 |                 |                 |
|                             | <b>State Operations:</b>                                   |                 |                 |                 |
| 0001                        | General Fund                                               | \$10,025        | \$10,187        | \$10,329        |
| 0046                        | Public Transportation Account, State Transportation Fund   | 10              | 9               | 9               |
| 0062                        | Highway Users Tax Account, Transportation Tax Fund         | 362             | 245             | 236             |
| 0064                        | Motor Vehicle License Fee Account, Transportation Tax Fund | 21              | 9               | 8               |
| 0330                        | Local Revenue Fund                                         | 632             | 636             | 621             |
| 0494                        | Other Unallocated Special Funds                            | 111             | 107             | 73              |
| 0797                        | Unallocated Bonds Funds - Select                           | 429             | 410             | 478             |
| 0822                        | Public Employees' Health Care Fund (FEHCF)                 | -               | -               | 199             |
| 0877                        | DMV Local Agency Collection Fund                           | 2               | 1               | 1               |
| 0903                        | State Penalty Fund                                         | 231             | 260             | 256             |
| 0932                        | Trial Court Trust Fund                                     | 152             | 79              | 74              |
| 0965                        | Timber Tax Fund                                            | 1               | -               | -               |
| 0969                        | Public Safety Account, Local Public Safety Fund            | 221             | 133             | 127             |
| 0988                        | Various Other Unallocated Non-Governmental Cost Funds      | 250             | 257             | 226             |
| 0995                        | Reimbursements                                             | 1,291           | 1,798           | 3,161           |
| 6044                        | 2004 State School Facilities Fund                          | 537             | 585             | -               |
| 6057                        | 2006 State School Facilities Fund                          | -               | -               | 591             |
|                             | <b>Totals, State Operations</b>                            | <b>\$14,275</b> | <b>\$14,716</b> | <b>\$16,389</b> |
| <b>PROGRAM REQUIREMENTS</b> |                                                            |                 |                 |                 |
| <b>20</b>                   | <b>AUDITS</b>                                              |                 |                 |                 |
|                             | <b>State Operations:</b>                                   |                 |                 |                 |
| 0001                        | General Fund                                               | \$14,333        | \$14,010        | \$11,448        |
| 0061                        | Motor Vehicle Fuel Account, Transportation Tax Fund        | 2,181           | 2,385           | 2,406           |
| 0062                        | Highway Users Tax Account, Transportation Tax Fund         | 915             | 990             | 990             |
| 0890                        | Federal Trust Fund                                         | 700             | 1,414           | 1,410           |
| 0903                        | State Penalty Fund                                         | 961             | 1,051           | 1,061           |
| 0970                        | Unclaimed Property Fund                                    | -               | -               | 1,969           |
| 0988                        | Various Other Unallocated Non-Governmental Cost Funds      | 78              | 84              | 84              |
| 0995                        | Reimbursements                                             | 5,721           | 9,553           | 9,941           |
| 6044                        | 2004 State School Facilities Fund                          | 265             | 373             | -               |
| 6057                        | 2006 State School Facilities Fund                          | -               | -               | 377             |
|                             | <b>Totals, State Operations</b>                            | <b>\$25,154</b> | <b>\$29,860</b> | <b>\$29,686</b> |
| <b>PROGRAM REQUIREMENTS</b> |                                                            |                 |                 |                 |

\* Dollars in thousands, except in Salary Range.

**0840 State Controller - Continued**

|           |                                                                            | <u>2006-07*</u> | <u>2007-08*</u> | <u>2008-09*</u> |
|-----------|----------------------------------------------------------------------------|-----------------|-----------------|-----------------|
| <b>30</b> | <b>PERSONNEL/PAYROLL SERVICES</b>                                          |                 |                 |                 |
|           | <b>State Operations:</b>                                                   |                 |                 |                 |
| 0001      | General Fund                                                               | \$34,037        | \$38,571        | \$40,597        |
| 0002      | Property Acquisition Law Money Account                                     | 2               | 2               | 2               |
| 0003      | Motor Vehicle Parking Facilities Moneys Account                            | 3               | 2               | 2               |
| 0006      | Disability Access Account                                                  | 4               | 4               | 4               |
| 0007      | Breast Cancer Research Account                                             | -               | 1               | 1               |
| 0009      | Breast Cancer Control Account                                              | 6               | 5               | 4               |
| 0012      | Attorney General Antitrust Account                                         | 1               | 2               | 1               |
| 0014      | Hazardous Waste Control Account                                            | 45              | 53              | 42              |
| 0017      | Fingerprint Fees Account                                                   | 67              | 118             | 59              |
| 0020      | California State Law Library Special Account                               | 1               | 1               | 1               |
| 0022      | State Emergency Telephone Number Account                                   | 8               | 9               | 22              |
| 0025      | Leaking Underground Storage Tank Cost Recovery Fund                        | -               | -               | 5               |
| 0026      | State Motor Vehicle Insurance Account                                      | 17              | 18              | 15              |
| 0028      | Unified Program Account                                                    | 2               | 2               | 2               |
| 0029      | Nuclear Planning Assessment Special Account                                | 1               | -               | -               |
| 0032      | Firearm Safety Account                                                     | -               | 1               | -               |
| 0035      | Surface Mining and Reclamation Account                                     | 2               | -               | -               |
| 0041      | Aeronautics Account, State Transportation Fund                             | 4               | 4               | 4               |
| 0042      | State Highway Account, State Transportation Fund                           | 3,024           | 3,345           | 3,383           |
| 0044      | Motor Vehicle Account, State Transportation Fund                           | 3,400           | 3,436           | 3,462           |
| 0046      | Public Transportation Account, State Transportation Fund                   | 172             | 174             | 171             |
| 0054      | New Motor Vehicle Board Account                                            | 3               | 4               | 3               |
| 0064      | Motor Vehicle License Fee Account, Transportation Tax Fund                 | 522             | 533             | 555             |
| 0066      | Sale of Tobacco to Minors Control Account                                  | 2               | 2               | 1               |
| 0067      | State Corporations Fund                                                    | 26              | 37              | 26              |
| 0069      | State Board of Barbering and Cosmetology Fund                              | 8               | 12              | 12              |
| 0070      | Occupational Lead Poisoning Prevention Account                             | 4               | 5               | 4               |
| 0074      | Medical Waste Management Fund                                              | 1               | 2               | 1               |
| 0075      | Radiation Control Fund                                                     | 13              | 12              | 10              |
| 0076      | Tissue Bank License Fund                                                   | -               | 1               | -               |
| 0078      | Graphic Design License Plate Account                                       | 1               | 1               | 1               |
| 0080      | Childhood Lead Poisoning Prevention Fund                                   | 8               | 7               | 7               |
| 0082      | Export Document Program Fund                                               | -               | 1               | -               |
| 0096      | Cal-OSHA Targeted Inspection and Consultation Fund                         | 14              | 23              | 16              |
| 0098      | Clinical Laboratory Improvement Fund                                       | 3               | 3               | 2               |
| 0099      | Health Statistics Special Fund                                             | 11              | 13              | 11              |
| 0100      | California Used Oil Recycling Fund                                         | 3               | 3               | 4               |
| 0102      | State Fire Marshal Licensing and Certification Fund                        | 5               | 6               | 7               |
| 0106      | Department of Pesticide Regulation Fund                                    | 32              | 35              | 34              |
| 0108      | Acupuncture Fund                                                           | 1               | 2               | 2               |
| 0111      | Department of Agriculture Account, Department of Food and Agriculture Fund | 137             | 95              | 50              |
| 0115      | Air Pollution Control Fund                                                 | 54              | 45              | 64              |
| 0116      | Wine Safety Fund                                                           | -               | 1               | -               |

\* Dollars in thousands, except in Salary Range.

## 0840 State Controller - Continued

|                                                                           | 2006-07* | 2007-08* | 2008-09* |
|---------------------------------------------------------------------------|----------|----------|----------|
| 0117 Alcoholic Beverage Control Appeals Fund                              | 1        | 1        | 1        |
| 0121 Hospital Building Fund                                               | 30       | 23       | 25       |
| 0129 Water Device Certification Special Account                           | -        | 1        | -        |
| 0132 Workers' Compensation Managed Care Fund                              | -        | 1        | -        |
| 0133 California Beverage Container Recycling Fund                         | 58       | 60       | 61       |
| 0139 Driving Under-the-Influence Program Licensing Trust Fund             | 2        | 2        | 1        |
| 0140 California Environmental License Plate Fund                          | 41       | 61       | 40       |
| 0142 Department of Justice Sexual Habitual Offender Fund                  | 3        | 4        | 2        |
| 0143 California Health Data and Planning Fund                             | -        | 11       | 11       |
| 0152 State Board of Chiropractic Examiners Fund                           | 2        | 2        | 2        |
| 0158 Travel Seller Fund                                                   | 1        | 2        | 1        |
| 0166 Certification Account, Consumer Affairs Fund                         | 1        | 1        | 1        |
| 0169 California Debt Limit Allocation Committee Fund                      | 1        | 1        | 1        |
| 0170 Corrections Training Fund                                            | 35       | 6        | 45       |
| 0171 California Debt and Investment Advisory Commission Fund              | 1        | 1        | 1        |
| 0177 Food Safety Fund                                                     | 3        | 4        | 3        |
| 0178 Driver Training Penalty Assessment Fund                              | 1        | 1        | 1        |
| 0179 Environmental Laboratory Improvement Fund                            | 2        | 2        | 1        |
| 0181 Registered Nurse Education Fund                                      | 1        | 1        | 1        |
| 0184 Employment Development Department Benefit Audit Fund                 | 36       | -        | -        |
| 0185 Employment Development Department Contingent Fund                    | 212      | -        | -        |
| 0191 Fair and Exposition Fund                                             | 11       | 10       | 8        |
| 0192 Satellite Wagering Account                                           | 1        | 1        | -        |
| 0193 Waste Discharge Permit Fund                                          | 16       | 18       | 20       |
| 0194 Emergency Medical Services Training Program Approval Fund            | 1        | 1        | -        |
| 0195 Conservatorship Registry Fund                                        | -        | 1        | -        |
| 0198 California Fire and Arson Training Fund                              | 4        | 4        | 5        |
| 0200 Fish and Game Preservation Fund                                      | 93       | 91       | 72       |
| 0203 Genetic Disease Testing Fund                                         | 53       | 59       | 52       |
| 0205 Geology and Geophysics Fund                                          | 1        | 1        | 1        |
| 0207 Fish and Wildlife Pollution Account                                  | 3        | 2        | 2        |
| 0208 Hearing Aid Dispensers Fund                                          | 1        | 1        | 1        |
| 0209 California Hazardous Liquid Pipeline Safety Fund                     | 7        | 7        | 8        |
| 0212 Marine Invasive Species Control Fund                                 | 3        | 3        | 4        |
| 0214 Restitution Fund                                                     | 33       | 34       | 31       |
| 0217 Insurance Fund                                                       | 2        | 1        | 151      |
| 0223 Workers' Compensation Administration Revolving Fund                  | 138      | 251      | 152      |
| 0226 California Tire Recycling Management Fund                            | 7        | 6        | -        |
| 0228 Secretary of State's Business Fees Fund                              | 6        | 54       | 31       |
| 0231 Health Education Account, Cigarette and Tobacco Products Surtax Fund | 5        | 5        | 4        |
| 0234 Research Account, Cigarette and Tobacco Products Surtax Fund         | 4        | 4        | 3        |
| 0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund | 34       | 98       | 24       |

\* Dollars in thousands, except in Salary Range.

## 0840 State Controller - Continued

|                                                                                                       | 2006-07* | 2007-08* | 2008-09* |
|-------------------------------------------------------------------------------------------------------|----------|----------|----------|
| 0236 Unallocated Account, Cigarette and Tobacco Products<br>Surtax Fund                               | 4        | -        | -        |
| 0239 Private Security Services Fund                                                                   | 8        | 12       | 9        |
| 0242 Court Collection Account                                                                         | 9        | 11       | 10       |
| 0243 Narcotic Treatment Program Licensing Trust Fund                                                  | 1        | 1        | 1        |
| 0245 Mobilehome Park Revolving Fund                                                                   | 5        | 6        | 6        |
| 0247 Drinking Water Operator Certification Special Account                                            | 1        | 1        | 1        |
| 0256 Sexual Predator Public Information Account                                                       | 1        | -        | -        |
| 0260 Nursing Home Administrator's State License Examining<br>Fund                                     | -        | 1        | -        |
| 0262 Habitat Conservation Fund                                                                        | 1        | 1        | 1        |
| 0263 Off-Highway Vehicle Trust Fund                                                                   | 238      | 366      | 72       |
| 0264 Osteopathic Medical Board of California Contingent<br>Fund                                       | 1        | 1        | 1        |
| 0267 Exposition Park Improvement Fund                                                                 | 5        | 5        | 5        |
| 0268 Peace Officers' Training Fund                                                                    | 1        | -        | -        |
| 0272 Infant Botulism Treatment and Prevention Fund                                                    | 1        | 2        | 1        |
| 0280 Physician Assistant Fund                                                                         | 1        | 1        | 1        |
| 0281 Recycling Market Development Revolving Loan<br>Subaccount, Integrated Waste Management Account   | 1        | 1        | 1        |
| 0293 Motor Carriers Safety Improvement Fund                                                           | 3        | 3        | 4        |
| 0295 Board of Podiatric Medicine Fund                                                                 | 1        | 1        | 1        |
| 0298 Financial Institutions Fund                                                                      | 18       | 19       | 19       |
| 0299 Credit Union Fund                                                                                | 4        | 4        | 4        |
| 0300 Professional Forester Registration Fund                                                          | 1        | 1        | 1        |
| 0305 Private Postsecondary and Vocational Education<br>Administration Fund                            | 7        | -        | 4        |
| 0306 Safe Drinking Water Account                                                                      | 6        | 6        | 5        |
| 0309 Perinatal Insurance Fund                                                                         | 1        | 1        | -        |
| 0310 Psychology Fund                                                                                  | 2        | 2        | 2        |
| 0312 Emergency Medical Services Personnel Fund                                                        | 3        | 4        | 1        |
| 0313 Major Risk Medical Insurance Fund                                                                | 1        | 1        | 1        |
| 0317 Real Estate Fund                                                                                 | 35       | 41       | 42       |
| 0318 Collins-Dugan California Conservation Corps<br>Reimbursement Account                             | 122      | 121      | 79       |
| 0319 Respiratory Care Fund                                                                            | 2        | 2        | 2        |
| 0320 Oil Spill Prevention and Administration Fund                                                     | 31       | 31       | 31       |
| 0325 Electronic and Appliance Repair Fund                                                             | 2        | 3        | 2        |
| 0326 Athletic Commission Fund                                                                         | -        | 2        | 1        |
| 0328 Public School Planning, Design, and Construction<br>Review Revolving Fund                        | 19       | 19       | 18       |
| 0330 Local Revenue Fund                                                                               | 7        | 8        | 3        |
| 0335 Registered Environmental Health Special Fund                                                     | -        | 1        | -        |
| 0338 Strong-Motion Instrumentation and Seismic Hazards<br>Mapping Fund                                | 1        | 1        | 1        |
| 0347 School Land Bank Fund                                                                            | -        | 2        | -        |
| 0371 California Beach and Coastal Enhancement Account,<br>California Environmental License Plate Fund | 1        | 1        | 1        |
| 0376 Speech-Language Pathology and Audiology Fund                                                     | -        | 1        | 1        |
| 0378 False Claims Act Fund                                                                            | 12       | 21       | 11       |

\* Dollars in thousands, except in Salary Range.

**0840 State Controller - Continued**

|                                                                                    | <u>2006-07*</u> | <u>2007-08*</u> | <u>2008-09*</u> |
|------------------------------------------------------------------------------------|-----------------|-----------------|-----------------|
| 0380 State Dental Auxiliary Fund                                                   | 1               | 2               | 2               |
| 0381 Public Interest Research, Development, and<br>Demonstration Fund              | 12              | 12              | 10              |
| 0382 Renewable Resource Trust Fund                                                 | 24              | 28              | 30              |
| 0386 Solid Waste Disposal Site Cleanup Trust Fund                                  | 1               | 2               | 2               |
| 0387 Integrated Waste Management Account, Integrated<br>Waste Management Fund      | 14              | 16              | 18              |
| 0392 State Parks and Recreation Fund                                               | 238             | -               | -               |
| 0396 Self-Insurance Plans Fund                                                     | -               | 5               | 3               |
| 0400 Real Estate Appraisers Regulation Fund                                        | 3               | 3               | 3               |
| 0407 Teacher Credentials Fund                                                      | 12              | 12              | 18              |
| 0408 Test Development and Administration Account, Teacher<br>Credentials Fund      | 4               | 4               | 5               |
| 0421 Vehicle Inspection and Repair Fund                                            | 127             | 131             | 105             |
| 0425 Victim - Witness Assistance Fund                                              | 1               | 1               | -               |
| 0439 Underground Storage Tank Cleanup Fund                                         | 76              | 86              | 94              |
| 0447 Wildlife Restoration Fund                                                     | 1               | 1               | 1               |
| 0448 Occupancy Compliance Monitoring Account, Tax Credit<br>Allocation Fee Account | 1               | 1               | 1               |
| 0452 Elevator Safety Account                                                       | 12              | 2               | -               |
| 0453 Pressure Vessel Account                                                       | 3               | -               | -               |
| 0457 Tax Credit Allocation Fee Account                                             | 1               | 1               | 1               |
| 0460 Dealers' Record of Sale Special Account                                       | 9               | 14              | 8               |
| 0461 Public Utilities Commission Transportation<br>Reimbursement Account           | 1               | 1               | 1               |
| 0462 Public Utilities Commission Utilities Reimbursement<br>Account                | 6               | 10              | 8               |
| 0464 California High-Cost Fund-A Administrative Committee<br>Fund                  | 3               | 6               | 5               |
| 0465 Energy Resources Programs Account                                             | 12              | 12              | 11              |
| 0470 California High-Cost Fund-B Administrative Committee<br>Fund                  | 32              | 43              | 35              |
| 0471 Universal Lifeline Telephone Service Trust<br>Administrative Committee Fund   | 20              | 29              | 23              |
| 0478 Vectorborne Disease Account                                                   | -               | 1               | -               |
| 0483 Deaf and Disabled Telecommunications Program<br>Administrative Committee Fund | 5               | -               | -               |
| 0493 California Teleconnect Fund Administrative Committee<br>Fund                  | 1               | 4               | 2               |
| 0494 Other Unallocated Special Funds                                               | -               | 1               | 8               |
| 0501 California Housing Finance Fund                                               | 25              | 30              | 29              |
| 0502 California Water Resources Development Bond Fund                              | 221             | 235             | 278             |
| 0506 Central Valley Water Project Construction Fund                                | 58              | -               | -               |
| 0507 Central Valley Water Project Revenue Fund                                     | -               | 108             | 121             |
| 0512 Compensation Insurance Fund                                                   | 1,215           | 1,281           | 1,037           |
| 0514 Employment Training Fund                                                      | 67              | -               | 168             |
| 0516 Harbors and Watercraft Revolving Fund                                         | 21              | 22              | 16              |
| 0518 Health Facility Construction Loan Insurance Fund                              | 5               | 3               | 3               |
| 0530 Mobilehome Park Purchase Fund                                                 | 1               | 1               | 1               |
| 0557 Toxic Substances Control Account                                              | 39              | 38              | 39              |

\* Dollars in thousands, except in Salary Range.

**0840 State Controller - Continued**

|                                                                                           | <u>2006-07*</u> | <u>2007-08*</u> | <u>2008-09*</u> |
|-------------------------------------------------------------------------------------------|-----------------|-----------------|-----------------|
| 0565 State Coastal Conservancy Fund                                                       | 5               | 6               | 5               |
| 0566 Department of Justice Child Abuse Fund                                               | -               | 1               | -               |
| 0567 Gambling Control Fund                                                                | 11              | 17              | 12              |
| 0572 Stringfellow Insurance Proceeds Account                                              | -               | -               | 1               |
| 0582 High Polluter Repair or Removal Account                                              | 47              | 13              | 56              |
| 0587 Family Law Trust Fund                                                                | 1               | 1               | 2               |
| 0588 Unemployment Compensation Disability Fund                                            | 601             | 1,037           | 700             |
| 0602 Architecture Revolving Fund                                                          | 23              | 23              | 21              |
| 0622 Drinking Water Treatment and Research Fund                                           | -               | 1               | -               |
| 0638 Administration Account, California Children and Families Trust Fund                  | 4               | 4               | 5               |
| 0642 Domestic Violence Training and Education Fund                                        | 1               | 1               | -               |
| 0648 Mobilehome-Manufactured Home Revolving Fund                                          | 18              | 20              | 21              |
| 0666 Service Revolving Fund                                                               | 415             | 447             | 434             |
| 0687 Donated Food Revolving Fund                                                          | 7               | 7               | 7               |
| 0704 Accountancy Fund, Professions and Vocations Fund                                     | 6               | 7               | 8               |
| 0706 California Architects Board Fund                                                     | 2               | 2               | 2               |
| 0717 Cemetery Fund, Professions and Vocations Fund                                        | 2               | 3               | 2               |
| 0735 Contractors' License Fund                                                            | 30              | 39              | 40              |
| 0741 State Dentistry Fund                                                                 | 5               | 6               | 7               |
| 0750 State Funeral Directors and Embalmers Fund, Professions and Vocations Fund           | 2               | 2               | 2               |
| 0752 Bureau of Home Furnishings and Thermal Insulation Fund                               | 4               | 5               | 4               |
| 0757 California Board of Architectural Examiners - Landscape Architects Fund              | 1               | 1               | 1               |
| 0758 Contingent Fund of the Medical Board of California                                   | 25              | 37              | 36              |
| 0759 Physical Therapy Fund                                                                | 1               | 2               | 2               |
| 0761 Board of Registered Nursing Fund, Professions and Vocations Fund                     | 11              | 16              | 16              |
| 0763 State Optometry Fund, Professions and Vocations Fund                                 | 1               | 1               | 1               |
| 0767 Pharmacy Board Contingent Fund, Professions and Vocations Fund                       | 5               | 6               | 7               |
| 0769 Private Investigator Fund                                                            | 1               | 1               | 1               |
| 0770 Professional Engineers' and Land Surveyors' Fund                                     | 5               | 6               | 6               |
| 0771 Court Reporters Fund                                                                 | -               | 1               | 1               |
| 0773 Behavioral Science Examiners Fund, Professions and Vocations Fund                    | 3               | 4               | 4               |
| 0775 Structural Pest Control Fund, Professions and Vocations Fund                         | 2               | 3               | 3               |
| 0777 Veterinary Medical Board Contingent Fund                                             | 1               | 2               | 2               |
| 0779 Vocational Nursing & Psychiatric Technicians Fund                                    | 3               | 4               | 5               |
| 0780 Psychiatric Technicians Account, Vocational Nursing and Psychiatric Technicians Fund | 1               | 1               | 1               |
| 0784 Student Loan Operating Fund                                                          | 20              | 19              | -               |
| 0813 Self - Help Housing Fund                                                             | 2               | 2               | 2               |
| 0815 Judges' Retirement Fund                                                              | 1               | 1               | 1               |
| 0822 Public Employees' Health Care Fund (PEHCF)                                           | 4               | 7               | 12              |
| 0823 California Alzheimer's Disease and Related Disorders Research Fund                   | 1               | 1               | -               |

\* Dollars in thousands, except in Salary Range.

**0840 State Controller - Continued**

|                                                                                                   | <u>2006-07*</u> | <u>2007-08*</u> | <u>2008-09*</u> |
|---------------------------------------------------------------------------------------------------|-----------------|-----------------|-----------------|
| 0829 Health Professions Education Fund                                                            | 1               | 1               | 1               |
| 0830 Public Employees' Retirement Fund                                                            | 167             | 185             | 189             |
| 0831 California State Lottery Education Fund California Youth Authority                           | 4               | 1               | 5               |
| 0835 Teachers' Retirement Fund                                                                    | 72              | -               | 79              |
| 0904 California Health Facilities Financing Authority Fund                                        | 1               | 1               | 2               |
| 0908 School Employees Fund                                                                        | 3               | 4               | 3               |
| 0915 Deferred Compensation Plan Fund                                                              | 4               | 6               | 7               |
| 0916 California Housing Loan Insurance Fund                                                       | 2               | 1               | 1               |
| 0917 Inmate Welfare Fund                                                                          | -               | 146             | -               |
| 0925 California Community Colleges Business Resource Assistance and Innovation Network Trust Fund | 2               | -               | -               |
| 0927 Joe Serna, Jr. Farmworker Housing Grant Fund                                                 | 2               | 2               | 2               |
| 0928 Forest Resources Improvement Fund                                                            | 12              | 19              | -               |
| 0929 Housing Rehabilitation Loan Fund                                                             | 9               | 11              | 10              |
| 0933 Managed Care Fund                                                                            | 28              | 42              | 32              |
| 0938 Rental Housing Construction Fund                                                             | 2               | 1               | 1               |
| 0950 Public Employees Contingency Reserve Fund                                                    | 13              | 17              | 19              |
| 0965 Timber Tax Fund                                                                              | 7               | 9               | 10              |
| 0985 Emergency Housing Assistance Fund                                                            | -               | -               | 2               |
| 0995 Reimbursements                                                                               | 8,911           | 8,662           | 8,357           |
| 1008 Firearms Safety and Enforcement Special Fund                                                 | 3               | 5               | 3               |
| 3002 Electrician Certification Fund                                                               | 2               | 4               | 3               |
| 3003 Permanent Amusement Ride Safety Inspection Fund                                              | 1               | -               | -               |
| 3004 Garment Industry Regulations Fund                                                            | 3               | 5               | 3               |
| 3007 Traffic Congestion Relief Fund                                                               | 129             | 38              | 41              |
| 3010 Pierce's Disease Management Account                                                          | 17              | 33              | -               |
| 3015 Gas Consumption Surcharge Fund                                                               | 22              | 30              | 23              |
| 3016 Missing Persons DNA Data Base Fund                                                           | 3               | 7               | 4               |
| 3017 Occupational Therapy Fund                                                                    | -               | 1               | 1               |
| 3018 Drug and Device Safety Fund                                                                  | 2               | 3               | 2               |
| 3022 Apprenticeship Training Contribution Fund                                                    | 4               | 7               | 6               |
| 3030 Workers' Occupational Safety and Health Education Fund                                       | 1               | 2               | 1               |
| 3034 Antiterrorism Fund                                                                           | -               | 1               | -               |
| 3036 Alcohol Beverages Control Fund                                                               | 64              | 73              | 74              |
| 3037 State Court Facilities Construction Fund                                                     | 16              | 17              | 23              |
| 3046 Oil , Gas, and Geothermal Administrative Fund                                                | 1               | 1               | 1               |
| 3053 Public Rights Law Enforcement Special Fund                                                   | 5               | -               | 5               |
| 3056 Safe Drinking Water & Toxic Enforcement Account                                              | -               | -               | 1               |
| 3057 Dam Safety Fund                                                                              | 9               | 4               | 4               |
| 3058 Water Rights Fund                                                                            | 4               | -               | -               |
| 3061 Ratepayer Relief Fund                                                                        | 13              | 20              | 6               |
| 3065 Electronic Waste Recovery and Recycling Account, Integrated Waste Management Fund            | 36              | 42              | 45              |
| 3067 Cigarette and Tobacco Products Compliance Fund                                               | 31              | 29              | 5               |
| 3070 Nontoxic Dry Cleaning Incentive Trust Fund                                                   | 1               | 1               | 1               |
| 3074 Medical Marijuana Program Fund                                                               | 1               | -               | -               |
| 3080 AIDS Drug Assistance Program Rebate Fund                                                     | 1               | 1               | 1               |

\* Dollars in thousands, except in Salary Range.

**0840 State Controller - Continued**

|                                                                                            | <u>2006-07*</u> | <u>2007-08*</u> | <u>2008-09*</u> |
|--------------------------------------------------------------------------------------------|-----------------|-----------------|-----------------|
| 3081 Cannery Inspection Fund                                                               | 1               | 2               | 1               |
| 3084 State Certified Unified Program Account                                               | 1               | 1               | 1               |
| 3085 Mental Health Services Fund                                                           | 43              | 49              | 42              |
| 3087 Unfair Competition Law Fund                                                           | 3               | 5               | 3               |
| 3088 Registry of Charitable Trusts Fund                                                    | 2               | 4               | 2               |
| 3089 Public Utilities Commission Ratepayer Advocate Account                                | 1               | 2               | 2               |
| 3099 Licensing and Certification Fund, Mental Health                                       | -               | 1               | -               |
| 3103 Hatchery and Inland Fisheries Fund                                                    | -               | 16              | 13              |
| 3108 Professional Fiduciary Fund                                                           | -               | -               | 1               |
| 3109 Natural Gas Subaccount, Public Interest Research, Development, and Demonstration Fund | -               | -               | 2               |
| 3113 Residential and Outpatient Program Licensing Fund                                     | -               | -               | 1               |
| 3114 Birth Defects Monitoring Fund                                                         | -               | 3               | 2               |
| 6044 2004 State School Facilities Fund                                                     | 3               | -               | -               |
| 6801 Transportation Financing Subaccount, State Highway Account, State Transportation Fund | 16              | 20              | 13              |
| 8001 Teachers' Health Benefits Fund                                                        | -               | 76              | -               |
| 8018 Salton Sea Restoration Fund                                                           | 2               | 3               | 2               |
| 8025 California Prostate Cancer Research Fund                                              | -               | 1               | -               |
| 8034 Medically Underserved Account for Physicians, Health Professions Education Fund       | -               | 1               | 1               |
| 9730 Department of Technology Services Revolving Fund                                      | 95              | 82              | 85              |
| 9731 Legal Services Revolving Fund                                                         | 88              | 143             | 96              |
| 9736 Transit-Oriented Development Implementation Fund                                      | -               | -               | 1               |
| <b>Totals, State Operations</b>                                                            | <b>\$56,463</b> | <b>\$61,877</b> | <b>\$62,454</b> |
| <b>PROGRAM REQUIREMENTS</b>                                                                |                 |                 |                 |
| <b>40 INFORMATION SYSTEMS</b>                                                              |                 |                 |                 |
| <b>State Operations:</b>                                                                   |                 |                 |                 |
| 0001 General Fund                                                                          | \$16,042        | \$15,928        | \$13,110        |
| 0046 Public Transportation Account, State Transportation Fund                              | -               | 9               | 9               |
| 0062 Highway Users Tax Account, Transportation Tax Fund                                    | 68              | 222             | 222             |
| 0064 Motor Vehicle License Fee Account, Transportation Tax Fund                            | -               | 8               | 8               |
| 0330 Local Revenue Fund                                                                    | -               | 64              | 64              |
| 0877 DMV Local Agency Collection Fund                                                      | -               | 1               | 1               |
| 0932 Trial Court Trust Fund                                                                | -               | 90              | 90              |
| 0965 Timber Tax Fund                                                                       | -               | 1               | 1               |
| 0969 Public Safety Account, Local Public Safety Fund                                       | -               | 126             | 126             |
| 0970 Unclaimed Property Fund                                                               | -               | -               | 3,113           |
| 0995 Reimbursements                                                                        | 1,671           | 1,745           | 2,859           |
| <b>Totals, State Operations</b>                                                            | <b>\$17,781</b> | <b>\$18,194</b> | <b>\$19,603</b> |
| <b>PROGRAM REQUIREMENTS</b>                                                                |                 |                 |                 |
| <b>50 COLLECTIONS</b>                                                                      |                 |                 |                 |
| <b>State Operations:</b>                                                                   |                 |                 |                 |
| 0001 General Fund                                                                          | \$15,623        | \$23,589        | \$2,355         |
| 0025 Leaking Underground Storage Tank Cost Recovery Fund                                   | -               | 1,014           | -               |

\* Dollars in thousands, except in Salary Range.

## 0840 State Controller - Continued

|                                                          | 2006-07*         | 2007-08*         | 2008-09*         |
|----------------------------------------------------------|------------------|------------------|------------------|
| 0061 Motor Vehicle Fuel Account, Transportation Tax Fund | 1,502            | 1,689            | 1,689            |
| 0970 Unclaimed Property Fund                             | -                | -                | 18,522           |
| 0995 Reimbursements                                      | 1,804            | 1,671            | 1,675            |
| <b>Totals, State Operations</b>                          | <b>\$18,929</b>  | <b>\$27,963</b>  | <b>\$24,241</b>  |
| <b>PROGRAM REQUIREMENTS</b>                              |                  |                  |                  |
| <b>60 DISBURSEMENTS AND SUPPORT</b>                      |                  |                  |                  |
| <b>State Operations:</b>                                 |                  |                  |                  |
| 0001 General Fund                                        | \$10,872         | \$15,185         | \$12,612         |
| 0970 Unclaimed Property Fund                             | -                | -                | 2,499            |
| 0995 Reimbursements                                      | 14,219           | 17,995           | 18,853           |
| <b>Totals, State Operations</b>                          | <b>\$25,091</b>  | <b>\$33,180</b>  | <b>\$33,964</b>  |
| <b>ELEMENT REQUIREMENTS</b>                              |                  |                  |                  |
| 60 Disbursements and Support                             | 36,532           | 44,109           | 44,893           |
| 70.01 Distributed to Other Programs                      | -11,441          | -10,929          | -10,929          |
| <b>PROGRAM REQUIREMENTS</b>                              |                  |                  |                  |
| <b>80 LOAN REPAYMENT PROGRAMS</b>                        |                  |                  |                  |
| <b>Local Assistance:</b>                                 |                  |                  |                  |
| 0001 General Fund                                        | -\$699           | -\$593           | -\$593           |
| <b>Totals, Local Assistance</b>                          | <b>-\$699</b>    | <b>-\$593</b>    | <b>-\$593</b>    |
| <b>TOTALS, EXPENDITURES</b>                              |                  |                  |                  |
| State Operations                                         | 157,693          | 185,790          | 186,337          |
| Local Assistance                                         | -699             | -593             | -593             |
| <b>Totals, Expenditures</b>                              | <b>\$156,994</b> | <b>\$185,197</b> | <b>\$185,744</b> |

## EXPENDITURES BY CATEGORY (Summary By Object)

| 1 State Operations                                                      | Positions      |                |                | Expenditures     |                  |                  |
|-------------------------------------------------------------------------|----------------|----------------|----------------|------------------|------------------|------------------|
|                                                                         | 2006-07        | 2007-08        | 2008-09        | 2006-07*         | 2007-08*         | 2008-09*         |
| PERSONAL SERVICES                                                       |                |                |                |                  |                  |                  |
| Authorized Positions (Equals Sch. 7A)                                   | 1,135.5        | 1,365.5        | 1,257.5        | \$65,670         | \$75,637         | \$69,563         |
| Total Adjustments                                                       | -              | 23.0           | 128.8          | -                | 3,650            | 11,326           |
| Estimated Salary Savings                                                | -              | -69.4          | -69.4          | -                | -3,979           | -4,059           |
| <b>Net Totals, Salaries and Wages</b>                                   | <b>1,135.5</b> | <b>1,319.1</b> | <b>1,316.9</b> | <b>\$65,670</b>  | <b>\$75,308</b>  | <b>\$76,830</b>  |
| Staff Benefits                                                          | -              | -              | -              | 22,909           | 28,663           | 27,766           |
| <b>Totals, Personal Services</b>                                        | <b>1,135.5</b> | <b>1,319.1</b> | <b>1,316.9</b> | <b>\$88,579</b>  | <b>\$103,971</b> | <b>\$104,596</b> |
| OPERATING EXPENSES AND EQUIPMENT                                        |                |                |                | \$69,114         | 81,819           | \$81,741         |
| <b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b> |                |                |                | <b>\$157,693</b> | <b>\$185,790</b> | <b>\$186,337</b> |
| 2 Local Assistance                                                      |                |                |                | Expenditures     |                  |                  |
|                                                                         | 2006-07*       | 2007-08*       | 2008-09*       | 2006-07*         | 2007-08*         | 2008-09*         |
| Loan Repayments from Local Agencies to the General Fund                 |                |                |                | -\$699           | -\$593           | -\$593           |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>               |                |                |                | <b>-\$699</b>    | <b>-\$593</b>    | <b>-\$593</b>    |

## DETAIL OF APPROPRIATIONS AND ADJUSTMENTS (Reconciliation with Appropriations)

| 1 STATE OPERATIONS           | 2006-07* | 2007-08*  | 2008-09* |
|------------------------------|----------|-----------|----------|
| 0001 General Fund            |          |           |          |
| APPROPRIATIONS               |          |           |          |
| 001 Budget Act appropriation | \$97,952 | \$114,680 | \$90,451 |

\* Dollars in thousands, except in Salary Range.

**0840 State Controller - Continued**

| <b>1 STATE OPERATIONS</b>                                                                      | <b>2006-07*</b>  | <b>2007-08*</b>  | <b>2008-09*</b> |
|------------------------------------------------------------------------------------------------|------------------|------------------|-----------------|
| Allocation for employee compensation                                                           | 3,750            | 2,141            | -               |
| Adjustment per Section 3.60                                                                    | 452              | -182             | -               |
| Adjustment per Section 4.75 Statewide Surcharge                                                | 2                | -                | -               |
| Transfer to Legislative Claims (9670)                                                          | -2               | -                | -               |
| Prior year balances available:                                                                 |                  |                  |                 |
| Item 0840-001-0001, Budget Act of 2006, as reappropriated by Item 0840-490, Budget Act of 2007 | -                | 831              | -               |
| <b>Totals Available</b>                                                                        | <b>\$102,154</b> | <b>\$117,470</b> | <b>\$90,451</b> |
| Unexpended balance, estimated savings                                                          | -391             | -                | -               |
| Balance available in subsequent years                                                          | -831             | -                | -               |
| <b>TOTALS, EXPENDITURES</b>                                                                    | <b>\$100,932</b> | <b>\$117,470</b> | <b>\$90,451</b> |
| <b>0002 Property Acquisition Law Money Account</b>                                             |                  |                  |                 |
| APPROPRIATIONS                                                                                 |                  |                  |                 |
| Human Resources Management System Assessments per Section 25.25                                | \$2              | \$2              | \$2             |
| <b>TOTALS, EXPENDITURES</b>                                                                    | <b>\$2</b>       | <b>\$2</b>       | <b>\$2</b>      |
| <b>0003 Motor Vehicle Parking Facilities Moneys Account</b>                                    |                  |                  |                 |
| APPROPRIATIONS                                                                                 |                  |                  |                 |
| Human Resources Management System Assessments per Section 25.25                                | \$3              | \$2              | \$2             |
| <b>TOTALS, EXPENDITURES</b>                                                                    | <b>\$3</b>       | <b>\$2</b>       | <b>\$2</b>      |
| <b>0006 Disability Access Account</b>                                                          |                  |                  |                 |
| APPROPRIATIONS                                                                                 |                  |                  |                 |
| Human Resources Management System Assessments per Section 25.25                                | \$4              | \$4              | \$4             |
| <b>TOTALS, EXPENDITURES</b>                                                                    | <b>\$4</b>       | <b>\$4</b>       | <b>\$4</b>      |
| <b>0007 Breast Cancer Research Account</b>                                                     |                  |                  |                 |
| APPROPRIATIONS                                                                                 |                  |                  |                 |
| Human Resources Management System Assessments per Section 25.25                                | \$-              | \$1              | \$1             |
| <b>TOTALS, EXPENDITURES</b>                                                                    | <b>\$-</b>       | <b>\$1</b>       | <b>\$1</b>      |
| <b>0009 Breast Cancer Control Account</b>                                                      |                  |                  |                 |
| APPROPRIATIONS                                                                                 |                  |                  |                 |
| Human Resources Management System Assessments per Section 25.25                                | \$6              | \$5              | \$4             |
| <b>TOTALS, EXPENDITURES</b>                                                                    | <b>\$6</b>       | <b>\$5</b>       | <b>\$4</b>      |
| <b>0012 Attorney General Antitrust Account</b>                                                 |                  |                  |                 |
| APPROPRIATIONS                                                                                 |                  |                  |                 |
| Human Resources Management System Assessments per Section 25.25                                | \$1              | \$2              | \$1             |
| <b>TOTALS, EXPENDITURES</b>                                                                    | <b>\$1</b>       | <b>\$2</b>       | <b>\$1</b>      |
| <b>0014 Hazardous Waste Control Account</b>                                                    |                  |                  |                 |
| APPROPRIATIONS                                                                                 |                  |                  |                 |
| Human Resources Management System Assessments per Section 25.25                                | \$45             | \$53             | \$42            |
| <b>TOTALS, EXPENDITURES</b>                                                                    | <b>\$45</b>      | <b>\$53</b>      | <b>\$42</b>     |
| <b>0017 Fingerprint Fees Account</b>                                                           |                  |                  |                 |
| APPROPRIATIONS                                                                                 |                  |                  |                 |
| Human Resources Management System Assessments per Section 25.25                                | \$67             | \$118            | \$59            |
| <b>TOTALS, EXPENDITURES</b>                                                                    | <b>\$67</b>      | <b>\$118</b>     | <b>\$59</b>     |
| <b>0020 California State Law Library Special Account</b>                                       |                  |                  |                 |
| APPROPRIATIONS                                                                                 |                  |                  |                 |
| Human Resources Management System Assessments per Section 25.25                                | \$1              | \$1              | \$1             |
| <b>TOTALS, EXPENDITURES</b>                                                                    | <b>\$1</b>       | <b>\$1</b>       | <b>\$1</b>      |
| <b>0022 State Emergency Telephone Number Account</b>                                           |                  |                  |                 |
| APPROPRIATIONS                                                                                 |                  |                  |                 |
| Human Resources Management System Assessments per Section 25.25                                | \$8              | \$9              | \$22            |
| <b>TOTALS, EXPENDITURES</b>                                                                    | <b>\$8</b>       | <b>\$9</b>       | <b>\$22</b>     |

\* Dollars in thousands, except in Salary Range.

**0840 State Controller - Continued**

| <b>1 STATE OPERATIONS</b>                                            | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|----------------------------------------------------------------------|-----------------|-----------------|-----------------|
| <b>0025 Leaking Underground Storage Tank Cost Recovery Fund</b>      |                 |                 |                 |
| APPROPRIATIONS                                                       |                 |                 |                 |
| 001 Budget Act appropriation                                         | \$-             | \$1,014         | \$-             |
| Human Resources Management System Assessments per Section 25.25      | -               | -               | 5               |
| <b>TOTALS, EXPENDITURES</b>                                          | <b>\$-</b>      | <b>\$1,014</b>  | <b>\$5</b>      |
| <b>0026 State Motor Vehicle Insurance Account</b>                    |                 |                 |                 |
| APPROPRIATIONS                                                       |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25      | \$17            | \$18            | \$15            |
| <b>TOTALS, EXPENDITURES</b>                                          | <b>\$17</b>     | <b>\$18</b>     | <b>\$15</b>     |
| <b>0028 Unified Program Account</b>                                  |                 |                 |                 |
| APPROPRIATIONS                                                       |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25      | \$2             | \$2             | \$2             |
| <b>TOTALS, EXPENDITURES</b>                                          | <b>\$2</b>      | <b>\$2</b>      | <b>\$2</b>      |
| <b>0029 Nuclear Planning Assessment Special Account</b>              |                 |                 |                 |
| APPROPRIATIONS                                                       |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25      | \$1             | \$-             | \$-             |
| <b>TOTALS, EXPENDITURES</b>                                          | <b>\$1</b>      | <b>\$-</b>      | <b>\$-</b>      |
| <b>0032 Firearm Safety Account</b>                                   |                 |                 |                 |
| APPROPRIATIONS                                                       |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25      | \$-             | \$1             | \$-             |
| <b>TOTALS, EXPENDITURES</b>                                          | <b>\$-</b>      | <b>\$1</b>      | <b>\$-</b>      |
| <b>0035 Surface Mining and Reclamation Account</b>                   |                 |                 |                 |
| APPROPRIATIONS                                                       |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25      | \$2             | \$-             | \$-             |
| <b>TOTALS, EXPENDITURES</b>                                          | <b>\$2</b>      | <b>\$-</b>      | <b>\$-</b>      |
| <b>0041 Aeronautics Account, State Transportation Fund</b>           |                 |                 |                 |
| APPROPRIATIONS                                                       |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25      | \$4             | \$4             | \$4             |
| <b>TOTALS, EXPENDITURES</b>                                          | <b>\$4</b>      | <b>\$4</b>      | <b>\$4</b>      |
| <b>0042 State Highway Account, State Transportation Fund</b>         |                 |                 |                 |
| APPROPRIATIONS                                                       |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25      | \$3,024         | \$3,345         | \$3,383         |
| <b>TOTALS, EXPENDITURES</b>                                          | <b>\$3,024</b>  | <b>\$3,345</b>  | <b>\$3,383</b>  |
| <b>0044 Motor Vehicle Account, State Transportation Fund</b>         |                 |                 |                 |
| APPROPRIATIONS                                                       |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25      | \$3,400         | \$3,436         | \$3,462         |
| <b>TOTALS, EXPENDITURES</b>                                          | <b>\$3,400</b>  | <b>\$3,436</b>  | <b>\$3,462</b>  |
| <b>0046 Public Transportation Account, State Transportation Fund</b> |                 |                 |                 |
| APPROPRIATIONS                                                       |                 |                 |                 |
| Apportionment Payment System Assessments per Control Section 25.5    | \$10            | \$18            | \$18            |
| Human Resources Management System Assessments per Section 25.25      | 172             | 174             | 171             |
| <b>TOTALS, EXPENDITURES</b>                                          | <b>\$182</b>    | <b>\$192</b>    | <b>\$189</b>    |
| <b>0054 New Motor Vehicle Board Account</b>                          |                 |                 |                 |
| APPROPRIATIONS                                                       |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25      | \$3             | \$4             | \$3             |
| <b>TOTALS, EXPENDITURES</b>                                          | <b>\$3</b>      | <b>\$4</b>      | <b>\$3</b>      |
| <b>0061 Motor Vehicle Fuel Account, Transportation Tax Fund</b>      |                 |                 |                 |
| APPROPRIATIONS                                                       |                 |                 |                 |
| 001 Budget Act appropriation                                         | \$3,650         | \$3,986         | \$4,095         |
| Allocation for employee compensation                                 | 166             | 95              | -               |
| Adjustment per Section 3.60                                          | 20              | -7              | -               |

\* Dollars in thousands, except in Salary Range.

**0840 State Controller - Continued**

| <b>1 STATE OPERATIONS</b>                                              | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|------------------------------------------------------------------------|-----------------|-----------------|-----------------|
| <b>Totals Available</b>                                                | <b>\$3,836</b>  | <b>\$4,074</b>  | <b>\$4,095</b>  |
| Unexpended balance, estimated savings                                  | -153            | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                                            | <b>\$3,683</b>  | <b>\$4,074</b>  | <b>\$4,095</b>  |
| <b>0062 Highway Users Tax Account, Transportation Tax Fund</b>         |                 |                 |                 |
| APPROPRIATIONS                                                         |                 |                 |                 |
| 001 Budget Act appropriation                                           | \$1,068         | \$1,133         | \$1,162         |
| Allocation for employee compensation                                   | 50              | 30              | -               |
| Adjustment per Section 3.60                                            | 6               | -2              | -               |
| Apportionment Payment System Assessments per Control Section 25.5      | 275             | 290             | 286             |
| Allocation for employee compensation                                   | -               | 6               | -               |
| <b>Totals Available</b>                                                | <b>\$1,399</b>  | <b>\$1,457</b>  | <b>\$1,448</b>  |
| Unexpended balance, estimated savings                                  | -54             | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                                            | <b>\$1,345</b>  | <b>\$1,457</b>  | <b>\$1,448</b>  |
| <b>0064 Motor Vehicle License Fee Account, Transportation Tax Fund</b> |                 |                 |                 |
| APPROPRIATIONS                                                         |                 |                 |                 |
| Apportionment Payment System Assessments per Control Section 25.5      | \$21            | \$17            | \$16            |
| Human Resources Management System Assessments per Section 25.25        | 522             | 533             | 555             |
| <b>TOTALS, EXPENDITURES</b>                                            | <b>\$543</b>    | <b>\$550</b>    | <b>\$571</b>    |
| <b>0066 Sale of Tobacco to Minors Control Account</b>                  |                 |                 |                 |
| APPROPRIATIONS                                                         |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25        | \$2             | \$2             | \$1             |
| <b>TOTALS, EXPENDITURES</b>                                            | <b>\$2</b>      | <b>\$2</b>      | <b>\$1</b>      |
| <b>0067 State Corporations Fund</b>                                    |                 |                 |                 |
| APPROPRIATIONS                                                         |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25        | \$26            | \$37            | \$26            |
| <b>TOTALS, EXPENDITURES</b>                                            | <b>\$26</b>     | <b>\$37</b>     | <b>\$26</b>     |
| <b>0069 State Board of Barbering and Cosmetology Fund</b>              |                 |                 |                 |
| APPROPRIATIONS                                                         |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25        | \$8             | \$12            | \$12            |
| <b>TOTALS, EXPENDITURES</b>                                            | <b>\$8</b>      | <b>\$12</b>     | <b>\$12</b>     |
| <b>0070 Occupational Lead Poisoning Prevention Account</b>             |                 |                 |                 |
| APPROPRIATIONS                                                         |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25        | \$4             | \$5             | \$4             |
| <b>TOTALS, EXPENDITURES</b>                                            | <b>\$4</b>      | <b>\$5</b>      | <b>\$4</b>      |
| <b>0074 Medical Waste Management Fund</b>                              |                 |                 |                 |
| APPROPRIATIONS                                                         |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25        | \$1             | \$2             | \$1             |
| <b>TOTALS, EXPENDITURES</b>                                            | <b>\$1</b>      | <b>\$2</b>      | <b>\$1</b>      |
| <b>0075 Radiation Control Fund</b>                                     |                 |                 |                 |
| APPROPRIATIONS                                                         |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25        | \$13            | \$12            | \$10            |
| <b>TOTALS, EXPENDITURES</b>                                            | <b>\$13</b>     | <b>\$12</b>     | <b>\$10</b>     |
| <b>0076 Tissue Bank License Fund</b>                                   |                 |                 |                 |
| APPROPRIATIONS                                                         |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25        | \$-             | \$1             | \$-             |
| <b>TOTALS, EXPENDITURES</b>                                            | <b>\$-</b>      | <b>\$1</b>      | <b>\$-</b>      |
| <b>0078 Graphic Design License Plate Account</b>                       |                 |                 |                 |
| APPROPRIATIONS                                                         |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25        | \$1             | \$1             | \$1             |
| <b>TOTALS, EXPENDITURES</b>                                            | <b>\$1</b>      | <b>\$1</b>      | <b>\$1</b>      |

\* Dollars in thousands, except in Salary Range.

**0840 State Controller - Continued**

| <b>1 STATE OPERATIONS</b>                                                              | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|----------------------------------------------------------------------------------------|-----------------|-----------------|-----------------|
| <b>0080 Childhood Lead Poisoning Prevention Fund</b>                                   |                 |                 |                 |
| APPROPRIATIONS                                                                         |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                        | <u>\$8</u>      | <u>\$7</u>      | <u>\$7</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                            | <b>\$8</b>      | <b>\$7</b>      | <b>\$7</b>      |
| <b>0082 Export Document Program Fund</b>                                               |                 |                 |                 |
| APPROPRIATIONS                                                                         |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                        | <u>\$-</u>      | <u>\$1</u>      | <u>\$-</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                            | <b>\$-</b>      | <b>\$1</b>      | <b>\$-</b>      |
| <b>0096 Cal-OSHA Targeted Inspection and Consultation Fund</b>                         |                 |                 |                 |
| APPROPRIATIONS                                                                         |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                        | <u>\$14</u>     | <u>\$23</u>     | <u>\$16</u>     |
| <b>TOTALS, EXPENDITURES</b>                                                            | <b>\$14</b>     | <b>\$23</b>     | <b>\$16</b>     |
| <b>0098 Clinical Laboratory Improvement Fund</b>                                       |                 |                 |                 |
| APPROPRIATIONS                                                                         |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                        | <u>\$3</u>      | <u>\$3</u>      | <u>\$2</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                            | <b>\$3</b>      | <b>\$3</b>      | <b>\$2</b>      |
| <b>0099 Health Statistics Special Fund</b>                                             |                 |                 |                 |
| APPROPRIATIONS                                                                         |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                        | <u>\$11</u>     | <u>\$13</u>     | <u>\$11</u>     |
| <b>TOTALS, EXPENDITURES</b>                                                            | <b>\$11</b>     | <b>\$13</b>     | <b>\$11</b>     |
| <b>0100 California Used Oil Recycling Fund</b>                                         |                 |                 |                 |
| APPROPRIATIONS                                                                         |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                        | <u>\$3</u>      | <u>\$3</u>      | <u>\$4</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                            | <b>\$3</b>      | <b>\$3</b>      | <b>\$4</b>      |
| <b>0102 State Fire Marshal Licensing and Certification Fund</b>                        |                 |                 |                 |
| APPROPRIATIONS                                                                         |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                        | <u>\$5</u>      | <u>\$6</u>      | <u>\$7</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                            | <b>\$5</b>      | <b>\$6</b>      | <b>\$7</b>      |
| <b>0106 Department of Pesticide Regulation Fund</b>                                    |                 |                 |                 |
| APPROPRIATIONS                                                                         |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                        | <u>\$32</u>     | <u>\$35</u>     | <u>\$34</u>     |
| <b>TOTALS, EXPENDITURES</b>                                                            | <b>\$32</b>     | <b>\$35</b>     | <b>\$34</b>     |
| <b>0108 Acupuncture Fund</b>                                                           |                 |                 |                 |
| APPROPRIATIONS                                                                         |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                        | <u>\$1</u>      | <u>\$2</u>      | <u>\$2</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                            | <b>\$1</b>      | <b>\$2</b>      | <b>\$2</b>      |
| <b>0111 Department of Agriculture Account, Department of Food and Agriculture Fund</b> |                 |                 |                 |
| APPROPRIATIONS                                                                         |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                        | <u>\$137</u>    | <u>\$95</u>     | <u>\$50</u>     |
| <b>TOTALS, EXPENDITURES</b>                                                            | <b>\$137</b>    | <b>\$95</b>     | <b>\$50</b>     |
| <b>0115 Air Pollution Control Fund</b>                                                 |                 |                 |                 |
| APPROPRIATIONS                                                                         |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                        | <u>\$54</u>     | <u>\$45</u>     | <u>\$64</u>     |
| <b>TOTALS, EXPENDITURES</b>                                                            | <b>\$54</b>     | <b>\$45</b>     | <b>\$64</b>     |
| <b>0116 Wine Safety Fund</b>                                                           |                 |                 |                 |
| APPROPRIATIONS                                                                         |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                        | <u>\$-</u>      | <u>\$1</u>      | <u>\$-</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                            | <b>\$-</b>      | <b>\$1</b>      | <b>\$-</b>      |
| <b>0117 Alcoholic Beverage Control Appeals Fund</b>                                    |                 |                 |                 |
| APPROPRIATIONS                                                                         |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                        | <u>\$1</u>      | <u>\$1</u>      | <u>\$1</u>      |

\* Dollars in thousands, except in Salary Range.

**0840 State Controller - Continued**

| <b>1 STATE OPERATIONS</b>                                            | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|----------------------------------------------------------------------|-----------------|-----------------|-----------------|
| <b>TOTALS, EXPENDITURES</b>                                          | <b>\$1</b>      | <b>\$1</b>      | <b>\$1</b>      |
| <b>0121 Hospital Building Fund</b>                                   |                 |                 |                 |
| APPROPRIATIONS                                                       |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25      | <u>\$30</u>     | <u>\$23</u>     | <u>\$25</u>     |
| <b>TOTALS, EXPENDITURES</b>                                          | <b>\$30</b>     | <b>\$23</b>     | <b>\$25</b>     |
| <b>0129 Water Device Certification Special Account</b>               |                 |                 |                 |
| APPROPRIATIONS                                                       |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25      | <u>\$-</u>      | <u>\$1</u>      | <u>\$-</u>      |
| <b>TOTALS, EXPENDITURES</b>                                          | <b>\$-</b>      | <b>\$1</b>      | <b>\$-</b>      |
| <b>0132 Workers' Compensation Managed Care Fund</b>                  |                 |                 |                 |
| APPROPRIATIONS                                                       |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25      | <u>\$-</u>      | <u>\$1</u>      | <u>\$-</u>      |
| <b>TOTALS, EXPENDITURES</b>                                          | <b>\$-</b>      | <b>\$1</b>      | <b>\$-</b>      |
| <b>0133 California Beverage Container Recycling Fund</b>             |                 |                 |                 |
| APPROPRIATIONS                                                       |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25      | <u>\$58</u>     | <u>\$60</u>     | <u>\$61</u>     |
| <b>TOTALS, EXPENDITURES</b>                                          | <b>\$58</b>     | <b>\$60</b>     | <b>\$61</b>     |
| <b>0139 Driving Under-the-Influence Program Licensing Trust Fund</b> |                 |                 |                 |
| APPROPRIATIONS                                                       |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25      | <u>\$2</u>      | <u>\$2</u>      | <u>\$1</u>      |
| <b>TOTALS, EXPENDITURES</b>                                          | <b>\$2</b>      | <b>\$2</b>      | <b>\$1</b>      |
| <b>0140 California Environmental License Plate Fund</b>              |                 |                 |                 |
| APPROPRIATIONS                                                       |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25      | <u>\$41</u>     | <u>\$61</u>     | <u>\$40</u>     |
| <b>TOTALS, EXPENDITURES</b>                                          | <b>\$41</b>     | <b>\$61</b>     | <b>\$40</b>     |
| <b>0142 Department of Justice Sexual Habitual Offender Fund</b>      |                 |                 |                 |
| APPROPRIATIONS                                                       |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25      | <u>\$3</u>      | <u>\$4</u>      | <u>\$2</u>      |
| <b>TOTALS, EXPENDITURES</b>                                          | <b>\$3</b>      | <b>\$4</b>      | <b>\$2</b>      |
| <b>0143 California Health Data and Planning Fund</b>                 |                 |                 |                 |
| APPROPRIATIONS                                                       |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25      | <u>\$-</u>      | <u>\$11</u>     | <u>\$11</u>     |
| <b>TOTALS, EXPENDITURES</b>                                          | <b>\$-</b>      | <b>\$11</b>     | <b>\$11</b>     |
| <b>0152 State Board of Chiropractic Examiners Fund</b>               |                 |                 |                 |
| APPROPRIATIONS                                                       |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25      | <u>\$2</u>      | <u>\$2</u>      | <u>\$2</u>      |
| <b>TOTALS, EXPENDITURES</b>                                          | <b>\$2</b>      | <b>\$2</b>      | <b>\$2</b>      |
| <b>0158 Travel Seller Fund</b>                                       |                 |                 |                 |
| APPROPRIATIONS                                                       |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25      | <u>\$1</u>      | <u>\$2</u>      | <u>\$1</u>      |
| <b>TOTALS, EXPENDITURES</b>                                          | <b>\$1</b>      | <b>\$2</b>      | <b>\$1</b>      |
| <b>0166 Certification Account, Consumer Affairs Fund</b>             |                 |                 |                 |
| APPROPRIATIONS                                                       |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25      | <u>\$1</u>      | <u>\$1</u>      | <u>\$1</u>      |
| <b>TOTALS, EXPENDITURES</b>                                          | <b>\$1</b>      | <b>\$1</b>      | <b>\$1</b>      |
| <b>0169 California Debt Limit Allocation Committee Fund</b>          |                 |                 |                 |
| APPROPRIATIONS                                                       |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25      | <u>\$1</u>      | <u>\$1</u>      | <u>\$1</u>      |
| <b>TOTALS, EXPENDITURES</b>                                          | <b>\$1</b>      | <b>\$1</b>      | <b>\$1</b>      |
| <b>0170 Corrections Training Fund</b>                                |                 |                 |                 |
| APPROPRIATIONS                                                       |                 |                 |                 |

\* Dollars in thousands, except in Salary Range.

**0840 State Controller - Continued**

| <b>1 STATE OPERATIONS</b>                                             | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|-----------------------------------------------------------------------|-----------------|-----------------|-----------------|
| Human Resources Management System Assessments per Section 25.25       | <u>\$35</u>     | <u>\$6</u>      | <u>\$45</u>     |
| <b>TOTALS, EXPENDITURES</b>                                           | <b>\$35</b>     | <b>\$6</b>      | <b>\$45</b>     |
| <b>0171 California Debt and Investment Advisory Commission Fund</b>   |                 |                 |                 |
| APPROPRIATIONS                                                        |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25       | <u>\$1</u>      | <u>\$1</u>      | <u>\$1</u>      |
| <b>TOTALS, EXPENDITURES</b>                                           | <b>\$1</b>      | <b>\$1</b>      | <b>\$1</b>      |
| <b>0177 Food Safety Fund</b>                                          |                 |                 |                 |
| APPROPRIATIONS                                                        |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25       | <u>\$3</u>      | <u>\$4</u>      | <u>\$3</u>      |
| <b>TOTALS, EXPENDITURES</b>                                           | <b>\$3</b>      | <b>\$4</b>      | <b>\$3</b>      |
| <b>0178 Driver Training Penalty Assessment Fund</b>                   |                 |                 |                 |
| APPROPRIATIONS                                                        |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25       | <u>\$1</u>      | <u>\$1</u>      | <u>\$1</u>      |
| <b>TOTALS, EXPENDITURES</b>                                           | <b>\$1</b>      | <b>\$1</b>      | <b>\$1</b>      |
| <b>0179 Environmental Laboratory Improvement Fund</b>                 |                 |                 |                 |
| APPROPRIATIONS                                                        |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25       | <u>\$2</u>      | <u>\$2</u>      | <u>\$1</u>      |
| <b>TOTALS, EXPENDITURES</b>                                           | <b>\$2</b>      | <b>\$2</b>      | <b>\$1</b>      |
| <b>0181 Registered Nurse Education Fund</b>                           |                 |                 |                 |
| APPROPRIATIONS                                                        |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25       | <u>\$1</u>      | <u>\$1</u>      | <u>\$1</u>      |
| <b>TOTALS, EXPENDITURES</b>                                           | <b>\$1</b>      | <b>\$1</b>      | <b>\$1</b>      |
| <b>0184 Employment Development Department Benefit Audit Fund</b>      |                 |                 |                 |
| APPROPRIATIONS                                                        |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25       | <u>\$36</u>     | <u>\$-</u>      | <u>\$-</u>      |
| <b>TOTALS, EXPENDITURES</b>                                           | <b>\$36</b>     | <b>\$-</b>      | <b>\$-</b>      |
| <b>0185 Employment Development Department Contingent Fund</b>         |                 |                 |                 |
| APPROPRIATIONS                                                        |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25       | <u>\$212</u>    | <u>\$-</u>      | <u>\$-</u>      |
| <b>TOTALS, EXPENDITURES</b>                                           | <b>\$212</b>    | <b>\$-</b>      | <b>\$-</b>      |
| <b>0191 Fair and Exposition Fund</b>                                  |                 |                 |                 |
| APPROPRIATIONS                                                        |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25       | <u>\$11</u>     | <u>\$10</u>     | <u>\$8</u>      |
| <b>TOTALS, EXPENDITURES</b>                                           | <b>\$11</b>     | <b>\$10</b>     | <b>\$8</b>      |
| <b>0192 Satellite Wagering Account</b>                                |                 |                 |                 |
| APPROPRIATIONS                                                        |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25       | <u>\$1</u>      | <u>\$1</u>      | <u>\$-</u>      |
| <b>TOTALS, EXPENDITURES</b>                                           | <b>\$1</b>      | <b>\$1</b>      | <b>\$-</b>      |
| <b>0193 Waste Discharge Permit Fund</b>                               |                 |                 |                 |
| APPROPRIATIONS                                                        |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25       | <u>\$16</u>     | <u>\$18</u>     | <u>\$20</u>     |
| <b>TOTALS, EXPENDITURES</b>                                           | <b>\$16</b>     | <b>\$18</b>     | <b>\$20</b>     |
| <b>0194 Emergency Medical Services Training Program Approval Fund</b> |                 |                 |                 |
| APPROPRIATIONS                                                        |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25       | <u>\$1</u>      | <u>\$1</u>      | <u>\$-</u>      |
| <b>TOTALS, EXPENDITURES</b>                                           | <b>\$1</b>      | <b>\$1</b>      | <b>\$-</b>      |
| <b>0195 Conservatorship Registry Fund</b>                             |                 |                 |                 |
| APPROPRIATIONS                                                        |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25       | <u>\$-</u>      | <u>\$1</u>      | <u>\$-</u>      |
| <b>TOTALS, EXPENDITURES</b>                                           | <b>\$-</b>      | <b>\$1</b>      | <b>\$-</b>      |
| <b>0198 California Fire and Arson Training Fund</b>                   |                 |                 |                 |

\* Dollars in thousands, except in Salary Range.

**0840 State Controller - Continued**

| <b>1 STATE OPERATIONS</b>                                       | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|-----------------------------------------------------------------|-----------------|-----------------|-----------------|
| APPROPRIATIONS                                                  |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25 | <u>\$4</u>      | <u>\$4</u>      | <u>\$5</u>      |
| <b>TOTALS, EXPENDITURES</b>                                     | <b>\$4</b>      | <b>\$4</b>      | <b>\$5</b>      |
| <b>0200 Fish and Game Preservation Fund</b>                     |                 |                 |                 |
| APPROPRIATIONS                                                  |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25 | <u>\$93</u>     | <u>\$91</u>     | <u>\$72</u>     |
| <b>TOTALS, EXPENDITURES</b>                                     | <b>\$93</b>     | <b>\$91</b>     | <b>\$72</b>     |
| <b>0203 Genetic Disease Testing Fund</b>                        |                 |                 |                 |
| APPROPRIATIONS                                                  |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25 | <u>\$53</u>     | <u>\$59</u>     | <u>\$52</u>     |
| <b>TOTALS, EXPENDITURES</b>                                     | <b>\$53</b>     | <b>\$59</b>     | <b>\$52</b>     |
| <b>0205 Geology and Geophysics Fund</b>                         |                 |                 |                 |
| APPROPRIATIONS                                                  |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25 | <u>\$1</u>      | <u>\$1</u>      | <u>\$1</u>      |
| <b>TOTALS, EXPENDITURES</b>                                     | <b>\$1</b>      | <b>\$1</b>      | <b>\$1</b>      |
| <b>0207 Fish and Wildlife Pollution Account</b>                 |                 |                 |                 |
| APPROPRIATIONS                                                  |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25 | <u>\$3</u>      | <u>\$2</u>      | <u>\$2</u>      |
| <b>TOTALS, EXPENDITURES</b>                                     | <b>\$3</b>      | <b>\$2</b>      | <b>\$2</b>      |
| <b>0208 Hearing Aid Dispensers Fund</b>                         |                 |                 |                 |
| APPROPRIATIONS                                                  |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25 | <u>\$1</u>      | <u>\$1</u>      | <u>\$1</u>      |
| <b>TOTALS, EXPENDITURES</b>                                     | <b>\$1</b>      | <b>\$1</b>      | <b>\$1</b>      |
| <b>0209 California Hazardous Liquid Pipeline Safety Fund</b>    |                 |                 |                 |
| APPROPRIATIONS                                                  |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25 | <u>\$7</u>      | <u>\$7</u>      | <u>\$8</u>      |
| <b>TOTALS, EXPENDITURES</b>                                     | <b>\$7</b>      | <b>\$7</b>      | <b>\$8</b>      |
| <b>0212 Marine Invasive Species Control Fund</b>                |                 |                 |                 |
| APPROPRIATIONS                                                  |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25 | <u>\$3</u>      | <u>\$3</u>      | <u>\$4</u>      |
| <b>TOTALS, EXPENDITURES</b>                                     | <b>\$3</b>      | <b>\$3</b>      | <b>\$4</b>      |
| <b>0214 Restitution Fund</b>                                    |                 |                 |                 |
| APPROPRIATIONS                                                  |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25 | <u>\$33</u>     | <u>\$34</u>     | <u>\$31</u>     |
| <b>TOTALS, EXPENDITURES</b>                                     | <b>\$33</b>     | <b>\$34</b>     | <b>\$31</b>     |
| <b>0217 Insurance Fund</b>                                      |                 |                 |                 |
| APPROPRIATIONS                                                  |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25 | <u>\$2</u>      | <u>\$1</u>      | <u>\$151</u>    |
| <b>TOTALS, EXPENDITURES</b>                                     | <b>\$2</b>      | <b>\$1</b>      | <b>\$151</b>    |
| <b>0223 Workers' Compensation Administration Revolving Fund</b> |                 |                 |                 |
| APPROPRIATIONS                                                  |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25 | <u>\$138</u>    | <u>\$251</u>    | <u>\$152</u>    |
| <b>TOTALS, EXPENDITURES</b>                                     | <b>\$138</b>    | <b>\$251</b>    | <b>\$152</b>    |
| <b>0226 California Tire Recycling Management Fund</b>           |                 |                 |                 |
| APPROPRIATIONS                                                  |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25 | <u>\$7</u>      | <u>\$6</u>      | <u>\$-</u>      |
| <b>TOTALS, EXPENDITURES</b>                                     | <b>\$7</b>      | <b>\$6</b>      | <b>\$-</b>      |
| <b>0228 Secretary of State's Business Fees Fund</b>             |                 |                 |                 |
| APPROPRIATIONS                                                  |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25 | <u>\$6</u>      | <u>\$54</u>     | <u>\$31</u>     |
| <b>TOTALS, EXPENDITURES</b>                                     | <b>\$6</b>      | <b>\$54</b>     | <b>\$31</b>     |

\* Dollars in thousands, except in Salary Range.

**0840 State Controller - Continued**

| <b>1 STATE OPERATIONS</b>                                                        | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|----------------------------------------------------------------------------------|-----------------|-----------------|-----------------|
| <b>0231 Health Education Account, Cigarette and Tobacco Products Surtax Fund</b> |                 |                 |                 |
| APPROPRIATIONS                                                                   |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                  | <u>\$5</u>      | <u>\$5</u>      | <u>\$4</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                      | <b>\$5</b>      | <b>\$5</b>      | <b>\$4</b>      |
| <b>0234 Research Account, Cigarette and Tobacco Products Surtax Fund</b>         |                 |                 |                 |
| APPROPRIATIONS                                                                   |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                  | <u>\$4</u>      | <u>\$4</u>      | <u>\$3</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                      | <b>\$4</b>      | <b>\$4</b>      | <b>\$3</b>      |
| <b>0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund</b> |                 |                 |                 |
| APPROPRIATIONS                                                                   |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                  | <u>\$34</u>     | <u>\$98</u>     | <u>\$24</u>     |
| <b>TOTALS, EXPENDITURES</b>                                                      | <b>\$34</b>     | <b>\$98</b>     | <b>\$24</b>     |
| <b>0236 Unallocated Account, Cigarette and Tobacco Products Surtax Fund</b>      |                 |                 |                 |
| APPROPRIATIONS                                                                   |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                  | <u>\$4</u>      | <u>\$-</u>      | <u>\$-</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                      | <b>\$4</b>      | <b>\$-</b>      | <b>\$-</b>      |
| <b>0239 Private Security Services Fund</b>                                       |                 |                 |                 |
| APPROPRIATIONS                                                                   |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                  | <u>\$8</u>      | <u>\$12</u>     | <u>\$9</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                      | <b>\$8</b>      | <b>\$12</b>     | <b>\$9</b>      |
| <b>0242 Court Collection Account</b>                                             |                 |                 |                 |
| APPROPRIATIONS                                                                   |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                  | <u>\$9</u>      | <u>\$11</u>     | <u>\$10</u>     |
| <b>TOTALS, EXPENDITURES</b>                                                      | <b>\$9</b>      | <b>\$11</b>     | <b>\$10</b>     |
| <b>0243 Narcotic Treatment Program Licensing Trust Fund</b>                      |                 |                 |                 |
| APPROPRIATIONS                                                                   |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                  | <u>\$1</u>      | <u>\$1</u>      | <u>\$1</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                      | <b>\$1</b>      | <b>\$1</b>      | <b>\$1</b>      |
| <b>0245 Mobilehome Park Revolving Fund</b>                                       |                 |                 |                 |
| APPROPRIATIONS                                                                   |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                  | <u>\$5</u>      | <u>\$6</u>      | <u>\$6</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                      | <b>\$5</b>      | <b>\$6</b>      | <b>\$6</b>      |
| <b>0247 Drinking Water Operator Certification Special Account</b>                |                 |                 |                 |
| APPROPRIATIONS                                                                   |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                  | <u>\$1</u>      | <u>\$1</u>      | <u>\$1</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                      | <b>\$1</b>      | <b>\$1</b>      | <b>\$1</b>      |
| <b>0256 Sexual Predator Public Information Account</b>                           |                 |                 |                 |
| APPROPRIATIONS                                                                   |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                  | <u>\$1</u>      | <u>\$-</u>      | <u>\$-</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                      | <b>\$1</b>      | <b>\$-</b>      | <b>\$-</b>      |
| <b>0260 Nursing Home Administrator's State License Examining Fund</b>            |                 |                 |                 |
| APPROPRIATIONS                                                                   |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                  | <u>\$-</u>      | <u>\$1</u>      | <u>\$-</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                      | <b>\$-</b>      | <b>\$1</b>      | <b>\$-</b>      |
| <b>0262 Habitat Conservation Fund</b>                                            |                 |                 |                 |
| APPROPRIATIONS                                                                   |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                  | <u>\$1</u>      | <u>\$1</u>      | <u>\$1</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                      | <b>\$1</b>      | <b>\$1</b>      | <b>\$1</b>      |
| <b>0263 Off-Highway Vehicle Trust Fund</b>                                       |                 |                 |                 |
| APPROPRIATIONS                                                                   |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                  | \$238           | \$366           | \$72            |

\* Dollars in thousands, except in Salary Range.

**0840 State Controller - Continued**

| <b>1 STATE OPERATIONS</b>                                                                               | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|---------------------------------------------------------------------------------------------------------|-----------------|-----------------|-----------------|
| <b>TOTALS, EXPENDITURES</b>                                                                             | <b>\$238</b>    | <b>\$366</b>    | <b>\$72</b>     |
| <b>0264 Osteopathic Medical Board of California Contingent Fund</b>                                     |                 |                 |                 |
| APPROPRIATIONS                                                                                          |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                                         | <u>\$1</u>      | <u>\$1</u>      | <u>\$1</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                                             | <b>\$1</b>      | <b>\$1</b>      | <b>\$1</b>      |
| <b>0267 Exposition Park Improvement Fund</b>                                                            |                 |                 |                 |
| APPROPRIATIONS                                                                                          |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                                         | <u>\$5</u>      | <u>\$5</u>      | <u>\$5</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                                             | <b>\$5</b>      | <b>\$5</b>      | <b>\$5</b>      |
| <b>0268 Peace Officers' Training Fund</b>                                                               |                 |                 |                 |
| APPROPRIATIONS                                                                                          |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                                         | <u>\$1</u>      | <u>\$-</u>      | <u>\$-</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                                             | <b>\$1</b>      | <b>\$-</b>      | <b>\$-</b>      |
| <b>0272 Infant Botulism Treatment and Prevention Fund</b>                                               |                 |                 |                 |
| APPROPRIATIONS                                                                                          |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                                         | <u>\$1</u>      | <u>\$2</u>      | <u>\$1</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                                             | <b>\$1</b>      | <b>\$2</b>      | <b>\$1</b>      |
| <b>0280 Physician Assistant Fund</b>                                                                    |                 |                 |                 |
| APPROPRIATIONS                                                                                          |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                                         | <u>\$1</u>      | <u>\$1</u>      | <u>\$1</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                                             | <b>\$1</b>      | <b>\$1</b>      | <b>\$1</b>      |
| <b>0281 Recycling Market Development Revolving Loan Subaccount, Integrated Waste Management Account</b> |                 |                 |                 |
| APPROPRIATIONS                                                                                          |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                                         | <u>\$1</u>      | <u>\$1</u>      | <u>\$1</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                                             | <b>\$1</b>      | <b>\$1</b>      | <b>\$1</b>      |
| <b>0293 Motor Carriers Safety Improvement Fund</b>                                                      |                 |                 |                 |
| APPROPRIATIONS                                                                                          |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                                         | <u>\$3</u>      | <u>\$3</u>      | <u>\$4</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                                             | <b>\$3</b>      | <b>\$3</b>      | <b>\$4</b>      |
| <b>0295 Board of Podiatric Medicine Fund</b>                                                            |                 |                 |                 |
| APPROPRIATIONS                                                                                          |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                                         | <u>\$1</u>      | <u>\$1</u>      | <u>\$1</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                                             | <b>\$1</b>      | <b>\$1</b>      | <b>\$1</b>      |
| <b>0298 Financial Institutions Fund</b>                                                                 |                 |                 |                 |
| APPROPRIATIONS                                                                                          |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                                         | <u>\$18</u>     | <u>\$19</u>     | <u>\$19</u>     |
| <b>TOTALS, EXPENDITURES</b>                                                                             | <b>\$18</b>     | <b>\$19</b>     | <b>\$19</b>     |
| <b>0299 Credit Union Fund</b>                                                                           |                 |                 |                 |
| APPROPRIATIONS                                                                                          |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                                         | <u>\$4</u>      | <u>\$4</u>      | <u>\$4</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                                             | <b>\$4</b>      | <b>\$4</b>      | <b>\$4</b>      |
| <b>0300 Professional Forester Registration Fund</b>                                                     |                 |                 |                 |
| APPROPRIATIONS                                                                                          |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                                         | <u>\$1</u>      | <u>\$1</u>      | <u>\$1</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                                             | <b>\$1</b>      | <b>\$1</b>      | <b>\$1</b>      |
| <b>0305 Private Postsecondary and Vocational Education Administration Fund</b>                          |                 |                 |                 |
| APPROPRIATIONS                                                                                          |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                                         | <u>\$7</u>      | <u>\$-</u>      | <u>\$4</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                                             | <b>\$7</b>      | <b>\$-</b>      | <b>\$4</b>      |
| <b>0306 Safe Drinking Water Account</b>                                                                 |                 |                 |                 |

\* Dollars in thousands, except in Salary Range.

**0840 State Controller - Continued**

| <b>1 STATE OPERATIONS</b>                                                          | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|------------------------------------------------------------------------------------|-----------------|-----------------|-----------------|
| APPROPRIATIONS                                                                     |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                    | <u>\$6</u>      | <u>\$6</u>      | <u>\$5</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                        | <b>\$6</b>      | <b>\$6</b>      | <b>\$5</b>      |
| <b>0309 Perinatal Insurance Fund</b>                                               |                 |                 |                 |
| APPROPRIATIONS                                                                     |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                    | <u>\$1</u>      | <u>\$1</u>      | <u>\$-</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                        | <b>\$1</b>      | <b>\$1</b>      | <b>\$-</b>      |
| <b>0310 Psychology Fund</b>                                                        |                 |                 |                 |
| APPROPRIATIONS                                                                     |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                    | <u>\$2</u>      | <u>\$2</u>      | <u>\$2</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                        | <b>\$2</b>      | <b>\$2</b>      | <b>\$2</b>      |
| <b>0312 Emergency Medical Services Personnel Fund</b>                              |                 |                 |                 |
| APPROPRIATIONS                                                                     |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                    | <u>\$3</u>      | <u>\$4</u>      | <u>\$1</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                        | <b>\$3</b>      | <b>\$4</b>      | <b>\$1</b>      |
| <b>0313 Major Risk Medical Insurance Fund</b>                                      |                 |                 |                 |
| APPROPRIATIONS                                                                     |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                    | <u>\$1</u>      | <u>\$1</u>      | <u>\$1</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                        | <b>\$1</b>      | <b>\$1</b>      | <b>\$1</b>      |
| <b>0317 Real Estate Fund</b>                                                       |                 |                 |                 |
| APPROPRIATIONS                                                                     |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                    | <u>\$35</u>     | <u>\$41</u>     | <u>\$42</u>     |
| <b>TOTALS, EXPENDITURES</b>                                                        | <b>\$35</b>     | <b>\$41</b>     | <b>\$42</b>     |
| <b>0318 Collins-Dugan California Conservation Corps Reimbursement Account</b>      |                 |                 |                 |
| APPROPRIATIONS                                                                     |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                    | <u>\$122</u>    | <u>\$121</u>    | <u>\$79</u>     |
| <b>TOTALS, EXPENDITURES</b>                                                        | <b>\$122</b>    | <b>\$121</b>    | <b>\$79</b>     |
| <b>0319 Respiratory Care Fund</b>                                                  |                 |                 |                 |
| APPROPRIATIONS                                                                     |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                    | <u>\$2</u>      | <u>\$2</u>      | <u>\$2</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                        | <b>\$2</b>      | <b>\$2</b>      | <b>\$2</b>      |
| <b>0320 Oil Spill Prevention and Administration Fund</b>                           |                 |                 |                 |
| APPROPRIATIONS                                                                     |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                    | <u>\$31</u>     | <u>\$31</u>     | <u>\$31</u>     |
| <b>TOTALS, EXPENDITURES</b>                                                        | <b>\$31</b>     | <b>\$31</b>     | <b>\$31</b>     |
| <b>0325 Electronic and Appliance Repair Fund</b>                                   |                 |                 |                 |
| APPROPRIATIONS                                                                     |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                    | <u>\$2</u>      | <u>\$3</u>      | <u>\$2</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                        | <b>\$2</b>      | <b>\$3</b>      | <b>\$2</b>      |
| <b>0326 Athletic Commission Fund</b>                                               |                 |                 |                 |
| APPROPRIATIONS                                                                     |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                    | <u>\$-</u>      | <u>\$2</u>      | <u>\$1</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                        | <b>\$-</b>      | <b>\$2</b>      | <b>\$1</b>      |
| <b>0328 Public School Planning, Design, and Construction Review Revolving Fund</b> |                 |                 |                 |
| APPROPRIATIONS                                                                     |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                    | <u>\$19</u>     | <u>\$19</u>     | <u>\$18</u>     |
| <b>TOTALS, EXPENDITURES</b>                                                        | <b>\$19</b>     | <b>\$19</b>     | <b>\$18</b>     |
| <b>0330 Local Revenue Fund</b>                                                     |                 |                 |                 |
| APPROPRIATIONS                                                                     |                 |                 |                 |
| 001 Budget Act appropriation                                                       | \$511           | \$570           | \$591           |
| Allocation for employee compensation                                               | 24              | 17              | -               |

\* Dollars in thousands, except in Salary Range.

**0840 State Controller - Continued**

| <b>1 STATE OPERATIONS</b>                                                                                 | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|-----------------------------------------------------------------------------------------------------------|-----------------|-----------------|-----------------|
| Adjustment per Section 3.60                                                                               | 3               | -1              | -               |
| Apportionment Payment System Assessments per Control Section 25.5                                         | 94              | 114             | 94              |
| Human Resources Management System Assessments per Section 25.25                                           | <u>7</u>        | <u>8</u>        | <u>3</u>        |
| <b>TOTALS, EXPENDITURES</b>                                                                               | <b>\$639</b>    | <b>\$708</b>    | <b>\$688</b>    |
| <b>0335 Registered Environmental Health Specialist Fund</b>                                               |                 |                 |                 |
| APPROPRIATIONS                                                                                            |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                                           | <u>\$-</u>      | <u>\$1</u>      | <u>\$-</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                                               | <b>\$-</b>      | <b>\$1</b>      | <b>\$-</b>      |
| <b>0338 Strong-Motion Instrumentation and Seismic Hazards Mapping Fund</b>                                |                 |                 |                 |
| APPROPRIATIONS                                                                                            |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                                           | <u>\$1</u>      | <u>\$1</u>      | <u>\$1</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                                               | <b>\$1</b>      | <b>\$1</b>      | <b>\$1</b>      |
| <b>0347 School Land Bank Fund</b>                                                                         |                 |                 |                 |
| APPROPRIATIONS                                                                                            |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                                           | <u>\$-</u>      | <u>\$2</u>      | <u>\$-</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                                               | <b>\$-</b>      | <b>\$2</b>      | <b>\$-</b>      |
| <b>0371 California Beach and Coastal Enhancement Account, California Environmental License Plate Fund</b> |                 |                 |                 |
| APPROPRIATIONS                                                                                            |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                                           | <u>\$1</u>      | <u>\$1</u>      | <u>\$1</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                                               | <b>\$1</b>      | <b>\$1</b>      | <b>\$1</b>      |
| <b>0376 Speech-Language Pathology and Audiology Fund</b>                                                  |                 |                 |                 |
| APPROPRIATIONS                                                                                            |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                                           | <u>\$-</u>      | <u>\$1</u>      | <u>\$1</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                                               | <b>\$-</b>      | <b>\$1</b>      | <b>\$1</b>      |
| <b>0378 False Claims Act Fund</b>                                                                         |                 |                 |                 |
| APPROPRIATIONS                                                                                            |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                                           | <u>\$12</u>     | <u>\$21</u>     | <u>\$11</u>     |
| <b>TOTALS, EXPENDITURES</b>                                                                               | <b>\$12</b>     | <b>\$21</b>     | <b>\$11</b>     |
| <b>0380 State Dental Auxiliary Fund</b>                                                                   |                 |                 |                 |
| APPROPRIATIONS                                                                                            |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                                           | <u>\$1</u>      | <u>\$2</u>      | <u>\$2</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                                               | <b>\$1</b>      | <b>\$2</b>      | <b>\$2</b>      |
| <b>0381 Public Interest Research, Development, and Demonstration Fund</b>                                 |                 |                 |                 |
| APPROPRIATIONS                                                                                            |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                                           | <u>\$12</u>     | <u>\$12</u>     | <u>\$10</u>     |
| <b>TOTALS, EXPENDITURES</b>                                                                               | <b>\$12</b>     | <b>\$12</b>     | <b>\$10</b>     |
| <b>0382 Renewable Resource Trust Fund</b>                                                                 |                 |                 |                 |
| APPROPRIATIONS                                                                                            |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                                           | <u>\$24</u>     | <u>\$28</u>     | <u>\$30</u>     |
| <b>TOTALS, EXPENDITURES</b>                                                                               | <b>\$24</b>     | <b>\$28</b>     | <b>\$30</b>     |
| <b>0386 Solid Waste Disposal Site Cleanup Trust Fund</b>                                                  |                 |                 |                 |
| APPROPRIATIONS                                                                                            |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                                           | <u>\$1</u>      | <u>\$2</u>      | <u>\$2</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                                               | <b>\$1</b>      | <b>\$2</b>      | <b>\$2</b>      |
| <b>0387 Integrated Waste Management Account, Integrated Waste Management Fund</b>                         |                 |                 |                 |
| APPROPRIATIONS                                                                                            |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                                           | <u>\$14</u>     | <u>\$16</u>     | <u>\$18</u>     |
| <b>TOTALS, EXPENDITURES</b>                                                                               | <b>\$14</b>     | <b>\$16</b>     | <b>\$18</b>     |
| <b>0392 State Parks and Recreation Fund</b>                                                               |                 |                 |                 |
| APPROPRIATIONS                                                                                            |                 |                 |                 |

\* Dollars in thousands, except in Salary Range.

**0840 State Controller - Continued**

| <b>1 STATE OPERATIONS</b>                                                              | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|----------------------------------------------------------------------------------------|-----------------|-----------------|-----------------|
| Human Resources Management System Assessments per Section 25.25                        | <u>\$238</u>    | <u>\$-</u>      | <u>\$-</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                            | <b>\$238</b>    | <b>\$-</b>      | <b>\$-</b>      |
| <b>0396 Self-Insurance Plans Fund</b>                                                  |                 |                 |                 |
| APPROPRIATIONS                                                                         |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                        | <u>\$-</u>      | <u>\$5</u>      | <u>\$3</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                            | <b>\$-</b>      | <b>\$5</b>      | <b>\$3</b>      |
| <b>0400 Real Estate Appraisers Regulation Fund</b>                                     |                 |                 |                 |
| APPROPRIATIONS                                                                         |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                        | <u>\$3</u>      | <u>\$3</u>      | <u>\$3</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                            | <b>\$3</b>      | <b>\$3</b>      | <b>\$3</b>      |
| <b>0407 Teacher Credentials Fund</b>                                                   |                 |                 |                 |
| APPROPRIATIONS                                                                         |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                        | <u>\$12</u>     | <u>\$12</u>     | <u>\$18</u>     |
| <b>TOTALS, EXPENDITURES</b>                                                            | <b>\$12</b>     | <b>\$12</b>     | <b>\$18</b>     |
| <b>0408 Test Development and Administration Account, Teacher Credentials Fund</b>      |                 |                 |                 |
| APPROPRIATIONS                                                                         |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                        | <u>\$4</u>      | <u>\$4</u>      | <u>\$5</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                            | <b>\$4</b>      | <b>\$4</b>      | <b>\$5</b>      |
| <b>0421 Vehicle Inspection and Repair Fund</b>                                         |                 |                 |                 |
| APPROPRIATIONS                                                                         |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                        | <u>\$127</u>    | <u>\$131</u>    | <u>\$105</u>    |
| <b>TOTALS, EXPENDITURES</b>                                                            | <b>\$127</b>    | <b>\$131</b>    | <b>\$105</b>    |
| <b>0425 Victim - Witness Assistance Fund</b>                                           |                 |                 |                 |
| APPROPRIATIONS                                                                         |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                        | <u>\$1</u>      | <u>\$1</u>      | <u>\$-</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                            | <b>\$1</b>      | <b>\$1</b>      | <b>\$-</b>      |
| <b>0439 Underground Storage Tank Cleanup Fund</b>                                      |                 |                 |                 |
| APPROPRIATIONS                                                                         |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                        | <u>\$76</u>     | <u>\$86</u>     | <u>\$94</u>     |
| <b>TOTALS, EXPENDITURES</b>                                                            | <b>\$76</b>     | <b>\$86</b>     | <b>\$94</b>     |
| <b>0447 Wildlife Restoration Fund</b>                                                  |                 |                 |                 |
| APPROPRIATIONS                                                                         |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                        | <u>\$1</u>      | <u>\$1</u>      | <u>\$1</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                            | <b>\$1</b>      | <b>\$1</b>      | <b>\$1</b>      |
| <b>0448 Occupancy Compliance Monitoring Account, Tax Credit Allocation Fee Account</b> |                 |                 |                 |
| APPROPRIATIONS                                                                         |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                        | <u>\$1</u>      | <u>\$1</u>      | <u>\$1</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                            | <b>\$1</b>      | <b>\$1</b>      | <b>\$1</b>      |
| <b>0452 Elevator Safety Account</b>                                                    |                 |                 |                 |
| APPROPRIATIONS                                                                         |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                        | <u>\$12</u>     | <u>\$2</u>      | <u>\$-</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                            | <b>\$12</b>     | <b>\$2</b>      | <b>\$-</b>      |
| <b>0453 Pressure Vessel Account</b>                                                    |                 |                 |                 |
| APPROPRIATIONS                                                                         |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                        | <u>\$3</u>      | <u>\$-</u>      | <u>\$-</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                            | <b>\$3</b>      | <b>\$-</b>      | <b>\$-</b>      |
| <b>0457 Tax Credit Allocation Fee Account</b>                                          |                 |                 |                 |
| APPROPRIATIONS                                                                         |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                        | <u>\$1</u>      | <u>\$1</u>      | <u>\$1</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                            | <b>\$1</b>      | <b>\$1</b>      | <b>\$1</b>      |
| <b>0460 Dealers' Record of Sale Special Account</b>                                    |                 |                 |                 |

\* Dollars in thousands, except in Salary Range.

**0840 State Controller - Continued**

| <b>1 STATE OPERATIONS</b>                                                              | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|----------------------------------------------------------------------------------------|-----------------|-----------------|-----------------|
| APPROPRIATIONS                                                                         |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                        | <u>\$9</u>      | <u>\$14</u>     | <u>\$8</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                            | <b>\$9</b>      | <b>\$14</b>     | <b>\$8</b>      |
| <b>0461 Public Utilities Commission Transportation Reimbursement Account</b>           |                 |                 |                 |
| APPROPRIATIONS                                                                         |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                        | <u>\$1</u>      | <u>\$1</u>      | <u>\$1</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                            | <b>\$1</b>      | <b>\$1</b>      | <b>\$1</b>      |
| <b>0462 Public Utilities Commission Utilities Reimbursement Account</b>                |                 |                 |                 |
| APPROPRIATIONS                                                                         |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                        | <u>\$6</u>      | <u>\$10</u>     | <u>\$8</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                            | <b>\$6</b>      | <b>\$10</b>     | <b>\$8</b>      |
| <b>0464 California High-Cost Fund-A Administrative Committee Fund</b>                  |                 |                 |                 |
| APPROPRIATIONS                                                                         |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                        | <u>\$3</u>      | <u>\$6</u>      | <u>\$5</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                            | <b>\$3</b>      | <b>\$6</b>      | <b>\$5</b>      |
| <b>0465 Energy Resources Programs Account</b>                                          |                 |                 |                 |
| APPROPRIATIONS                                                                         |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                        | <u>\$12</u>     | <u>\$12</u>     | <u>\$11</u>     |
| <b>TOTALS, EXPENDITURES</b>                                                            | <b>\$12</b>     | <b>\$12</b>     | <b>\$11</b>     |
| <b>0470 California High-Cost Fund-B Administrative Committee Fund</b>                  |                 |                 |                 |
| APPROPRIATIONS                                                                         |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                        | <u>\$32</u>     | <u>\$43</u>     | <u>\$35</u>     |
| <b>TOTALS, EXPENDITURES</b>                                                            | <b>\$32</b>     | <b>\$43</b>     | <b>\$35</b>     |
| <b>0471 Universal Lifeline Telephone Service Trust Administrative Committee Fund</b>   |                 |                 |                 |
| APPROPRIATIONS                                                                         |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                        | <u>\$20</u>     | <u>\$29</u>     | <u>\$23</u>     |
| <b>TOTALS, EXPENDITURES</b>                                                            | <b>\$20</b>     | <b>\$29</b>     | <b>\$23</b>     |
| <b>0478 Vectorborne Disease Account</b>                                                |                 |                 |                 |
| APPROPRIATIONS                                                                         |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                        | <u>\$-</u>      | <u>\$1</u>      | <u>\$-</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                            | <b>\$-</b>      | <b>\$1</b>      | <b>\$-</b>      |
| <b>0483 Deaf and Disabled Telecommunications Program Administrative Committee Fund</b> |                 |                 |                 |
| APPROPRIATIONS                                                                         |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                        | <u>\$5</u>      | <u>\$-</u>      | <u>\$-</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                            | <b>\$5</b>      | <b>\$-</b>      | <b>\$-</b>      |
| <b>0493 California Teleconnect Fund Administrative Committee Fund</b>                  |                 |                 |                 |
| APPROPRIATIONS                                                                         |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                        | <u>\$1</u>      | <u>\$4</u>      | <u>\$2</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                            | <b>\$1</b>      | <b>\$4</b>      | <b>\$2</b>      |
| <b>0494 Other Unallocated Special Funds</b>                                            |                 |                 |                 |
| APPROPRIATIONS                                                                         |                 |                 |                 |
| 011 Budget Act appropriation                                                           | \$106           | \$107           | \$73            |
| Allocation for employee compensation                                                   | 4               | -               | -               |
| Adjustment per Section 3.60                                                            | 1               | -               | -               |
| Human Resources Management System Assessments per Section 25.25                        | <u>-</u>        | <u>1</u>        | <u>8</u>        |
| <b>TOTALS, EXPENDITURES</b>                                                            | <b>\$111</b>    | <b>\$108</b>    | <b>\$81</b>     |
| <b>0501 California Housing Finance Fund</b>                                            |                 |                 |                 |
| APPROPRIATIONS                                                                         |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                        | <u>\$25</u>     | <u>\$30</u>     | <u>\$29</u>     |
| <b>TOTALS, EXPENDITURES</b>                                                            | <b>\$25</b>     | <b>\$30</b>     | <b>\$29</b>     |
| <b>0502 California Water Resources Development Bond Fund</b>                           |                 |                 |                 |

\* Dollars in thousands, except in Salary Range.

**0840 State Controller - Continued**

| <b>1 STATE OPERATIONS</b>                                       | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|-----------------------------------------------------------------|-----------------|-----------------|-----------------|
| APPROPRIATIONS                                                  |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25 | <u>\$221</u>    | <u>\$235</u>    | <u>\$278</u>    |
| <b>TOTALS, EXPENDITURES</b>                                     | <b>\$221</b>    | <b>\$235</b>    | <b>\$278</b>    |
| <b>0506 Central Valley Water Project Construction Fund</b>      |                 |                 |                 |
| APPROPRIATIONS                                                  |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25 | <u>\$58</u>     | <u>\$-</u>      | <u>\$-</u>      |
| <b>TOTALS, EXPENDITURES</b>                                     | <b>\$58</b>     | <b>\$-</b>      | <b>\$-</b>      |
| <b>0507 Central Valley Water Project Revenue Fund</b>           |                 |                 |                 |
| APPROPRIATIONS                                                  |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25 | <u>\$15</u>     | <u>\$108</u>    | <u>\$121</u>    |
| <b>Totals Available</b>                                         | <b>\$15</b>     | <b>\$108</b>    | <b>\$121</b>    |
| Unexpended balance, estimated savings                           | <u>-15</u>      | <u>-</u>        | <u>-</u>        |
| <b>TOTALS, EXPENDITURES</b>                                     | <b>\$-</b>      | <b>\$108</b>    | <b>\$121</b>    |
| <b>0512 Compensation Insurance Fund</b>                         |                 |                 |                 |
| APPROPRIATIONS                                                  |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25 | <u>\$1,215</u>  | <u>\$1,281</u>  | <u>\$1,037</u>  |
| <b>TOTALS, EXPENDITURES</b>                                     | <b>\$1,215</b>  | <b>\$1,281</b>  | <b>\$1,037</b>  |
| <b>0514 Employment Training Fund</b>                            |                 |                 |                 |
| APPROPRIATIONS                                                  |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25 | <u>\$67</u>     | <u>\$-</u>      | <u>\$168</u>    |
| <b>TOTALS, EXPENDITURES</b>                                     | <b>\$67</b>     | <b>\$-</b>      | <b>\$168</b>    |
| <b>0516 Harbors and Watercraft Revolving Fund</b>               |                 |                 |                 |
| APPROPRIATIONS                                                  |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25 | <u>\$21</u>     | <u>\$22</u>     | <u>\$16</u>     |
| <b>TOTALS, EXPENDITURES</b>                                     | <b>\$21</b>     | <b>\$22</b>     | <b>\$16</b>     |
| <b>0518 Health Facility Construction Loan Insurance Fund</b>    |                 |                 |                 |
| APPROPRIATIONS                                                  |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25 | <u>\$5</u>      | <u>\$3</u>      | <u>\$3</u>      |
| <b>TOTALS, EXPENDITURES</b>                                     | <b>\$5</b>      | <b>\$3</b>      | <b>\$3</b>      |
| <b>0530 Mobilehome Park Purchase Fund</b>                       |                 |                 |                 |
| APPROPRIATIONS                                                  |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25 | <u>\$1</u>      | <u>\$1</u>      | <u>\$1</u>      |
| <b>TOTALS, EXPENDITURES</b>                                     | <b>\$1</b>      | <b>\$1</b>      | <b>\$1</b>      |
| <b>0557 Toxic Substances Control Account</b>                    |                 |                 |                 |
| APPROPRIATIONS                                                  |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25 | <u>\$39</u>     | <u>\$38</u>     | <u>\$39</u>     |
| <b>TOTALS, EXPENDITURES</b>                                     | <b>\$39</b>     | <b>\$38</b>     | <b>\$39</b>     |
| <b>0565 State Coastal Conservancy Fund</b>                      |                 |                 |                 |
| APPROPRIATIONS                                                  |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25 | <u>\$5</u>      | <u>\$6</u>      | <u>\$5</u>      |
| <b>TOTALS, EXPENDITURES</b>                                     | <b>\$5</b>      | <b>\$6</b>      | <b>\$5</b>      |
| <b>0566 Department of Justice Child Abuse Fund</b>              |                 |                 |                 |
| APPROPRIATIONS                                                  |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25 | <u>\$-</u>      | <u>\$1</u>      | <u>\$-</u>      |
| <b>TOTALS, EXPENDITURES</b>                                     | <b>\$-</b>      | <b>\$1</b>      | <b>\$-</b>      |
| <b>0567 Gambling Control Fund</b>                               |                 |                 |                 |
| APPROPRIATIONS                                                  |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25 | <u>\$11</u>     | <u>\$17</u>     | <u>\$12</u>     |
| <b>TOTALS, EXPENDITURES</b>                                     | <b>\$11</b>     | <b>\$17</b>     | <b>\$12</b>     |
| <b>0572 Stringfellow Insurance Proceeds Account</b>             |                 |                 |                 |
| APPROPRIATIONS                                                  |                 |                 |                 |

\* Dollars in thousands, except in Salary Range.

**0840 State Controller - Continued**

| <b>1 STATE OPERATIONS</b>                                                       | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|---------------------------------------------------------------------------------|-----------------|-----------------|-----------------|
| Human Resources Management System Assessments per Section 25.25                 | <u>\$-</u>      | <u>\$-</u>      | <u>\$1</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                     | <b>\$-</b>      | <b>\$-</b>      | <b>\$1</b>      |
| <b>0582 High Polluter Repair or Removal Account</b>                             |                 |                 |                 |
| APPROPRIATIONS                                                                  |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                 | <u>\$47</u>     | <u>\$13</u>     | <u>\$56</u>     |
| <b>TOTALS, EXPENDITURES</b>                                                     | <b>\$47</b>     | <b>\$13</b>     | <b>\$56</b>     |
| <b>0587 Family Law Trust Fund</b>                                               |                 |                 |                 |
| APPROPRIATIONS                                                                  |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                 | <u>\$1</u>      | <u>\$1</u>      | <u>\$2</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                     | <b>\$1</b>      | <b>\$1</b>      | <b>\$2</b>      |
| <b>0588 Unemployment Compensation Disability Fund</b>                           |                 |                 |                 |
| APPROPRIATIONS                                                                  |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                 | <u>\$601</u>    | <u>\$1,037</u>  | <u>\$700</u>    |
| <b>TOTALS, EXPENDITURES</b>                                                     | <b>\$601</b>    | <b>\$1,037</b>  | <b>\$700</b>    |
| <b>0602 Architecture Revolving Fund</b>                                         |                 |                 |                 |
| APPROPRIATIONS                                                                  |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                 | <u>\$23</u>     | <u>\$23</u>     | <u>\$21</u>     |
| <b>TOTALS, EXPENDITURES</b>                                                     | <b>\$23</b>     | <b>\$23</b>     | <b>\$21</b>     |
| <b>0622 Drinking Water Treatment and Research Fund</b>                          |                 |                 |                 |
| APPROPRIATIONS                                                                  |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                 | <u>\$-</u>      | <u>\$1</u>      | <u>\$-</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                     | <b>\$-</b>      | <b>\$1</b>      | <b>\$-</b>      |
| <b>0638 Administration Account, California Children and Families Trust Fund</b> |                 |                 |                 |
| APPROPRIATIONS                                                                  |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                 | <u>\$4</u>      | <u>\$4</u>      | <u>\$5</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                     | <b>\$4</b>      | <b>\$4</b>      | <b>\$5</b>      |
| <b>0642 Domestic Violence Training and Education Fund</b>                       |                 |                 |                 |
| APPROPRIATIONS                                                                  |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                 | <u>\$1</u>      | <u>\$1</u>      | <u>\$-</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                     | <b>\$1</b>      | <b>\$1</b>      | <b>\$-</b>      |
| <b>0648 Mobilehome-Manufactured Home Revolving Fund</b>                         |                 |                 |                 |
| APPROPRIATIONS                                                                  |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                 | <u>\$18</u>     | <u>\$20</u>     | <u>\$21</u>     |
| <b>TOTALS, EXPENDITURES</b>                                                     | <b>\$18</b>     | <b>\$20</b>     | <b>\$21</b>     |
| <b>0666 Service Revolving Fund</b>                                              |                 |                 |                 |
| APPROPRIATIONS                                                                  |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                 | <u>\$415</u>    | <u>\$447</u>    | <u>\$434</u>    |
| <b>TOTALS, EXPENDITURES</b>                                                     | <b>\$415</b>    | <b>\$447</b>    | <b>\$434</b>    |
| <b>0687 Donated Food Revolving Fund</b>                                         |                 |                 |                 |
| APPROPRIATIONS                                                                  |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                 | <u>\$7</u>      | <u>\$7</u>      | <u>\$7</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                     | <b>\$7</b>      | <b>\$7</b>      | <b>\$7</b>      |
| <b>0704 Accountancy Fund, Professions and Vocations Fund</b>                    |                 |                 |                 |
| APPROPRIATIONS                                                                  |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                 | <u>\$6</u>      | <u>\$7</u>      | <u>\$8</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                     | <b>\$6</b>      | <b>\$7</b>      | <b>\$8</b>      |
| <b>0706 California Architects Board Fund</b>                                    |                 |                 |                 |
| APPROPRIATIONS                                                                  |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                 | <u>\$2</u>      | <u>\$2</u>      | <u>\$2</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                     | <b>\$2</b>      | <b>\$2</b>      | <b>\$2</b>      |
| <b>0717 Cemetery Fund, Professions and Vocations Fund</b>                       |                 |                 |                 |

\* Dollars in thousands, except in Salary Range.

**0840 State Controller - Continued**

| <b>1 STATE OPERATIONS</b>                                                              | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|----------------------------------------------------------------------------------------|-----------------|-----------------|-----------------|
| APPROPRIATIONS                                                                         |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                        | <u>\$2</u>      | <u>\$3</u>      | <u>\$2</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                            | <b>\$2</b>      | <b>\$3</b>      | <b>\$2</b>      |
| <b>0735 Contractors' License Fund</b>                                                  |                 |                 |                 |
| APPROPRIATIONS                                                                         |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                        | <u>\$30</u>     | <u>\$39</u>     | <u>\$40</u>     |
| <b>TOTALS, EXPENDITURES</b>                                                            | <b>\$30</b>     | <b>\$39</b>     | <b>\$40</b>     |
| <b>0741 State Dentistry Fund</b>                                                       |                 |                 |                 |
| APPROPRIATIONS                                                                         |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                        | <u>\$5</u>      | <u>\$6</u>      | <u>\$7</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                            | <b>\$5</b>      | <b>\$6</b>      | <b>\$7</b>      |
| <b>0750 State Funeral Directors and Embalmers Fund, Professions and Vocations Fund</b> |                 |                 |                 |
| APPROPRIATIONS                                                                         |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                        | <u>\$2</u>      | <u>\$2</u>      | <u>\$2</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                            | <b>\$2</b>      | <b>\$2</b>      | <b>\$2</b>      |
| <b>0752 Bureau of Home Furnishings and Thermal Insulation Fund</b>                     |                 |                 |                 |
| APPROPRIATIONS                                                                         |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                        | <u>\$4</u>      | <u>\$5</u>      | <u>\$4</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                            | <b>\$4</b>      | <b>\$5</b>      | <b>\$4</b>      |
| <b>0757 California Board of Architectural Examiners - Landscape Architects Fund</b>    |                 |                 |                 |
| APPROPRIATIONS                                                                         |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                        | <u>\$1</u>      | <u>\$1</u>      | <u>\$1</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                            | <b>\$1</b>      | <b>\$1</b>      | <b>\$1</b>      |
| <b>0758 Contingent Fund of the Medical Board of California</b>                         |                 |                 |                 |
| APPROPRIATIONS                                                                         |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                        | <u>\$25</u>     | <u>\$37</u>     | <u>\$36</u>     |
| <b>TOTALS, EXPENDITURES</b>                                                            | <b>\$25</b>     | <b>\$37</b>     | <b>\$36</b>     |
| <b>0759 Physical Therapy Fund</b>                                                      |                 |                 |                 |
| APPROPRIATIONS                                                                         |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                        | <u>\$1</u>      | <u>\$2</u>      | <u>\$2</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                            | <b>\$1</b>      | <b>\$2</b>      | <b>\$2</b>      |
| <b>0761 Board of Registered Nursing Fund, Professions and Vocations Fund</b>           |                 |                 |                 |
| APPROPRIATIONS                                                                         |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                        | <u>\$11</u>     | <u>\$16</u>     | <u>\$16</u>     |
| <b>TOTALS, EXPENDITURES</b>                                                            | <b>\$11</b>     | <b>\$16</b>     | <b>\$16</b>     |
| <b>0763 State Optometry Fund, Professions and Vocations Fund</b>                       |                 |                 |                 |
| APPROPRIATIONS                                                                         |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                        | <u>\$1</u>      | <u>\$1</u>      | <u>\$1</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                            | <b>\$1</b>      | <b>\$1</b>      | <b>\$1</b>      |
| <b>0767 Pharmacy Board Contingent Fund, Professions and Vocations Fund</b>             |                 |                 |                 |
| APPROPRIATIONS                                                                         |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                        | <u>\$5</u>      | <u>\$6</u>      | <u>\$7</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                            | <b>\$5</b>      | <b>\$6</b>      | <b>\$7</b>      |
| <b>0769 Private Investigator Fund</b>                                                  |                 |                 |                 |
| APPROPRIATIONS                                                                         |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                        | <u>\$1</u>      | <u>\$1</u>      | <u>\$1</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                            | <b>\$1</b>      | <b>\$1</b>      | <b>\$1</b>      |
| <b>0770 Professional Engineers' and Land Surveyors' Fund</b>                           |                 |                 |                 |
| APPROPRIATIONS                                                                         |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                        | <u>\$5</u>      | <u>\$6</u>      | <u>\$6</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                            | <b>\$5</b>      | <b>\$6</b>      | <b>\$6</b>      |

\* Dollars in thousands, except in Salary Range.

**0840 State Controller - Continued**

| <b>1 STATE OPERATIONS</b>                                                                        | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|--------------------------------------------------------------------------------------------------|-----------------|-----------------|-----------------|
| <b>0771 Court Reporters Fund</b>                                                                 |                 |                 |                 |
| APPROPRIATIONS                                                                                   |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                                  | <u>\$-</u>      | <u>\$1</u>      | <u>\$1</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                                      | <b>\$-</b>      | <b>\$1</b>      | <b>\$1</b>      |
| <b>0773 Behavioral Science Examiners Fund, Professions and Vocations Fund</b>                    |                 |                 |                 |
| APPROPRIATIONS                                                                                   |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                                  | <u>\$3</u>      | <u>\$4</u>      | <u>\$4</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                                      | <b>\$3</b>      | <b>\$4</b>      | <b>\$4</b>      |
| <b>0775 Structural Pest Control Fund, Professions and Vocations Fund</b>                         |                 |                 |                 |
| APPROPRIATIONS                                                                                   |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                                  | <u>\$2</u>      | <u>\$3</u>      | <u>\$3</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                                      | <b>\$2</b>      | <b>\$3</b>      | <b>\$3</b>      |
| <b>0777 Veterinary Medical Board Contingent Fund</b>                                             |                 |                 |                 |
| APPROPRIATIONS                                                                                   |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                                  | <u>\$1</u>      | <u>\$2</u>      | <u>\$2</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                                      | <b>\$1</b>      | <b>\$2</b>      | <b>\$2</b>      |
| <b>0779 Vocational Nursing &amp; Psychiatric Technicians Fund</b>                                |                 |                 |                 |
| APPROPRIATIONS                                                                                   |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                                  | <u>\$3</u>      | <u>\$4</u>      | <u>\$5</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                                      | <b>\$3</b>      | <b>\$4</b>      | <b>\$5</b>      |
| <b>0780 Psychiatric Technicians Account, Vocational Nursing and Psychiatric Technicians Fund</b> |                 |                 |                 |
| APPROPRIATIONS                                                                                   |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                                  | <u>\$1</u>      | <u>\$1</u>      | <u>\$1</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                                      | <b>\$1</b>      | <b>\$1</b>      | <b>\$1</b>      |
| <b>0784 Student Loan Operating Fund</b>                                                          |                 |                 |                 |
| APPROPRIATIONS                                                                                   |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                                  | <u>\$20</u>     | <u>\$19</u>     | <u>\$-</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                                      | <b>\$20</b>     | <b>\$19</b>     | <b>\$-</b>      |
| <b>0797 Unallocated Bonds Funds - Select</b>                                                     |                 |                 |                 |
| APPROPRIATIONS                                                                                   |                 |                 |                 |
| 011 Budget Act appropriation                                                                     | \$411           | \$410           | \$478           |
| Allocation for employee compensation                                                             | 16              | -               | -               |
| Adjustment per Section 3.60                                                                      | <u>2</u>        | <u>-</u>        | <u>-</u>        |
| <b>TOTALS, EXPENDITURES</b>                                                                      | <b>\$429</b>    | <b>\$410</b>    | <b>\$478</b>    |
| <b>0813 Self - Help Housing Fund</b>                                                             |                 |                 |                 |
| APPROPRIATIONS                                                                                   |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                                  | <u>\$2</u>      | <u>\$2</u>      | <u>\$2</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                                      | <b>\$2</b>      | <b>\$2</b>      | <b>\$2</b>      |
| <b>0815 Judges' Retirement Fund</b>                                                              |                 |                 |                 |
| APPROPRIATIONS                                                                                   |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                                  | <u>\$1</u>      | <u>\$1</u>      | <u>\$1</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                                      | <b>\$1</b>      | <b>\$1</b>      | <b>\$1</b>      |
| <b>0822 Public Employees' Health Care Fund (PEHCF)</b>                                           |                 |                 |                 |
| APPROPRIATIONS                                                                                   |                 |                 |                 |
| 001 Budget Act appropriation                                                                     | \$-             | \$-             | \$199           |
| Human Resources Management System Assessments per Section 25.25                                  | <u>4</u>        | <u>7</u>        | <u>12</u>       |
| <b>TOTALS, EXPENDITURES</b>                                                                      | <b>\$4</b>      | <b>\$7</b>      | <b>\$211</b>    |
| <b>0823 California Alzheimer's Disease and Related Disorders Research Fund</b>                   |                 |                 |                 |
| APPROPRIATIONS                                                                                   |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                                  | \$1             | \$1             | \$-             |

\* Dollars in thousands, except in Salary Range.

**0840 State Controller - Continued**

| <b>1 STATE OPERATIONS</b>                                                      | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|--------------------------------------------------------------------------------|-----------------|-----------------|-----------------|
| <b>TOTALS, EXPENDITURES</b>                                                    | <b>\$1</b>      | <b>\$1</b>      | <b>\$-</b>      |
| <b>0829 Health Professions Education Fund</b>                                  |                 |                 |                 |
| APPROPRIATIONS                                                                 |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                | <u>\$1</u>      | <u>\$1</u>      | <u>\$1</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                    | <b>\$1</b>      | <b>\$1</b>      | <b>\$1</b>      |
| <b>0830 Public Employees' Retirement Fund</b>                                  |                 |                 |                 |
| APPROPRIATIONS                                                                 |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                | <u>\$167</u>    | <u>\$185</u>    | <u>\$189</u>    |
| <b>TOTALS, EXPENDITURES</b>                                                    | <b>\$167</b>    | <b>\$185</b>    | <b>\$189</b>    |
| <b>0831 California State Lottery Education Fund California Youth Authority</b> |                 |                 |                 |
| APPROPRIATIONS                                                                 |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                | <u>\$4</u>      | <u>\$1</u>      | <u>\$5</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                    | <b>\$4</b>      | <b>\$1</b>      | <b>\$5</b>      |
| <b>0835 Teachers' Retirement Fund</b>                                          |                 |                 |                 |
| APPROPRIATIONS                                                                 |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                | <u>\$72</u>     | <u>\$-</u>      | <u>\$79</u>     |
| <b>TOTALS, EXPENDITURES</b>                                                    | <b>\$72</b>     | <b>\$-</b>      | <b>\$79</b>     |
| <b>0877 DMV Local Agency Collection Fund</b>                                   |                 |                 |                 |
| APPROPRIATIONS                                                                 |                 |                 |                 |
| Apportionment Payment System Assessments per Control Section 25.5              | <u>\$2</u>      | <u>\$2</u>      | <u>\$2</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                    | <b>\$2</b>      | <b>\$2</b>      | <b>\$2</b>      |
| <b>0890 Federal Trust Fund</b>                                                 |                 |                 |                 |
| APPROPRIATIONS                                                                 |                 |                 |                 |
| 001 Budget Act appropriation                                                   | \$1,281         | \$1,381         | \$1,410         |
| Allocation for employee compensation                                           | 60              | 36              | -               |
| Adjustment per Section 3.60                                                    | 7               | -3              | -               |
| Adjustment per Section 4.75 Statewide Surcharge                                | -2              | -               | -               |
| Budget Adjustment                                                              | <u>-646</u>     | <u>-</u>        | <u>-</u>        |
| <b>TOTALS, EXPENDITURES</b>                                                    | <b>\$700</b>    | <b>\$1,414</b>  | <b>\$1,410</b>  |
| <b>0903 State Penalty Fund</b>                                                 |                 |                 |                 |
| APPROPRIATIONS                                                                 |                 |                 |                 |
| 001 Budget Act appropriation                                                   | \$1,183         | \$1,280         | \$1,317         |
| Allocation for employee compensation                                           | 54              | 34              | -               |
| Adjustment per Section 3.60                                                    | <u>6</u>        | <u>-3</u>       | <u>-</u>        |
| <b>Totals Available</b>                                                        | <b>\$1,243</b>  | <b>\$1,311</b>  | <b>\$1,317</b>  |
| Unexpended balance, estimated savings                                          | <u>-51</u>      | <u>-</u>        | <u>-</u>        |
| <b>TOTALS, EXPENDITURES</b>                                                    | <b>\$1,192</b>  | <b>\$1,311</b>  | <b>\$1,317</b>  |
| <b>0904 California Health Facilities Financing Authority Fund</b>              |                 |                 |                 |
| APPROPRIATIONS                                                                 |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                | <u>\$1</u>      | <u>\$1</u>      | <u>\$2</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                    | <b>\$1</b>      | <b>\$1</b>      | <b>\$2</b>      |
| <b>0908 School Employees Fund</b>                                              |                 |                 |                 |
| APPROPRIATIONS                                                                 |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                | <u>\$3</u>      | <u>\$4</u>      | <u>\$3</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                    | <b>\$3</b>      | <b>\$4</b>      | <b>\$3</b>      |
| <b>0915 Deferred Compensation Plan Fund</b>                                    |                 |                 |                 |
| APPROPRIATIONS                                                                 |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                | <u>\$4</u>      | <u>\$6</u>      | <u>\$7</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                    | <b>\$4</b>      | <b>\$6</b>      | <b>\$7</b>      |
| <b>0916 California Housing Loan Insurance Fund</b>                             |                 |                 |                 |
| APPROPRIATIONS                                                                 |                 |                 |                 |

\* Dollars in thousands, except in Salary Range.

**0840 State Controller - Continued**

| <b>1 STATE OPERATIONS</b>                                                                                | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|----------------------------------------------------------------------------------------------------------|-----------------|-----------------|-----------------|
| Human Resources Management System Assessments per Section 25.25                                          | <u>\$2</u>      | <u>\$1</u>      | <u>\$1</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                                              | <b>\$2</b>      | <b>\$1</b>      | <b>\$1</b>      |
| <b>0917 Inmate Welfare Fund</b>                                                                          |                 |                 |                 |
| APPROPRIATIONS                                                                                           |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                                          | <u>\$-</u>      | <u>\$146</u>    | <u>\$-</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                                              | <b>\$-</b>      | <b>\$146</b>    | <b>\$-</b>      |
| <b>0925 California Community Colleges Business Resource Assistance and Innovation Network Trust Fund</b> |                 |                 |                 |
| APPROPRIATIONS                                                                                           |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                                          | <u>\$2</u>      | <u>\$-</u>      | <u>\$-</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                                              | <b>\$2</b>      | <b>\$-</b>      | <b>\$-</b>      |
| <b>0927 Joe Serna, Jr. Farmworker Housing Grant Fund</b>                                                 |                 |                 |                 |
| APPROPRIATIONS                                                                                           |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                                          | <u>\$2</u>      | <u>\$2</u>      | <u>\$2</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                                              | <b>\$2</b>      | <b>\$2</b>      | <b>\$2</b>      |
| <b>0928 Forest Resources Improvement Fund</b>                                                            |                 |                 |                 |
| APPROPRIATIONS                                                                                           |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                                          | <u>\$12</u>     | <u>\$19</u>     | <u>\$-</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                                              | <b>\$12</b>     | <b>\$19</b>     | <b>\$-</b>      |
| <b>0929 Housing Rehabilitation Loan Fund</b>                                                             |                 |                 |                 |
| APPROPRIATIONS                                                                                           |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                                          | <u>\$9</u>      | <u>\$11</u>     | <u>\$10</u>     |
| <b>TOTALS, EXPENDITURES</b>                                                                              | <b>\$9</b>      | <b>\$11</b>     | <b>\$10</b>     |
| <b>0932 Trial Court Trust Fund</b>                                                                       |                 |                 |                 |
| APPROPRIATIONS                                                                                           |                 |                 |                 |
| Apportionment Payment System Assessments per Control Section 25.5                                        | \$152           | \$165           | \$164           |
| Allocation for employee compensation                                                                     | <u>-</u>        | <u>4</u>        | <u>-</u>        |
| <b>TOTALS, EXPENDITURES</b>                                                                              | <b>\$152</b>    | <b>\$169</b>    | <b>\$164</b>    |
| <b>0933 Managed Care Fund</b>                                                                            |                 |                 |                 |
| APPROPRIATIONS                                                                                           |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                                          | <u>\$28</u>     | <u>\$42</u>     | <u>\$32</u>     |
| <b>TOTALS, EXPENDITURES</b>                                                                              | <b>\$28</b>     | <b>\$42</b>     | <b>\$32</b>     |
| <b>0938 Rental Housing Construction Fund</b>                                                             |                 |                 |                 |
| APPROPRIATIONS                                                                                           |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                                          | <u>\$2</u>      | <u>\$1</u>      | <u>\$1</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                                              | <b>\$2</b>      | <b>\$1</b>      | <b>\$1</b>      |
| <b>0950 Public Employees Contingency Reserve Fund</b>                                                    |                 |                 |                 |
| APPROPRIATIONS                                                                                           |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                                          | <u>\$13</u>     | <u>\$17</u>     | <u>\$19</u>     |
| <b>TOTALS, EXPENDITURES</b>                                                                              | <b>\$13</b>     | <b>\$17</b>     | <b>\$19</b>     |
| <b>0965 Timber Tax Fund</b>                                                                              |                 |                 |                 |
| APPROPRIATIONS                                                                                           |                 |                 |                 |
| Apportionment Payment System Assessments per Control Section 25.5                                        | \$1             | \$1             | \$1             |
| Human Resources Management System Assessments per Section 25.25                                          | <u>7</u>        | <u>9</u>        | <u>10</u>       |
| <b>TOTALS, EXPENDITURES</b>                                                                              | <b>\$8</b>      | <b>\$10</b>     | <b>\$11</b>     |
| <b>0969 Public Safety Account, Local Public Safety Fund</b>                                              |                 |                 |                 |
| APPROPRIATIONS                                                                                           |                 |                 |                 |
| Apportionment Payment System Assessments per Control Section 25.5                                        | \$221           | \$254           | \$253           |
| Allocation for employee compensation                                                                     | <u>-</u>        | <u>5</u>        | <u>-</u>        |
| <b>TOTALS, EXPENDITURES</b>                                                                              | <b>\$221</b>    | <b>\$259</b>    | <b>\$253</b>    |
| <b>0970 Unclaimed Property Fund</b>                                                                      |                 |                 |                 |

\* Dollars in thousands, except in Salary Range.

**0840 State Controller - Continued**

| <b>1 STATE OPERATIONS</b>                                         | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|-------------------------------------------------------------------|-----------------|-----------------|-----------------|
| APPROPRIATIONS                                                    |                 |                 |                 |
| 001 Budget Act appropriation                                      | <u>\$-</u>      | <u>\$-</u>      | <u>\$26,103</u> |
| <b>TOTALS, EXPENDITURES</b>                                       | <b>\$-</b>      | <b>\$-</b>      | <b>\$26,103</b> |
| <b>0985 Emergency Housing and Assistance Fund</b>                 |                 |                 |                 |
| APPROPRIATIONS                                                    |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25   | <u>\$-</u>      | <u>\$-</u>      | <u>\$2</u>      |
| <b>TOTALS, EXPENDITURES</b>                                       | <b>\$-</b>      | <b>\$-</b>      | <b>\$2</b>      |
| <b>0988 Various Other Unallocated Non-Governmental Cost Funds</b> |                 |                 |                 |
| APPROPRIATIONS                                                    |                 |                 |                 |
| 001 Budget Act appropriation                                      | \$219           | \$236           | \$242           |
| Allocation for employee compensation                              | 9               | 6               | -               |
| Adjustment per Section 3.60                                       | 1               | -1              | -               |
| 011 Budget Act appropriation                                      | 98              | 100             | 68              |
| Allocation for employee compensation                              | 4               | -               | -               |
| Adjustment per Section 3.60                                       | <u>1</u>        | <u>-</u>        | <u>-</u>        |
| <b>Totals Available</b>                                           | <b>\$332</b>    | <b>\$341</b>    | <b>\$310</b>    |
| Unexpended balance, estimated savings                             | <u>-4</u>       | <u>-</u>        | <u>-</u>        |
| <b>TOTALS, EXPENDITURES</b>                                       | <b>\$328</b>    | <b>\$341</b>    | <b>\$310</b>    |
| <b>0995 Reimbursements</b>                                        |                 |                 |                 |
| APPROPRIATIONS                                                    |                 |                 |                 |
| Reimbursements                                                    | \$33,617        | \$41,424        | \$44,846        |
| <b>1008 Firearms Safety and Enforcement Special Fund</b>          |                 |                 |                 |
| APPROPRIATIONS                                                    |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25   | <u>\$3</u>      | <u>\$5</u>      | <u>\$3</u>      |
| <b>TOTALS, EXPENDITURES</b>                                       | <b>\$3</b>      | <b>\$5</b>      | <b>\$3</b>      |
| <b>3002 Electrician Certification Fund</b>                        |                 |                 |                 |
| APPROPRIATIONS                                                    |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25   | <u>\$2</u>      | <u>\$4</u>      | <u>\$3</u>      |
| <b>TOTALS, EXPENDITURES</b>                                       | <b>\$2</b>      | <b>\$4</b>      | <b>\$3</b>      |
| <b>3003 Permanent Amusement Ride Safety Inspection Fund</b>       |                 |                 |                 |
| APPROPRIATIONS                                                    |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25   | <u>\$1</u>      | <u>\$-</u>      | <u>\$-</u>      |
| <b>TOTALS, EXPENDITURES</b>                                       | <b>\$1</b>      | <b>\$-</b>      | <b>\$-</b>      |
| <b>3004 Garment Industry Regulations Fund</b>                     |                 |                 |                 |
| APPROPRIATIONS                                                    |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25   | <u>\$3</u>      | <u>\$5</u>      | <u>\$3</u>      |
| <b>TOTALS, EXPENDITURES</b>                                       | <b>\$3</b>      | <b>\$5</b>      | <b>\$3</b>      |
| <b>3007 Traffic Congestion Relief Fund</b>                        |                 |                 |                 |
| APPROPRIATIONS                                                    |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25   | <u>\$129</u>    | <u>\$38</u>     | <u>\$41</u>     |
| <b>TOTALS, EXPENDITURES</b>                                       | <b>\$129</b>    | <b>\$38</b>     | <b>\$41</b>     |
| <b>3010 Pierce's Disease Management Account</b>                   |                 |                 |                 |
| APPROPRIATIONS                                                    |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25   | <u>\$17</u>     | <u>\$33</u>     | <u>\$-</u>      |
| <b>TOTALS, EXPENDITURES</b>                                       | <b>\$17</b>     | <b>\$33</b>     | <b>\$-</b>      |
| <b>3015 Gas Consumption Surcharge Fund</b>                        |                 |                 |                 |
| APPROPRIATIONS                                                    |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25   | <u>\$22</u>     | <u>\$30</u>     | <u>\$23</u>     |
| <b>TOTALS, EXPENDITURES</b>                                       | <b>\$22</b>     | <b>\$30</b>     | <b>\$23</b>     |
| <b>3016 Missing Persons DNA Data Base Fund</b>                    |                 |                 |                 |
| APPROPRIATIONS                                                    |                 |                 |                 |

\* Dollars in thousands, except in Salary Range.

**0840 State Controller - Continued**

| <b>1 STATE OPERATIONS</b>                                          | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|--------------------------------------------------------------------|-----------------|-----------------|-----------------|
| Human Resources Management System Assessments per Section 25.25    | <u>\$3</u>      | <u>\$7</u>      | <u>\$4</u>      |
| <b>TOTALS, EXPENDITURES</b>                                        | <b>\$3</b>      | <b>\$7</b>      | <b>\$4</b>      |
| <b>3017 Occupational Therapy Fund</b>                              |                 |                 |                 |
| APPROPRIATIONS                                                     |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25    | <u>\$-</u>      | <u>\$1</u>      | <u>\$1</u>      |
| <b>TOTALS, EXPENDITURES</b>                                        | <b>\$-</b>      | <b>\$1</b>      | <b>\$1</b>      |
| <b>3018 Drug and Device Safety Fund</b>                            |                 |                 |                 |
| APPROPRIATIONS                                                     |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25    | <u>\$2</u>      | <u>\$3</u>      | <u>\$2</u>      |
| <b>TOTALS, EXPENDITURES</b>                                        | <b>\$2</b>      | <b>\$3</b>      | <b>\$2</b>      |
| <b>3022 Apprenticeship Training Contribution Fund</b>              |                 |                 |                 |
| APPROPRIATIONS                                                     |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25    | <u>\$4</u>      | <u>\$7</u>      | <u>\$6</u>      |
| <b>TOTALS, EXPENDITURES</b>                                        | <b>\$4</b>      | <b>\$7</b>      | <b>\$6</b>      |
| <b>3030 Workers' Occupational Safety and Health Education Fund</b> |                 |                 |                 |
| APPROPRIATIONS                                                     |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25    | <u>\$1</u>      | <u>\$2</u>      | <u>\$1</u>      |
| <b>TOTALS, EXPENDITURES</b>                                        | <b>\$1</b>      | <b>\$2</b>      | <b>\$1</b>      |
| <b>3034 Antiterrorism Fund</b>                                     |                 |                 |                 |
| APPROPRIATIONS                                                     |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25    | <u>\$-</u>      | <u>\$1</u>      | <u>\$-</u>      |
| <b>TOTALS, EXPENDITURES</b>                                        | <b>\$-</b>      | <b>\$1</b>      | <b>\$-</b>      |
| <b>3036 Alcohol Beverages Control Fund</b>                         |                 |                 |                 |
| APPROPRIATIONS                                                     |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25    | <u>\$64</u>     | <u>\$73</u>     | <u>\$74</u>     |
| <b>TOTALS, EXPENDITURES</b>                                        | <b>\$64</b>     | <b>\$73</b>     | <b>\$74</b>     |
| <b>3037 State Court Facilities Construction Fund</b>               |                 |                 |                 |
| APPROPRIATIONS                                                     |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25    | <u>\$16</u>     | <u>\$17</u>     | <u>\$23</u>     |
| <b>TOTALS, EXPENDITURES</b>                                        | <b>\$16</b>     | <b>\$17</b>     | <b>\$23</b>     |
| <b>3046 Oil, Gas, and Geothermal Administrative Fund</b>           |                 |                 |                 |
| APPROPRIATIONS                                                     |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25    | <u>\$1</u>      | <u>\$1</u>      | <u>\$1</u>      |
| <b>TOTALS, EXPENDITURES</b>                                        | <b>\$1</b>      | <b>\$1</b>      | <b>\$1</b>      |
| <b>3053 Public Rights Law Enforcement Special Fund</b>             |                 |                 |                 |
| APPROPRIATIONS                                                     |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25    | <u>\$5</u>      | <u>\$-</u>      | <u>\$5</u>      |
| <b>TOTALS, EXPENDITURES</b>                                        | <b>\$5</b>      | <b>\$-</b>      | <b>\$5</b>      |
| <b>3056 Safe Drinking Water and Toxic Enforcement Fund</b>         |                 |                 |                 |
| APPROPRIATIONS                                                     |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25    | <u>\$-</u>      | <u>\$-</u>      | <u>\$1</u>      |
| <b>TOTALS, EXPENDITURES</b>                                        | <b>\$-</b>      | <b>\$-</b>      | <b>\$1</b>      |
| <b>3057 Dam Safety Fund</b>                                        |                 |                 |                 |
| APPROPRIATIONS                                                     |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25    | <u>\$9</u>      | <u>\$4</u>      | <u>\$4</u>      |
| <b>TOTALS, EXPENDITURES</b>                                        | <b>\$9</b>      | <b>\$4</b>      | <b>\$4</b>      |
| <b>3058 Water Rights Fund</b>                                      |                 |                 |                 |
| APPROPRIATIONS                                                     |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25    | <u>\$4</u>      | <u>\$-</u>      | <u>\$-</u>      |
| <b>TOTALS, EXPENDITURES</b>                                        | <b>\$4</b>      | <b>\$-</b>      | <b>\$-</b>      |
| <b>3061 Ratepayer Relief Fund</b>                                  |                 |                 |                 |

\* Dollars in thousands, except in Salary Range.

**0840 State Controller - Continued**

| <b>1 STATE OPERATIONS</b>                                                                     | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|-----------------------------------------------------------------------------------------------|-----------------|-----------------|-----------------|
| APPROPRIATIONS                                                                                |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                               | <u>\$13</u>     | <u>\$20</u>     | <u>\$6</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                                   | <b>\$13</b>     | <b>\$20</b>     | <b>\$6</b>      |
| <b>3065 Electronic Waste Recovery and Recycling Account, Integrated Waste Management Fund</b> |                 |                 |                 |
| APPROPRIATIONS                                                                                |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                               | <u>\$36</u>     | <u>\$42</u>     | <u>\$45</u>     |
| <b>TOTALS, EXPENDITURES</b>                                                                   | <b>\$36</b>     | <b>\$42</b>     | <b>\$45</b>     |
| <b>3067 Cigarette and Tobacco Products Compliance Fund</b>                                    |                 |                 |                 |
| APPROPRIATIONS                                                                                |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                               | <u>\$31</u>     | <u>\$29</u>     | <u>\$5</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                                   | <b>\$31</b>     | <b>\$29</b>     | <b>\$5</b>      |
| <b>3070 Nontoxic Dry Cleaning Incentive Trust Fund</b>                                        |                 |                 |                 |
| APPROPRIATIONS                                                                                |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                               | <u>\$1</u>      | <u>\$1</u>      | <u>\$1</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                                   | <b>\$1</b>      | <b>\$1</b>      | <b>\$1</b>      |
| <b>3074 Medical Marijuana Program Fund</b>                                                    |                 |                 |                 |
| APPROPRIATIONS                                                                                |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                               | <u>\$1</u>      | <u>\$-</u>      | <u>\$-</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                                   | <b>\$1</b>      | <b>\$-</b>      | <b>\$-</b>      |
| <b>3080 AIDS Drug Assistance Program Rebate Fund</b>                                          |                 |                 |                 |
| APPROPRIATIONS                                                                                |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                               | <u>\$1</u>      | <u>\$1</u>      | <u>\$1</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                                   | <b>\$1</b>      | <b>\$1</b>      | <b>\$1</b>      |
| <b>3081 Cannery Inspection Fund</b>                                                           |                 |                 |                 |
| APPROPRIATIONS                                                                                |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                               | <u>\$1</u>      | <u>\$2</u>      | <u>\$1</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                                   | <b>\$1</b>      | <b>\$2</b>      | <b>\$1</b>      |
| <b>3084 State Certified Unified Program Account</b>                                           |                 |                 |                 |
| APPROPRIATIONS                                                                                |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                               | <u>\$1</u>      | <u>\$1</u>      | <u>\$1</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                                   | <b>\$1</b>      | <b>\$1</b>      | <b>\$1</b>      |
| <b>3085 Mental Health Services Fund</b>                                                       |                 |                 |                 |
| APPROPRIATIONS                                                                                |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                               | <u>\$43</u>     | <u>\$49</u>     | <u>\$42</u>     |
| <b>TOTALS, EXPENDITURES</b>                                                                   | <b>\$43</b>     | <b>\$49</b>     | <b>\$42</b>     |
| <b>3087 Unfair Competition Law Fund</b>                                                       |                 |                 |                 |
| APPROPRIATIONS                                                                                |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                               | <u>\$3</u>      | <u>\$5</u>      | <u>\$3</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                                   | <b>\$3</b>      | <b>\$5</b>      | <b>\$3</b>      |
| <b>3088 Registry of Charitable Trusts Fund</b>                                                |                 |                 |                 |
| APPROPRIATIONS                                                                                |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                               | <u>\$2</u>      | <u>\$4</u>      | <u>\$2</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                                   | <b>\$2</b>      | <b>\$4</b>      | <b>\$2</b>      |
| <b>3089 Public Utilities Commission Ratepayer Advocate Account</b>                            |                 |                 |                 |
| APPROPRIATIONS                                                                                |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                               | <u>\$1</u>      | <u>\$2</u>      | <u>\$2</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                                   | <b>\$1</b>      | <b>\$2</b>      | <b>\$2</b>      |
| <b>3099 Licensing and Certification Fund, Mental Health</b>                                   |                 |                 |                 |
| APPROPRIATIONS                                                                                |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                               | <u>\$-</u>      | <u>\$1</u>      | <u>\$-</u>      |

\* Dollars in thousands, except in Salary Range.

**0840 State Controller - Continued**

| <b>1 STATE OPERATIONS</b>                                                                         | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|---------------------------------------------------------------------------------------------------|-----------------|-----------------|-----------------|
| <b>TOTALS, EXPENDITURES</b>                                                                       | <b>\$-</b>      | <b>\$1</b>      | <b>\$-</b>      |
| <b>3103 Hatchery and Inland Fisheries Fund</b>                                                    |                 |                 |                 |
| APPROPRIATIONS                                                                                    |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                                   | \$-             | \$16            | \$13            |
| <b>TOTALS, EXPENDITURES</b>                                                                       | <b>\$-</b>      | <b>\$16</b>     | <b>\$13</b>     |
| <b>3108 Professional Fiduciary Fund</b>                                                           |                 |                 |                 |
| APPROPRIATIONS                                                                                    |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                                   | \$-             | \$-             | \$1             |
| <b>TOTALS, EXPENDITURES</b>                                                                       | <b>\$-</b>      | <b>\$-</b>      | <b>\$1</b>      |
| <b>3109 Natural Gas Subaccount, Public Interest Research, Development, and Demonstration Fund</b> |                 |                 |                 |
| APPROPRIATIONS                                                                                    |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                                   | \$-             | \$-             | \$2             |
| <b>TOTALS, EXPENDITURES</b>                                                                       | <b>\$-</b>      | <b>\$-</b>      | <b>\$2</b>      |
| <b>3113 Residential and Outpatient Program Licensing Fund</b>                                     |                 |                 |                 |
| APPROPRIATIONS                                                                                    |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                                   | \$-             | \$-             | \$1             |
| <b>TOTALS, EXPENDITURES</b>                                                                       | <b>\$-</b>      | <b>\$-</b>      | <b>\$1</b>      |
| <b>3114 Birth Defects Monitoring Fund</b>                                                         |                 |                 |                 |
| APPROPRIATIONS                                                                                    |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                                   | \$-             | \$3             | \$2             |
| <b>TOTALS, EXPENDITURES</b>                                                                       | <b>\$-</b>      | <b>\$3</b>      | <b>\$2</b>      |
| <b>6044 2004 State School Facilities Fund</b>                                                     |                 |                 |                 |
| APPROPRIATIONS                                                                                    |                 |                 |                 |
| 001 Budget Act appropriation                                                                      | \$856           | \$935           | \$-             |
| Allocation for employee compensation                                                              | 36              | 25              | -               |
| Adjustment per Section 3.60                                                                       | 5               | -2              | -               |
| Human Resources Management System Assessments per Section 25.25                                   | 3               | -               | -               |
| <b>Totals Available</b>                                                                           | <b>\$900</b>    | <b>\$958</b>    | <b>\$-</b>      |
| Unexpended balance, estimated savings                                                             | -95             | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                                                                       | <b>\$805</b>    | <b>\$958</b>    | <b>\$-</b>      |
| <b>6057 2006 State School Facilities Fund</b>                                                     |                 |                 |                 |
| APPROPRIATIONS                                                                                    |                 |                 |                 |
| 001 Budget Act appropriation                                                                      | \$-             | \$-             | \$968           |
| <b>TOTALS, EXPENDITURES</b>                                                                       | <b>\$-</b>      | <b>\$-</b>      | <b>\$968</b>    |
| <b>6801 Transportation Financing Subaccount, State Highway Account, State Transportation Fund</b> |                 |                 |                 |
| APPROPRIATIONS                                                                                    |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                                   | \$16            | \$20            | \$13            |
| <b>TOTALS, EXPENDITURES</b>                                                                       | <b>\$16</b>     | <b>\$20</b>     | <b>\$13</b>     |
| <b>8001 Teachers' Health Benefits Fund</b>                                                        |                 |                 |                 |
| APPROPRIATIONS                                                                                    |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                                   | \$-             | \$76            | \$-             |
| <b>TOTALS, EXPENDITURES</b>                                                                       | <b>\$-</b>      | <b>\$76</b>     | <b>\$-</b>      |
| <b>8018 Salton Sea Restoration Fund</b>                                                           |                 |                 |                 |
| APPROPRIATIONS                                                                                    |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                                   | \$2             | \$3             | \$2             |
| <b>TOTALS, EXPENDITURES</b>                                                                       | <b>\$2</b>      | <b>\$3</b>      | <b>\$2</b>      |
| <b>8025 California Prostate Cancer Research Fund</b>                                              |                 |                 |                 |
| APPROPRIATIONS                                                                                    |                 |                 |                 |
| Human Resources Management System Assessments per Section 25.25                                   | \$-             | \$1             | \$-             |

\* Dollars in thousands, except in Salary Range.

**0840 State Controller - Continued**

| <b>1 STATE OPERATIONS</b>                                                                   | <b>2006-07*</b>  | <b>2007-08*</b>  | <b>2008-09*</b>  |
|---------------------------------------------------------------------------------------------|------------------|------------------|------------------|
| <b>TOTALS, EXPENDITURES</b>                                                                 | <b>\$-</b>       | <b>\$1</b>       | <b>\$-</b>       |
| <b>8034 Medically Underserved Account for Physicians, Health Professions Education Fund</b> |                  |                  |                  |
| APPROPRIATIONS                                                                              |                  |                  |                  |
| Human Resources Management System Assessments per Section 25.25                             | <u>\$2</u>       | <u>\$1</u>       | <u>\$1</u>       |
| <b>Totals Available</b>                                                                     | <b>\$2</b>       | <b>\$1</b>       | <b>\$1</b>       |
| Unexpended balance, estimated savings                                                       | <u>-2</u>        | <u>-</u>         | <u>-</u>         |
| <b>TOTALS, EXPENDITURES</b>                                                                 | <b>\$-</b>       | <b>\$1</b>       | <b>\$1</b>       |
| <b>9730 Department of Technology Services Revolving Fund</b>                                |                  |                  |                  |
| APPROPRIATIONS                                                                              |                  |                  |                  |
| Human Resources Management System Assessments per Section 25.25                             | <u>\$95</u>      | <u>\$82</u>      | <u>\$85</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                                 | <b>\$95</b>      | <b>\$82</b>      | <b>\$85</b>      |
| <b>9731 Legal Services Revolving Fund</b>                                                   |                  |                  |                  |
| APPROPRIATIONS                                                                              |                  |                  |                  |
| Human Resources Management System Assessments per Section 25.25                             | <u>\$88</u>      | <u>\$143</u>     | <u>\$96</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                                 | <b>\$88</b>      | <b>\$143</b>     | <b>\$96</b>      |
| <b>9736 Transit-Oriented Development Implementation Fund</b>                                |                  |                  |                  |
| APPROPRIATIONS                                                                              |                  |                  |                  |
| Human Resources Management System Assessments per Section 25.25                             | <u>\$-</u>       | <u>\$-</u>       | <u>\$1</u>       |
| <b>TOTALS, EXPENDITURES</b>                                                                 | <b>\$-</b>       | <b>\$-</b>       | <b>\$1</b>       |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>                                   | <b>\$157,693</b> | <b>\$185,790</b> | <b>\$186,337</b> |
| <b>2 LOCAL ASSISTANCE</b>                                                                   | <b>2006-07*</b>  | <b>2007-08*</b>  | <b>2008-09*</b>  |
| <b>0001 General Fund</b>                                                                    |                  |                  |                  |
| APPROPRIATIONS                                                                              |                  |                  |                  |
| <b>TOTALS, EXPENDITURES</b>                                                                 | <b>\$-</b>       | <b>\$-</b>       | <b>\$-</b>       |
| Loan repayment per Government Code Section 15373.2(b)                                       | -186             | -193             | -193             |
| Loan repayment per Government Code Section 15327                                            | -126             | -77              | -77              |
| Loan repayment per Government Code Section 15373                                            | <u>-387</u>      | <u>-323</u>      | <u>-323</u>      |
| <b>NET TOTALS, EXPENDITURES</b>                                                             | <b>\$-699</b>    | <b>\$-593</b>    | <b>\$-593</b>    |
| <b>TOTALS, GENERAL FUND EXPENDITURES</b>                                                    | <b>\$-699</b>    | <b>\$-593</b>    | <b>\$-593</b>    |
| <b>0979 California Firefighters' Memorial Fund</b>                                          |                  |                  |                  |
| APPROPRIATIONS                                                                              |                  |                  |                  |
| 101 Budget Act appropriation                                                                | \$500            | \$500            | \$500            |
| Allocation to California Firefighter's Memorial Fund                                        | -301             | -500             | -                |
| Allocation per Provision 1b                                                                 | -199             | -                | -                |
| Allocation to California Firefighter's Memorial Fund                                        | <u>-</u>         | <u>-</u>         | <u>-500</u>      |
| <b>TOTALS, EXPENDITURES</b>                                                                 | <b>\$-</b>       | <b>\$-</b>       | <b>\$-</b>       |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>                                   | <b>\$-699</b>    | <b>\$-593</b>    | <b>\$-593</b>    |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)</b>              | <b>\$156,994</b> | <b>\$185,197</b> | <b>\$185,744</b> |

**FUND CONDITION STATEMENTS**

|                                                              | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|--------------------------------------------------------------|-----------------|-----------------|-----------------|
| <b>0442 California Olympic Training Account <sup>s</sup></b> |                 |                 |                 |
| BEGINNING BALANCE                                            | \$1             | \$376           | \$470           |
| Prior year adjustments                                       | <u>281</u>      | <u>-</u>        | <u>-</u>        |
| Adjusted Beginning Balance                                   | <b>\$282</b>    | <b>\$376</b>    | <b>\$470</b>    |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS                   |                 |                 |                 |
| Revenues:                                                    |                 |                 |                 |
| 143000 Personalized License Plates                           | <u>94</u>       | <u>94</u>       | <u>94</u>       |
| Total Revenues, Transfers, and Other Adjustments             | <b>\$94</b>     | <b>\$94</b>     | <b>\$94</b>     |

\* Dollars in thousands, except in Salary Range.

## 0840 State Controller - Continued

|                                    | 2006-07* | 2007-08* | 2008-09* |
|------------------------------------|----------|----------|----------|
| Total Resources                    | \$376    | \$470    | \$564    |
| FUND BALANCE                       | \$376    | \$470    | \$564    |
| Reserve for economic uncertainties | 376      | 470      | 564      |

## CHANGES IN AUTHORIZED POSITIONS

|                                                           | Positions |          |             | Expenditures        |            |               |
|-----------------------------------------------------------|-----------|----------|-------------|---------------------|------------|---------------|
|                                                           | 2006-07   | 2007-08  | 2008-09     | 2006-07*            | 2007-08*   | 2008-09*      |
| Totals, Authorized Positions                              | 1,135.5   | 1,365.5  | 1,257.5     | \$65,670            | \$75,637   | \$69,563      |
| Salary Adjustments                                        | -         | -        | -           | -                   | 2,121      | 1,943         |
| <b>Workload and Administrative Adjustments:</b>           |           |          |             | <b>Salary Range</b> |            |               |
| UCP System Replacement IT Savings:                        |           |          |             |                     |            |               |
| Asst Info Sys Anal                                        | -         | -        | -3.2        | -                   | -          | -112          |
| Temporary Help to Permanent:                              |           |          |             |                     |            |               |
| Temporary Help Blanket                                    | -         | -4.0     | -4.0        | -                   | -220       | -220          |
| Staff Info Systems Analyst-Spec                           | -         | 1.0      | 1.0         | 5,065-6,466         | 59         | 59            |
| Staff Programmer Analyst-Spec                             | -         | 1.0      | 1.0         | 5,065-6,466         | 59         | 59            |
| Associate Govtl Prog Analyst                              | -         | 1.0      | 1.0         | 4,400-5,348         | 51         | 51            |
| Associate Govtl Prog Analyst                              | -         | 1.0      | 1.0         | 4,400-5,348         | 51         | 51            |
| <b>Totals, Workload &amp; Admin Adjustments</b>           | <b>-</b>  | <b>-</b> | <b>-3.2</b> | <b>\$-</b>          | <b>\$-</b> | <b>-\$112</b> |
| <b>Proposed New Positions:</b>                            |           |          |             |                     |            |               |
| Transportation Audits - Proposition 1B: 1/                |           |          |             |                     |            |               |
| Supervising Mgmt. Auditor                                 | -         | 1.0      | 1.0         | 6,779-7,847         | 88         | 88            |
| Sr Mgmt Auditor                                           | -         | 2.0      | 2.0         | 5,576-7,063         | 152        | 152           |
| Staff Mgmt Aud (Specialist)                               | -         | 2.0      | 2.0         | 4,833-6,168         | 132        | 132           |
| Assoc Mngmt Auditor                                       | -         | 6.0      | 8.0         | 4,619-5,897         | 379        | 505           |
| Assoc Govtl Program Analyst                               | -         | 1.0      | 2.0         | 4,400-5,348         | 58         | 116           |
| CSU Audits:                                               |           |          |             |                     |            |               |
| Staff Mgmt Aud (Specialist)                               | -         | 1.0      | 1.0         | 4,833-6,168         | 66         | 66            |
| Assoc Mngmt Auditor                                       | -         | 3.0      | 3.0         | 4,619-5,896         | 189        | 189           |
| Temporary Help                                            | -         | -        | -           | -                   | 105        | -             |
| LGeC:                                                     |           |          |             |                     |            |               |
| Data Processing Manager II (LT pos exp 6-30-10)           | -         | -        | 0.5         | 5,849-7,464         | -          | 40            |
| Senior Programmer Analyst-Spec (LT pos exp 6-30-10)       | -         | -        | 1.0         | 5,571-7,109         | -          | 76            |
| System Software Specialist II (Tech) (LT pos exp 6-30-10) | -         | -        | 1.0         | 5,561-7,097         | -          | 76            |
| System Software Specialist I (Tech) (LT pos exp 6-30-10)  | -         | -        | 1.0         | 5,317-6,788         | -          | 69            |
| Staff Info Systems Analyst-Spec (LT pos exp 6-30-10)      | -         | -        | 1.0         | 5,065-6,466         | -          | 69            |
| GASB Statement 45 - (OPEB) - LT to Perm:                  |           |          |             |                     |            |               |
| Accounting Administrator I (Spec)                         | -         | -        | 2.0         | 4,833-5,874         | -          | 128           |
| Bond and PMIA Loan Program:                               |           |          |             |                     |            |               |
| Associate Accounting Analyst                              | -         | -        | 3.0         | 4,619-5,616         | -          | 184           |
| CA Child Support Automation System:                       |           |          |             |                     |            |               |
| Staff Programmer Analyst (LT pos exp 6-30-09)             | -         | -        | 1.0         | 5,318-6,466         | -          | 71            |
| Assoc Mgmt Auditor (LT pos exp 6-30-09)                   | -         | -        | 1.0         | 4,619-5,897         | -          | 67            |
| Remittance Processing Workload:                           |           |          |             |                     |            |               |
| Associate Accounting Analyst                              | -         | -        | 1.0         | 4,619-5,616         | -          | 61            |
| FI\$Cal Project:                                          |           |          |             |                     |            |               |

\* Dollars in thousands, except in Salary Range.

## 0840 State Controller - Continued

|                                                        | Positions      |                |                | Expenditures    |                 |                 |
|--------------------------------------------------------|----------------|----------------|----------------|-----------------|-----------------|-----------------|
|                                                        | 2006-07        | 2007-08        | 2008-09        | 2006-07*        | 2007-08*        | 2008-09*        |
| DP Manager IV                                          | -              | 1.0            | -              | 7,825-9,059     | 83              | -               |
| Career Executive Assignment II                         | -              | -              | 1.0            | 7,815-8,616     | -               | 103             |
| Data Processing Manager III                            | -              | -              | 1.0            | 7,118-8,239     | -               | 99              |
| Supvng Mgmt Auditor                                    | -              | 1.0            | -              | 6,779-7,847     | 65              | -               |
| Assistant Chief, Division of Accounting, SCO           | -              | 1.0            | -              | 6,779-7,474     | 62              | -               |
| Accounting Administrator III                           | -              | -              | 2.0            | 6,779-7,474     | -               | 179             |
| Supervising Mgmt. Auditor                              | -              | -              | 1.0            | 5,779-7,847     | -               | 94              |
| Staff Services Manager III                             | -              | -              | 0.5            | 5,779-7,474     | -               | 45              |
| Senior Management Auditor                              | -              | -              | 0.5            | 5,576-7,063     | -               | 42              |
| Accounting Administrator II                            | -              | -              | 5.0            | 5,576-6,727     | -               | 404             |
| Staff Services Manager II (Supv)                       | -              | -              | 1.5            | 5,576-6,727     | -               | 121             |
| Senior Information Systems Analyst (Spec)              | -              | -              | 1.5            | 5,571-7,109     | -               | 128             |
| Senior Programmer Analyst-Spec                         | -              | -              | 2.0            | 5,571-7,109     | -               | 171             |
| Accounting Administrator I (Supv)                      | -              | -              | 2.5            | 5,079-6,127     | -               | 184             |
| Staff Services Manager I                               | -              | 1.0            | 1.5            | 5,079-6,127     | 50              | 110             |
| Staff Services Manager I                               | -              | -              | 1.5            | 5,079-6,127     | -               | 110             |
| Staff Information Systems Analyst (Spec)               | -              | -              | 3.5            | 5,065-6,466     | -               | 272             |
| Staff Programmer Analyst (Spec)                        | -              | -              | 1.0            | 5,065-6,466     | -               | 78              |
| Staff Mgmt Aud (Specialist)                            | -              | -              | 0.5            | 4,833-6,168     | -               | 37              |
| Accounting Administrator I (Spec)                      | -              | -              | 1.5            | 4,833-5,874     | -               | 106             |
| Accounting Administrator I (Supervisory)               | -              | 3.0            | -              | 4,833-5,874     | 100             | -               |
| Assoc Govtl Program Analyst                            | -              | -              | 2.0            | 4,400-5,605     | -               | 134             |
| Retention Pay (assume 10% turnover)                    | -              | -              | -              | -               | -               | 19              |
| Human Resources Management System:                     |                |                |                |                 |                 |                 |
| DP Manager IV (0.8 LT pos exp 6-30-09)                 | -              | -              | 0.8            | 7,825-9,059     | -               | 84              |
| DP Manager II (4.7 LT pos exp 6-30-09)                 | -              | -              | 4.7            | 5,849-7,464     | -               | 390             |
| Staff Svcs Mgr II-Supvr (2.0 LT pos exp 6-30-09)       | -              | -              | 2.0            | 5,576-6,727     | -               | 154             |
| Sr Programmer Analyst-Spec (6.0 LT pos exp 6-30-09)    | -              | -              | 4.7            | 5,571-7,109     | -               | 372             |
| Sr Info Sys Analyst-Spec (2.0 LT pos exp 6-30-09)      | -              | -              | 2.0            | 5,571-7,109     | -               | 158             |
| Sys Software Spec II-Tech (4.8 LT pos exp 6-30-09)     | -              | -              | 4.8            | 5,561-7,097     | -               | 379             |
| Staff Svcs Mgr I (7.0 LT pos exp 6-30-09)              | -              | -              | 7.0            | 5,079-6,127     | -               | 489             |
| Staff Programmer Analyst-Spec (3.4 LT pos exp 6-30-09) | -              | -              | 3.4            | 5,065-6,466     | -               | 245             |
| Staff Info Sys Analyst-Spec (3.9 LT pos exp 6-30-09)   | -              | -              | 3.9            | 5,065-6,466     | -               | 281             |
| Staff Mgmt Auditor-Spec (1.0 LT pos exp 6-30-09)       | -              | -              | 1.0            | 4,833-6,168     | -               | 69              |
| Assoc Programmer Analyst-Spec (5.1 LT pos exp 6-30-09) | -              | -              | 5.1            | 4,619-5,897     | -               | 335             |
| Assoc Info Sys Analyst-Spec (3.0 LT pos exp 6-30-09)   | -              | -              | 2.4            | 4,619-5,897     | -               | 157             |
| Assoc Govtl Prog Analyst (26.7 LT pos exp 6-30-09)     | -              | -              | 26.7           | 4,400-5,348     | -               | 1,624           |
| Info Sys Technician (1.0 LT pos exp 6-30-09)           | -              | -              | 1.0            | 2,928-3,737     | -               | 42              |
| Office Technician (1.0 LT pos exp 6-30-09)             | -              | -              | 1.0            | 2,686-3,264     | -               | 37              |
| Overtime (LT exp 6-30-09)                              | -              | -              | -              | -               | -               | 154             |
| <b>Totals, Proposed New Positions</b>                  | <b>-</b>       | <b>23.0</b>    | <b>132.0</b>   | <b>\$-</b>      | <b>\$1,529</b>  | <b>\$9,495</b>  |
| <b>Total Adjustments</b>                               | <b>-</b>       | <b>23.0</b>    | <b>128.8</b>   | <b>\$-</b>      | <b>\$3,650</b>  | <b>\$11,326</b> |
| <b>TOTALS, SALARIES AND WAGES</b>                      | <b>1,135.5</b> | <b>1,388.5</b> | <b>1,386.3</b> | <b>\$65,670</b> | <b>\$79,287</b> | <b>\$80,889</b> |

\* Dollars in thousands, except in Salary Range.

**0840 State Controller - Continued**

|                                                                                                             | Positions |         |         | Expenditures |          |          |
|-------------------------------------------------------------------------------------------------------------|-----------|---------|---------|--------------|----------|----------|
|                                                                                                             | 2006-07   | 2007-08 | 2008-09 | 2006-07*     | 2007-08* | 2008-09* |
| 1/ Positions and reimbursement authority will be reduced if funds for this purpose are no longer available. |           |         |         |              |          |          |

**0845 Department of Insurance**

The Insurance Commissioner regulates the largest insurance market in the United States, and the fourth largest insurance market in the World, with more than \$118 billion in direct premiums; enforces the California Insurance Code; and oversees the Department of Insurance.

The Department of Insurance conducts examinations and investigations of insurance companies and producers and works to ensure the financial solvency of companies so that they will meet their obligations to policyholders and claimants.

The Department of Insurance investigates more than 300,000 complaints annually and responds to consumer inquiries. The department reviews and approves insurance rates to enforce the requirement of California law that rates are not excessive or unfair. The department also administers the conservation and liquidation of insolvent and delinquent insurance companies and combats insurance fraud in conjunction with local and state law enforcement agencies.

**3-YR EXPENDITURES AND POSITIONS (Summary of Program Requirements)**

|                                                          |                                                           | Positions      |                |                | Expenditures     |                  |                  |
|----------------------------------------------------------|-----------------------------------------------------------|----------------|----------------|----------------|------------------|------------------|------------------|
|                                                          |                                                           | 2006-07        | 2007-08        | 2008-09        | 2006-07*         | 2007-08*         | 2008-09*         |
| 10                                                       | Regulation of Insurance Companies and Insurance Producers | 389.7          | 406.6          | 411.4          | \$65,967         | \$69,927         | \$71,735         |
| 12                                                       | Consumer Protection                                       | 299.7          | 333.4          | 330.6          | 50,388           | 54,544           | 55,148           |
| 20                                                       | Fraud Control                                             | 243.3          | 291.0          | 289.1          | 79,529           | 91,192           | 95,113           |
| 30                                                       | Tax Collection and Audits                                 | 13.8           | 16.2           | 16.2           | 1,925            | 2,215            | 2,122            |
| 50.01                                                    | Administration                                            | 220.0          | 224.7          | 224.6          | 27,477           | 29,124           | 29,439           |
| 50.02                                                    | Distributed Administration                                | -              | -              | -              | -27,477          | -29,124          | -29,439          |
| <b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b> |                                                           | <b>1,166.5</b> | <b>1,271.9</b> | <b>1,271.9</b> | <b>\$197,809</b> | <b>\$217,878</b> | <b>\$224,118</b> |
| <b>FUNDING</b>                                           |                                                           |                |                |                | <b>2006-07*</b>  | <b>2007-08*</b>  | <b>2008-09*</b>  |
| 0001                                                     | General Fund                                              |                |                |                | \$-              | \$-              | \$2,122          |
| 0217                                                     | Insurance Fund                                            |                |                |                | 197,801          | 217,628          | 221,746          |
| 0995                                                     | Reimbursements                                            |                |                |                | 8                | 250              | 250              |
| <b>TOTALS, EXPENDITURES, ALL FUNDS</b>                   |                                                           |                |                |                | <b>\$197,809</b> | <b>\$217,878</b> | <b>\$224,118</b> |

**LEGAL CITATIONS AND AUTHORITY****PROGRAM AUTHORITY**

10-Regulation of Insurance Companies and Insurance Producers:

Insurance Code, Sections 1-16030, except as noted below.

12-Consumer Protection:

Insurance Code, Division 1, Part 2, Chapter 1, Articles 4, 6, 6.3 and 6.5, Sections 1872.81, 10127.17, 12921.1-12921.5, 12928, and 12930.

20-Fraud Control:

Insurance Code, Division 1, Part 2, Chapter 12 (except Section 1872.81 cited above).

30-Tax Collection and Audit:

Insurance Code, Sections 1774-1780; and Revenue and Taxation Code, Part 7 of Division 2.

50-Administration:

\* Dollars in thousands, except in Salary Range.

## 0845 Department of Insurance - Continued

Chapter 722, Statutes of 1982.

### MAJOR PROGRAM CHANGES

- Tax Collection - The Governor's Budget includes a shift of \$2.122 million from Insurance Fund to General Fund for insurance premium tax collection activities performed by the Department of Insurance. The funding for this program is now consistent with other General Fund tax collection programs.
- Workers' Compensation Insurance Fraud - The Governor's Budget includes \$4.02 million Insurance Fund for local assistance to aid District Attorneys in the prosecution of workers' compensation fraud.
- Consumer Protection - The Governor's Budget includes \$750,000 Insurance Fund for local assistance to combat life insurance and annuity financial abuse, with a focus on senior citizens.
- Administrative Hearings - The Governor's Budget includes \$475,000 and 4.8 positions to address increased administrative hearing workload resulting from rate regulation changes.
- Communications Infrastructure - The Governor's Budget includes \$137,000 Insurance Fund to continue 1.4 positions to support the Department of Insurance's Telecommunications Infrastructure Replacement Project.
- Americans with Disabilities Act (ADA) Compliance - The Governor's Budget includes \$83,000 Insurance Fund for a two-year period to address the Department of Insurance's ADA compliance needs.
- Transaction Fees - The Governor's Budget includes \$120,000 Insurance Fund to offset increased credit card transaction costs resulting from increased usage of the Department of Insurance's online services.

### DETAILED BUDGET ADJUSTMENTS

|                                                                                  | 2007-08*     |                |             | 2008-09*       |                |             |
|----------------------------------------------------------------------------------|--------------|----------------|-------------|----------------|----------------|-------------|
|                                                                                  | General Fund | Other Funds    | Positions   | General Fund   | Other Funds    | Positions   |
| <b>Baseline Adjustment Descriptions</b>                                          |              |                |             |                |                |             |
| • Workers' Compensation Insurance Fraud Program                                  | \$-          | \$-            | -           | \$-            | \$4,020        | -           |
| • Employee Compensation Adjustments                                              | -            | 3,938          | -           | -              | 4,724          | -           |
| • Pro Rata Adjustment                                                            | -            | -              | -           | -              | 1,563          | -           |
| • Price Increase                                                                 | -            | -              | -           | -              | 1,079          | -           |
| • Telecommunications Infrastructure Replacement Project Positions                | -            | -              | -           | -              | 137            | 1.4         |
| • Continuously Vacant Positions                                                  | -            | -41            | -1.0        | -              | -41            | -1.0        |
| • Retirement Rate Adjustment                                                     | -            | -85            | -           | -              | -85            | -           |
| • Limited Term Positions/Expiring Programs                                       | -            | -              | -           | -              | -862           | -6.2        |
| • One Time Cost Reductions                                                       | -            | -              | -           | -              | -1,911         | -           |
| <b>Totals, Baseline Adjustments</b>                                              | <b>\$-</b>   | <b>\$3,812</b> | <b>-1.0</b> | <b>\$-</b>     | <b>\$8,624</b> | <b>-5.8</b> |
| <b>Policy Adjustment Descriptions</b>                                            |              |                |             |                |                |             |
| • General Fund Tax Collection Program Funding Shift                              | \$-          | \$-            | -           | \$2,122        | -\$2,122       | -           |
| • Life Insurance and Annuity Consumer Protection Settlement--Local Assistance    | -            | -              | -           | -              | 750            | -           |
| • Auto Rating Regulations Workload--Legal Staff and Administrative Hearing Staff | -            | -              | -           | -              | 475            | 4.8         |
| • Credit Card Transaction Fees                                                   | -            | -              | -           | -              | 120            | -           |
| • ADA Workload Compliance                                                        | -            | -              | -           | -              | 83             | -           |
| <b>Totals, Policy Adjustments</b>                                                | <b>\$-</b>   | <b>\$-</b>     | <b>-</b>    | <b>\$2,122</b> | <b>-\$694</b>  | <b>4.8</b>  |
| <b>TOTALS, BUDGET ADJUSTMENTS</b>                                                | <b>\$-</b>   | <b>\$3,812</b> | <b>-1.0</b> | <b>\$2,122</b> | <b>\$7,930</b> | <b>-1.0</b> |

### PROGRAM DESCRIPTIONS (Program Objectives Statement)

#### 10 - REGULATION OF INSURANCE COMPANIES AND INSURANCE PRODUCERS

The objectives of this program are: (1) to prevent losses to policyholders, beneficiaries or the public due to the insolvency of

\* Dollars in thousands, except in Salary Range.

**0845 Department of Insurance - Continued**

insurers; (2) to prevent unlawful or unfair practices by insurers as defined by the Insurance Code; (3) to ensure that insurance rates are not excessive, inadequate, unfairly discriminatory, or otherwise in violation of the Insurance Code; and (4) to ensure that applicants for insurance licenses, and holders of insurance licenses, satisfy and maintain the qualifications for licensure.

**12 - CONSUMER PROTECTION**

The objective of this program is to provide direct service to California consumers by protecting insurance policyholders and other parties involved in insurance transactions against unfair or illegal practices with respect to claims handling, rating or underwriting by insurers. The objectives also include protecting applicants and policyholders from discriminatory, unlawful or fraudulent practices or incompetence relating to the sale of insurance.

**20 - FRAUD CONTROL**

The objective of this program is to protect the public from economic loss and distress by actively investigating and arresting those who commit insurance fraud and to reduce the overall incidence of insurance fraud through anti-fraud outreach to the public, private and governmental sectors. The program is staffed by sworn peace officers who conduct criminal investigations of insurance fraud and related criminal cases.

**30 - TAX COLLECTION AND AUDIT**

This General Fund tax collection program performs tax collection, accounting, and tax audits of insurance companies and surplus line brokers. The program staff audits tax returns to determine compliance with the rules and regulations contained in both the Insurance and Revenue and Taxation Codes and assists the Board of Equalization and the State Controller's Office with various refund, assessment, and accounting matters relative to the various premium taxpayers. Tax collections from this program are deposited in the State General Fund.

**50 - ADMINISTRATION**

This program provides the overall policy direction for the Department, as well as all administrative functions including legal, human resources, financial management, information technology, personnel, budget, legislative, and business services.

**DETAILED EXPENDITURES BY PROGRAM (Program Budget Detail)**

|              |                                                                  | <u>2006-07*</u> | <u>2007-08*</u> | <u>2008-09*</u> |
|--------------|------------------------------------------------------------------|-----------------|-----------------|-----------------|
|              | <b>PROGRAM REQUIREMENTS</b>                                      |                 |                 |                 |
| <b>10</b>    | <b>REGULATION OF INSURANCE COMPANIES AND INSURANCE PRODUCERS</b> |                 |                 |                 |
|              | <b>State Operations:</b>                                         |                 |                 |                 |
| 0217         | Insurance Fund                                                   | \$65,959        | \$69,677        | \$71,485        |
| 0995         | Reimbursements                                                   | 8               | 250             | 250             |
|              | <b>Totals, State Operations</b>                                  | <b>\$65,967</b> | <b>\$69,927</b> | <b>\$71,735</b> |
|              | <b>ELEMENT REQUIREMENTS</b>                                      |                 |                 |                 |
| <b>10.30</b> | <b>Rate Regulation</b>                                           | <b>\$19,150</b> | <b>\$19,550</b> | <b>\$20,562</b> |
|              | <b>State Operations:</b>                                         |                 |                 |                 |
| 0217         | Insurance Fund                                                   | 19,142          | 19,300          | 20,312          |
| 0995         | Reimbursements                                                   | 8               | 250             | 250             |
| <b>10.40</b> | <b>Regulatory</b>                                                | <b>\$17,213</b> | <b>\$22,721</b> | <b>\$23,035</b> |
|              | <b>State Operations:</b>                                         |                 |                 |                 |
| 0217         | Insurance Fund                                                   | 17,213          | 22,721          | 23,035          |
| <b>10.51</b> | <b>Licensing</b>                                                 | <b>\$23,338</b> | <b>\$21,538</b> | <b>\$22,018</b> |
|              | <b>State Operations:</b>                                         |                 |                 |                 |
| 0217         | Insurance Fund                                                   | 23,338          | 21,538          | 22,018          |
| <b>10.70</b> | <b>Special Programs</b>                                          | <b>\$6,266</b>  | <b>\$6,118</b>  | <b>\$6,120</b>  |
|              | <b>State Operations:</b>                                         |                 |                 |                 |
| 0217         | Insurance Fund                                                   | 6,266           | 6,118           | 6,120           |
|              | <b>PROGRAM REQUIREMENTS</b>                                      |                 |                 |                 |
| <b>12</b>    | <b>CONSUMER PROTECTION</b>                                       |                 |                 |                 |
|              | <b>State Operations:</b>                                         |                 |                 |                 |
| 0217         | Insurance Fund                                                   | \$49,888        | \$53,044        | \$53,648        |
|              | <b>Totals, State Operations</b>                                  | <b>\$49,888</b> | <b>\$53,044</b> | <b>\$53,648</b> |

\* Dollars in thousands, except in Salary Range.

**0845 Department of Insurance - Continued**

|              |                                             | <u>2006-07*</u> | <u>2007-08*</u> | <u>2008-09*</u> |
|--------------|---------------------------------------------|-----------------|-----------------|-----------------|
|              | <b>Local Assistance:</b>                    |                 |                 |                 |
| 0217         | Insurance Fund                              | <u>\$500</u>    | <u>\$1,500</u>  | <u>\$1,500</u>  |
|              | <b>Totals, Local Assistance</b>             | <b>\$500</b>    | <b>\$1,500</b>  | <b>\$1,500</b>  |
|              | <b>ELEMENT REQUIREMENTS</b>                 |                 |                 |                 |
| <b>12.10</b> | <b>Legal Compliance</b>                     | <b>\$12,012</b> | <b>\$11,161</b> | <b>\$11,381</b> |
|              | <b>State Operations:</b>                    |                 |                 |                 |
| 0217         | Insurance Fund                              | 12,012          | 11,161          | 11,381          |
| <b>12.20</b> | <b>Investigations</b>                       | <b>\$14,002</b> | <b>\$16,357</b> | <b>\$16,180</b> |
|              | <b>State Operations:</b>                    |                 |                 |                 |
| 0217         | Insurance Fund                              | 13,502          | 14,857          | 14,680          |
|              | <b>Local Assistance:</b>                    |                 |                 |                 |
| 0217         | Insurance Fund                              | 500             | 1,500           | 1,500           |
| <b>12.30</b> | <b>Consumer Services and Market Conduct</b> | <b>\$24,374</b> | <b>\$27,026</b> | <b>\$27,587</b> |
|              | <b>State Operations:</b>                    |                 |                 |                 |
| 0217         | Insurance Fund                              | 24,374          | 27,026          | 27,587          |
|              | <b>PROGRAM REQUIREMENTS</b>                 |                 |                 |                 |
| <b>20</b>    | <b>FRAUD CONTROL</b>                        |                 |                 |                 |
|              | <b>State Operations:</b>                    |                 |                 |                 |
| 0217         | Insurance Fund                              | <u>\$38,615</u> | <u>\$45,153</u> | <u>\$45,465</u> |
|              | <b>Totals, State Operations</b>             | <b>\$38,615</b> | <b>\$45,153</b> | <b>\$45,465</b> |
|              | <b>Local Assistance:</b>                    |                 |                 |                 |
| 0217         | Insurance Fund                              | <u>\$40,914</u> | <u>\$46,039</u> | <u>\$49,648</u> |
|              | <b>Totals, Local Assistance</b>             | <b>\$40,914</b> | <b>\$46,039</b> | <b>\$49,648</b> |
|              | <b>ELEMENT REQUIREMENTS</b>                 |                 |                 |                 |
| <b>20.10</b> | <b>Fraud - Auto</b>                         | <b>\$32,796</b> | <b>\$39,459</b> | <b>\$40,067</b> |
|              | <b>State Operations:</b>                    |                 |                 |                 |
| 0217         | Insurance Fund                              | 16,961          | 20,623          | 21,231          |
|              | <b>Local Assistance:</b>                    |                 |                 |                 |
| 0217         | Insurance Fund                              | 15,835          | 18,836          | 18,836          |
| <b>20.20</b> | <b>Fraud - Workers' Compensation</b>        | <b>\$40,577</b> | <b>\$45,336</b> | <b>\$49,162</b> |
|              | <b>State Operations:</b>                    |                 |                 |                 |
| 0217         | Insurance Fund                              | 17,861          | 20,256          | 20,062          |
|              | <b>Local Assistance:</b>                    |                 |                 |                 |
| 0217         | Insurance Fund                              | 22,716          | 25,080          | 29,100          |
| <b>20.30</b> | <b>Fraud - General Assessment</b>           | <b>\$1,966</b>  | <b>\$2,282</b>  | <b>\$2,350</b>  |
|              | <b>State Operations:</b>                    |                 |                 |                 |
| 0217         | Insurance Fund                              | 1,966           | 2,282           | 2,350           |
| <b>20.40</b> | <b>Fraud - Disability and Healthcare</b>    | <b>\$4,190</b>  | <b>\$4,115</b>  | <b>\$3,534</b>  |
|              | <b>State Operations:</b>                    |                 |                 |                 |
| 0217         | Insurance Fund                              | 1,827           | 1,992           | 1,822           |
|              | <b>Local Assistance:</b>                    |                 |                 |                 |
| 0217         | Insurance Fund                              | 2,363           | 2,123           | 1,712           |
|              | <b>PROGRAM REQUIREMENTS</b>                 |                 |                 |                 |
| <b>30</b>    | <b>TAX COLLECTION AND AUDITS</b>            |                 |                 |                 |
|              | <b>State Operations:</b>                    |                 |                 |                 |
| 0001         | General Fund                                | \$-             | \$-             | \$2,122         |
| 0217         | Insurance Fund                              | <u>1,925</u>    | <u>2,215</u>    | <u>-</u>        |
|              | <b>Totals, State Operations</b>             | <b>\$1,925</b>  | <b>\$2,215</b>  | <b>\$2,122</b>  |
|              | <b>TOTALS, EXPENDITURES</b>                 |                 |                 |                 |

\* Dollars in thousands, except in Salary Range.

**0845 Department of Insurance - Continued**

|                             | <u>2006-07*</u>  | <u>2007-08*</u>  | <u>2008-09*</u>  |
|-----------------------------|------------------|------------------|------------------|
| State Operations            | 156,395          | 170,339          | 172,970          |
| Local Assistance            | <u>41,414</u>    | <u>47,539</u>    | <u>51,148</u>    |
| <b>Totals, Expenditures</b> | <b>\$197,809</b> | <b>\$217,878</b> | <b>\$224,118</b> |

**EXPENDITURES BY CATEGORY (Summary By Object)**

| 1 State Operations                                                      | <u>Positions</u> |                 |                 | <u>Expenditures</u> |                  |                  |
|-------------------------------------------------------------------------|------------------|-----------------|-----------------|---------------------|------------------|------------------|
|                                                                         | <u>2006-07</u>   | <u>2007-08</u>  | <u>2008-09</u>  | <u>2006-07*</u>     | <u>2007-08*</u>  | <u>2008-09*</u>  |
| PERSONAL SERVICES                                                       |                  |                 |                 |                     |                  |                  |
| Authorized Positions (Equals Sch. 7A)                                   | 1,166.5          | 1,338.8         | 1,332.3         | \$77,570            | \$85,487         | \$86,473         |
| Total Adjustments                                                       | -                | -               | 6.5             | -                   | 2,932            | 3,749            |
| Estimated Salary Savings                                                | <u>-</u>         | <u>-66.9</u>    | <u>-66.9</u>    | <u>-</u>            | <u>-4,421</u>    | <u>-4,511</u>    |
| <b>Net Totals, Salaries and Wages</b>                                   | <b>1,166.5</b>   | <b>1,271.9</b>  | <b>1,271.9</b>  | <b>\$77,570</b>     | <b>\$83,998</b>  | <b>\$85,711</b>  |
| Staff Benefits                                                          | <u>-</u>         | <u>-</u>        | <u>-</u>        | <u>27,145</u>       | <u>33,239</u>    | <u>32,440</u>    |
| <b>Totals, Personal Services</b>                                        | <b>1,166.5</b>   | <b>1,271.9</b>  | <b>1,271.9</b>  | <b>\$104,715</b>    | <b>\$117,237</b> | <b>\$118,151</b> |
| OPERATING EXPENSES AND EQUIPMENT                                        |                  |                 |                 | <u>\$51,680</u>     | <u>\$53,102</u>  | <u>\$54,819</u>  |
| <b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b> |                  |                 |                 | <b>\$156,395</b>    | <b>\$170,339</b> | <b>\$172,970</b> |
| 2 Local Assistance                                                      |                  |                 |                 | <u>Expenditures</u> |                  |                  |
|                                                                         | <u>2006-07*</u>  | <u>2007-08*</u> | <u>2008-09*</u> | <u>2006-07*</u>     | <u>2007-08*</u>  | <u>2008-09*</u>  |
| Counties-District Attorneys                                             |                  |                 |                 | <u>\$41,414</u>     | <u>\$47,539</u>  | <u>\$51,148</u>  |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>               | <b>\$41,414</b>  | <b>\$47,539</b> | <b>\$51,148</b> |                     |                  |                  |

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS (Reconciliation with Appropriations)**

| 1 STATE OPERATIONS                                        | <u>2006-07*</u>   | <u>2007-08*</u>   | <u>2008-09*</u>       |
|-----------------------------------------------------------|-------------------|-------------------|-----------------------|
| 0001 General Fund                                         |                   |                   |                       |
| APPROPRIATIONS                                            |                   |                   |                       |
| 001 Budget Act appropriation                              | <u>\$-</u>        | <u>\$-</u>        | <u>\$2,122</u>        |
| <b>TOTALS, EXPENDITURES</b>                               | <b><u>\$-</u></b> | <b><u>\$-</u></b> | <b><u>\$2,122</u></b> |
| <b>TOTALS, GENERAL FUND EXPENDITURES</b>                  | <b><u>\$-</u></b> | <b><u>\$-</u></b> | <b><u>\$2,122</u></b> |
| 0217 Insurance Fund                                       |                   |                   |                       |
| APPROPRIATIONS                                            |                   |                   |                       |
| 001 Budget Act appropriation                              | \$156,779         | \$166,277         | \$170,598             |
| Allocation for employee compensation                      | 6,734             | 3,938             | -                     |
| Allocation for contingencies or emergencies               | 1,609             | -                 | -                     |
| Adjustment per Section 3.60                               | 740               | -85               | -                     |
| Adjustment per Section 4.75 Statewide Surcharge           | <u>1</u>          | <u>-</u>          | <u>-</u>              |
| <b>Totals Available</b>                                   | <b>\$165,863</b>  | <b>\$170,130</b>  | <b>\$170,598</b>      |
| Unexpended balance, estimated savings                     | <u>-9,476</u>     | <u>-41</u>        | <u>-</u>              |
| <b>TOTALS, EXPENDITURES</b>                               | <b>\$156,387</b>  | <b>\$170,089</b>  | <b>\$170,598</b>      |
| 0995 Reimbursements                                       |                   |                   |                       |
| APPROPRIATIONS                                            |                   |                   |                       |
| Reimbursements                                            | <u>\$8</u>        | <u>\$250</u>      | <u>\$250</u>          |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b> | <b>\$156,395</b>  | <b>\$170,339</b>  | <b>\$172,970</b>      |
| 2 LOCAL ASSISTANCE                                        | <u>2006-07*</u>   | <u>2007-08*</u>   | <u>2008-09*</u>       |
| 0217 Insurance Fund                                       |                   |                   |                       |
| APPROPRIATIONS                                            |                   |                   |                       |
| 101 Budget Act appropriation                              | \$40,603          | \$47,539          | \$51,148              |

\* Dollars in thousands, except in Salary Range.

**0845 Department of Insurance - Continued**

| <b>2 LOCAL ASSISTANCE</b>                                                                      | <b>2006-07*</b>  | <b>2007-08*</b>  | <b>2008-09*</b>  |
|------------------------------------------------------------------------------------------------|------------------|------------------|------------------|
| Prior year balances available:                                                                 |                  |                  |                  |
| Item 0845-101-0217, Budget Act of 2004, as reappropriated by Item 0845-490, Budget Act of 2006 | 1,063            | -                | -                |
| <b>Totals Available</b>                                                                        | <b>\$41,666</b>  | <b>\$47,539</b>  | <b>\$51,148</b>  |
| Unexpended balance, estimated savings                                                          | -252             | -                | -                |
| <b>TOTALS, EXPENDITURES</b>                                                                    | <b>\$41,414</b>  | <b>\$47,539</b>  | <b>\$51,148</b>  |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>                                      | <b>\$41,414</b>  | <b>\$47,539</b>  | <b>\$51,148</b>  |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)</b>                 | <b>\$197,809</b> | <b>\$217,878</b> | <b>\$224,118</b> |

**FUND CONDITION STATEMENTS**

|                                                                          | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|--------------------------------------------------------------------------|-----------------|-----------------|-----------------|
| <b>0217 Insurance Fund <sup>s</sup></b>                                  |                 |                 |                 |
| BEGINNING BALANCE                                                        | \$45,981        | \$50,130        | \$38,140        |
| Prior year adjustments                                                   | 2,527           | -               | -               |
| Adjusted Beginning Balance                                               | \$48,508        | \$50,130        | \$38,140        |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS                               |                 |                 |                 |
| Revenues:                                                                |                 |                 |                 |
| 123100 Insurance Co License Fees & Penalties                             | 34,451          | 36,518          | 37,249          |
| 123200 Insurance Company Examination Fees                                | 19,042          | 20,112          | 19,002          |
| 127100 Insurance Department Fees, Prop 103                               | 29,563          | 24,742          | 27,436          |
| 127200 Insurance Department Fees, General                                | 20,668          | 20,404          | 79,824          |
| 127300 Insurance Fraud Assessment, Workers Comp                          | 40,479          | 43,962          | 48,137          |
| 127400 Insurance Fraud Assessment, Auto                                  | 43,691          | 45,252          | 46,836          |
| 127500 Insurance Fraud Assessment, General                               | 5,140           | 10,433          | 10,506          |
| 141200 Sales of Documents                                                | 247             | 198             | 198             |
| 142500 Miscellaneous Services to the Public                              | 15              | 31              | 31              |
| 150300 Income From Surplus Money Investments                             | 2,963           | 3,023           | 3,083           |
| 161000 Escheat of Unclaimed Checks & Warrants                            | 24              | 15              | 15              |
| 161400 Miscellaneous Revenue                                             | 2,726           | 129             | 129             |
| 161900 Other Revenue - Cost Recoveries                                   | 1,518           | 1,991           | 1,991           |
| 163000 Settlements/Judgments(not Anti-trust)                             | 26              | -               | -               |
| Total Revenues, Transfers, and Other Adjustments                         | \$200,553       | \$206,810       | \$274,437       |
| Total Resources                                                          | \$249,061       | \$256,940       | \$312,577       |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS                                 |                 |                 |                 |
| Expenditures:                                                            |                 |                 |                 |
| 0690 Office of Emergency Services (State Operations)                     | -               | -               | 10,210          |
| 0840 State Controller (State Operations)                                 | 2               | 1               | 151             |
| 0845 Department of Insurance                                             |                 |                 |                 |
| State Operations                                                         | 156,387         | 170,089         | 170,598         |
| Local Assistance                                                         | 41,414          | 47,539          | 51,148          |
| 1690 Alfred E. Alquist Seismic Safety Commission (State Operations)      | 663             | 1,171           | 1,312           |
| 3540 Department of Forestry and Fire Protection (State Operations)       | -               | -               | 33,113          |
| 8690 Seismic Safety Commission (State Operations)                        | 465             | -               | -               |
| 8940 Military Department (State Operations)                              | -               | -               | 9,192           |
| Total Expenditures and Expenditure Adjustments                           | \$198,931       | \$218,800       | \$275,724       |
| FUND BALANCE                                                             | \$50,130        | \$38,140        | \$36,853        |
| Reserve for economic uncertainties                                       | 50,130          | 38,140          | 36,853          |
| <b>0285 California Residential Earthquake Recovery Fund <sup>s</sup></b> |                 |                 |                 |
| BEGINNING BALANCE                                                        | \$152           | \$174           | \$174           |

\* Dollars in thousands, except in Salary Range.

**0845 Department of Insurance - Continued**

|                                    | 2006-07* | 2007-08* | 2008-09* |
|------------------------------------|----------|----------|----------|
| Prior year adjustments             | 22       | -        | -        |
| Adjusted Beginning Balance         | \$174    | \$174    | \$174    |
| FUND BALANCE                       | \$174    | \$174    | \$174    |
| Reserve for economic uncertainties | 174      | 174      | 174      |

**CHANGES IN AUTHORIZED POSITIONS**

|                                                                            | Positions      |                |                | Expenditures        |                 |                 |
|----------------------------------------------------------------------------|----------------|----------------|----------------|---------------------|-----------------|-----------------|
|                                                                            | 2006-07        | 2007-08        | 2008-09        | 2006-07*            | 2007-08*        | 2008-09*        |
| Totals, Authorized Positions                                               | 1,166.5        | 1,338.8        | 1,332.3        | \$77,570            | \$85,487        | \$86,473        |
| Salary Adjustments                                                         | -              | -              | -              | -                   | 2,932           | 3,327           |
| <b>Proposed New Positions:</b>                                             |                |                |                | <b>Salary Range</b> |                 |                 |
| Administrative Law Judge I                                                 | -              | -              | 1.0            | 7,749-9,371         | -               | 103             |
| Staff Information Systems Analyst (0.5 LT pos eff 1-1-09, exp 6-30-10)     | -              | -              | 0.5            | 5,065-6,466         | -               | 34              |
| Staff Counsel                                                              | -              | -              | 2.0            | 4,833-8,094         | -               | 155             |
| Associate Information Systems Analyst (1.0 LT pos eff 1-1-09, exp 6-30-10) | -              | -              | 1.0            | 4,619-5,897         | -               | 63              |
| Senior Legal Typist                                                        | -              | -              | 1.0            | 2,589-3,516         | -               | 37              |
| Office Assistant (Typing)                                                  | -              | -              | 1.0            | 2,143-2,826         | -               | 30              |
| <b>Totals, Proposed New Positions</b>                                      | -              | -              | <b>6.5</b>     | <b>\$-</b>          | <b>\$-</b>      | <b>\$422</b>    |
| <b>Total Adjustments</b>                                                   | -              | -              | <b>6.5</b>     | <b>\$-</b>          | <b>\$2,932</b>  | <b>\$3,749</b>  |
| <b>TOTALS, SALARIES AND WAGES</b>                                          | <b>1,166.5</b> | <b>1,338.8</b> | <b>1,338.8</b> | <b>\$77,570</b>     | <b>\$88,419</b> | <b>\$90,222</b> |

**0850 California State Lottery Commission**

The California Constitution authorizes the establishment of a statewide lottery. An initiative statute, the California State Lottery Act of 1984 (Act), created the California State Lottery Commission and gave it broad powers to oversee the operations of a statewide lottery. The primary purpose of the Act is to provide supplemental monies to benefit public education without the imposition of additional or increased taxes. The Lottery is administered by a five-person Commission appointed by the Governor and confirmed by the State Senate.

The Act requires that 50 percent of total annual revenues be returned to the public in the form of prizes and at least 34 percent of total revenues be allocated to the benefit of public education. No more than 16 percent of total revenues are to be used for administrative costs. The Commission may also use a portion of its administrative funds to pay for prizes in order to increase sales and revenues to education. Those revenues are allocated to public education and placed in a special fund, known as the California State Lottery Education Fund, which is appropriated for the benefit of public education and which holds revenues until they are allocated on a per capita basis, using prior year certified Average Daily Attendance data, to the following categories: K-12 education, Community Colleges, the California State University, the University of California, and other educational entities. These funds are to be spent for instructional purposes, and may not be spent for acquisition of real property, construction of facilities, financing of research, or other non-instructional purposes.

The Act was amended by a legislative initiative in March 2000 to provide that one-half of the amount of the share allocated to public education in excess of the amount allocated to education in fiscal year 1997-98 be allocated to school and community college districts for the purchase of instructional materials.

In the 22 years since sales began in October 1985 through June 30, 2007, the California State Lottery has raised over \$19 billion for public education.

The Lottery forecasts revenue projections in May/June annually. However, because of the inherently variable nature of lottery ticket sales, revenue estimates for 2007-08 and 2008-09 cannot be made with certainty.

**LEGAL CITATIONS AND AUTHORITY****DEPARTMENT AUTHORITY**

Government Code, Title 2, Division 1, Chapter 12.5 (Sections 8880-8880.72).

\* Dollars in thousands, except in Salary Range.

**0850 California State Lottery Commission - Continued****Statement of Operations**

|                                               | <b>2006-07*</b>    | <b>2007-08*</b>    | <b>2008-09*</b>    |
|-----------------------------------------------|--------------------|--------------------|--------------------|
| Lottery sales                                 | \$3,318,347        | \$3,350,000        | \$3,350,000        |
| Less prizes                                   | 1,765,643          | 1,779,955          | 1,779,955          |
| Sales after prizes                            | 1,552,703          | 1,570,045          | 1,570,045          |
| Less Game Costs:                              |                    |                    |                    |
| Total Retailer costs:                         | 233,793            | 238,782            | 238,782            |
| Draw game costs                               | 37,820             | 41,128             | 41,128             |
| Instant ticket game costs                     | 17,725             | 19,640             | 19,640             |
| Totals, Game Costs                            | <u>\$289,338</u>   | <u>\$299,550</u>   | <u>\$299,550</u>   |
| Income before operating expenses              | \$1,263,365        | \$1,270,495        | \$1,270,495        |
| Operating Expenses:                           |                    |                    |                    |
| Salaries, wages and benefits                  | 42,225             | 47,436             | 47,436             |
| Advertising                                   | 33,338             | 31,600             | 31,600             |
| Promotion, public relations and point-of-sale | 8,894              | 8,400              | 8,400              |
| Other professional services                   | 5,661              | 7,807              | 7,807              |
| Depreciation and amortization                 | 9,100              | 7,818              | 7,818              |
| Other general and administrative expenses     | 11,715             | 28,434             | 28,434             |
| Totals, Operating Expenses                    | <u>\$110,933</u>   | <u>\$131,495</u>   | <u>\$131,495</u>   |
| Operating income                              | 1,152,432          | 1,139,000          | 1,139,000          |
| Interest income                               | 23,297             | 20,000             | 20,000             |
| Other income                                  | 1,200              | -                  | -                  |
| Net income                                    | \$1,176,929        | \$1,159,000        | \$1,159,000        |
| Unclaimed on-line prizes                      | \$29,218           | \$26,000           | \$26,000           |
| <b>NET INCOME, DUE TO EDUCATION FUND</b>      | <b>\$1,206,147</b> | <b>\$1,185,000</b> | <b>\$1,185,000</b> |

Totals may not add due to rounding.

\* Dollars in thousands, except in Salary Range.

**0850 California State Lottery Commission - Continued****Distribution of State Lottery Education Fund Revenues**

|                                                                                           | <b>2006-07*</b>    | <b>2007-08*</b>    | <b>2008-09*</b>    |
|-------------------------------------------------------------------------------------------|--------------------|--------------------|--------------------|
| Department of Education (K-12)                                                            | \$971,672          | \$954,635          | \$954,635          |
| California Community Colleges                                                             | 164,991            | 162,098            | 162,098            |
| California State University/California Maritime Academy                                   | 43,078             | 42,323             | 42,323             |
| University of California                                                                  | 25,926             | 25,472             | 25,472             |
| Hasting College of Law                                                                    | 160                | 157                | 157                |
| California Department of Corrections and Rehabilitation<br>- Division of Juvenile Justice | 208                | 205                | 205                |
| State Special Schools                                                                     | 112                | 110                | 110                |
| <b>TOTALS</b>                                                                             | <b>\$1,206,147</b> | <b>\$1,185,000</b> | <b>\$1,185,000</b> |

Totals may not add due to rounding.

The figures above for FY 2006-07 are unaudited. Any changes resulting from the year-end audit will be reflected in FY 2007-08 first quarter.

\* Dollars in thousands, except in Salary Range.

## 0850 California State Lottery Commission - Continued

### DETAIL OF APPROPRIATIONS AND ADJUSTMENTS (Reconciliation with Appropriations)

| 1 STATE OPERATIONS                                        | 2006-07*    | 2007-08*    | 2008-09*    |
|-----------------------------------------------------------|-------------|-------------|-------------|
| 0562 State Lottery Fund                                   |             |             |             |
| APPROPRIATIONS                                            |             |             |             |
| 001 Budget Act appropriation                              | (\$429,800) | (\$435,225) | (\$431,045) |
| Revised estimated expenditures                            | (5,425)     | (-)         | -           |
| <b>TOTALS, EXPENDITURES</b>                               | <b>\$-</b>  | <b>\$-</b>  | <b>\$-</b>  |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b> | <b>\$-</b>  | <b>\$-</b>  | <b>\$-</b>  |

## 0855 California Gambling Control Commission

The California Gambling Control Commission (Commission) has jurisdiction over cardrooms and tribal casinos, pursuant to its authority under state law and Tribal-State Gaming Compacts (Compacts).

There are 91 licensed cardrooms in California over which the Commission has regulatory authority. This authority extends to the operations, concentration, and supervision of the cardrooms and all persons and things related to each licensed establishment.

In addition, the Commission has fiduciary, regulatory, and administrative responsibilities related to Tribal Gaming that include: (1) oversight of Class III gaming operations, which are primarily casino-type games, (2) distribution of Tribal Gaming revenues to various state funds and to authorized, federally-recognized, non-Compact tribes, (3) monitoring of Tribal Gaming through periodic background checks of tribal key employees, vendors, and financial sources, (4) validation of gaming operation standards through testing, auditing, and review, and (5) fiscal auditing of tribal payments to the state pursuant to Compact provisions.

### 3-YR EXPENDITURES AND POSITIONS (Summary of Program Requirements)

|                                                          | Positions   |             |             | Expenditures     |                  |                  |
|----------------------------------------------------------|-------------|-------------|-------------|------------------|------------------|------------------|
|                                                          | 2006-07     | 2007-08     | 2008-09     | 2006-07*         | 2007-08*         | 2008-09*         |
| 10 California Gambling Control Commission                | 46.3        | 69.7        | 82.9        | \$112,358        | \$115,244        | \$110,388        |
| <b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b> | <b>46.3</b> | <b>69.7</b> | <b>82.9</b> | <b>\$112,358</b> | <b>\$115,244</b> | <b>\$110,388</b> |
| <b>FUNDING</b>                                           |             |             |             | <b>2006-07*</b>  | <b>2007-08*</b>  | <b>2008-09*</b>  |
| 0366 Indian Gaming Revenue Share Trust Fund              |             |             |             | \$75,227         | \$103,757        | \$96,500         |
| 0367 Indian Gaming Special Distribution Fund             |             |             |             | 35,328           | 8,502            | 10,422           |
| 0567 Gambling Control Fund                               |             |             |             | 1,803            | 2,985            | 3,466            |
| <b>TOTALS, EXPENDITURES, ALL FUNDS</b>                   |             |             |             | <b>\$112,358</b> | <b>\$115,244</b> | <b>\$110,388</b> |

### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

Business and Professions Code Sections 19811-19824 and 19840-19984

Government Code Sections 12012.25, 12012.35, 12012.40, 12012.45, and 63048.65

### MAJOR PROGRAM CHANGES

- Electronic Gaming Device Inspection Program-The Budget includes \$1 million Indian Gaming Special Distribution Fund and 7.6 positions to permanently fund the Commission's Field Testing and Technical Services Programs, which will be combined and renamed the Electronic Gaming Device Inspection Program. These resources will allow the Commission to continue its evaluation and testing of Class III gaming devices (slot machines) in tribal casinos to ensure their compliance with industry and manufacturers' technical standards.
- New and Amended Tribal Gaming Compact Workload-The Budget includes \$282,000 Indian Gaming Special Distribution Fund and 2.2 positions to address increased workload in the Commission's Licensing Division related to new and amended Compacts.

\* Dollars in thousands, except in Salary Range.

## 0855 California Gambling Control Commission - Continued

### DETAILED BUDGET ADJUSTMENTS

|                                                  | 2007-08*     |                |           | 2008-09*     |                |            |
|--------------------------------------------------|--------------|----------------|-----------|--------------|----------------|------------|
|                                                  | General Fund | Other Funds    | Positions | General Fund | Other Funds    | Positions  |
| <b>Baseline Adjustment Descriptions</b>          |              |                |           |              |                |            |
| • Electronic Gaming Device Inspection Program    | \$-          | \$-            | -         | \$-          | \$1,008        | 7.6        |
| • New and Amended Tribal Gaming Compact Workload | -            | -              | -         | -            | 282            | 2.2        |
| • Licensing Division Workload                    | -            | -              | -         | -            | 444            | 4.7        |
| • Pro Rata Adjustment                            | -            | -              | -         | -            | 1,188          | -          |
| • Full Year Cost of New/Expanded Programs        | -            | -              | -         | -            | 646            | -          |
| • Employee Compensation Adjustments              | -            | 275            | -         | -            | 296            | -          |
| • Price Increase                                 | -            | -              | -         | -            | 103            | -          |
| • Carryover/Reappropriation                      | -            | 7,257          | -         | -            | -              | -          |
| • Retirement Rate Adjustment                     | -            | -15            | -         | -            | -15            | -          |
| • One Time Cost Reductions                       | -            | -              | -         | -            | -283           | -          |
| • Limited Term Positions/Expiring Programs       | -            | -              | -         | -            | -1,008         | -7.6       |
| <b>Totals, Baseline Adjustments</b>              | <b>\$-</b>   | <b>\$7,517</b> | <b>-</b>  | <b>\$-</b>   | <b>\$2,661</b> | <b>6.9</b> |
| <b>TOTALS, BUDGET ADJUSTMENTS</b>                | <b>\$-</b>   | <b>\$7,517</b> | <b>-</b>  | <b>\$-</b>   | <b>\$2,661</b> | <b>6.9</b> |

### EXPENDITURES BY CATEGORY (Summary By Object)

| 1 State Operations                                                      | Positions   |             |             | Expenditures        |                  |                 |
|-------------------------------------------------------------------------|-------------|-------------|-------------|---------------------|------------------|-----------------|
|                                                                         | 2006-07     | 2007-08     | 2008-09     | 2006-07*            | 2007-08*         | 2008-09*        |
| <b>PERSONAL SERVICES</b>                                                |             |             |             |                     |                  |                 |
| Authorized Positions (Equals Sch. 7A)                                   | 46.3        | 80.0        | 72.0        | \$3,263             | \$4,769          | \$4,713         |
| Total Adjustments                                                       | -           | -           | 15.3        | -                   | 181              | 1,379           |
| Estimated Salary Savings                                                | -           | -10.3       | -4.4        | -                   | -248             | -305            |
| <b>Net Totals, Salaries and Wages</b>                                   | <b>46.3</b> | <b>69.7</b> | <b>82.9</b> | <b>\$3,263</b>      | <b>\$4,702</b>   | <b>\$5,787</b>  |
| Staff Benefits                                                          | -           | -           | -           | 1,099               | 1,831            | 2,268           |
| <b>Totals, Personal Services</b>                                        | <b>46.3</b> | <b>69.7</b> | <b>82.9</b> | <b>\$4,362</b>      | <b>\$6,533</b>   | <b>\$8,055</b>  |
| <b>OPERATING EXPENSES AND EQUIPMENT</b>                                 |             |             |             | <b>\$2,806</b>      | <b>\$4,671</b>   | <b>\$5,833</b>  |
| <b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b> |             |             |             | <b>\$7,168</b>      | <b>\$11,204</b>  | <b>\$13,888</b> |
| <b>2 Local Assistance</b>                                               |             |             |             | <b>Expenditures</b> |                  |                 |
|                                                                         |             |             |             | <b>2006-07*</b>     | <b>2007-08*</b>  | <b>2008-09*</b> |
| Grants and Subventions                                                  |             |             |             | \$105,190           | \$104,040        | \$96,500        |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>               |             |             |             | <b>\$105,190</b>    | <b>\$104,040</b> | <b>\$96,500</b> |

### DETAIL OF APPROPRIATIONS AND ADJUSTMENTS (Reconciliation with Appropriations)

| 1 STATE OPERATIONS                                                        | 2006-07* | 2007-08* | 2008-09* |
|---------------------------------------------------------------------------|----------|----------|----------|
| 0367 Indian Gaming Special Distribution Fund                              |          |          |          |
| APPROPRIATIONS                                                            |          |          |          |
| 001 Budget Act appropriation                                              | \$7,279  | \$-      | \$-      |
| Allocation for employee compensation                                      | 203      | -        | -        |
| Adjustment per Section 3.60                                               | 25       | -        | -        |
| 001 Budget Act appropriation, as amended by Chapter 172, Statutes of 2007 | -        | 8,029    | -        |
| Allocation for employee compensation                                      | -        | 201      | -        |
| Adjustment per Section 3.60                                               | -        | -11      | -        |

\* Dollars in thousands, except in Salary Range.

**0855 California Gambling Control Commission - Continued**

| <b>1 STATE OPERATIONS</b>                                                           | <b>2006-07*</b>  | <b>2007-08*</b>  | <b>2008-09*</b>  |
|-------------------------------------------------------------------------------------|------------------|------------------|------------------|
| 001 Budget Act appropriation                                                        | -                | -                | 10,422           |
| <b>Totals Available</b>                                                             | <b>\$7,507</b>   | <b>\$8,219</b>   | <b>\$10,422</b>  |
| Unexpended balance, estimated savings                                               | -2,142           | -                | -                |
| <b>TOTALS, EXPENDITURES</b>                                                         | <b>\$5,365</b>   | <b>\$8,219</b>   | <b>\$10,422</b>  |
| <b>0567 Gambling Control Fund</b>                                                   |                  |                  |                  |
| APPROPRIATIONS                                                                      |                  |                  |                  |
| 001 Budget Act appropriation                                                        | \$2,854          | \$2,915          | \$3,466          |
| Allocation for employee compensation                                                | 87               | 74               | -                |
| Adjustment per Section 3.60                                                         | 11               | -4               | -                |
| <b>Totals Available</b>                                                             | <b>\$2,952</b>   | <b>\$2,985</b>   | <b>\$3,466</b>   |
| Unexpended balance, estimated savings                                               | -1,149           | -                | -                |
| <b>TOTALS, EXPENDITURES</b>                                                         | <b>\$1,803</b>   | <b>\$2,985</b>   | <b>\$3,466</b>   |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>                           | <b>\$7,168</b>   | <b>\$11,204</b>  | <b>\$13,888</b>  |
| <b>2 LOCAL ASSISTANCE</b>                                                           | <b>2006-07*</b>  | <b>2007-08*</b>  | <b>2008-09*</b>  |
| <b>0366 Indian Gaming Revenue Share Trust Fund</b>                                  |                  |                  |                  |
| APPROPRIATIONS                                                                      |                  |                  |                  |
| 101 Budget Act appropriation                                                        | \$96,500         | \$96,500         | \$96,500         |
| Prior year balances available:                                                      |                  |                  |                  |
| Chapter 720, Statutes of 2005                                                       | 5,484            | 7,257            | -                |
| <b>Totals Available</b>                                                             | <b>\$101,984</b> | <b>\$103,757</b> | <b>\$96,500</b>  |
| Unexpended balance, estimated savings                                               | -19,500          | -                | -                |
| Balance available in subsequent years                                               | -7,257           | -                | -                |
| <b>TOTALS, EXPENDITURES</b>                                                         | <b>\$75,227</b>  | <b>\$103,757</b> | <b>\$96,500</b>  |
| <b>0367 Indian Gaming Special Distribution Fund</b>                                 |                  |                  |                  |
| APPROPRIATIONS                                                                      |                  |                  |                  |
| 101 Budget Act appropriation                                                        | \$30,000         | \$283            | \$-              |
| 111 Budget Act appropriation (Transfer to Indian Gaming Revenue Sharing Trust Fund) | (50,500)         | (50,500)         | -                |
| Prior year balances available:                                                      |                  |                  |                  |
| Chapter 858, Statutes of 2003                                                       | 146              | -                | -                |
| <b>Totals Available</b>                                                             | <b>\$30,146</b>  | <b>\$283</b>     | <b>\$-</b>       |
| Unexpended balance, estimated savings                                               | -183             | -                | -                |
| <b>TOTALS, EXPENDITURES</b>                                                         | <b>\$29,963</b>  | <b>\$283</b>     | <b>\$-</b>       |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>                           | <b>\$105,190</b> | <b>\$104,040</b> | <b>\$96,500</b>  |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)</b>      | <b>\$112,358</b> | <b>\$115,244</b> | <b>\$110,388</b> |

**FUND CONDITION STATEMENTS**

|                                                                                       | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|---------------------------------------------------------------------------------------|-----------------|-----------------|-----------------|
| <b>0367 Indian Gaming Special Distribution Fund<sup>s</sup></b>                       |                 |                 |                 |
| BEGINNING BALANCE                                                                     | \$99,957        | \$153,414       | \$175,339       |
| Prior year adjustments                                                                | -524            | -               | -               |
| Adjusted Beginning Balance                                                            | \$99,433        | \$153,414       | \$175,339       |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS                                            |                 |                 |                 |
| Revenues:                                                                             |                 |                 |                 |
| 150300 Income From Surplus Money Investments                                          | 5,111           | 3,345           | 1,689           |
| 161400 Miscellaneous Revenue                                                          | 147,281         | 96,393          | 48,672          |
| Transfers and Other Adjustments:                                                      |                 |                 |                 |
| TO0366 To Indian Gaming Revenue Share Trust Fund per Government Code Section 12012.85 | -47,000         | -50,500         | -               |

\* Dollars in thousands, except in Salary Range.

**0855 California Gambling Control Commission - Continued**

|                                                                                  | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|----------------------------------------------------------------------------------|-----------------|-----------------|-----------------|
| Total Revenues, Transfers, and Other Adjustments                                 | \$105,392       | \$49,238        | \$50,361        |
| Total Resources                                                                  | \$204,825       | \$202,652       | \$225,700       |
| <b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>                                  |                 |                 |                 |
| Expenditures:                                                                    |                 |                 |                 |
| 0820 Department of Justice (State Operations)                                    | 12,869          | 15,505          | 15,225          |
| 0855 California Gambling Control Commission                                      |                 |                 |                 |
| State Operations                                                                 | 5,365           | 8,219           | 10,422          |
| Local Assistance                                                                 | 29,963          | 283             | -               |
| 4200 Department of Alcohol and Drug Programs (State Operations)                  | 3,125           | 3,306           | 3,281           |
| 8380 Department of Personnel Administration (State Operations)                   | 89              | -               | 207             |
| 9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations) | -               | -               | 1               |
| Total Expenditures and Expenditure Adjustments                                   | \$51,411        | \$27,313        | \$29,136        |
| FUND BALANCE                                                                     | \$153,414       | \$175,339       | \$196,564       |
| Reserve for economic uncertainties                                               | 153,414         | 175,339         | 196,564         |
| <b>0567 Gambling Control Fund <sup>s</sup></b>                                   |                 |                 |                 |
| BEGINNING BALANCE                                                                | \$5,606         | \$10,860        | \$13,668        |
| Prior year adjustments                                                           | 6               | -               | -               |
| Adjusted Beginning Balance                                                       | \$5,612         | \$10,860        | \$13,668        |
| <b>REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS</b>                                |                 |                 |                 |
| Revenues:                                                                        |                 |                 |                 |
| 125600 Other Regulatory Fees                                                     | 11,201          | 11,201          | 11,201          |
| 125700 Other Regulatory Licenses and Permits                                     | 460             | 460             | 460             |
| 125800 Renewal Fees                                                              | 561             | 206             | 206             |
| 125900 Delinquent Fees                                                           | 19              | 19              | 19              |
| 142500 Miscellaneous Services to the Public                                      | 684             | 684             | 684             |
| 150300 Income From Surplus Money Investments                                     | 421             | 421             | 421             |
| 161400 Miscellaneous Revenue                                                     | 2               | 2               | 2               |
| Total Revenues, Transfers, and Other Adjustments                                 | \$13,348        | \$12,993        | \$12,993        |
| Total Resources                                                                  | \$18,960        | \$23,853        | \$26,661        |
| <b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>                                  |                 |                 |                 |
| Expenditures:                                                                    |                 |                 |                 |
| 0820 Department of Justice (State Operations)                                    | 6,286           | 7,183           | 8,943           |
| 0840 State Controller (State Operations)                                         | 11              | 17              | 12              |
| 0855 California Gambling Control Commission (State Operations)                   | 1,803           | 2,985           | 3,466           |
| Total Expenditures and Expenditure Adjustments                                   | \$8,100         | \$10,185        | \$12,421        |
| FUND BALANCE                                                                     | \$10,860        | \$13,668        | \$14,240        |
| Reserve for economic uncertainties                                               | 10,860          | 13,668          | 14,240          |

**CHANGES IN AUTHORIZED POSITIONS**

|                                        | <b>Positions</b> |                |                | <b>Expenditures</b> |                 |                 |
|----------------------------------------|------------------|----------------|----------------|---------------------|-----------------|-----------------|
|                                        | <b>2006-07</b>   | <b>2007-08</b> | <b>2008-09</b> | <b>2006-07*</b>     | <b>2007-08*</b> | <b>2008-09*</b> |
| Totals, Authorized Positions           | 46.3             | 80.0           | 72.0           | \$3,263             | \$4,769         | \$4,713         |
| Salary Adjustments                     | -                | -              | -              | -                   | 181             | 543             |
| <b>Proposed New Positions</b>          |                  |                |                | <b>Salary Range</b> |                 |                 |
| Compliance Division:                   |                  |                |                |                     |                 |                 |
| Systems Software Specialist III-Supvry | -                | -              | 1.0            | 6,698-7,368         | -               | 84              |
| Systems Software Specialist II         | -                | -              | 2.0            | 6,379-7,017         | -               | 161             |
| Systems Software Specialist I          | -                | -              | 1.0            | 4,554-6,683         | -               | 67              |
| Staff Services Analyst                 | -                | -              | 2.0            | 2,724-4,300         | -               | 81              |
| Management Services Technician         | -                | -              | 2.0            | 2,413-3,313         | -               | 66              |

\* Dollars in thousands, except in Salary Range.

**0855 California Gambling Control Commission - Continued**

|                                        | Positions   |             |             | Expenditures   |                |                |
|----------------------------------------|-------------|-------------|-------------|----------------|----------------|----------------|
|                                        | 2006-07     | 2007-08     | 2008-09     | 2006-07*       | 2007-08*       | 2008-09*       |
| Licensing Division:                    |             |             |             |                |                |                |
| Associate Governmental Program Analyst | -           | -           | 5.3         | 4,255-5,172    | -              | 300            |
| Staff Services Analyst                 | -           | -           | 1.0         | 2,724-4,300    | -              | 42             |
| Office Technician                      | -           | -           | 1.0         | 2,598-3,157    | -              | 35             |
| <b>Totals, Proposed New Positions</b>  | <b>-</b>    | <b>-</b>    | <b>15.3</b> | <b>\$-</b>     | <b>\$-</b>     | <b>\$836</b>   |
| <b>Total Adjustments</b>               | <b>-</b>    | <b>-</b>    | <b>15.3</b> | <b>\$-</b>     | <b>\$181</b>   | <b>\$1,379</b> |
| <b>TOTALS, SALARIES AND WAGES</b>      | <b>46.3</b> | <b>80.0</b> | <b>87.3</b> | <b>\$3,263</b> | <b>\$4,950</b> | <b>\$6,092</b> |

**0860 State Board of Equalization**

The mission of the State Board of Equalization is to serve the public through fair, effective, and efficient administration of the sales and use taxes, insurance tax, excise taxes, and various other taxes and fees. The Board also administers utility assessments for local property tax purposes, and provides guidance and assistance to local governments in the administration of the property tax. As an appellate body, the Board adjudicates appeals on property tax assessments, as well as appeals under the various business taxes laws that it administers, the personal income tax, corporation tax, and senior citizens property tax assistance programs.

**3-YR EXPENDITURES AND POSITIONS (Summary of Program Requirements)**

|                                                          | Positions      |                |                | Expenditures     |                  |                  |
|----------------------------------------------------------|----------------|----------------|----------------|------------------|------------------|------------------|
|                                                          | 2006-07        | 2007-08        | 2008-09        | 2006-07*         | 2007-08*         | 2008-09*         |
| 15 County Assessment Standards Program                   | 82.9           | 92.9           | 91.0           | \$8,713          | \$9,718          | \$9,570          |
| 20 State-Assessed Property Program                       | 65.5           | 67.2           | 67.2           | 6,541            | 7,519            | 7,606            |
| 25 Timber Tax Program                                    | 17.8           | 17.5           | 17.5           | 1,912            | 2,243            | 2,309            |
| 30 Sales and Use Tax Program                             | 2,962.1        | 3,023.1        | 3,249.7        | 288,691          | 304,916          | 334,452          |
| 35 Hazardous Substances Tax Program                      | 42.5           | 51.4           | 51.4           | 3,578            | 4,454            | 4,379            |
| 40 Alcoholic Beverage Tax Program                        | 15.7           | 18.7           | 18.7           | 1,609            | 1,986            | 1,993            |
| 41 Tire Recycling Fee Program                            | 12.1           | 17.0           | 17.0           | 975              | 1,350            | 1,350            |
| 45 Cigarette and Tobacco Products Tax Program            | 91.6           | 82.3           | 93.2           | 16,302           | 16,688           | 19,361           |
| 46 Cigarette and Tobacco Products Licensing Program      | 83.4           | 86.7           | 86.7           | 9,213            | 9,662            | 9,147            |
| 50 Transportation Fund Tax Program                       | 172.6          | 190.6          | 189.9          | 19,051           | 22,350           | 23,211           |
| 53 NAFTA Program                                         | 9.3            | 12.3           | 12.3           | 840              | 1,251            | 1,275            |
| 56 Occupational Lead Poisoning Prevention Fee Program    | 7.1            | 8.7            | 8.7            | 648              | 696              | 718              |
| 57 Integrated Waste Management Program                   | 2.4            | 7.0            | 7.0            | 287              | 441              | 462              |
| 58 Underground Storage Tank Fee Program                  | 21.0           | 27.6           | 27.6           | 2,045            | 2,976            | 3,245            |
| 59 Oil Spill Prevention Program                          | 2.1            | 2.2            | 2.2            | 233              | 251              | 259              |
| 60 Energy Resources Surcharge Program                    | 1.8            | 2.1            | 2.1            | 191              | 246              | 253              |
| 61 Annual Water Rights Fee Program                       | 4.1            | 4.5            | 4.5            | 411              | 428              | 418              |
| 62 Childhood Lead Poisoning Prevention Fee Program       | 4.6            | 5.4            | 5.4            | 496              | 503              | 527              |
| 63 Marine Invasive Species Program                       | 3.7            | 5.7            | 5.7            | 339              | 419              | 419              |
| 65 Emergency Telephone Users Surcharge Program           | 5.7            | 7.1            | 7.1            | 610              | 621              | 649              |
| 66 E-Waste Recycling Fee Program                         | 42.4           | 49.0           | 49.0           | 3,445            | 4,622            | 4,888            |
| 70 Insurance Tax Program                                 | 1.9            | 2.9            | 2.9            | 211              | 480              | 484              |
| 75 Natural Gas Surcharge Program                         | 2.4            | 2.5            | 2.5            | 370              | 408              | 411              |
| 80 Appeals from Other Governmental Programs              | 15.2           | 16.1           | 16.1           | 1,623            | 1,839            | 1,847            |
| 85.01 Administration                                     | 338.5          | 363.1          | 363.1          | 39,411           | 42,000           | 42,000           |
| 85.02 Distributed Administration                         | -338.5         | -363.1         | -363.1         | -38,870          | -41,183          | -41,183          |
| <b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b> | <b>3,669.9</b> | <b>3,800.5</b> | <b>4,035.4</b> | <b>\$368,875</b> | <b>\$396,884</b> | <b>\$430,050</b> |

\* Dollars in thousands, except in Salary Range.

**0860 State Board of Equalization - Continued**

| <b>FUNDING</b>                                                                         | <b>2006-07*</b>  | <b>2007-08*</b>  | <b>2008-09*</b>  |
|----------------------------------------------------------------------------------------|------------------|------------------|------------------|
| 0001 General Fund                                                                      | \$208,288        | \$221,909        | \$241,508        |
| 0004 Breast Cancer Fund                                                                | 366              | 537              | 589              |
| 0022 State Emergency Telephone Number Account                                          | 601              | 621              | 649              |
| 0061 Motor Vehicle Fuel Account, Transportation Tax Fund                               | 19,040           | 21,350           | 22,211           |
| 0070 Occupational Lead Poisoning Prevention Account                                    | 648              | 696              | 718              |
| 0080 Childhood Lead Poisoning Prevention Fund                                          | 486              | 503              | 527              |
| 0230 Cigarette and Tobacco Products Surtax Fund                                        | 4,664            | 6,678            | 7,373            |
| 0320 Oil Spill Prevention and Administration Fund                                      | 233              | 251              | 259              |
| 0387 Integrated Waste Management Account, Integrated Waste Management Fund             | 287              | 441              | 462              |
| 0439 Underground Storage Tank Cleanup Fund                                             | 2,045            | 2,976            | 3,245            |
| 0465 Energy Resources Programs Account                                                 | 191              | 246              | 253              |
| 0623 California Children and Families First Trust Fund                                 | 7,237            | 11,162           | 12,538           |
| 0890 Federal Trust Fund                                                                | 851              | 1,594            | 1,618            |
| 0965 Timber Tax Fund                                                                   | 1,912            | 2,243            | 2,309            |
| 0995 Reimbursements                                                                    | 111,084          | 119,021          | 128,945          |
| 3015 Gas Consumption Surcharge Fund                                                    | 370              | 408              | 411              |
| 3058 Water Rights Fund                                                                 | 411              | 428              | 418              |
| 3065 Electronic Waste Recovery and Recycling Account, Integrated Waste Management Fund | 3,445            | 4,622            | 4,888            |
| 3067 Cigarette and Tobacco Products Compliance Fund                                    | 6,716            | 1,198            | 1,129            |
| <b>TOTALS, EXPENDITURES, ALL FUNDS</b>                                                 | <b>\$368,875</b> | <b>\$396,884</b> | <b>\$430,050</b> |

**LEGAL CITATIONS AND AUTHORITY****DEPARTMENT AUTHORITY**

Article XIII, Section 17 of the California Constitution.

**PROGRAM AUTHORITY**

15-County Assessment Standards Program:

California Constitution-Article XIII, Section 18; Government Code sections 15606, 15608, 15611, 15612, 15624, and 15640-15646; Revenue and Taxation Code sections 63.1, 64, 69.5, 75.60, 169, 218.5, 251, 401.5, 407, 452, 480, 480.1, 482, 601, 602, 670-674, 1153, 1254, 1603, 5364, 5781, and 5840; California Administrative Code-Rules 101, 171, 202, 252, 282-283, 370, 371, 1045, and 1051.

20-State-Assessed Property Program:

California Constitution-Article XIII, Section 19; Revenue and Taxation Code-Division 1, Part 2; Division 2, Part 6.

25-Timber Tax Program:

Government Code Chapter 6.7 of Part 1 of Division 1 of Title 5; Revenue and Taxation Code Articles 1.5 and 1.7 of Chapter 3 of Part 2 of Division 1 and Part 18.5 of Division 2.

30-Sales and Use Tax Program:

Revenue and Taxation Code, Division 2, Parts 1, 1.5 and 1.6.

35-Hazardous Substances Tax Program:

Sections 25173.6, 25173.7, 25174, 25174.1, 25174.2, 25205.2, 25205.4, 25205.5, 25205.6, 25205.7, 25205.8, 25205.14, 25205.21 and 25205.22 of the Health and Safety Code, Part 22 of Division 2 of the Revenue and Taxation Code.

40-Alcoholic Beverage Tax Program:

Revenue and Taxation Code-Part 14, Division 2.

41-Tire Recycling Fee Program:

\* Dollars in thousands, except in Salary Range.

## 0860 State Board of Equalization - Continued

Public Resources Code-Sections 42860 through 42895.

45-Cigarette and Tobacco Products Tax Program:

Revenue and Taxation Code-Part 13, Division 2.

46-Cigarette and Tobacco Products Licensing Program:

Division 8.6 (commencing with Section 22970) of the Business and Professions Code.

50-Transportation Tax Program:

Revenue and Taxation Code-Parts 2, 3, and 31 of Division 2.

56-Occupational Lead Poisoning Prevention Fee Program:

Health and Safety Code Sections 105175, 105185, 105190 and Part 22 of Division 2 of the Revenue and Taxation Code.

57-Integrated Waste Management Program:

Division 30, Part 1-Public Resources Code, Division 2, Part 23-Revenue and Taxation Code.

58-Underground Storage Tank Fee Program:

Health and Safety Code-Sections 25299.10 and 25299.43.

59-Oil Spill Prevention Program:

Government Code-Sections 8670.40 and 8670.48.

60-Energy Resources Surcharge Program:

Part 19 of Division 2 of the Revenue and Taxation Code.

61-Annual Water Rights Fee Program:

Water Code-Part 2 of Division 2.

62-Childhood Lead Poisoning Prevention Fee Program:

Health and Safety Code Chapter 5 of Division 103, Section 105310, and Revenue and Taxation Code Sections 43507, 43152.14, and 43554. Title 17, California Code of Regulations Sections 33001, 33010, and 33030.

63-Marine Invasive Species Fee Program:

Public Resources Code-Division 36 (commencing with Section 71200).

65-Emergency Telephone Users Surcharge Program:

Part 20 of Division 2 of the Revenue and Taxation Code.

66-E-Waste Recycling Fee Program:

Health and Safety Code Sections 25214.9-25214.10.2, Public Resource Code Sections 41516 and 42460-42486, and Fee Collection Procedures Law Sections 55001-55381.

70-Insurance Tax Program:

California Constitution, Article XIII, Section 28 and Part 7 of Division 2 of the Revenue and Taxation Code.

75-Natural Gas Surcharge Program:

Chapter 4 of Part 1 of Division 1, Article X-Public Utilities Code.

80-Appeals From Other Governmental Programs:

- Administration of Income and Franchise Tax Laws: Revenue and Taxation Code Section 18401-19802.
- Senior Citizens Homeowners and Renters Property Tax Assistance: Revenue and Taxation Code Section 20501-20646.
- Publicly Owned Property: Section 11 Article XIII of the California Constitution and Section 1840 and 1841 of the Revenue and Taxation Code. Procedural Regulations: Title 18, California Code of Regulations at section 5010 et seq.

## 0860 State Board of Equalization - Continued

### MAJOR PROGRAM CHANGES

- The Governor's Budget proposes \$13.9 million (\$9 million General Fund) and 129 new positions for initiatives to close the state's tax gap. The initiatives, which will generate General Fund revenues of \$20 million in 2008-09 and \$38 million in 2009-10, will concentrate on audit and collection program improvements, businesses that purchase goods without paying applicable use taxes, and expedited filing of tax liens for out-of-state debtors who file for bankruptcy.

### BUDGET-BALANCING REDUCTIONS

- In lieu of a 10 percent, \$22 million reduction, the Governor's Budget proposes \$7.5 million for 112 new revenue-generating positions. A \$22 million reduction would have resulted in the loss of a significantly greater amount of General Fund revenues in 2008-09. The new positions will generate \$38 million in new General Fund revenues in 2008-09, increasing to \$51 million in 2009-10.

### DETAILED BUDGET ADJUSTMENTS

|                                                                           | 2007-08*       |                |           | 2008-09*        |                 |              |
|---------------------------------------------------------------------------|----------------|----------------|-----------|-----------------|-----------------|--------------|
|                                                                           | General Fund   | Other Funds    | Positions | General Fund    | Other Funds     | Positions    |
| <b>Baseline Adjustment Descriptions</b>                                   |                |                |           |                 |                 |              |
| • General Salary Increase per Budget Letter 07-26                         | \$3,186        | \$2,424        | -         | \$3,226         | \$2,413         | -            |
| • Other Employee Comp. Adjustments per BL 07-26                           | 1,716          | 1,304          | -         | 2,281           | 1,681           | -            |
| • Price Increase per BL 07-17                                             | -              | -              | -         | 1,323           | 800             | -            |
| • Employee Comp. Augmentation                                             | -              | -              | -         | 1,200           | -               | -            |
| • Border Protection Station Tax Leads                                     | -              | -              | -         | 800             | 623             | 15.2         |
| • Cigarette and Tobacco Product Tax Program                               | -              | -              | -         | 238             | 2,732           | 31.9         |
| • MOI CEA GSI                                                             | 118            | 21             | -         | 118             | 21              | -            |
| • Board of Equalization Headquarters Building - Staff and Special Repairs | -              | -              | -         | 46              | 36              | -            |
| • PRORATA Adjustment                                                      | -              | -              | -         | -               | 763             | -            |
| • SWCAP Adjustment                                                        | -              | -              | -         | -               | 17              | -            |
| • 3.60 PERS Rate Adjustment                                               | -467           | -286           | -         | -467            | -286            | -            |
| • DTS Rate Adjustment                                                     | -1,079         | -812           | -         | -1,079          | -812            | -            |
| • One-Time Cost Reductions                                                | -              | -              | -         | -2,924          | -3,626          | -            |
| <b>Totals, Baseline Adjustments</b>                                       | <b>\$3,474</b> | <b>\$2,651</b> | <b>-</b>  | <b>\$4,762</b>  | <b>\$4,362</b>  | <b>47.1</b>  |
| <b>Policy Adjustment Descriptions</b>                                     |                |                |           |                 |                 |              |
| • Tax Gap Initiatives                                                     | \$-            | \$-            | -         | \$9,045         | \$4,871         | 129.4        |
| • Statewide Compliance and Outreach Program                               | -              | -              | -         | 7,528           | 4,053           | 106.4        |
| • E-Services Expansion                                                    | -              | -              | -         | 1,738           | 2,932           | 2.8          |
| <b>Totals, Policy Adjustments</b>                                         | <b>\$-</b>     | <b>\$-</b>     | <b>-</b>  | <b>\$18,311</b> | <b>\$11,856</b> | <b>238.6</b> |
| <b>TOTALS, BUDGET ADJUSTMENTS</b>                                         | <b>\$3,474</b> | <b>\$2,651</b> | <b>-</b>  | <b>\$23,073</b> | <b>\$16,218</b> | <b>285.7</b> |

### PROGRAM DESCRIPTIONS (Program Objectives Statement)

#### 15 - COUNTY ASSESSMENT STANDARDS PROGRAM

The objective of the County Assessment Standards program is to ensure that taxable properties are assessed, allocated, and enrolled by county assessors in full conformity with the law.

#### 20 - STATE-ASSESSED PROPERTY PROGRAM

The State-Assessed Property program is responsible for valuing and assessing inter-county pipelines and properties owned or used by railroads, regulated telephone companies, companies transmitting or selling gas or electricity, and private railroad car companies.

#### 25 - TIMBER TAX PROGRAM

The objective of this program is to administer the Timber Yield Tax Law by (1) collecting revenue, (2) establishing timber harvest value areas and the immediate harvest values for species of timber used to determine tax liability, (3) developing a standard method of timber measurement and conversion factors where the standard cannot be used, (4) controlling and

\* Dollars in thousands, except in Salary Range.

## 0860 State Board of Equalization - Continued

auditing the reporting and self-assessment of the yield tax liability, and (5) providing the data for allocating revenues to the county of harvest.

### 30 - SALES AND USE TAX PROGRAM

The program objective is to administer the Sales and Use Tax Law and the Bradley-Burns Uniform Local Sales and Use Tax Law. The program also collects and distributes district transactions (sales) and use taxes on behalf of voter-approved special tax districts. The Sales and Use Tax Program ensures that all sales and use tax revenues are collected in an equitable and effective manner through the timely reporting of tax liability, detection and correction of errors in self-assessments, and the prompt collection of amounts determined to be due and economically recoverable.

### 35 - HAZARDOUS SUBSTANCES TAX PROGRAM

This program collects fees for the Department of Toxic Substances Control from generators of hazardous wastes, hazardous waste facilities, and certain corporations as identified by statute. Revenues are deposited into the Hazardous Waste Control Account and the Toxic Substances Control Account.

### 40 - ALCOHOLIC BEVERAGE TAX PROGRAM

This program collects excise taxes imposed on the manufacturing, importation, and sale of alcoholic beverages.

### 41 - TIRE RECYCLING FEE PROGRAM

The Tire Recycling Fee program collects fees that pay for recycling and reclaiming used tires and tire components in order to reduce landfill waste and tire stockpiles.

### 45 - CIGARETTE AND TOBACCO PRODUCTS TAX PROGRAM

The objective of this program is to collect the tax on cigarette products to provide revenue for the General Fund, the Cigarette and Tobacco Products Surtax Fund, the Breast Cancer Fund, and the California Children and Families First Trust Fund.

### 46 - CIGARETTE AND TOBACCO PRODUCTS LICENSING PROGRAM

The objective of the program, established by the California Cigarette and Tobacco Products Licensing Act of 2003, is to reduce tax evasion, smuggling, and counterfeiting of tobacco products and tobacco tax stamps. It requires that manufacturers and importers of cigarettes, distributors, wholesalers, and retailers of cigarettes or tobacco products be licensed.

### 50 - TRANSPORTATION TAX PROGRAM

The objective of the Transportation Tax program is to collect revenue for the Transportation Tax Fund, the Aeronautics Fund, the Harbors and Watercraft Revolving Fund, the Off-Highway Vehicle Trust Fund, and the Department of Agriculture Fund. Responsibility for this program is shared with the State Controller who collects delinquent taxes and makes refunds to consumers who use tax-paid gasoline in an exempt manner. Additionally, this program addresses the collection of excise taxes on diesel fuel.

### 53 - NAFTA PROGRAM

The objective of the NAFTA Program, which is funded with federal monies, is to temporarily maintain International Fuel Tax Agreement (IFTA) accounts for Mexican carriers that will operate in the state pursuant to NAFTA. The IFTA is an agreement among the 48 contiguous states and several Canadian provinces that simplifies the payment of taxes on fuel that is used in more than one state or province.

### 56 - OCCUPATIONAL LEAD POISONING PREVENTION FEE PROGRAM

The objective of the program is to collect fees from employers in industries that the Department of Health Services has determined to have a potential for lead poisoning. The fee revenues fund the Occupational Lead Poisoning Prevention Program administered by the Department of Health Services.

### 57 - INTEGRATED WASTE MANAGEMENT PROGRAM

The objective of this program is to administer the collection of fees on all solid waste disposed of at solid waste landfills. These fees provide funding to respond to potential health and environmental problems at on-site and off-site solid waste landfills. In addition, these fees support state and local landfill permit enforcement programs.

### 58 - UNDERGROUND STORAGE TANK FEE PROGRAM

This program collects a fee for each gallon of petroleum placed in an underground storage tank. This revenue provides funding to monitor and regulate underground storage tanks containing petroleum and to protect human health and the environment.

### 59 - OIL SPILL PREVENTION PROGRAM

This program collects two fees on all crude oil and petroleum products received in California via marine pipelines and

## 0860 State Board of Equalization - Continued

terminals. The prevention and administration fees provide funding to implement oil spill prevention programs and to reimburse the member agencies of the State Inter-agency Oil Spill Committee for costs arising from the implementation of this program. Funding is also provided to implement, install, and maintain emergency programs, equipment, and facilities to respond to, contain, and cleanup oil spills.

### 60 - ENERGY RESOURCES SURCHARGE PROGRAM

This program administers a surcharge on consumers of electrical energy to provide revenue for support of the State Energy Resources Conservation and Development Commission.

### 61 - ANNUAL WATER RIGHTS FEE PROGRAM

The objective of the program is to collect an annual fee from owners of water rights based upon a schedule of fees adopted by the State Water Resources Control Board (SWRCB). This revenue is deposited into the Water Rights Fund and supports the activities of the SWRCB's Division of Water Rights.

### 62 - CHILDHOOD LEAD POISONING PREVENTION FEE PROGRAM

This program collects an annual fee from architectural coatings distributors, motor vehicle fuel distributors, and facilities currently reporting releases of lead into ambient air in California. This revenue supports the Childhood Lead Poisoning Prevention Program administered by the Department of Health Services.

### 63 - MARINE INVASIVE SPECIES FEE PROGRAM

This program collects fees assessed upon the owner or operator of qualifying vessels that enter California ports with ballast water loaded from outside California. This fee provides funding to carry out the Marine Invasive Species Program's ballast water management program.

### 65 - EMERGENCY TELEPHONE USERS SURCHARGE PROGRAM

The objective of the Emergency Telephone Users Surcharge program is to provide revenue to fund a portion of the "911" emergency telephone number system by administering a surcharge on intra-state telephone communication services. The Department of General Services administers this program.

### 66 - E-WASTE RECYCLING FEE PROGRAM

This program provides revenue to fund the Covered Electronic Waste Recycling Fee Program by administering a recycling fee upon the purchase of a new or refurbished covered electronic device. The BOE is required to collect this fee from the retailers and consumers. The funds collected are deposited into the Electronic Waste Recovery and Recycling Account in the Integrated Waste Management Fund.

### 70 - INSURANCE TAX PROGRAM

This program assesses taxes on insurance premiums and marine profits of underwriters, and levies retaliatory assessments against "foreign" insurers. The Board, the Controller, and the Insurance Commissioner administer the program jointly. The Board is responsible for assessing the tax and adjudicating petitions for redetermination, claims for refund, and requests for relief from penalties.

### 75 - NATURAL GAS SURCHARGE PROGRAM

The objective of this program is to collect the annual surcharge from public utility gas corporations and consumers who receive natural gas through interstate pipelines in order to provide revenue for the Gas Consumption Surcharge Fund. That revenue is used to fund low-income assistance programs.

### 80 - APPEALS FROM OTHER GOVERNMENTAL PROGRAMS

This program reviews assessments of income taxes and corporation taxes and eligibility determinations made in administering the Senior Citizens' Property Tax Assistance Program upon the filing of a written request by a taxpayer.

The program also acts as an impartial tribunal to settle disputes between entities of local government concerning property tax assessments of property owned by one local government entity but located outside its boundaries and within boundaries of another entity. Property owned by a local government outside its boundaries is subject to tax by the entity within whose boundaries the property is located.

### 85 - ADMINISTRATION PROGRAM

The Administration Program's objectives are to effectively and efficiently implement the policies and directives of the Board Members and to provide direction, leadership, planning, and support services for all Board programs.

## DETAILED EXPENDITURES BY PROGRAM (Program Budget Detail)

|                                               | 2006-07* | 2007-08* | 2008-09* |
|-----------------------------------------------|----------|----------|----------|
| <b>PROGRAM REQUIREMENTS</b>                   |          |          |          |
| <b>15 COUNTY ASSESSMENT STANDARDS PROGRAM</b> |          |          |          |

\* Dollars in thousands, except in Salary Range.

**0860 State Board of Equalization - Continued**

|              |                                                                | <u>2006-07*</u>  | <u>2007-08*</u>  | <u>2008-09*</u>  |
|--------------|----------------------------------------------------------------|------------------|------------------|------------------|
|              | <b>State Operations:</b>                                       |                  |                  |                  |
| 0001         | General Fund                                                   | \$8,713          | \$9,718          | \$9,570          |
|              | <b>Totals, State Operations</b>                                | <b>\$8,713</b>   | <b>\$9,718</b>   | <b>\$9,570</b>   |
|              | <b>ELEMENT REQUIREMENTS</b>                                    |                  |                  |                  |
| <b>15.10</b> | <b>County Surveys</b>                                          | <b>\$3,725</b>   | <b>\$4,564</b>   | <b>\$4,602</b>   |
|              | <b>State Operations:</b>                                       |                  |                  |                  |
| 0001         | General Fund                                                   | 3,725            | 4,564            | 4,602            |
| <b>15.20</b> | <b>Technical Advisory Services</b>                             | <b>\$2,894</b>   | <b>\$2,824</b>   | <b>\$2,617</b>   |
|              | <b>State Operations:</b>                                       |                  |                  |                  |
| 0001         | General Fund                                                   | 2,894            | 2,824            | 2,617            |
| <b>15.30</b> | <b>Technical Services</b>                                      | <b>\$2,094</b>   | <b>\$2,330</b>   | <b>\$2,351</b>   |
|              | <b>State Operations:</b>                                       |                  |                  |                  |
| 0001         | General Fund                                                   | 2,094            | 2,330            | 2,351            |
|              | <b>PROGRAM REQUIREMENTS</b>                                    |                  |                  |                  |
| <b>20</b>    | <b>STATE-ASSESSED PROPERTY PROGRAM</b>                         |                  |                  |                  |
|              | <b>State Operations:</b>                                       |                  |                  |                  |
| 0001         | General Fund                                                   | \$6,334          | \$7,312          | \$7,399          |
| 0995         | Reimbursements                                                 | 207              | 207              | 207              |
|              | <b>Totals, State Operations</b>                                | <b>\$6,541</b>   | <b>\$7,519</b>   | <b>\$7,606</b>   |
|              | <b>ELEMENT REQUIREMENTS</b>                                    |                  |                  |                  |
| <b>20.10</b> | <b>Assessment of Public Utilities</b>                          | <b>\$6,239</b>   | <b>\$7,218</b>   | <b>\$7,301</b>   |
|              | <b>State Operations:</b>                                       |                  |                  |                  |
| 0001         | General Fund                                                   | 6,032            | 7,011            | 7,094            |
| 0995         | Reimbursements                                                 | 207              | 207              | 207              |
| <b>20.20</b> | <b>Private Railroad Car Tax</b>                                | <b>\$302</b>     | <b>\$301</b>     | <b>\$305</b>     |
|              | <b>State Operations:</b>                                       |                  |                  |                  |
| 0001         | General Fund                                                   | 302              | 301              | 305              |
|              | <b>PROGRAM REQUIREMENTS</b>                                    |                  |                  |                  |
| <b>25</b>    | <b>TIMBER TAX PROGRAM</b>                                      |                  |                  |                  |
|              | <b>State Operations:</b>                                       |                  |                  |                  |
| 0965         | Timber Tax Fund                                                | \$1,912          | \$2,243          | \$2,309          |
|              | <b>Totals, State Operations</b>                                | <b>\$1,912</b>   | <b>\$2,243</b>   | <b>\$2,309</b>   |
|              | <b>ELEMENT REQUIREMENTS</b>                                    |                  |                  |                  |
| <b>25.10</b> | <b>Timber Valuation</b>                                        | <b>\$452</b>     | <b>\$453</b>     | <b>\$467</b>     |
|              | <b>State Operations:</b>                                       |                  |                  |                  |
| 0965         | Timber Tax Fund                                                | 452              | 453              | 467              |
| <b>25.20</b> | <b>Taxpayer Registration, Return Processing and Collection</b> | <b>\$1,182</b>   | <b>\$1,445</b>   | <b>\$1,487</b>   |
|              | <b>State Operations:</b>                                       |                  |                  |                  |
| 0965         | Timber Tax Fund                                                | 1,182            | 1,445            | 1,487            |
| <b>25.30</b> | <b>Auditing</b>                                                | <b>\$278</b>     | <b>\$345</b>     | <b>\$355</b>     |
|              | <b>State Operations:</b>                                       |                  |                  |                  |
| 0965         | Timber Tax Fund                                                | 278              | 345              | 355              |
|              | <b>PROGRAM REQUIREMENTS</b>                                    |                  |                  |                  |
| <b>30</b>    | <b>SALES AND USE TAX PROGRAM</b>                               |                  |                  |                  |
|              | <b>State Operations:</b>                                       |                  |                  |                  |
| 0001         | General Fund                                                   | \$183,247        | \$193,799        | \$213,336        |
| 0995         | Reimbursements                                                 | 105,444          | 111,117          | 121,116          |
|              | <b>Totals, State Operations</b>                                | <b>\$288,691</b> | <b>\$304,916</b> | <b>\$334,452</b> |

\* Dollars in thousands, except in Salary Range.

**0860 State Board of Equalization - Continued**

|              |                                                   | <u>2006-07*</u>  | <u>2007-08*</u>  | <u>2008-09*</u>  |
|--------------|---------------------------------------------------|------------------|------------------|------------------|
|              | <b>ELEMENT REQUIREMENTS</b>                       |                  |                  |                  |
| <b>30.10</b> | <b>Registration of Taxpayers</b>                  | <b>\$54,294</b>  | <b>\$58,083</b>  | <b>\$64,637</b>  |
|              | <b>State Operations:</b>                          |                  |                  |                  |
| 0001         | General Fund                                      | 34,463           | 34,748           | 38,949           |
| 0995         | Reimbursements                                    | 19,831           | 23,335           | 25,688           |
| <b>30.20</b> | <b>Processing Tax Returns</b>                     | <b>\$74,675</b>  | <b>\$78,858</b>  | <b>\$86,235</b>  |
|              | <b>State Operations:</b>                          |                  |                  |                  |
| 0001         | General Fund                                      | 47,400           | 21,077           | 23,357           |
| 0995         | Reimbursements                                    | 27,275           | 57,781           | 62,878           |
| <b>30.30</b> | <b>Auditing Accounts</b>                          | <b>\$113,625</b> | <b>\$118,891</b> | <b>\$129,590</b> |
|              | <b>State Operations:</b>                          |                  |                  |                  |
| 0001         | General Fund                                      | 72,124           | 93,334           | 101,930          |
| 0995         | Reimbursements                                    | 41,501           | 25,557           | 27,660           |
| <b>30.40</b> | <b>Collecting Taxes Receivable</b>                | <b>\$46,097</b>  | <b>\$49,084</b>  | <b>\$53,990</b>  |
|              | <b>State Operations:</b>                          |                  |                  |                  |
| 0001         | General Fund                                      | 29,260           | 44,640           | 49,100           |
| 0995         | Reimbursements                                    | 16,837           | 4,444            | 4,890            |
|              | <b>PROGRAM REQUIREMENTS</b>                       |                  |                  |                  |
| <b>35</b>    | <b>HAZARDOUS SUBSTANCES TAX PROGRAM</b>           |                  |                  |                  |
|              | <b>State Operations:</b>                          |                  |                  |                  |
| 0995         | Reimbursements                                    | <u>\$3,578</u>   | <u>\$4,454</u>   | <u>\$4,379</u>   |
|              | <b>Totals, State Operations</b>                   | <b>\$3,578</b>   | <b>\$4,454</b>   | <b>\$4,379</b>   |
|              | <b>PROGRAM REQUIREMENTS</b>                       |                  |                  |                  |
| <b>40</b>    | <b>ALCOHOLIC BEVERAGE TAX PROGRAM</b>             |                  |                  |                  |
|              | <b>State Operations:</b>                          |                  |                  |                  |
| 0001         | General Fund                                      | <u>\$1,609</u>   | <u>\$1,986</u>   | <u>\$1,993</u>   |
|              | <b>Totals, State Operations</b>                   | <b>\$1,609</b>   | <b>\$1,986</b>   | <b>\$1,993</b>   |
|              | <b>ELEMENT REQUIREMENTS</b>                       |                  |                  |                  |
| <b>40.10</b> | <b>Registration of Taxpayers</b>                  | <b>\$257</b>     | <b>\$250</b>     | <b>\$258</b>     |
|              | <b>State Operations:</b>                          |                  |                  |                  |
| 0001         | General Fund                                      | 257              | 250              | 258              |
| <b>40.20</b> | <b>Processing Tax Returns and Reports</b>         | <b>\$455</b>     | <b>\$648</b>     | <b>\$649</b>     |
|              | <b>State Operations:</b>                          |                  |                  |                  |
| 0001         | General Fund                                      | 455              | 648              | 649              |
| <b>40.30</b> | <b>Auditing Accounts</b>                          | <b>\$669</b>     | <b>\$805</b>     | <b>\$801</b>     |
|              | <b>State Operations:</b>                          |                  |                  |                  |
| 0001         | General Fund                                      | 669              | 805              | 801              |
| <b>40.40</b> | <b>Collecting Taxes Receivable</b>                | <b>\$228</b>     | <b>\$283</b>     | <b>\$285</b>     |
|              | <b>State Operations:</b>                          |                  |                  |                  |
| 0001         | General Fund                                      | 228              | 283              | 285              |
|              | <b>PROGRAM REQUIREMENTS</b>                       |                  |                  |                  |
| <b>41</b>    | <b>TIRE RECYCLING FEE PROGRAM</b>                 |                  |                  |                  |
|              | <b>State Operations:</b>                          |                  |                  |                  |
| 0995         | Reimbursements                                    | <u>\$975</u>     | <u>\$1,350</u>   | <u>\$1,350</u>   |
|              | <b>Totals, State Operations</b>                   | <b>\$975</b>     | <b>\$1,350</b>   | <b>\$1,350</b>   |
|              | <b>PROGRAM REQUIREMENTS</b>                       |                  |                  |                  |
| <b>45</b>    | <b>CIGARETTE AND TOBACCO PRODUCTS TAX PROGRAM</b> |                  |                  |                  |
|              | <b>State Operations:</b>                          |                  |                  |                  |

\* Dollars in thousands, except in Salary Range.

**0860 State Board of Equalization - Continued**

|              |                                                         | <u>2006-07*</u> | <u>2007-08*</u> | <u>2008-09*</u> |
|--------------|---------------------------------------------------------|-----------------|-----------------|-----------------|
| 0001         | General Fund                                            | \$6,154         | \$5,802         | \$5,957         |
| 0004         | Breast Cancer Fund                                      | 309             | 342             | 404             |
| 0230         | Cigarette and Tobacco Products Surtax Fund              | 3,977           | 4,246           | 5,069           |
| 0623         | California Childhood and Families First Trust Fund      | <u>5,862</u>    | <u>6,298</u>    | <u>7,931</u>    |
|              | <b>Totals, State Operations</b>                         | <b>\$16,302</b> | <b>\$16,688</b> | <b>\$19,361</b> |
|              | <b>ELEMENT REQUIREMENTS</b>                             |                 |                 |                 |
| <b>45.10</b> | <b>Registration of Taxpayers</b>                        | <b>\$2,132</b>  | <b>\$2,215</b>  | <b>\$2,755</b>  |
|              | <b>State Operations:</b>                                |                 |                 |                 |
| 0001         | General Fund                                            | 805             | 770             | 820             |
| 0004         | Breast Cancer Fund                                      | 40              | 45              | 57              |
| 0230         | Cigarette and Tobacco Products Surtax Fund              | 520             | 564             | 755             |
| 0623         | California Childhood and Families First Trust Fund      | 767             | 836             | 1,123           |
| <b>45.20</b> | <b>Processing Tax Returns</b>                           | <b>\$1,091</b>  | <b>\$1,155</b>  | <b>\$1,797</b>  |
|              | <b>State Operations:</b>                                |                 |                 |                 |
| 0001         | General Fund                                            | 412             | 401             | 436             |
| 0004         | Breast Cancer Fund                                      | 21              | 24              | 38              |
| 0230         | Cigarette and Tobacco Products Surtax Fund              | 266             | 294             | 489             |
| 0623         | California Childhood and Families First Trust Fund      | 392             | 436             | 834             |
| <b>45.30</b> | <b>Auditing Accounts</b>                                | <b>\$3,164</b>  | <b>\$2,787</b>  | <b>\$3,106</b>  |
|              | <b>State Operations:</b>                                |                 |                 |                 |
| 0001         | General Fund                                            | 1,194           | 969             | 988             |
| 0004         | Breast Cancer Fund                                      | 60              | 57              | 65              |
| 0230         | Cigarette and Tobacco Products Surtax Fund              | 772             | 709             | 808             |
| 0623         | California Childhood and Families First Trust Fund      | 1,138           | 1,052           | 1,245           |
| <b>45.40</b> | <b>Enforcement Activities</b>                           | <b>\$9,510</b>  | <b>\$9,867</b>  | <b>\$10,915</b> |
|              | <b>State Operations:</b>                                |                 |                 |                 |
| 0001         | General Fund                                            | 3,590           | 3,430           | 3,470           |
| 0004         | Breast Cancer Fund                                      | 180             | 202             | 227             |
| 0230         | Cigarette and Tobacco Products Surtax Fund              | 2,320           | 2,511           | 2,807           |
| 0623         | California Childhood and Families First Trust Fund      | 3,420           | 3,724           | 4,411           |
| <b>45.50</b> | <b>Collecting Taxes Receivable</b>                      | <b>\$405</b>    | <b>\$664</b>    | <b>\$788</b>    |
|              | <b>State Operations:</b>                                |                 |                 |                 |
| 0001         | General Fund                                            | 153             | 232             | 243             |
| 0004         | Breast Cancer Fund                                      | 8               | 14              | 17              |
| 0230         | Cigarette and Tobacco Products Surtax Fund              | 99              | 168             | 210             |
| 0623         | California Childhood and Families First Trust Fund      | 145             | 250             | 318             |
|              | <b>PROGRAM REQUIREMENTS</b>                             |                 |                 |                 |
| <b>46</b>    | <b>CIGARETTE AND TOBACCO PRODUCTS LICENSING PROGRAM</b> |                 |                 |                 |
|              | <b>State Operations:</b>                                |                 |                 |                 |
| 0001         | General Fund                                            | \$378           | \$973           | \$922           |
| 0004         | Breast Cancer Fund                                      | 57              | 195             | 185             |
| 0230         | Cigarette and Tobacco Products Surtax Fund              | 687             | 2,432           | 2,304           |
| 0623         | California Childhood and Families First Trust Fund      | 1,375           | 4,864           | 4,607           |
| 3067         | Cigarette and Tobacco Products Compliance Fund          | <u>6,716</u>    | <u>1,198</u>    | <u>1,129</u>    |
|              | <b>Totals, State Operations</b>                         | <b>\$9,213</b>  | <b>\$9,662</b>  | <b>\$9,147</b>  |
|              | <b>PROGRAM REQUIREMENTS</b>                             |                 |                 |                 |
| <b>50</b>    | <b>TRANSPORTATION FUND TAX PROGRAM</b>                  |                 |                 |                 |
|              | <b>State Operations:</b>                                |                 |                 |                 |

\* Dollars in thousands, except in Salary Range.

**0860 State Board of Equalization - Continued**

|                                                                            | 2006-07*        | 2007-08*        | 2008-09*        |
|----------------------------------------------------------------------------|-----------------|-----------------|-----------------|
| 0061 Motor Vehicle Fuel Account, Transportation Tax Fund                   | \$19,040        | \$21,350        | \$22,211        |
| 0890 Federal Trust Fund                                                    | 11              | 343             | 343             |
| 0995 Reimbursements                                                        | -               | 657             | 657             |
| <b>Totals, State Operations</b>                                            | <b>\$19,051</b> | <b>\$22,350</b> | <b>\$23,211</b> |
| <b>ELEMENT REQUIREMENTS</b>                                                |                 |                 |                 |
| <b>50.10 Registration of Taxpayers</b>                                     | <b>\$2,781</b>  | <b>\$3,193</b>  | <b>\$3,355</b>  |
| <b>State Operations:</b>                                                   |                 |                 |                 |
| 0061 Motor Vehicle Fuel Account, Transportation Tax Fund                   | 2,779           | 3,050           | 3,212           |
| 0890 Federal Trust Fund                                                    | 2               | 49              | 49              |
| 0995 Reimbursements                                                        | -               | 94              | 94              |
| <b>50.20 Processing Tax Returns</b>                                        | <b>\$6,473</b>  | <b>\$7,373</b>  | <b>\$7,689</b>  |
| <b>State Operations:</b>                                                   |                 |                 |                 |
| 0061 Motor Vehicle Fuel Account, Transportation Tax Fund                   | 6,470           | 7,043           | 7,359           |
| 0890 Federal Trust Fund                                                    | 3               | 113             | 113             |
| 0995 Reimbursements                                                        | -               | 217             | 217             |
| <b>50.30 Auditing Accounts</b>                                             | <b>\$7,051</b>  | <b>\$7,813</b>  | <b>\$8,064</b>  |
| <b>State Operations:</b>                                                   |                 |                 |                 |
| 0061 Motor Vehicle Fuel Account, Transportation Tax Fund                   | 7,047           | 7,463           | 7,715           |
| 0890 Federal Trust Fund                                                    | 4               | 120             | 120             |
| 0995 Reimbursements                                                        | -               | 230             | 229             |
| <b>50.40 Enforcement</b>                                                   | <b>\$1,356</b>  | <b>\$2,131</b>  | <b>\$2,201</b>  |
| <b>State Operations:</b>                                                   |                 |                 |                 |
| 0061 Motor Vehicle Fuel Account, Transportation Tax Fund                   | 1,355           | 2,035           | 2,105           |
| 0890 Federal Trust Fund                                                    | 1               | 33              | 33              |
| 0995 Reimbursements                                                        | -               | 63              | 63              |
| <b>50.50 Collecting Taxes Receivable</b>                                   | <b>\$1,390</b>  | <b>\$1,840</b>  | <b>\$1,902</b>  |
| <b>State Operations:</b>                                                   |                 |                 |                 |
| 0061 Motor Vehicle Fuel Account, Transportation Tax Fund                   | 1,389           | 1,759           | 1,820           |
| 0890 Federal Trust Fund                                                    | 1               | 28              | 28              |
| 0995 Reimbursements                                                        | -               | 53              | 54              |
| <b>PROGRAM REQUIREMENTS</b>                                                |                 |                 |                 |
| <b>53 NAFTA PROGRAM</b>                                                    |                 |                 |                 |
| <b>State Operations:</b>                                                   |                 |                 |                 |
| 0890 Federal Trust Fund                                                    | \$840           | \$1,251         | \$1,275         |
| <b>Totals, State Operations</b>                                            | <b>\$840</b>    | <b>\$1,251</b>  | <b>\$1,275</b>  |
| <b>PROGRAM REQUIREMENTS</b>                                                |                 |                 |                 |
| <b>56 OCCUPATIONAL LEAD POISONING PREVENTION FEE PROGRAM</b>               |                 |                 |                 |
| <b>State Operations:</b>                                                   |                 |                 |                 |
| 0070 Occupational Lead Poisoning Prevention Account                        | \$648           | \$696           | \$718           |
| <b>Totals, State Operations</b>                                            | <b>\$648</b>    | <b>\$696</b>    | <b>\$718</b>    |
| <b>PROGRAM REQUIREMENTS</b>                                                |                 |                 |                 |
| <b>57 INTEGRATED WASTE MANAGEMENT PROGRAM</b>                              |                 |                 |                 |
| <b>State Operations:</b>                                                   |                 |                 |                 |
| 0387 Integrated Waste Management Account, Integrated Waste Management Fund | \$287           | \$441           | \$462           |
| <b>Totals, State Operations</b>                                            | <b>\$287</b>    | <b>\$441</b>    | <b>\$462</b>    |
| <b>PROGRAM REQUIREMENTS</b>                                                |                 |                 |                 |
| <b>58 UNDERGROUND STORAGE TANK FEE PROGRAM</b>                             |                 |                 |                 |

\* Dollars in thousands, except in Salary Range.

**0860 State Board of Equalization - Continued**

|           |                                                                                      | <u>2006-07*</u> | <u>2007-08*</u> | <u>2008-09*</u> |
|-----------|--------------------------------------------------------------------------------------|-----------------|-----------------|-----------------|
|           | <b>State Operations:</b>                                                             |                 |                 |                 |
| 0439      | Underground Storage Tank Cleanup Fund                                                | <u>\$2,045</u>  | <u>\$2,976</u>  | <u>\$3,245</u>  |
|           | <b>Totals, State Operations</b>                                                      | <b>\$2,045</b>  | <b>\$2,976</b>  | <b>\$3,245</b>  |
|           | <b>PROGRAM REQUIREMENTS</b>                                                          |                 |                 |                 |
| <b>59</b> | <b>OIL SPILL PREVENTION PROGRAM</b>                                                  |                 |                 |                 |
|           | <b>State Operations:</b>                                                             |                 |                 |                 |
| 0320      | Oil Spill Prevention and Administration Fund                                         | <u>\$233</u>    | <u>\$251</u>    | <u>\$259</u>    |
|           | <b>Totals, State Operations</b>                                                      | <b>\$233</b>    | <b>\$251</b>    | <b>\$259</b>    |
|           | <b>PROGRAM REQUIREMENTS</b>                                                          |                 |                 |                 |
| <b>60</b> | <b>ENERGY RESOURCES SURCHARGE PROGRAM</b>                                            |                 |                 |                 |
|           | <b>State Operations:</b>                                                             |                 |                 |                 |
| 0465      | Energy Resources Programs Account                                                    | <u>\$191</u>    | <u>\$246</u>    | <u>\$253</u>    |
|           | <b>Totals, State Operations</b>                                                      | <b>\$191</b>    | <b>\$246</b>    | <b>\$253</b>    |
|           | <b>PROGRAM REQUIREMENTS</b>                                                          |                 |                 |                 |
| <b>61</b> | <b>ANNUAL WATER RIGHTS FEE PROGRAM</b>                                               |                 |                 |                 |
|           | <b>State Operations:</b>                                                             |                 |                 |                 |
| 3058      | Water Rights Fund                                                                    | <u>\$411</u>    | <u>\$428</u>    | <u>\$418</u>    |
|           | <b>Totals, State Operations</b>                                                      | <b>\$411</b>    | <b>\$428</b>    | <b>\$418</b>    |
|           | <b>PROGRAM REQUIREMENTS</b>                                                          |                 |                 |                 |
| <b>62</b> | <b>CHILDHOOD LEAD POISONING PREVENTION FEE PROGRAM</b>                               |                 |                 |                 |
|           | <b>State Operations:</b>                                                             |                 |                 |                 |
| 0001      | General Fund                                                                         | \$10            | \$-             | \$-             |
| 0080      | Childhood Lead Poisoning Prevention Fund                                             | <u>486</u>      | <u>503</u>      | <u>527</u>      |
|           | <b>Totals, State Operations</b>                                                      | <b>\$496</b>    | <b>\$503</b>    | <b>\$527</b>    |
|           | <b>PROGRAM REQUIREMENTS</b>                                                          |                 |                 |                 |
| <b>63</b> | <b>MARINE INVASIVE SPECIES PROGRAM</b>                                               |                 |                 |                 |
|           | <b>State Operations:</b>                                                             |                 |                 |                 |
| 0995      | Reimbursements                                                                       | <u>\$339</u>    | <u>\$419</u>    | <u>\$419</u>    |
|           | <b>Totals, State Operations</b>                                                      | <b>\$339</b>    | <b>\$419</b>    | <b>\$419</b>    |
|           | <b>PROGRAM REQUIREMENTS</b>                                                          |                 |                 |                 |
| <b>65</b> | <b>EMERGENCY TELEPHONE USERS SURCHARGE PROGRAM</b>                                   |                 |                 |                 |
|           | <b>State Operations:</b>                                                             |                 |                 |                 |
| 0001      | General Fund                                                                         | \$9             | \$-             | \$-             |
| 0022      | State Emergency Telephone Number Account                                             | <u>601</u>      | <u>621</u>      | <u>649</u>      |
|           | <b>Totals, State Operations</b>                                                      | <b>\$610</b>    | <b>\$621</b>    | <b>\$649</b>    |
|           | <b>PROGRAM REQUIREMENTS</b>                                                          |                 |                 |                 |
| <b>66</b> | <b>E-WASTE RECYCLING FEE PROGRAM</b>                                                 |                 |                 |                 |
|           | <b>State Operations:</b>                                                             |                 |                 |                 |
| 3065      | Electronic Waste Recovery and Recycling Account,<br>Integrated Waste Management Fund | <u>\$3,445</u>  | <u>\$4,622</u>  | <u>\$4,888</u>  |
|           | <b>Totals, State Operations</b>                                                      | <b>\$3,445</b>  | <b>\$4,622</b>  | <b>\$4,888</b>  |
|           | <b>PROGRAM REQUIREMENTS</b>                                                          |                 |                 |                 |
| <b>70</b> | <b>INSURANCE TAX PROGRAM</b>                                                         |                 |                 |                 |
|           | <b>State Operations:</b>                                                             |                 |                 |                 |
| 0001      | General Fund                                                                         | <u>\$211</u>    | <u>\$480</u>    | <u>\$484</u>    |
|           | <b>Totals, State Operations</b>                                                      | <b>\$211</b>    | <b>\$480</b>    | <b>\$484</b>    |
|           | <b>PROGRAM REQUIREMENTS</b>                                                          |                 |                 |                 |

\* Dollars in thousands, except in Salary Range.

**0860 State Board of Equalization - Continued**

|                                                      |  | <u>2006-07*</u>  | <u>2007-08*</u>  | <u>2008-09*</u>  |
|------------------------------------------------------|--|------------------|------------------|------------------|
| <b>75 NATURAL GAS SURCHARGE PROGRAM</b>              |  |                  |                  |                  |
| State Operations:                                    |  |                  |                  |                  |
| 3015 Gas Consumption Surcharge Fund                  |  | <u>\$370</u>     | <u>\$408</u>     | <u>\$411</u>     |
| Totals, State Operations                             |  | <b>\$370</b>     | <b>\$408</b>     | <b>\$411</b>     |
| <b>PROGRAM REQUIREMENTS</b>                          |  |                  |                  |                  |
| <b>80 APPEALS FROM OTHER GOVERNMENTAL PROGRAMS</b>   |  |                  |                  |                  |
| State Operations:                                    |  |                  |                  |                  |
| 0001 General Fund                                    |  | <u>\$1,623</u>   | <u>\$1,839</u>   | <u>\$1,847</u>   |
| Totals, State Operations                             |  | <b>\$1,623</b>   | <b>\$1,839</b>   | <b>\$1,847</b>   |
| <b>ELEMENT REQUIREMENTS</b>                          |  |                  |                  |                  |
| <b>80.10 Franchise and Income Tax Appeals</b>        |  | <b>\$1,591</b>   | <b>\$1,780</b>   | <b>\$1,788</b>   |
| State Operations:                                    |  |                  |                  |                  |
| 0001 General Fund                                    |  | 1,591            | 1,780            | 1,788            |
| <b>80.20 Senior Citizens Property Tax Assistance</b> |  | <b>\$30</b>      | <b>\$59</b>      | <b>\$59</b>      |
| State Operations:                                    |  |                  |                  |                  |
| 0001 General Fund                                    |  | 30               | 59               | 59               |
| <b>80.30 Intracounty Equalization</b>                |  | <b>\$2</b>       | <b>\$-</b>       | <b>\$-</b>       |
| State Operations:                                    |  |                  |                  |                  |
| 0001 General Fund                                    |  | 2                | -                | -                |
| <b>PROGRAM REQUIREMENTS</b>                          |  |                  |                  |                  |
| <b>85 ADMINISTRATION</b>                             |  |                  |                  |                  |
| State Operations:                                    |  |                  |                  |                  |
| 0995 Reimbursements                                  |  | <u>\$541</u>     | <u>\$817</u>     | <u>\$817</u>     |
| Totals, State Operations                             |  | <b>\$541</b>     | <b>\$817</b>     | <b>\$817</b>     |
| <b>ELEMENT REQUIREMENTS</b>                          |  |                  |                  |                  |
| 85.01 Administration                                 |  | 39,411           | 42,000           | 42,000           |
| 85.02 Distributed Administration                     |  | -38,870          | -41,183          | -41,183          |
| <b>TOTALS, EXPENDITURES</b>                          |  |                  |                  |                  |
| State Operations                                     |  | <u>368,875</u>   | <u>396,884</u>   | <u>430,050</u>   |
| Totals, Expenditures                                 |  | <b>\$368,875</b> | <b>\$396,884</b> | <b>\$430,050</b> |

**EXPENDITURES BY CATEGORY (Summary By Object)**

| 1 State Operations                                                      | Positions      |                |                | Expenditures     |                  |                  |
|-------------------------------------------------------------------------|----------------|----------------|----------------|------------------|------------------|------------------|
|                                                                         | 2006-07        | 2007-08        | 2008-09        | 2006-07*         | 2007-08*         | 2008-09*         |
| PERSONAL SERVICES                                                       |                |                |                |                  |                  |                  |
| Authorized Positions (Equals Sch. 7A)                                   | 3,669.9        | 4,001.1        | 3,947.6        | \$210,555        | \$225,476        | \$226,588        |
| Total Adjustments                                                       | -              | -              | 301.1          | -                | 7,281            | 25,182           |
| Estimated Salary Savings                                                | -              | -200.6         | -213.3         | -                | -12,295          | -14,700          |
| <b>Net Totals, Salaries and Wages</b>                                   | <b>3,669.9</b> | <b>3,800.5</b> | <b>4,035.4</b> | <b>\$210,555</b> | <b>\$220,462</b> | <b>\$237,070</b> |
| Staff Benefits                                                          | -              | -              | -              | 74,337           | 82,444           | 87,720           |
| <b>Totals, Personal Services</b>                                        | <b>3,669.9</b> | <b>3,800.5</b> | <b>4,035.4</b> | <b>\$284,892</b> | <b>\$302,906</b> | <b>\$324,790</b> |
| OPERATING EXPENSES AND EQUIPMENT                                        |                |                |                | <u>\$83,983</u>  | <u>\$93,978</u>  | <u>\$105,260</u> |
| <b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b> |                |                |                | <b>\$368,875</b> | <b>\$396,884</b> | <b>\$430,050</b> |

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS (Reconciliation with Appropriations)**

\* Dollars in thousands, except in Salary Range.

**0860 State Board of Equalization - Continued**

| <b>1 STATE OPERATIONS</b>                                       | <b>2006-07*</b>  | <b>2007-08*</b>  | <b>2008-09*</b>  |
|-----------------------------------------------------------------|------------------|------------------|------------------|
| <b>0001 General Fund</b>                                        |                  |                  |                  |
| APPROPRIATIONS                                                  |                  |                  |                  |
| 001 Budget Act appropriation                                    | \$206,531        | \$218,435        | \$241,508        |
| Allocation for employee compensation                            | 8,991            | 5,020            | -                |
| Adjustment per Section 3.60                                     | 1,096            | -467             | -                |
| Adjustment per Section 4.75                                     | 10               | -                | -                |
| Adjustment per Section 15.25                                    | -                | -1,079           | -                |
| Transfer to Legislative Claims (9670)                           | -12              | -                | -                |
| <b>Totals Available</b>                                         | <b>\$216,616</b> | <b>\$221,909</b> | <b>\$241,508</b> |
| Unexpended balance, estimated savings                           | -8,328           | -                | -                |
| <b>TOTALS, EXPENDITURES</b>                                     | <b>\$208,288</b> | <b>\$221,909</b> | <b>\$241,508</b> |
| <b>0004 Breast Cancer Fund</b>                                  |                  |                  |                  |
| APPROPRIATIONS                                                  |                  |                  |                  |
| 001 Budget Act appropriation                                    | \$374            | \$523            | \$589            |
| Allocation for employee compensation                            | 11               | 15               | -                |
| Adjustment per Section 3.60                                     | 1                | -1               | -                |
| <b>Totals Available</b>                                         | <b>\$386</b>     | <b>\$537</b>     | <b>\$589</b>     |
| Unexpended balance, estimated savings                           | -20              | -                | -                |
| <b>TOTALS, EXPENDITURES</b>                                     | <b>\$366</b>     | <b>\$537</b>     | <b>\$589</b>     |
| <b>0022 State Emergency Telephone Number Account</b>            |                  |                  |                  |
| APPROPRIATIONS                                                  |                  |                  |                  |
| 001 Budget Act appropriation                                    | \$575            | \$607            | \$649            |
| Allocation for employee compensation                            | 25               | 20               | -                |
| Adjustment per Section 3.60                                     | 3                | -2               | -                |
| Adjustment per Section 15.25                                    | -                | -4               | -                |
| <b>Totals Available</b>                                         | <b>\$603</b>     | <b>\$621</b>     | <b>\$649</b>     |
| Unexpended balance, estimated savings                           | -2               | -                | -                |
| <b>TOTALS, EXPENDITURES</b>                                     | <b>\$601</b>     | <b>\$621</b>     | <b>\$649</b>     |
| <b>0061 Motor Vehicle Fuel Account, Transportation Tax Fund</b> |                  |                  |                  |
| APPROPRIATIONS                                                  |                  |                  |                  |
| 001 Budget Act appropriation                                    | \$19,366         | \$20,891         | \$22,211         |
| Allocation for employee compensation                            | 715              | 631              | -                |
| Adjustment per Section 3.60                                     | 93               | -49              | -                |
| Adjustment per Section 4.75                                     | 1                | -                | -                |
| Adjustment per Section 15.25                                    | -                | -123             | -                |
| <b>Totals Available</b>                                         | <b>\$20,175</b>  | <b>\$21,350</b>  | <b>\$22,211</b>  |
| Unexpended balance, estimated savings                           | -1,135           | -                | -                |
| <b>TOTALS, EXPENDITURES</b>                                     | <b>\$19,040</b>  | <b>\$21,350</b>  | <b>\$22,211</b>  |
| <b>0070 Occupational Lead Poisoning Prevention Account</b>      |                  |                  |                  |
| APPROPRIATIONS                                                  |                  |                  |                  |
| 001 Budget Act appropriation                                    | \$638            | \$668            | \$718            |
| Allocation for employee compensation                            | 27               | 30               | -                |
| Adjustment per Section 3.60                                     | 4                | -2               | -                |
| <b>Totals Available</b>                                         | <b>\$669</b>     | <b>\$696</b>     | <b>\$718</b>     |
| Unexpended balance, estimated savings                           | -21              | -                | -                |
| <b>TOTALS, EXPENDITURES</b>                                     | <b>\$648</b>     | <b>\$696</b>     | <b>\$718</b>     |
| <b>0080 Childhood Lead Poisoning Prevention Fund</b>            |                  |                  |                  |
| APPROPRIATIONS                                                  |                  |                  |                  |

\* Dollars in thousands, except in Salary Range.

**0860 State Board of Equalization - Continued**

| <b>1 STATE OPERATIONS</b>                                                         | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|-----------------------------------------------------------------------------------|-----------------|-----------------|-----------------|
| 001 Budget Act appropriation                                                      | \$464           | \$488           | \$527           |
| Allocation for employee compensation                                              | 20              | 16              | -               |
| Adjustment per Section 3.60                                                       | 3               | -1              | -               |
| <b>Totals Available</b>                                                           | <b>\$487</b>    | <b>\$503</b>    | <b>\$527</b>    |
| Unexpended balance, estimated savings                                             | -1              | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                                                       | <b>\$486</b>    | <b>\$503</b>    | <b>\$527</b>    |
| <b>0230 Cigarette and Tobacco Products Surtax Fund</b>                            |                 |                 |                 |
| APPROPRIATIONS                                                                    |                 |                 |                 |
| 001 Budget Act appropriation                                                      | \$4,767         | \$6,556         | \$7,373         |
| Allocation for employee compensation                                              | 138             | 151             | -               |
| Adjustment per Section 3.60                                                       | 14              | -12             | -               |
| Adjustment per Section 15.25                                                      | -               | -17             | -               |
| <b>Totals Available</b>                                                           | <b>\$4,919</b>  | <b>\$6,678</b>  | <b>\$7,373</b>  |
| Unexpended balance, estimated savings                                             | -255            | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                                                       | <b>\$4,664</b>  | <b>\$6,678</b>  | <b>\$7,373</b>  |
| <b>0320 Oil Spill Prevention and Administration Fund</b>                          |                 |                 |                 |
| APPROPRIATIONS                                                                    |                 |                 |                 |
| 001 Budget Act appropriation                                                      | \$236           | \$247           | \$259           |
| Allocation for employee compensation                                              | 9               | 6               | -               |
| Adjustment per Section 3.60                                                       | 1               | -               | -               |
| Adjustment per Section 15.25                                                      | -               | -2              | -               |
| <b>Totals Available</b>                                                           | <b>\$246</b>    | <b>\$251</b>    | <b>\$259</b>    |
| Unexpended balance, estimated savings                                             | -13             | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                                                       | <b>\$233</b>    | <b>\$251</b>    | <b>\$259</b>    |
| <b>0387 Integrated Waste Management Account, Integrated Waste Management Fund</b> |                 |                 |                 |
| APPROPRIATIONS                                                                    |                 |                 |                 |
| 001 Budget Act appropriation                                                      | \$408           | \$426           | \$462           |
| Allocation for employee compensation                                              | 18              | 18              | -               |
| Adjustment per Section 3.60                                                       | 2               | -1              | -               |
| Adjustment per Section 15.25                                                      | -               | -2              | -               |
| <b>Totals Available</b>                                                           | <b>\$428</b>    | <b>\$441</b>    | <b>\$462</b>    |
| Unexpended balance, estimated savings                                             | -141            | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                                                       | <b>\$287</b>    | <b>\$441</b>    | <b>\$462</b>    |
| <b>0439 Underground Storage Tank Cleanup Fund</b>                                 |                 |                 |                 |
| APPROPRIATIONS                                                                    |                 |                 |                 |
| 001 Budget Act appropriation                                                      | \$2,092         | \$2,909         | \$3,245         |
| Allocation for employee compensation                                              | 83              | 82              | -               |
| Adjustment per Section 3.60                                                       | 11              | -6              | -               |
| Adjustment per Section 15.25                                                      | -               | -9              | -               |
| <b>Totals Available</b>                                                           | <b>\$2,186</b>  | <b>\$2,976</b>  | <b>\$3,245</b>  |
| Unexpended balance, estimated savings                                             | -141            | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                                                       | <b>\$2,045</b>  | <b>\$2,976</b>  | <b>\$3,245</b>  |
| <b>0465 Energy Resources Programs Account</b>                                     |                 |                 |                 |
| APPROPRIATIONS                                                                    |                 |                 |                 |
| 001 Budget Act appropriation                                                      | \$232           | \$243           | \$253           |
| Allocation for employee compensation                                              | 9               | 5               | -               |
| Adjustment per Section 3.60                                                       | 1               | -               | -               |
| Adjustment per Section 15.25                                                      | -               | -2              | -               |
| <b>Totals Available</b>                                                           | <b>\$242</b>    | <b>\$246</b>    | <b>\$253</b>    |

\* Dollars in thousands, except in Salary Range.

**0860 State Board of Equalization - Continued**

| <b>1 STATE OPERATIONS</b>                                                                     | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|-----------------------------------------------------------------------------------------------|-----------------|-----------------|-----------------|
| Unexpended balance, estimated savings                                                         | -51             | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                                                                   | <b>\$191</b>    | <b>\$246</b>    | <b>\$253</b>    |
| <b>0623 California Children and Families First Trust Fund</b>                                 |                 |                 |                 |
| APPROPRIATIONS                                                                                |                 |                 |                 |
| 001 Budget Act appropriation                                                                  | \$7,388         | \$10,918        | \$12,538        |
| Allocation for employee compensation                                                          | 221             | 287             | -               |
| Adjustment per Section 3.60                                                                   | 22              | -22             | -               |
| Adjustment per Section 15.25                                                                  | -               | -21             | -               |
| <b>Totals Available</b>                                                                       | <b>\$7,631</b>  | <b>\$11,162</b> | <b>\$12,538</b> |
| Unexpended balance, estimated savings                                                         | -394            | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                                                                   | <b>\$7,237</b>  | <b>\$11,162</b> | <b>\$12,538</b> |
| <b>0890 Federal Trust Fund</b>                                                                |                 |                 |                 |
| APPROPRIATIONS                                                                                |                 |                 |                 |
| 001 Budget Act appropriation                                                                  | \$1,156         | \$1,594         | \$1,618         |
| Allocation for employee compensation                                                          | 41              | -               | -               |
| Adjustment per Section 3.60                                                                   | 5               | -               | -               |
| Budget Adjustment                                                                             | -351            | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                                                                   | <b>\$851</b>    | <b>\$1,594</b>  | <b>\$1,618</b>  |
| <b>0965 Timber Tax Fund</b>                                                                   |                 |                 |                 |
| APPROPRIATIONS                                                                                |                 |                 |                 |
| 001 Budget Act appropriation                                                                  | \$2,148         | \$2,243         | \$2,309         |
| Allocation for employee compensation                                                          | 85              | -               | -               |
| Adjustment per Section 3.60                                                                   | 11              | -               | -               |
| <b>Totals Available</b>                                                                       | <b>\$2,244</b>  | <b>\$2,243</b>  | <b>\$2,309</b>  |
| Unexpended balance, estimated savings                                                         | -332            | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                                                                   | <b>\$1,912</b>  | <b>\$2,243</b>  | <b>\$2,309</b>  |
| <b>0995 Reimbursements</b>                                                                    |                 |                 |                 |
| APPROPRIATIONS                                                                                |                 |                 |                 |
| Reimbursements                                                                                | \$111,084       | \$119,021       | \$128,945       |
| <b>3015 Gas Consumption Surcharge Fund</b>                                                    |                 |                 |                 |
| APPROPRIATIONS                                                                                |                 |                 |                 |
| 001 Budget Act appropriation                                                                  | \$404           | \$417           | \$411           |
| Allocation for employee compensation                                                          | 12              | 4               | -               |
| Adjustment per Section 3.60                                                                   | 1               | -               | -               |
| Adjustment per Section 15.25                                                                  | -               | -13             | -               |
| <b>Totals Available</b>                                                                       | <b>\$417</b>    | <b>\$408</b>    | <b>\$411</b>    |
| Unexpended balance, estimated savings                                                         | -47             | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                                                                   | <b>\$370</b>    | <b>\$408</b>    | <b>\$411</b>    |
| <b>3058 Water Rights Fund</b>                                                                 |                 |                 |                 |
| APPROPRIATIONS                                                                                |                 |                 |                 |
| 001 Budget Act appropriation                                                                  | \$412           | \$420           | \$418           |
| Allocation for employee compensation                                                          | 15              | 9               | -               |
| Adjustment per Section 3.60                                                                   | 2               | -1              | -               |
| <b>Totals Available</b>                                                                       | <b>\$429</b>    | <b>\$428</b>    | <b>\$418</b>    |
| Unexpended balance, estimated savings                                                         | -18             | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                                                                   | <b>\$411</b>    | <b>\$428</b>    | <b>\$418</b>    |
| <b>3065 Electronic Waste Recovery and Recycling Account, Integrated Waste Management Fund</b> |                 |                 |                 |
| APPROPRIATIONS                                                                                |                 |                 |                 |
| 001 Budget Act appropriation                                                                  | \$4,904         | \$4,494         | \$4,888         |

\* Dollars in thousands, except in Salary Range.

**0860 State Board of Equalization - Continued**

| <b>1 STATE OPERATIONS</b>                                  | <b>2006-07*</b>  | <b>2007-08*</b>  | <b>2008-09*</b>  |
|------------------------------------------------------------|------------------|------------------|------------------|
| Allocation for employee compensation                       | 217              | 141              | -                |
| Adjustment per Section 3.60                                | 23               | -11              | -                |
| Adjustment per Section 15.25                               | -                | -2               | -                |
| <b>Totals Available</b>                                    | <b>\$5,144</b>   | <b>\$4,622</b>   | <b>\$4,888</b>   |
| Unexpended balance, estimated savings                      | -1,699           | -                | -                |
| <b>TOTALS, EXPENDITURES</b>                                | <b>\$3,445</b>   | <b>\$4,622</b>   | <b>\$4,888</b>   |
| <b>3067 Cigarette and Tobacco Products Compliance Fund</b> |                  |                  |                  |
| APPROPRIATIONS                                             |                  |                  |                  |
| 001 Budget Act appropriation                               | \$6,977          | \$1,180          | \$1,129          |
| Allocation for employee compensation                       | -                | 19               | -                |
| Adjustment per Section 3.60                                | 33               | -1               | -                |
| <b>Totals Available</b>                                    | <b>\$7,010</b>   | <b>\$1,198</b>   | <b>\$1,129</b>   |
| Unexpended balance, estimated savings                      | -294             | -                | -                |
| <b>TOTALS, EXPENDITURES</b>                                | <b>\$6,716</b>   | <b>\$1,198</b>   | <b>\$1,129</b>   |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>  | <b>\$368,875</b> | <b>\$396,884</b> | <b>\$430,050</b> |

**FUND CONDITION STATEMENTS**

|                                                                                            | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|--------------------------------------------------------------------------------------------|-----------------|-----------------|-----------------|
| <b>0965 Timber Tax Fund <sup>N</sup></b>                                                   |                 |                 |                 |
| BEGINNING BALANCE                                                                          | \$4,174         | \$4,048         | -               |
| Prior year adjustments                                                                     | -3              | -               | -               |
| Adjusted Beginning Balance                                                                 | \$4,171         | \$4,048         | -               |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS                                                 |                 |                 |                 |
| Revenues:                                                                                  |                 |                 |                 |
| 213000 Property and Natural Resources<br>(Timber Yield Tax)                                | 15,175          | 14,000          | \$14,000        |
| 215000 Income from Investments                                                             | 125             | -               | -               |
| Total Revenues, Transfers, and Other Adjustments                                           | \$15,300        | \$14,000        | \$14,000        |
| Total Resources                                                                            | \$19,471        | \$18,048        | \$14,000        |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS                                                   |                 |                 |                 |
| Expenditures:                                                                              |                 |                 |                 |
| 0840 State Controller (State Operations)                                                   | 8               | 10              | 11              |
| 0860 State Board of Equalization (State Operations)                                        | 1,912           | 2,243           | 2,309           |
| 3540 Department of Forestry and Fire Protection (State Operations)                         | 3               | 33              | 34              |
| Allocation to Counties (Local Assistance Expenditure not Reflected in Departmental Budget) | 13,500          | 15,762          | 11,646          |
| Total Expenditures and Expenditure Adjustments                                             | \$15,423        | \$18,048        | \$14,000        |
| FUND BALANCE                                                                               | \$4,048         | -               | -               |
| <b>3067 Cigarette and Tobacco Products Compliance Fund <sup>S</sup></b>                    |                 |                 |                 |
| BEGINNING BALANCE                                                                          | \$5,666         | \$1,090         | \$1,132         |
| Prior year adjustments                                                                     | -52             | -               | -               |
| Adjusted Beginning Balance                                                                 | \$5,614         | \$1,090         | \$1,132         |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS                                                 |                 |                 |                 |
| Revenues:                                                                                  |                 |                 |                 |
| 110500 Cigarette Tax                                                                       | 2,223           | 1,269           | 1,166           |
| Total Revenues, Transfers, and Other Adjustments                                           | \$2,223         | \$1,269         | \$1,166         |
| Total Resources                                                                            | \$7,837         | \$2,359         | \$2,298         |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS                                                   |                 |                 |                 |
| Expenditures:                                                                              |                 |                 |                 |

\* Dollars in thousands, except in Salary Range.

**0860 State Board of Equalization - Continued**

|                                                     | 2006-07*       | 2007-08*       | 2008-09*       |
|-----------------------------------------------------|----------------|----------------|----------------|
| 0840 State Controller (State Operations)            | 31             | 29             | 5              |
| 0860 State Board of Equalization (State Operations) | 6,716          | 1,198          | 1,129          |
| Total Expenditures and Expenditure Adjustments      | <u>\$6,747</u> | <u>\$1,227</u> | <u>\$1,134</u> |
| FUND BALANCE                                        | \$1,090        | \$1,132        | \$1,164        |
| Reserve for economic uncertainties                  | 1,090          | 1,132          | 1,164          |

**CHANGES IN AUTHORIZED POSITIONS**

|                                                                         | Positions |         |         | Expenditures        |           |           |
|-------------------------------------------------------------------------|-----------|---------|---------|---------------------|-----------|-----------|
|                                                                         | 2006-07   | 2007-08 | 2008-09 | 2006-07*            | 2007-08*  | 2008-09*  |
| Totals, Authorized Positions                                            | 3,669.9   | 4,001.1 | 3,947.6 | \$210,555           | \$225,476 | \$226,588 |
| Salary Adjustments                                                      | -         | -       | -       | -                   | 7,281     | 7,311     |
| <b>Proposed New Positions:</b>                                          |           |         |         | <b>Salary Range</b> |           |           |
| Administration Department:                                              |           |         |         |                     |           |           |
| Accounting Department:                                                  |           |         |         |                     |           |           |
| Accounting Trainee (1.5 LT exp 6-30-2011)                               | -         | -       | 1.5     | 3,240-3,751         | -         | 64        |
| Accountant Trainee (2.0 pos eff 7-1-08)                                 | -         | -       | 1.0     | 3,240-3,751         | -         | 43        |
| Financial Management Division:                                          |           |         |         |                     |           |           |
| Accountant Trainee (BOE Ad Sup) (Prop 10)                               | -         | -       | 0.5     | 3,240-3,751         | -         | 21        |
| Associate Administrative Analyst                                        | -         | -       | 1.0     | 4,619-5,616         | -         | 51        |
| Human Resources Division:                                               |           |         |         |                     |           |           |
| Associate Personnel Analyst (2.0 pos eff 7-1-08)                        | -         | -       | 2.0     | 4,400-5,348         | -         | 116       |
| Personnel Specialist (1.0 pos eff 7-1-08)                               | -         | -       | 2.0     | 2,602-4,067         | -         | 72        |
| Associate Personnel Analyst (1.0 LT exp 6-30-2011)                      | -         | -       | 1.0     | 4,400-5,348         | -         | 58        |
| Personnel Specialist (1.0 LT exp 6-30-2011)                             | -         | -       | 1.0     | 2,602-4,067         | -         | 36        |
| Administrative Support Division:                                        |           |         |         |                     |           |           |
| Associate Governmental Program Analyst (4.0 pos eff 7-1-08)             | -         | -       | 4.0     | 4,400-5,348         | -         | 233       |
| Associate Business Management Analyst (1.0 LT exp 6-30-2011)            | -         | -       | 1.0     | 4,400-5,348         | -         | 58        |
| Associate Governmental Program Analyst (1.0 LT exp 6-30-2011)           | -         | -       | 2.0     | 4,400-5,348         | -         | 117       |
| Associate Information Systems Analyst Specialist (1.0 LT exp 6-30-2011) | -         | -       | 1.0     | 4,619-5,897         | -         | 64        |
| Executive Department:                                                   |           |         |         |                     |           |           |
| Customer & Taxpayer Service Division:                                   |           |         |         |                     |           |           |
| Tax Technician I                                                        | -         | -       | 0.8     | 2,280-2,975         | -         | 24        |
| Associate Governmental Program Analyst (2.0 pos eff 7-1-08)             | -         | -       | 2.0     | 4,400-5,348         | -         | 116       |
| Technology Services Division:                                           |           |         |         |                     |           |           |
| Staff Information Systems Analyst Specialist (2.0 pos eff 7-1-08)       | -         | -       | 2.0     | 5,065-6,466         | -         | 141       |
| Staff Information Systems Analyst Specialist (1.0 LT exp 6-30-2011)     | -         | -       | 1.0     | 5,065-6,466         | -         | 70        |
| Associate Information Systems Analyst Specialist (1.0 LT exp 6-30-2011) | -         | -       | 1.0     | 4,619-5,897         | -         | 64        |
| Information Services:                                                   |           |         |         |                     |           |           |
| Associate Governmental Program Analyst (1.0 pos eff 7-1-08)             | -         | -       | 1.0     | 4,400-5,348         | -         | 58        |
| Tax Technician II (1.5 pos eff 7-1-08)                                  | -         | -       | 1.5     | 2,638-3,209         | -         | 52        |
| TRA Office:                                                             |           |         |         |                     |           |           |

\* Dollars in thousands, except in Salary Range.

**0860 State Board of Equalization - Continued**

|                                                                     | Positions |         |         | Expenditures |          |          |
|---------------------------------------------------------------------|-----------|---------|---------|--------------|----------|----------|
|                                                                     | 2006-07   | 2007-08 | 2008-09 | 2006-07*     | 2007-08* | 2008-09* |
| Business Taxes Specialist I (1.0 pos eff 7-1-08)                    | -         | -       | 1.0     | 5,328-6,476  | -        | 74       |
| Executive Secretary I (1.0 pos eff 7-1-08)                          | -         | -       | 1.0     | 3,020-3,672  | -        | 40       |
| TAAP:                                                               |           |         |         |              |          |          |
| Associate Governmental Program Analyst (1.0 pos eff 7-1-08)         | -         | -       | 1.0     | 4,400-5,348  | -        | 58       |
| Tax Counsel III (1.0 pos eff 7-1-08)                                | -         | -       | 1.0     | 7,682-9,478  | -        | 102      |
| Internal Security and Audit Division:                               |           |         |         |              |          |          |
| Business Taxes Specialist II (1.0 LT exp 6-30-2011)                 | -         | -       | 1.0     | 5,573-7,113  | -        | 77       |
| Office Technician (G) (0.5 LT exp 6-30-2011)                        | -         | -       | 0.5     | 2,638-3,209  | -        | 17       |
| Legal Department:                                                   |           |         |         |              |          |          |
| Associate Governmental Program Analyst (0.5 pos eff 7-1-08)         | -         | -       | 0.5     | 4,400-5,348  | -        | 29       |
| Business Taxes Compliance Specialist (1.0 pos eff 7-1-08)           | -         | -       | 1.0     | 4,619-5,616  | -        | 61       |
| Business Taxes Compliance Supervisor I (0.5 pos eff 7-1-08)         | -         | -       | 0.5     | 4,622-5,619  | -        | 31       |
| Business Taxes Representative (1.0 pos eff 7-1-08)                  | -         | -       | 1.0     | 3,204-3,708  | -        | 42       |
| Business Taxes Specialist I (0.5 pos eff 7-1-08)                    | -         | -       | 0.5     | 5,328-6,476  | -        | 37       |
| Staff Program Analyst Specialist (1.0 pos eff 7-1-08)               | -         | -       | 1.0     | 5,065-6,466  | -        | 70       |
| Tax Technician III (0.5 pos eff 7-1-08)                             | -         | -       | 0.5     | 2,951-3,588  | -        | 20       |
| Investigations Division:                                            |           |         |         |              |          |          |
| Associate Tax Auditor (1.0 LT exp 6-30-2011)                        | -         | -       | 1.0     | 4,619-5,897  | -        | 64       |
| Business Taxes Administrator II (2.0 LT exp 6-30-2011)              | -         | -       | 2.0     | 5,844-7,414  | -        | 155      |
| Business Taxes Specialist I (1.0 LT exp 6-30-2011)                  | -         | -       | 1.0     | 5,328-6,476  | -        | 74       |
| Business Taxes Specialist I (Investigators) (4 LT exp 6-30-2011)    | -         | -       | 8.5     | 5,328-6,476  | -        | 630      |
| Tax Technician III (2.0 LT exp 6-30-2011)                           | -         | -       | 2.0     | 2,951-3,588  | -        | 78       |
| Associate Tax Auditor (BOE) (Prop 10)                               | -         | -       | 1.0     | 4,619-5,897  | -        | 64       |
| Business Taxes Specialist I (Prop 10)                               | -         | -       | 3.0     | 5,328-6,476  | -        | 222      |
| Business Taxes Specialist I                                         | -         | -       | 1.0     | 5,328-6,476  | -        | 74       |
| Business Taxes Specialist II (Prop 10)                              | -         | -       | 1.0     | 5,573-7,113  | -        | 77       |
| Tax and Fee Programs Division                                       | -         | -       |         |              |          |          |
| Tax Counsell III (1.0 LT exp 6-30-2011)                             | -         | -       | 1.0     | 7,682-9,478  | -        | 102      |
| Communications Office:                                              | -         | -       |         |              |          |          |
| Assistant Tax Service Specialist (1.0 LT exp 6-30-2011)             | -         | -       | 2.0     | 4,619-5,616  | -        | 122      |
| Sales and Use Tax Department:                                       |           |         |         |              |          |          |
| Business Taxes Specialist I (3 pos eff 7-1-08)                      | -         | -       | 3.0     | 5,328-6,476  | -        | 222      |
| Associate Tax Auditor (7.0 LT exp 7-1-08)                           | -         | -       | 10.8    | 4,619-5,897  | -        | 693      |
| Business Taxes Administrator I (7.0 LT exp 6-30-2011)               | -         | -       | 7.0     | 5,076-6,476  | -        | 470      |
| Business Taxes Compliance Specialist (32.0 LT exp 6-30-2011)        | -         | -       | 42.0    | 5,619-5,616  | -        | 2,567    |
| Business Taxes Specialist I (1.0 LT exp 6-30-2011)                  | -         | -       | 9.0     | 5,328-6,476  | -        | 666      |
| Office Technician (Typing) (7.0 LT exp 6-30-2011)                   | -         | -       | 7.0     | 2,686-3,264  | -        | 249      |
| Staff Information Systems Analyst Specialist (1.0 LT exp 6-30-2011) | -         | -       | 1.0     | 5,065-6,466  | -        | 70       |
| Supervising Tax Auditor I (1.0 LT exp 6-30-2011)                    | -         | -       | 1.4     | 5,076-6,476  | -        | 94       |

\* Dollars in thousands, except in Salary Range.

**0860 State Board of Equalization - Continued**

|                                                                   | Positions |         |         | Expenditures |          |          |
|-------------------------------------------------------------------|-----------|---------|---------|--------------|----------|----------|
|                                                                   | 2006-07   | 2007-08 | 2008-09 | 2006-07*     | 2007-08* | 2008-09* |
| Tax Technician III (4.0 LT exp 6-30-2011)                         | -         | -       | 5.3     | 2,951-3,588  | -        | 207      |
| Field Offices                                                     |           |         |         |              | -        |          |
| Associate Tax Auditor (7.0 pos eff 7-1-08)                        | -         | -       | 8.5     | 4,619-5,897  | -        | 545      |
| Associate Tax Auditor (6.0 pos eff 7-1-08)                        | -         | -       | 6.0     | 4,619-5,897  | -        | 410      |
| Business Taxes Compliance Specialist (4.0 pos eff 7-1-08)         | -         | -       | 4.0     | 5,619-5,616  | -        | 245      |
| Business Taxes Administrator I (2.0 pos eff 7-1-08)               | -         | -       | 2.5     | 5,076-6,476  | -        | 168      |
| Business Taxes Representative (8.0 pos eff 7-1-08)                | -         | -       | 10.5    | 3,204-3,708  | -        | 445      |
| Business Taxes Specialist I (14.0 pos eff 7-1-08)                 | -         | -       | 14.0    | 5,328-6,476  | -        | 1,036    |
| Business Taxes Specialist I (7.0 pos eff 7-1-08)                  | -         | -       | 7.0     | 5,328-6,476  | -        | 547      |
| Office Technician (1.0 pos eff 7-1-08)                            | -         | -       | 1.5     | 2,686-3,264  | -        | 53       |
| Staff Information Systems Analyst Specialist (1.0 pos eff 7-1-08) | -         | -       | 1.0     | 5,065-6,466  | -        | 75       |
| Staff Information Systems Analyst Specialist (2.0 pos eff 7-1-08) | -         | -       | 2.0     | 5,065-6,466  | -        | 141      |
| Supervising Tax Auditor I (1.0 pos eff 7-1-08)                    | -         | -       | 1.5     | 5,076-6,476  | -        | 101      |
| Supervising Tax Auditor II ( 2.0 pos eff 7-1-08)                  | -         | -       | 2.0     | 5,573-7,113  | -        | 148      |
| Supervising Tax Auditor II ( 2.0 pos eff 7-1-08)                  | -         | -       | 2.0     | 5,573-7,113  | -        | 158      |
| Tax Auditor (7.0 pos eff 7-1-08)                                  | -         | -       | 3.5     | 3,841-4,903  | -        | 169      |
| Tax Technician II (2.0 pos eff 7-1-08)                            | -         | -       | 2.5     | 2,638-3,209  | -        | 87       |
| Riverside District Office:                                        |           |         |         |              |          |          |
| Associate Tax Auditor                                             | -         | -       | 2.0     | 4,619-5,897  | -        | 129      |
| Business Taxes Administrator I                                    | -         | -       | 1.0     | 5,076-6,476  | -        | 67       |
| Business Taxes Compliance Specialist                              | -         | -       | 1.0     | 5,619-5,616  | -        | 61       |
| Business Taxes Representative                                     | -         | -       | 3.0     | 3,204-3,708  | -        | 127      |
| Business Taxes Specialist I                                       | -         | -       | 1.0     | 5,328-6,476  | -        | 74       |
| Office Technician (Typing)                                        | -         | -       | 1.0     | 2,686-3,264  | -        | 36       |
| Tax Auditor                                                       | -         | -       | 3.0     | 3,841-4,903  | -        | 145      |
| Tax Technician III                                                | -         | -       | 1.0     | 2,951-3,588  | -        | 39       |
| Centralized Collection:                                           |           |         |         |              |          |          |
| Business Taxes Compliance Specialist (6.0 pos eff 7-1-08)         | -         | -       | 6.0     | 5,619-5,616  | -        | 367      |
| Business Taxes Administrator I (2.0 pos eff 7-1-08)               | -         | -       | 2.0     | 5,076-6,476  | -        | 134      |
| Business Taxes Compliance Supervisor III (1.0 pos eff 7-1-08)     | -         | -       | 1.0     | 5,579-6,778  | -        | 74       |
| Business Taxes Representative (16.0 pos eff 7-1-08)               | -         | -       | 16.0    | 3,204-3,708  | -        | 678      |
| Business Taxes Specialist I (1.0 pos eff 7-1-08)                  | -         | -       | 1.0     | 5,328-6,476  | -        | 74       |
| Tax Technician III (4.0 pos eff 7-1-08)                           | -         | -       | 4.0     | 2,951-3,588  | -        | 156      |
| Compliance and Technology:                                        |           |         |         |              |          |          |
| Business Taxes Administrator II (1.0 pos eff 7-1-08)              | -         | -       | 1.0     | 5,844-7,414  | -        | 77       |
| Business Taxes Compliance Specialist (1 .0 pos eff 7-1-08)        | -         | -       | 1.0     | 5,619-5,616  | -        | 61       |
| Business Taxes Specialist I (3.0 pos eff 7-1-08)                  | -         | -       | 3.0     | 5,328-6,476  | -        | 222      |
| Business Taxes Specialist I (3.0 pos eff 7-1-08)                  | -         | -       | 3.0     | 5,328-6,476  | -        | 222      |
| Business Taxes Specialist II (1.0 pos eff 7-1-08)                 | -         | -       | 1.0     | 5,573-7,113  | -        | 82       |
| Staff Information Systems Analyst (1.0 pos eff 7-1-08)            | -         | -       | 1.0     | 5,065-6,466  | -        | 70       |
| Business Taxes Committee & Training                               |           |         |         |              |          |          |

\* Dollars in thousands, except in Salary Range.

**0860 State Board of Equalization - Continued**

|                                                  | Positions      |                |                | Expenditures     |                  |                  |
|--------------------------------------------------|----------------|----------------|----------------|------------------|------------------|------------------|
|                                                  | 2006-07        | 2007-08        | 2008-09        | 2006-07*         | 2007-08*         | 2008-09*         |
| Business Taxes Specialist I (1.0 pos eff 7-1-08) | -              | -              | 1.0            | 5,328-6,476      | -                | 74               |
| Petitions:                                       |                |                |                |                  |                  |                  |
| Associate Tax Auditor (.5 pos eff 7-1-08)        | -              | -              | 0.5            | 4,619-5,897      | -                | 32               |
| Audit Determination & Refund:                    |                |                |                |                  |                  |                  |
| Associate Tax Auditor (1.5 pos eff 7-1-08)       | -              | -              | 1.5            | 4,619-5,897      | -                | 96               |
| Tax Auditor (0.5 pos eff 7-1-08)                 | -              | -              | 0.5            | 3,841-4,903      | -                | 24               |
| Property and Special Taxes Department:           |                |                |                |                  |                  |                  |
| Excise Taxes Division:                           |                |                |                |                  |                  |                  |
| Business Taxes Compliance Specialist             | -              | -              | 1.0            | 4,619-5,616      | -                | 61               |
| Business Taxes Representative (CI/UI)            | -              | -              | 2.5            | 3,204-3,708      | -                | 106              |
| Business Taxes Representative (Prop 10)          | -              | -              | 1.0            | 3,204-3,708      | -                | 42               |
| Business Taxes Representative                    | -              | -              | 6.0            | 3,204-3,708      | -                | 254              |
| Business Taxes Specialist I (Audit)              | -              | -              | 1.0            | 5,328-6,476      | -                | 74               |
| Tax Technician II                                | -              | -              | 5.0            | 2,638-3,209      | -                | 175              |
| Tax Technician II                                | -              | -              | 1.5            | 2,638-3,209      | -                | 52               |
| Tax Technician III                               | -              | -              | 1.0            | 2,951-3,588      | -                | 39               |
| Tax Technician III (BOE)                         | -              | -              | 2.0            | 2,951-3,588      | -                | 78               |
| Associate Tax Auditor (BOE) (Audit)              | -              | -              | 4.0            | 4,619-5,897      | -                | 258              |
| Fuel Taxes Division:                             |                |                |                |                  |                  |                  |
| Business Taxes Representative                    | -              | -              | 3.0            | 3,204-3,708      | -                | 127              |
| Overtime                                         | -              | -              | -              | -                | -                | 134              |
| Temporary Help                                   | -              | -              | 0.3            | -                | -                | 6                |
| <b>Totals, Proposed New Positions</b>            | <b>-</b>       | <b>-</b>       | <b>301.1</b>   | <b>\$-</b>       | <b>\$-</b>       | <b>\$17,871</b>  |
| <b>Total Adjustments</b>                         | <b>-</b>       | <b>-</b>       | <b>301.1</b>   | <b>\$-</b>       | <b>\$7,281</b>   | <b>\$25,182</b>  |
| <b>TOTALS, SALARIES AND WAGES</b>                | <b>3,669.9</b> | <b>4,001.1</b> | <b>4,248.7</b> | <b>\$210,555</b> | <b>\$232,757</b> | <b>\$251,770</b> |

**0890 Secretary of State**

The Secretary of State, a constitutionally established office, is the chief elections officer of the state and is responsible for the administration and enforcement of election laws. The Office is also responsible for administering and enforcing laws pertaining to filing documents associated with corporations, limited liability companies, partnerships, limited partnerships, unincorporated associations and pertaining to filing bonds and perfecting security agreements. In addition, the Office is responsible for commissioning notaries public, enforcing the notary laws, and in conjunction with being the home of the State Archives, preserving documents and records having historical significance. The Secretary of State is the filing officer for lobbying and campaign registration and disclosure documents filed under the Political Reform Act. The Secretary of State also operates the Safe At Home program, maintains the Domestic Partners and Advanced Health Care Directives Registries, and is home to the California Museum for History, Women and the Arts.

The executive staff determines policy associated with the administration of the Office through the programs of Elections, Political Reform, Business Programs, Archives, Information Technology and Management Services.

**3-YR EXPENDITURES AND POSITIONS (Summary of Program Requirements)**

|                                                 | Positions |         |         | Expenditures |          |          |
|-------------------------------------------------|-----------|---------|---------|--------------|----------|----------|
|                                                 | 2006-07   | 2007-08 | 2008-09 | 2006-07*     | 2007-08* | 2008-09* |
| 10 Filings and Registrations                    | -         | 274.2   | 267.3   | \$-          | \$45,249 | \$49,025 |
| 20 Elections                                    | -         | 57.3    | 65.8    | -            | 154,509  | 66,069   |
| 30 Archives                                     | -         | 26.2    | 26.7    | -            | 12,820   | 10,499   |
| 50.01 Administration and Technology             | -         | 106.1   | 104.4   | -            | 21,721   | 23,590   |
| 50.02 Distributed Administration and Technology | -         | -       | -       | -            | -21,721  | -23,590  |
| 80.05 Business Programs                         | 247.2     | -       | -       | 41,387       | -        | -        |
| 80.10 Elections                                 | 32.6      | -       | -       | 33,467       | -        | -        |

\* Dollars in thousands, except in Salary Range.

**0890 Secretary of State - Continued**

|                                                          | Positions    |              |              | Expenditures    |                  |                  |
|----------------------------------------------------------|--------------|--------------|--------------|-----------------|------------------|------------------|
|                                                          | 2006-07      | 2007-08      | 2008-09      | 2006-07*        | 2007-08*         | 2008-09*         |
| 80.15 Political Reform                                   | 21.5         | -            | -            | 3,376           | -                | -                |
| 80.30 Archives                                           | 25.7         | -            | -            | 12,165          | -                | -                |
| 82.10 Executive Administration                           | 23.2         | -            | -            | 3,812           | -                | -                |
| 82.20 Distributed Administration Executive               | -            | -            | -            | -3,812          | -                | -                |
| 85.10 Management Services Administration                 | 54.7         | -            | -            | 8,291           | -                | -                |
| 85.20 Distributed Administration Management Services     | -            | -            | -            | -7,947          | -                | -                |
| 88.10 Information Technology Administration              | 31.5         | -            | -            | 7,122           | -                | -                |
| 88.20 Distributed Administration Information Technology  | -            | -            | -            | -7,122          | -                | -                |
| <b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b> | <b>436.4</b> | <b>463.8</b> | <b>464.2</b> | <b>\$90,739</b> | <b>\$212,578</b> | <b>\$125,593</b> |
| <b>FUNDING</b>                                           |              |              |              | <b>2006-07*</b> | <b>2007-08*</b>  | <b>2008-09*</b>  |
| 0001 General Fund                                        |              |              |              | \$31,893        | \$49,256         | \$35,052         |
| 0228 Secretary of State's Business Fees Fund             |              |              |              | 34,038          | 37,452           | 38,936           |
| 0890 Federal Trust Fund                                  |              |              |              | 11,390          | 116,896          | 42,588           |
| 0995 Reimbursements                                      |              |              |              | 13,394          | 7,347            | 7,339            |
| 3042 Victims of Corporate Fraud Compensation Fund        |              |              |              | 24              | 1,627            | 1,678            |
| <b>TOTALS, EXPENDITURES, ALL FUNDS</b>                   |              |              |              | <b>\$90,739</b> | <b>\$212,578</b> | <b>\$125,593</b> |

**LEGAL CITATIONS AND AUTHORITY****DEPARTMENT AUTHORITY**

The California Constitution, Article 5, Section 11, Government Code Sections 12159-12179.1.

**PROGRAM AUTHORITY****10-Filings and Registrations Program:**

Corporations Code, Titles 1-3; Government Code, Sections 1363, 6503.5, 6503.7, 6518, 7220, 7222, 7223, 7225, 7226, 7227, 7229, 8200, 8201, 8201.1, 8201.2, 8201.5, 8202.5, 8203.1-8203.4, 8204.1, 8205, 8206, 8207, 8207.1, 8207.2, 8207.3, 8213, 8213.6, 8214.1, 8214.15, 8214.4, 8214.5, 8214.8, 8219.5, 8220, 8222, 8228, 12160, 12163, 12168, 12168.5, 12168.7, 12176, 12177, 12178.1, 12179.1, 12180, 12182, 12182.1, 12183-12197, 23712-23714, 34459-34461, and 53051; Business and Professions Code, Sections 14230, 14233, 14240, 14241, 14427, 14461, 14482, 14483, 14492, 17550 et seq., 18896 et seq., 22370 et seq., 22443.1, 22908-22912, 22914, 22916, 22917, 22920, 22921, and 22923, ; Civil Code, Sections 912, 1363.6, 1789.10 et seq., 1812.50 et seq., 1812.80 et seq., 1812.100 et seq., 1812.503., 1812.510, 1812.515, 1812.525, 1812.600, and 3344.1; Commercial Code, Sections 9501, 9509, 9512-9516, 9518, 9519-9523, and 9525-9528; Code of Civil Procedure, Sections 488.375, 697.550, 697.570, 697.580, 697.640-697.670, 2101, and 2103-2105; Family Code, Section 297; Food and Agriculture Code, Sections 55702, 57405, 57408-57411, 57414, 57512, 57516-57517, 57519, 57530, 57531, and 57561 et seq.; Probate Code, Section 4800; Vehicle Code, Section 40305.5; California Code of Regulations, Title 2, Sections 20800 et seq., 21900 et seq., 22100 et seq., 22500 et seq., 22600 et seq., and 22610.1 et seq.; Government Code, Title 1, Division 7, Chapter 3.1; Family Code, Division 10, Part 1; and Penal Code, Title 15, Chapter 2.

**20-Elections:**

California Constitution, Article XVI, Section 1; California Elections Code, Section 10; California Government Code, Section 12172.5; Help America Vote Act of 2002 (Public Law 107-252) and other federal elections law; Government Code, Title 9.

**30-Archives:**

Chapter 1, Statutes of 1850; Government Code, Sections 6268, 9080, 9149.9, 11347.3, 12153, 12174, 12220-12237, 14755-14756, and 14765-14768; Civil Code, Sections 1798.24, and 1798.64; Evidence Code, Section 1605, and Military and Veterans Code, Section 1360.

**MAJOR PROGRAM CHANGES**

- **Parallel Monitoring** - The Governor's Budget includes \$101,000 General Fund for the Secretary of State (SOS) to implement the provisions of Chapter 501, Statutes of 2007 (AB 917). The legislation requires the SOS to conduct parallel monitoring in counties that use more than one direct recording electronic voting system for a statewide election. In fiscal year 2008-09, the SOS will use these funds to conduct monitoring in the two counties to which the new law applies.
- **Student Voter Registration** - The Governor's Budget includes \$167,000 General Fund for the SOS to implement the provisions of Chapter 481, Statutes of 2007 (SB 854). The legislation requires specified automated voter registration

\* Dollars in thousands, except in Salary Range.

## 0890 Secretary of State - Continued

options for students at California community colleges and California State Universities. These funds will cover the SOS's costs for additional student voter registration processing.

- Help America Vote Act - The Governor's Budget includes \$42.3 million federal funds to continue implementing the Help America Vote Act (HAVA). Of that amount, \$38.8 million is to implement the VoteCal voter database system. The remaining \$3.5 million is for other HAVA implementation activities including assistance for disabled voters, voter education, voting systems and certification, interim voting systems, and administration.

### BUDGET-BALANCING REDUCTIONS

- The Budget includes an unallocated General Fund reduction of \$3.5 million in 2008-09.

### DETAILED BUDGET ADJUSTMENTS

|                                                                                       | 2007-08*       |                  |              | 2008-09*         |                 |              |
|---------------------------------------------------------------------------------------|----------------|------------------|--------------|------------------|-----------------|--------------|
|                                                                                       | General Fund   | Other Funds      | Positions    | General Fund     | Other Funds     | Positions    |
| <b>Baseline Adjustment Descriptions</b>                                               |                |                  |              |                  |                 |              |
| • Election Security-Parallel Monitoring for Elections (Chapter 501, Statutes of 2007) | \$-            | \$-              | -            | \$101            | \$-             | -            |
| • Student Voter Registration (Chapter 481, Statutes of 2007)                          | -              | -                | -            | 167              | -               | -            |
| • Archival Planning and Record Management                                             | -              | -                | -            | 92               | -               | 0.9          |
| • VoteCal Database--Help America Vote Act                                             | -              | -4,811           | -            | -                | 38,778          | 9.5          |
| • Revised Help America Vote Act Spending Plan                                         | -              | -                | -            | -                | 3,533           | -            |
| • Price Increase                                                                      | -              | -                | -            | 499              | 600             | -            |
| • Data Center Rate Adjustment                                                         | 415            | -102             | -            | 415              | -102            | -            |
| • Employee Compensation Adjustments                                                   | 256            | 746              | -            | 296              | 816             | -            |
| • Pro Rata Adjustment                                                                 | -              | -                | -            | -                | 1,869           | -            |
| • SWCAP Adjustment                                                                    | -              | -                | -            | -                | 62              | -            |
| • Other Baseline Adjustments                                                          | -              | 111,340          | -            | -                | -               | -10.0        |
| • Transfer/Allocation from Political Reform Act (Provision 1 of Item 8640-001-0001)   | 782            | 8                | -            | -                | -               | -            |
| • Limited Term Positions                                                              | -              | -                | -13.5        | -                | -204            | -13.5        |
| • Retirement Rate Adjustment                                                          | -19            | -44              | -            | -19              | -44             | -            |
| • One Time Cost Reductions                                                            | -              | -                | -            | -14,321          | -10,952         | -            |
| <b>Totals, Baseline Adjustments</b>                                                   | <b>\$1,434</b> | <b>\$107,137</b> | <b>-13.5</b> | <b>-\$12,770</b> | <b>\$34,356</b> | <b>-13.1</b> |
| <b>TOTALS, BUDGET ADJUSTMENTS</b>                                                     | <b>\$1,434</b> | <b>\$107,137</b> | <b>-13.5</b> | <b>-\$12,770</b> | <b>\$34,356</b> | <b>-13.1</b> |
| <b>Other Adjustments <sup>11</sup></b>                                                |                |                  |              |                  |                 |              |
| • Budget-Balancing Reductions                                                         | -              | -                | -            | -3,505           | -               | -            |
| <b>REVISED TOTALS, BUDGET ADJUSTMENTS</b>                                             | <b>\$1,434</b> | <b>\$107,137</b> | <b>-13.5</b> | <b>-\$16,275</b> | <b>\$34,356</b> | <b>-13.1</b> |

<sup>11</sup> These dollars and PYs are included in the General Government agency, therefore not included in the other fiscal statements for this department. These totals are also not included in the applicable Summary Schedules for this department.

### PROGRAM DESCRIPTIONS (Program Objectives Statement)

#### 10 - FILINGS AND REGISTRATIONS PROGRAM

The Business Programs Division is the first stop for anyone wanting to do business in California. The California Business Portal provides online resources and services to businesses, connecting people with electronic versions of important documents and handbooks, searchable lists of registered businesses, a step-by-step guide to starting a business, and assistance for international businesses wanting to operate in California.

The Business Entities Section files articles of incorporation, foreign qualifications, and related documents to ensure that corporations and other business entities are properly formed, merged, amended, and dissolved in compliance with California law.

The Uniform Commercial Code Section provides for the filing of documents that allow lending institutions to verify the existence of collateral prior to making loans and to establish a priority scheme for property repossession in case of debtor default or bankruptcy.

\* Dollars in thousands, except in Salary Range.

## 0890 Secretary of State - Continued

The Notary Public and Special Filings Section appoints and commissions eligible notaries public. A notary public is a public official who performs services to the legal, business, financial and real estate communities by certifying or witnessing signatures on official documents. Special Filings include trademarks and service marks as well as bonds that certain business entities are required to file, joint power agreements, city and county charters and claims for successor-in-interest. This Section also has disciplinary functions with regard to notaries public and immigration consultants.

The Safe at Home Program provides public records address protection, name change confidentiality, and confidential voter registration for more than 2,000 survivors of domestic violence and stalking and their family members, and providers, employees, volunteers, and patients of reproductive health care facilities.

The Advance Health Care Directive (AHCD) Registry maintains AHCDs, which allow a person to indicate to their medical treatment preferences if they cannot speak or make decisions for themselves. The AHCD also may designate someone else to make decisions regarding medical treatment. AHCDs and related information can be provided upon request to the registrant's health care provider, public guardian or legal representative.

The Domestic Partners Registry registers same-sex couples regardless of the age of the partners, and opposite-sex couples in which one partner is at least 62 years old, as domestic partners in California when they file a Declaration of Domestic Partnership.

The Victims of Corporate Fraud Compensation Fund provides restitution to victims of corporate fraud who are unable to collect through other means on a judgment against a corporation for corporate fraud.

### 20 - ELECTIONS

The Secretary of State, as California's chief elections officer, has broad responsibility to administer the election process. This program oversees the modernization of voting equipment and the improvement of current electoral database systems, enhancing the voting processes, providing accessibility to voters, working to increase people's knowledge of the electoral system, and establishing a procedure to allow voters to file and have complaints resolved about voting-related issues.

This program includes the campaign and lobbying registration and disclosure provisions of the Political Reform Act of 1974. Under this law, the Office registers all levels of campaign committees, and reviews for compliance with statutory requirements all state-level campaign recipient, major donor, slate mailer, political party, and independent expenditure committees. The program also registers lobbyists, lobbying firms and lobbyist employers, reviews the periodic financial disclosure reports filed by lobbying entities, and publishes a Lobbying Directory both on paper and on the Internet. This program also supports the electronic filing requirements of the Political Reform Act and posts relevant registration and disclosure information to the Office's web site so voters can track where campaign money is raised and spent and what lobbying activity is occurring in state government. A searchable database of campaign and lobbying financial activity is also provided through the web site.

### 30 - ARCHIVES

The California State Archives acquires, indexes, preserves, and provides reference access to irreplaceable historic materials from the three branches of state government. The Archives acquires records that are legally required to be placed there, that possess legal, administrative or evidentiary value, or that have long-term historical significance. Materials of durable value are transferred to the Archives for long-term preservation.

### 50 - ADMINISTRATION AND TECHNOLOGY

This program develops and manages overall departmental policy. It serves as the liaison to other state agencies, the Legislature, the federal government, and other states' Secretaries of State. This program responds to constituent correspondence and requests from the public and advocates in the Legislature, administers the Secretary's role as ex officio member of the World Trade Commission and as a member of the National Association of Secretaries of State, in the management of task forces and advisory committees, in the implementation of new federal and state statutory requirements, and coordinates and disseminates information about the Office and its initiatives to the public. It also provides the Office with the necessary personnel, general administrative, budgeting, fiscal services, and information technology expertise to plan, develop, implement, and operate innovative and effective business and information systems solutions.

#### DETAILED EXPENDITURES BY PROGRAM (Program Budget Detail)

|           |                                              | 2006-07*   | 2007-08*        | 2008-09*        |
|-----------|----------------------------------------------|------------|-----------------|-----------------|
|           | <b>PROGRAM REQUIREMENTS</b>                  |            |                 |                 |
| <b>10</b> | <b>FILINGS AND REGISTRATIONS PROGRAM</b>     |            |                 |                 |
|           | <b>State Operations:</b>                     |            |                 |                 |
| 0001      | General Fund                                 | \$-        | \$3,813         | \$1,072         |
| 0228      | Secretary of State's Business Fees Fund      | -          | 32,470          | 38,936          |
| 0995      | Reimbursements                               | -          | 7,339           | 7,339           |
| 3042      | Victims of Corporate Fraud Compensation Fund | -          | 1,627           | 1,678           |
|           | <b>Totals, State Operations</b>              | <b>\$-</b> | <b>\$45,249</b> | <b>\$49,025</b> |
|           | <b>PROGRAM REQUIREMENTS</b>                  |            |                 |                 |

\* Dollars in thousands, except in Salary Range.

**0890 Secretary of State - Continued**

|                                |                                              | <u>2006-07*</u> | <u>2007-08*</u>  | <u>2008-09*</u>  |
|--------------------------------|----------------------------------------------|-----------------|------------------|------------------|
| <b>20 ELECTIONS</b>            |                                              |                 |                  |                  |
|                                | <b>State Operations:</b>                     |                 |                  |                  |
| 0001                           | General Fund                                 | \$-             | \$34,324         | \$23,481         |
| 0228                           | Secretary of State's Business Fees Fund      | -               | 3,281            | -                |
| 0890                           | Federal Trust Fund                           | -               | 116,896          | 41,674           |
| 0995                           | Reimbursements                               | -               | 8                | -                |
|                                | <b>Totals, State Operations</b>              | <b>\$-</b>      | <b>\$154,509</b> | <b>\$65,155</b>  |
|                                | <b>Local Assistance:</b>                     |                 |                  |                  |
| 0890                           | Federal Trust Fund                           | \$-             | \$-              | \$914            |
|                                | <b>Totals, Local Assistance</b>              | <b>\$-</b>      | <b>\$-</b>       | <b>\$914</b>     |
|                                | <b>PROGRAM REQUIREMENTS</b>                  |                 |                  |                  |
| <b>30 ARCHIVES</b>             |                                              |                 |                  |                  |
|                                | <b>State Operations:</b>                     |                 |                  |                  |
| 0001                           | General Fund                                 | \$-             | \$11,119         | \$10,499         |
| 0228                           | Secretary of State's Business Fees Fund      | -               | 1,701            | -                |
|                                | <b>Totals, State Operations</b>              | <b>\$-</b>      | <b>\$12,820</b>  | <b>\$10,499</b>  |
|                                | <b>TOTALS, EXPENDITURES</b>                  |                 |                  |                  |
|                                | State Operations                             | -               | 212,578          | 124,679          |
|                                | <b>Totals, Expenditures</b>                  | <b>\$-</b>      | <b>\$212,578</b> | <b>\$124,679</b> |
|                                | <b>PROGRAM REQUIREMENTS</b>                  |                 |                  |                  |
| <b>80.05 BUSINESS PROGRAMS</b> |                                              |                 |                  |                  |
|                                | <b>State Operations:</b>                     |                 |                  |                  |
| 0228                           | Secretary of State's Business Fees Fund      | \$34,038        | \$-              | \$-              |
| 0995                           | Reimbursements                               | 7,325           | -                | -                |
| 3042                           | Victims of Corporate Fraud Compensation Fund | 24              | -                | -                |
|                                | <b>Totals, State Operations</b>              | <b>\$41,387</b> | <b>\$-</b>       | <b>\$-</b>       |
|                                | <b>ELEMENT REQUIREMENTS</b>                  |                 |                  |                  |
| 80.05                          | 10 - Business Programs                       | 28,285          | -                | -                |
| 80.05                          | 15 - Executive Distribution                  | 2,514           | -                | -                |
| 80.05                          | 20 - Management Services Distribution        | 5,512           | -                | -                |
| 80.05                          | 30 - Information Technology Distribution     | 5,076           | -                | -                |
|                                | <b>PROGRAM REQUIREMENTS</b>                  |                 |                  |                  |
| <b>80.10 ELECTIONS</b>         |                                              |                 |                  |                  |
|                                | <b>State Operations:</b>                     |                 |                  |                  |
| 0001                           | General Fund                                 | \$16,030        | \$-              | \$-              |
| 0890                           | Federal Trust Fund                           | 11,390          | -                | -                |
| 0995                           | Reimbursements                               | 6,047           | -                | -                |
|                                | <b>Totals, State Operations</b>              | <b>\$33,467</b> | <b>\$-</b>       | <b>\$-</b>       |
|                                | <b>ELEMENT REQUIREMENTS</b>                  |                 |                  |                  |
| 80.10                          | 10 - Election - General                      | 15,935          | -                | -                |
| 80.10                          | 20 - Ballot Pamphlet Printing                | 8,037           | -                | -                |
| 80.10                          | 30 - Voter Registration Card Printing        | 5,018           | -                | -                |
| 80.10                          | 40 - Ballot Pamphlet Mailing                 | 1,337           | -                | -                |
| 80.10                          | 50- Voter Registration Card Mailing          | 1,031           | -                | -                |
| 80.10                          | 51 - Election Night Reporting                | 156             | -                | -                |
| 80.10                          | 55 - Executive Distribution                  | 394             | -                | -                |
| 80.10                          | 60 - Management Services Distribution        | 822             | -                | -                |
| 80.10                          | 70 - Information Technology Distribution     | 737             | -                | -                |
|                                | <b>PROGRAM REQUIREMENTS</b>                  |                 |                  |                  |

\* Dollars in thousands, except in Salary Range.

**0890 Secretary of State - Continued**

|                                                |  | <u>2006-07*</u> | <u>2007-08*</u>  | <u>2008-09*</u>  |
|------------------------------------------------|--|-----------------|------------------|------------------|
| <b>80.15 POLITICAL REFORM</b>                  |  |                 |                  |                  |
| <b>State Operations:</b>                       |  |                 |                  |                  |
| 0001 General Fund                              |  | \$3,368         | \$-              | \$-              |
| 0995 Reimbursements                            |  | <u>8</u>        | <u>-</u>         | <u>-</u>         |
| <b>Totals, State Operations</b>                |  | <b>\$3,376</b>  | <b>\$-</b>       | <b>\$-</b>       |
| <b>ELEMENT REQUIREMENTS</b>                    |  |                 |                  |                  |
| 80.15 10 - Political Reform                    |  | 2,351           | -                | -                |
| 80.15 15 - Executive Distribution              |  | 210             | -                | -                |
| 80.15 20 - Management Services Distribution    |  | 431             | -                | -                |
| 80.15 30 - Information Technology Distribution |  | 384             | -                | -                |
| <b>PROGRAM REQUIREMENTS</b>                    |  |                 |                  |                  |
| <b>80.30 ARCHIVES</b>                          |  |                 |                  |                  |
| <b>State Operations:</b>                       |  |                 |                  |                  |
| 0001 General Fund                              |  | <u>\$12,165</u> | <u>\$-</u>       | <u>\$-</u>       |
| <b>Totals, State Operations</b>                |  | <b>\$12,165</b> | <b>\$-</b>       | <b>\$-</b>       |
| <b>ELEMENT REQUIREMENTS</b>                    |  |                 |                  |                  |
| 80.30 10 - Archives                            |  | 9,364           | -                | -                |
| 80.30 18 - Executive Distribution              |  | 694             | -                | -                |
| 80.30 20 - Management Services Distribution    |  | 1,182           | -                | -                |
| 80.30 30 - Information Technology Distribution |  | 925             | -                | -                |
| <b>PROGRAM REQUIREMENTS</b>                    |  |                 |                  |                  |
| <b>82 EXECUTIVE ADMINISTRATION</b>             |  |                 |                  |                  |
| <b>ELEMENT REQUIREMENTS</b>                    |  |                 |                  |                  |
| 82.10 Executive                                |  | 3,812           | -                | -                |
| 82.20 Executive Distribution                   |  | -3,812          | -                | -                |
| <b>PROGRAM REQUIREMENTS</b>                    |  |                 |                  |                  |
| <b>85 MANAGEMENT SERVICES</b>                  |  |                 |                  |                  |
| <b>State Operations:</b>                       |  |                 |                  |                  |
| 0001 General Fund                              |  | \$330           | \$-              | \$-              |
| 0995 Reimbursements                            |  | <u>14</u>       | <u>-</u>         | <u>-</u>         |
| <b>Totals, State Operations</b>                |  | <b>\$344</b>    | <b>\$-</b>       | <b>\$-</b>       |
| <b>ELEMENT REQUIREMENTS</b>                    |  |                 |                  |                  |
| 85.10 Management Services                      |  | 8,291           | -                | -                |
| 85.20 Management Services Distribution         |  | -7,947          | -                | -                |
| <b>PROGRAM REQUIREMENTS</b>                    |  |                 |                  |                  |
| <b>88 INFORMATION TECHNOLOGY</b>               |  |                 |                  |                  |
| <b>ELEMENT REQUIREMENTS</b>                    |  |                 |                  |                  |
| 88.10 Information Technology                   |  | 7,122           | -                | -                |
| 88.20 Information Technology Distribution      |  | -7,122          | -                | -                |
| <b>TOTALS, EXPENDITURES</b>                    |  |                 |                  |                  |
| State Operations                               |  | 90,739          | 212,578          | 124,679          |
| Local Assistance                               |  | <u>-</u>        | <u>-</u>         | <u>914</u>       |
| <b>Totals, Expenditures</b>                    |  | <b>\$90,739</b> | <b>\$212,578</b> | <b>\$125,593</b> |

**EXPENDITURES BY CATEGORY (Summary By Object)**

|                   | <b>1 State Operations</b> |                |                | <b>Expenditures</b> |                 |                 |
|-------------------|---------------------------|----------------|----------------|---------------------|-----------------|-----------------|
|                   | <u>2006-07</u>            | <u>2007-08</u> | <u>2008-09</u> | <u>2006-07*</u>     | <u>2007-08*</u> | <u>2008-09*</u> |
| PERSONAL SERVICES |                           |                |                |                     |                 |                 |

\* Dollars in thousands, except in Salary Range.

**0890 Secretary of State - Continued**

| 1 State Operations                                                      | Positions    |              |              | Expenditures    |                  |                  |
|-------------------------------------------------------------------------|--------------|--------------|--------------|-----------------|------------------|------------------|
|                                                                         | 2006-07      | 2007-08      | 2008-09      | 2006-07*        | 2007-08*         | 2008-09*         |
| Authorized Positions (Equals Sch. 7A)                                   | 436.4        | 498.0        | 494.0        | \$22,889        | \$24,586         | \$24,902         |
| Total Adjustments                                                       | -            | -            | 11.0         | -               | 2,166            | 2,129            |
| Estimated Salary Savings                                                | -            | -34.2        | -40.8        | -               | -1,685           | -2,365           |
| <b>Net Totals, Salaries and Wages</b>                                   | <b>436.4</b> | <b>463.8</b> | <b>464.2</b> | <b>22,889</b>   | <b>25,067</b>    | <b>24,666</b>    |
| Staff Benefits                                                          | -            | -            | -            | 9,003           | 8,998            | 9,077            |
| <b>Totals, Personal Services</b>                                        | <b>436.4</b> | <b>463.8</b> | <b>464.2</b> | <b>31,892</b>   | <b>34,065</b>    | <b>33,743</b>    |
| OPERATING EXPENSES AND EQUIPMENT                                        |              |              |              | \$30,861        | \$155,143        | \$78,422         |
| SPECIAL ITEMS OF EXPENSE                                                |              |              |              |                 |                  |                  |
| Parallel Monitoring                                                     |              |              |              | \$-             | \$-              | \$95             |
| Printing ballot pamphlets                                               |              |              |              | 8,037           | 11,087           | 5,181            |
| Mailing ballot pamphlets                                                |              |              |              | 5,018           | 7,866            | 4,363            |
| Printing registration cards                                             |              |              |              | 1,337           | 1,762            | 1,610            |
| Mailing registration cards                                              |              |              |              | 1,031           | 2,255            | 1,060            |
| Election night reporting                                                |              |              |              | 156             | 400              | 205              |
| <b>Totals, Special Items of Expense</b>                                 |              |              |              | <b>\$15,579</b> | <b>\$23,370</b>  | <b>\$12,514</b>  |
| LEASE REVENUE BOND                                                      |              |              |              |                 |                  |                  |
| Base Rental Fee                                                         |              |              |              | \$12,358        | \$-              | \$-              |
| Structural Insurance                                                    |              |              |              | 49              | -                | -                |
| <b>Totals, Lease Revenue Bond</b>                                       |              |              |              | <b>\$12,407</b> | <b>\$-</b>       | <b>\$-</b>       |
| <b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b> |              |              |              | <b>\$90,739</b> | <b>\$212,578</b> | <b>\$124,679</b> |
| 2 Local Assistance                                                      |              |              |              | Expenditures    |                  |                  |
|                                                                         |              |              |              | 2006-07*        | 2007-08*         | 2008-09*         |
| P.L. 107-252 - Help America Vote Act of 2002                            |              |              |              | \$-             | \$-              | \$914            |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>               |              |              |              | <b>\$-</b>      | <b>\$-</b>       | <b>\$914</b>     |

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS (Reconciliation with Appropriations)**

| 1 STATE OPERATIONS                                      | 2006-07*        | 2007-08*        | 2008-09*        |
|---------------------------------------------------------|-----------------|-----------------|-----------------|
| <b>0001 General Fund</b>                                |                 |                 |                 |
| APPROPRIATIONS                                          |                 |                 |                 |
| 001 Budget Act appropriation                            | \$22,603        | \$47,822        | \$35,052        |
| Allocation for employee compensation                    | 393             | 256             | -               |
| Allocation for contingencies or emergencies             | 536             | -               | -               |
| Deficiency from special appropriations bill             | 5,583           | -               | -               |
| Adjustment per Section 3.60                             | 50              | -19             | -               |
| Adjustment per Section 15.25                            | -               | 415             | -               |
| Transfer from Item 8640-001-0001 (Political Reform Act) | 782             | 782             | -               |
| Transfer from Item 3790-001-0001 per Provision 6        | 563             | -               | -               |
| 003 Budget Act appropriation                            | 9,432           | -               | -               |
| Adjustment per Section 4.30 (Lease-Revenue)             | -3              | -               | -               |
| <b>Totals Available</b>                                 | <b>\$39,939</b> | <b>\$49,256</b> | <b>\$35,052</b> |
| Unexpended balance, estimated savings                   | -8,046          | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                             | <b>\$31,893</b> | <b>\$49,256</b> | <b>\$35,052</b> |
| <b>0228 Secretary of State's Business Fees Fund</b>     |                 |                 |                 |
| APPROPRIATIONS                                          |                 |                 |                 |
| 001 Budget Act appropriation                            | \$32,317        | \$36,854        | \$38,936        |
| Allocation for employee compensation                    | 1,334           | 743             | -               |

\* Dollars in thousands, except in Salary Range.

**0890 Secretary of State - Continued**

| <b>1 STATE OPERATIONS</b>                                                               | <b>2006-07*</b> | <b>2007-08*</b>  | <b>2008-09*</b>  |
|-----------------------------------------------------------------------------------------|-----------------|------------------|------------------|
| Adjustment per Section 3.60                                                             | 151             | -43              | -                |
| Adjustment per Section 15.25                                                            | -               | -102             | -                |
| 003 Budget Act appropriation                                                            | <u>2,978</u>    | <u>-</u>         | <u>-</u>         |
| <b>Totals Available</b>                                                                 | <b>\$36,780</b> | <b>\$37,452</b>  | <b>\$38,936</b>  |
| Unexpended balance, estimated savings                                                   | <u>-2,742</u>   | <u>-</u>         | <u>-</u>         |
| <b>TOTALS, EXPENDITURES</b>                                                             | <b>\$34,038</b> | <b>\$37,452</b>  | <b>\$38,936</b>  |
| <b>0890 Federal Trust Fund</b>                                                          |                 |                  |                  |
| APPROPRIATIONS                                                                          |                 |                  |                  |
| 001 Budget Act appropriation                                                            | \$6,297         | \$10,365         | \$41,674         |
| Allocation for employee compensation                                                    | 4               | 3                | -                |
| Adjustment per Section 3.60                                                             | 2               | -1               | -                |
| Revised expenditure authority per Provision 5 of Item 0890-001-0890, Budget Act of 2007 | -               | 111,340          | -                |
| Budget Adjustment                                                                       | <u>5,087</u>    | <u>-4,811</u>    | <u>-</u>         |
| <b>TOTALS, EXPENDITURES</b>                                                             | <b>\$11,390</b> | <b>\$116,896</b> | <b>\$41,674</b>  |
| <b>0995 Reimbursements</b>                                                              |                 |                  |                  |
| APPROPRIATIONS                                                                          |                 |                  |                  |
| Reimbursements                                                                          | \$13,394        | \$7,347          | \$7,339          |
| <b>3042 Victims of Corporate Fraud Compensation Fund</b>                                |                 |                  |                  |
| APPROPRIATIONS                                                                          |                 |                  |                  |
| 001 Budget Act appropriation                                                            | <u>\$1,624</u>  | <u>\$1,627</u>   | <u>\$1,678</u>   |
| <b>Totals Available</b>                                                                 | <b>\$1,624</b>  | <b>\$1,627</b>   | <b>\$1,678</b>   |
| Unexpended balance, estimated savings                                                   | <u>-1,600</u>   | <u>-</u>         | <u>-</u>         |
| <b>TOTALS, EXPENDITURES</b>                                                             | <b>\$24</b>     | <b>\$1,627</b>   | <b>\$1,678</b>   |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>                               | <b>\$90,739</b> | <b>\$212,578</b> | <b>\$124,679</b> |
| <b>2 LOCAL ASSISTANCE</b>                                                               |                 |                  |                  |
| <b>0890 Federal Trust Fund</b>                                                          |                 |                  |                  |
| APPROPRIATIONS                                                                          |                 |                  |                  |
| 101 Budget Act appropriation                                                            | <u>\$-</u>      | <u>\$-</u>       | <u>\$914</u>     |
| <b>TOTALS, EXPENDITURES</b>                                                             | <b>\$-</b>      | <b>\$-</b>       | <b>\$914</b>     |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>                               | <b>\$-</b>      | <b>\$-</b>       | <b>\$914</b>     |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)</b>          | <b>\$90,739</b> | <b>\$212,578</b> | <b>\$125,593</b> |

**FUND CONDITION STATEMENTS**

|                                                                  | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|------------------------------------------------------------------|-----------------|-----------------|-----------------|
| <b>0228 Secretary of State's Business Fees Fund <sup>s</sup></b> |                 |                 |                 |
| BEGINNING BALANCE                                                | \$6             | \$1             | -               |
| Prior year adjustments                                           | <u>1,972</u>    | <u>-</u>        | <u>-</u>        |
| Adjusted Beginning Balance                                       | \$1,978         | \$1             | -               |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS                       |                 |                 |                 |
| Revenues:                                                        |                 |                 |                 |
| 124100 Domestic Corporation Fees                                 | 10,947          | 11,040          | \$11,540        |
| 124200 Foreign Corporation Fees                                  | 1,086           | 1,095           | 1,095           |
| 124300 Notary Public License Fees                                | 1,869           | 1,832           | 1,832           |
| 124400 Filing Financing Statements                               | 3,337           | 2,804           | 2,804           |
| 125600 Other Regulatory Fees                                     | 6,357           | 6,318           | 6,318           |
| 142000 General Fees--Secretary of State                          | 26,518          | 26,864          | 28,760          |
| 150300 Income From Surplus Money Investments                     | 503             | 510             | 510             |
| 161400 Miscellaneous Revenue                                     | 45              | 45              | 45              |

\* Dollars in thousands, except in Salary Range.

**0890 Secretary of State - Continued**

|                                                                       | 2006-07* | 2007-08* | 2008-09* |
|-----------------------------------------------------------------------|----------|----------|----------|
| Transfers and Other Adjustments:                                      |          |          |          |
| TO0001 To General Fund per Government Code Section 12176              | -18,595  | -13,003  | -13,937  |
| Total Revenues, Transfers, and Other Adjustments                      | \$32,067 | \$37,505 | \$38,967 |
| Total Resources                                                       | \$34,045 | \$37,506 | \$38,967 |
| <b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>                       |          |          |          |
| Expenditures:                                                         |          |          |          |
| 0840 State Controller (State Operations)                              | 6        | 54       | 31       |
| 0890 Secretary of State (State Operations)                            | 34,038   | 37,452   | 38,936   |
| Total Expenditures and Expenditure Adjustments                        | \$34,044 | \$37,506 | \$38,967 |
| <b>FUND BALANCE</b>                                                   | \$1      | -        | -        |
| Reserve for economic uncertainties                                    | 1        | -        | -        |
| <b>3042 Victims of Corporate Fraud Compensation Fund <sup>s</sup></b> |          |          |          |
| BEGINNING BALANCE                                                     | \$5,684  | \$7,735  | \$8,193  |
| <b>REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS</b>                     |          |          |          |
| Revenues:                                                             |          |          |          |
| 124100 Domestic Corporation Fees                                      | 1,750    | 1,755    | 1,755    |
| 150300 Income From Surplus Money Investments                          | 325      | 330      | 330      |
| Total Revenues, Transfers, and Other Adjustments                      | \$2,075  | \$2,085  | \$2,085  |
| Total Resources                                                       | \$7,759  | \$9,820  | \$10,278 |
| <b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>                       |          |          |          |
| Expenditures:                                                         |          |          |          |
| 0890 Secretary of State (State Operations)                            | 24       | 1,627    | 1,678    |
| Total Expenditures and Expenditure Adjustments                        | \$24     | \$1,627  | \$1,678  |
| <b>FUND BALANCE</b>                                                   | \$7,735  | \$8,193  | \$8,600  |
| Reserve for economic uncertainties                                    | 7,735    | 8,193    | 8,600    |

**CHANGES IN AUTHORIZED POSITIONS**

|                                       | Positions |         |         | Expenditures        |          |          |
|---------------------------------------|-----------|---------|---------|---------------------|----------|----------|
|                                       | 2006-07   | 2007-08 | 2008-09 | 2006-07*            | 2007-08* | 2008-09* |
| Totals, Authorized Positions          | 436.4     | 498.0   | 494.0   | \$22,889            | \$24,586 | \$24,902 |
| Salary Adjustments                    | -         | -       | -       | -                   | 2,166    | 1,857    |
| <b>Proposed New Positions:</b>        |           |         |         | <b>Salary Range</b> |          |          |
| Archivist II                          | -         | -       | 1.0     | 4,435-5,388         | -        | 59       |
| Associate Gov't'l Program Analyst     | -         | -       | 1.0     | 4,400-5,348         | -        | 42       |
| Staff Services Analyst                | -         | -       | 4.0     | 2,817-4,446         | -        | 97       |
| Program Technician I                  | -         | -       | 5.0     | 2,280-2,975         | -        | 74       |
| <b>Totals, Proposed New Positions</b> | -         | -       | 11.0    | \$-                 | \$-      | \$272    |
| <b>Total Adjustments</b>              | -         | -       | 11.0    | \$-                 | \$2,166  | \$2,129  |
| <b>TOTALS, SALARIES AND WAGES</b>     | 436.4     | 498.0   | 505.0   | \$22,889            | \$26,752 | \$27,031 |

**0950 State Treasurer**

The State Treasurer, a constitutionally established office, provides banking services for state government with goals to minimize interest and service costs and to maximize yield on investments. The Treasurer is responsible for the custody of all monies and securities belonging to or held in trust by the state; investment of temporarily idle state monies; administration of the sale of state bonds, their redemption and interest payments; and payment of warrants drawn by the State Controller and other state agencies.

**3-YR EXPENDITURES AND POSITIONS (Summary of Program Requirements)**

\* Dollars in thousands, except in Salary Range.

## 0950 State Treasurer - Continued

|                                                          | Positions    |              |              | Expenditures    |                 |                 |
|----------------------------------------------------------|--------------|--------------|--------------|-----------------|-----------------|-----------------|
|                                                          | 2006-07      | 2007-08      | 2008-09      | 2006-07*        | 2007-08*        | 2008-09*        |
| 10 Investment Services                                   | 17.1         | 16.2         | 17.1         | \$2,752         | \$2,939         | \$3,071         |
| 20 Cash Management                                       | 49.5         | 49.7         | 54.4         | 7,813           | 8,349           | 8,847           |
| 30 Public Finance                                        | 40.8         | 52.3         | 52.3         | 5,702           | 7,323           | 7,655           |
| 35 Securities Management                                 | 19.6         | 26.3         | 26.3         | 3,951           | 4,708           | 4,746           |
| 50.01 Administration and Information Services            | 78.6         | 84.0         | 89.2         | 10,432          | 11,186          | 11,916          |
| 50.02 Distributed Administration                         | -            | -            | -            | -8,251          | -8,603          | -8,679          |
| <b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b> | <b>205.6</b> | <b>228.5</b> | <b>239.3</b> | <b>\$22,399</b> | <b>\$25,902</b> | <b>\$27,556</b> |
| <b>FUNDING</b>                                           |              |              |              | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
| 0001 General Fund                                        |              |              |              | \$3,804         | \$6,993         | \$7,154         |
| 0995 Reimbursements                                      |              |              |              | 18,595          | 18,909          | 20,402          |
| <b>TOTALS, EXPENDITURES, ALL FUNDS</b>                   |              |              |              | <b>\$22,399</b> | <b>\$25,902</b> | <b>\$27,556</b> |

## LEGAL CITATIONS AND AUTHORITY

## DEPARTMENT AUTHORITY

California Constitution Article XVI, Sections 1.5 and 7; and Government Code Sections 12300-12333, 16300-16600, 53661.

## BUDGET-BALANCING REDUCTIONS

- A reduction of \$715,000 in 2008-09 for the State Treasurer's Office. This is an unallocated reduction and the implications will not be known until the Treasurer allocates the reduction to programs. The Administration expects the reduction will be allocated in a manner that minimizes any adverse impact on program, services, or General Fund revenues.

## DETAILED BUDGET ADJUSTMENTS

|                                                                  | 2007-08*     |              |            | 2008-09*      |                |             |
|------------------------------------------------------------------|--------------|--------------|------------|---------------|----------------|-------------|
|                                                                  | General Fund | Other Funds  | Positions  | General Fund  | Other Funds    | Positions   |
| <b>Baseline Adjustment Descriptions</b>                          |              |              |            |               |                |             |
| • Cash Management Division Workload Increase                     | \$-          | \$-          | -          | \$81          | \$345          | 4.7         |
| • Price Increase                                                 | -            | -            | -          | 53            | 86             | -           |
| • Pooled Money Investment Account Loan Program Workload Increase | -            | -            | -          | -             | 277            | -           |
| • Information Technology Division Workload Increase              | -            | -            | -          | -             | 269            | 2.4         |
| • Investment Division Workload Increase                          | -            | -            | -          | -             | 108            | 0.9         |
| • Other Baseline Adjustments                                     | 222          | 597          | 1.9        | 249           | 386            | -           |
| <b>Totals, Baseline Adjustments</b>                              | <b>\$222</b> | <b>\$597</b> | <b>1.9</b> | <b>\$383</b>  | <b>\$1,471</b> | <b>8.0</b>  |
| <b>Policy Adjustment Descriptions</b>                            |              |              |            |               |                |             |
| • Financial Information Systems for California (FI\$Cal)         | \$-          | \$-          | -          | \$-           | \$619          | 4.7         |
| <b>Totals, Policy Adjustments</b>                                | <b>\$-</b>   | <b>\$-</b>   | <b>-</b>   | <b>\$-</b>    | <b>\$619</b>   | <b>4.7</b>  |
| <b>TOTALS, BUDGET ADJUSTMENTS</b>                                | <b>\$222</b> | <b>\$597</b> | <b>1.9</b> | <b>\$383</b>  | <b>\$2,090</b> | <b>12.7</b> |
| <b>Other Adjustments<sup>11</sup></b>                            |              |              |            |               |                |             |
| • Budget-Balancing Reductions                                    | -            | -            | -          | -715          | -              | -           |
| <b>REVISED TOTALS, BUDGET ADJUSTMENTS</b>                        | <b>\$222</b> | <b>\$597</b> | <b>1.9</b> | <b>-\$332</b> | <b>\$2,090</b> | <b>12.7</b> |

<sup>11</sup> These dollars and PYs are included in the General Government agency, therefore not included in the other fiscal statements for this department. These totals are also not included in the applicable Summary Schedules for this department.

## PROGRAM DESCRIPTIONS (Program Objectives Statement)

## 10 - INVESTMENT SERVICES

\* Dollars in thousands, except in Salary Range.

## 0950 State Treasurer - Continued

The Investment Division is responsible for investment of state monies from the date of receipt through the date of redemption. During the 2006-07 fiscal year, this Division handled 11,100 security investment transactions totaling \$403.0 billion. The Pooled Money Investment Board program accounted for 7,246 of these transactions totaling \$344.7 billion; time deposits accounted for 2,202 transactions totaling \$50.3 billion. The remaining \$8.0 billion is invested on behalf of the state's special funds, such as those associated with the California Housing Finance Agency, the Department of Fish and Game, the state's retirement system, etc. The Division also administers the Local Agency Investment Fund (LAIF), a voluntary investment program created to offer California local agencies greater access to the financial markets through the Pooled Money Investment Board program. In the 2006-07 fiscal year, 2,609 local agencies participated in LAIF, with deposits averaging \$17.2 billion for the fiscal year.

### 20 - CASH MANAGEMENT

The Cash Management Division is responsible for managing the state's cash resources as mandated by the Pooled Money Investment Board and Government Code Sections 16500 through 16510. The Division is also responsible for administering the Centralized Treasury System (CTS), which encompasses the Treasurer's demand bank accounts. The State Treasurer maintains demand bank accounts with seven statewide banks for the purpose of providing the necessary depository coverage for the remittance of funds collected by various state agencies.

The goal of the CTS is to have all idle State money invested each day. This goal is accomplished by: (1) completing daily, weekly and monthly forecasts of agency revenue collections and disbursements and analyzing their impact on the Treasurer's Pooled Money Investment Portfolio; (2) maintaining balances with each demand account bank to compensate the banks for banking services and to meet warrant redemption requirements, and (3) using compensating balances to allow for the variances in cash flow that are a natural consequence when forecasting the movement of cash

The Division is also responsible for processing, redeeming, and reconciling state warrants and agency checks presented by the banks for payment, processing stop payment and forgery items, and reconciling all of the state's deposits within the Treasury System. The Division also administers the Electronic Fund Transfer (EFT) and Pre-Sort Deposit Contracts, both of which involve the collection of over \$100 billion in state revenue.

In addition, the Division is responsible for maintaining the state's vault which is used for the safekeeping of moneys and securities pursuant to Government Code Section 12320 and for providing security in the handling and processing of billions of dollars in negotiable securities.

### 30 - PUBLIC FINANCE

The Public Finance Division is responsible for selling all State of California general obligation bonds, revenue anticipation notes, commercial paper notes, revenue bonds, and any other indebtedness including securitization of assets. The Division also provides trust services for most state bonds. Interim financing from the Pooled Money Investment Account is also arranged to meet the immediate cash needs of the various bond programs. Interest costs are minimized through a planned bond-marketing program. This program includes disseminating information to bondholders through the Investor Relations Program.

The Division also assures compliance with federal tax laws applicable to state debt by investing and reinvesting bond sale proceeds as needed to meet federal yield restriction requirements, tracking expenditures, and computing and rebating arbitrage profit or other payments to the federal government.

### 35 - SECURITIES MANAGEMENT

The Securities Management Division consists of the Securities Clearance Section and the Debt and Data Management Section.

The Securities Clearance Section is responsible for the clearance, settlement, income collection, and accountability of all securities purchased or sold for investment by the State Treasurer; pledged to the State Treasurer to secure the performance of an act or duty; and held as collateral for the Treasurer's Time and Demand Deposit Programs. Securities are held in outside depositories as well as the State Vault.

The Debt and Data Management Section is responsible for safekeeping personal property and other items in the State Vault at the request of State Agencies; monitoring and managing the State's fiscal agent accounts; debt service payments on Book-Entry bonds to the Trust Depository and certificated bonds to the Fiscal Agent bank; as well as the payments for Revenue Anticipation Notes (RAN's) and Warrants (RAW's). The Section performs accountability and reconciliation on Debt Service Reporting, Un-presented Accountability and Cash Accountability.

### 50 - ADMINISTRATION AND INFORMATION SERVICES

The Administration Division, Executive Office, and the Information Services Division provide executive direction and support services to programs in the State Treasurer's Office. Services include budgeting, personnel, accounting, information systems, business services, technical support, and production operations.

## DETAILED EXPENDITURES BY PROGRAM (Program Budget Detail)

|                               | 2006-07* | 2007-08* | 2008-09* |
|-------------------------------|----------|----------|----------|
| <b>PROGRAM REQUIREMENTS</b>   |          |          |          |
| <b>10 INVESTMENT SERVICES</b> |          |          |          |

\* Dollars in thousands, except in Salary Range.

## 0950 State Treasurer - Continued

|                             |                                                | 2006-07*        | 2007-08*        | 2008-09*        |
|-----------------------------|------------------------------------------------|-----------------|-----------------|-----------------|
| <b>State Operations:</b>    |                                                |                 |                 |                 |
| 0001                        | General Fund                                   | \$524           | \$656           | \$678           |
| 0995                        | Reimbursements                                 | <u>2,228</u>    | <u>2,283</u>    | <u>2,393</u>    |
|                             | <b>Totals, State Operations</b>                | <b>\$2,752</b>  | <b>\$2,939</b>  | <b>\$3,071</b>  |
| <b>PROGRAM REQUIREMENTS</b> |                                                |                 |                 |                 |
| <b>20</b>                   | <b>CASH MANAGEMENT</b>                         |                 |                 |                 |
| <b>State Operations:</b>    |                                                |                 |                 |                 |
| 0001                        | General Fund                                   | \$852           | \$2,675         | \$2,779         |
| 0995                        | Reimbursements                                 | <u>6,961</u>    | <u>5,674</u>    | <u>6,068</u>    |
|                             | <b>Totals, State Operations</b>                | <b>\$7,813</b>  | <b>\$8,349</b>  | <b>\$8,847</b>  |
| <b>PROGRAM REQUIREMENTS</b> |                                                |                 |                 |                 |
| <b>30</b>                   | <b>PUBLIC FINANCE</b>                          |                 |                 |                 |
| <b>State Operations:</b>    |                                                |                 |                 |                 |
| 0001                        | General Fund                                   | \$924           | \$1,803         | \$1,831         |
| 0995                        | Reimbursements                                 | <u>4,778</u>    | <u>5,520</u>    | <u>5,824</u>    |
|                             | <b>Totals, State Operations</b>                | <b>\$5,702</b>  | <b>\$7,323</b>  | <b>\$7,655</b>  |
| <b>PROGRAM REQUIREMENTS</b> |                                                |                 |                 |                 |
| <b>35</b>                   | <b>SECURITIES MANAGEMENT</b>                   |                 |                 |                 |
| <b>State Operations:</b>    |                                                |                 |                 |                 |
| 0001                        | General Fund                                   | \$1,363         | \$1,757         | \$1,764         |
| 0995                        | Reimbursements                                 | <u>2,588</u>    | <u>2,951</u>    | <u>2,982</u>    |
|                             | <b>Totals, State Operations</b>                | <b>\$3,951</b>  | <b>\$4,708</b>  | <b>\$4,746</b>  |
| <b>PROGRAM REQUIREMENTS</b> |                                                |                 |                 |                 |
| <b>50</b>                   | <b>ADMINISTRATION AND INFORMATION SERVICES</b> |                 |                 |                 |
| <b>State Operations:</b>    |                                                |                 |                 |                 |
| 0001                        | General Fund                                   | \$141           | \$102           | \$102           |
| 0995                        | Reimbursements                                 | <u>2,040</u>    | <u>2,481</u>    | <u>3,135</u>    |
|                             | <b>Totals, State Operations</b>                | <b>\$2,181</b>  | <b>\$2,583</b>  | <b>\$3,237</b>  |
| <b>ELEMENT REQUIREMENTS</b> |                                                |                 |                 |                 |
| 50.01                       | Administration and Information Services        | 10,432          | 11,186          | 11,916          |
| 50.02                       | Distributed Administration                     | -8,251          | -8,603          | -8,679          |
| <b>TOTALS, EXPENDITURES</b> |                                                |                 |                 |                 |
|                             | State Operations                               | <u>22,399</u>   | <u>25,902</u>   | <u>27,556</u>   |
|                             | <b>Totals, Expenditures</b>                    | <b>\$22,399</b> | <b>\$25,902</b> | <b>\$27,556</b> |

## EXPENDITURES BY CATEGORY (Summary By Object)

| 1 State Operations                                                      | Positions    |              |              | Expenditures    |                 |                 |
|-------------------------------------------------------------------------|--------------|--------------|--------------|-----------------|-----------------|-----------------|
|                                                                         | 2006-07      | 2007-08      | 2008-09      | 2006-07*        | 2007-08*        | 2008-09*        |
| <b>PERSONAL SERVICES</b>                                                |              |              |              |                 |                 |                 |
| Authorized Positions (Equals Sch. 7A)                                   | 205.6        | 238.3        | 238.3        | \$12,509        | \$14,340        | \$14,689        |
| Total Adjustments                                                       | -            | 2.0          | 13.5         | -               | 707             | 1,480           |
| Estimated Salary Savings                                                | <u>-</u>     | <u>-11.8</u> | <u>-12.5</u> | <u>-</u>        | <u>-727</u>     | <u>-782</u>     |
| <b>Net Totals, Salaries and Wages</b>                                   | <b>205.6</b> | <b>228.5</b> | <b>239.3</b> | <b>\$12,509</b> | <b>\$14,320</b> | <b>\$15,387</b> |
| Staff Benefits                                                          | <u>-</u>     | <u>-</u>     | <u>-</u>     | <u>4,360</u>    | <u>5,775</u>    | <u>5,809</u>    |
| <b>Totals, Personal Services</b>                                        | <b>205.6</b> | <b>228.5</b> | <b>239.3</b> | <b>\$16,869</b> | <b>\$20,095</b> | <b>\$21,196</b> |
| <b>OPERATING EXPENSES AND EQUIPMENT</b>                                 |              |              |              |                 |                 |                 |
|                                                                         |              |              |              | <u>\$5,530</u>  | <u>\$5,807</u>  | <u>\$6,360</u>  |
| <b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b> |              |              |              | <b>\$22,399</b> | <b>\$25,902</b> | <b>\$27,556</b> |

\* Dollars in thousands, except in Salary Range.

## 0950 State Treasurer - Continued

## DETAIL OF APPROPRIATIONS AND ADJUSTMENTS (Reconciliation with Appropriations)

| 1 STATE OPERATIONS                                        | 2006-07*        | 2007-08*        | 2008-09*        |
|-----------------------------------------------------------|-----------------|-----------------|-----------------|
| <b>0001 General Fund</b>                                  |                 |                 |                 |
| APPROPRIATIONS                                            |                 |                 |                 |
| 001 Budget Act appropriation                              | \$6,132         | \$6,771         | \$7,154         |
| Allocation for employee compensation                      | 371             | 250             | -               |
| Adjustment per Section 3.60                               | 39              | -17             | -               |
| Adjustment per Section 15.25                              | -               | -10             | -               |
| Transfer to Legislative Claims (9670)                     | -               | -1              | -               |
| <b>Totals Available</b>                                   | <b>\$6,542</b>  | <b>\$6,993</b>  | <b>\$7,154</b>  |
| Unexpended balance, estimated savings                     | -2,738          | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                               | <b>\$3,804</b>  | <b>\$6,993</b>  | <b>\$7,154</b>  |
| <b>0995 Reimbursements</b>                                |                 |                 |                 |
| APPROPRIATIONS                                            |                 |                 |                 |
| Reimbursements                                            | \$18,595        | \$18,909        | \$20,402        |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b> | <b>\$22,399</b> | <b>\$25,902</b> | <b>\$27,556</b> |

## FUND CONDITION STATEMENTS

|                                                                                                        | 2006-07*    | 2007-08*    | 2008-09*    |
|--------------------------------------------------------------------------------------------------------|-------------|-------------|-------------|
| <b>3059 Fiscal Recovery Fund <sup>s</sup></b>                                                          |             |             |             |
| BEGINNING BALANCE                                                                                      | \$612,196   | \$637,907   | \$637,907   |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS                                                             |             |             |             |
| Revenues:                                                                                              |             |             |             |
| 115100 Retail Sales and Use Tax-Fiscal Recovery                                                        | 1,411,392   | 1,443,000   | 1,522,000   |
| 150300 Income From Surplus Money Investments                                                           | 9,906       | -           | -           |
| Total Revenues, Transfers, and Other Adjustments                                                       | \$1,421,298 | \$1,443,000 | \$1,522,000 |
| Total Resources                                                                                        | \$2,033,494 | \$2,080,907 | \$2,159,907 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS                                                               |             |             |             |
| Expenditures:                                                                                          |             |             |             |
| 9618 Economic Recovery Financing Committee                                                             |             |             |             |
| State Operations                                                                                       | 10,708      | 14,430      | 15,220      |
| Unclassified                                                                                           | 1,384,879   | 1,428,570   | 1,506,780   |
| Total Expenditures and Expenditure Adjustments                                                         | \$1,395,587 | \$1,443,000 | \$1,522,000 |
| FUND BALANCE                                                                                           | \$637,907   | \$637,907   | \$637,907   |
| Reserve for economic uncertainties                                                                     | 637,907     | 637,907     | 637,907     |
| <b>3090 Deficit Recovery Bond Retirement Sinking Fund Subaccount, Budget</b>                           |             |             |             |
| <b>Stabilization Account <sup>s</sup></b>                                                              |             |             |             |
| BEGINNING BALANCE                                                                                      | \$12,038    | \$1,267     | \$1,267     |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS                                                             |             |             |             |
| Revenues:                                                                                              |             |             |             |
| 150300 Income From Surplus Money Investments                                                           | 6,780       | -           | -           |
| 160400 Sale of Fixed Assets                                                                            | 1,450       | 31,683      | 44,484      |
| Transfers and Other Adjustments:                                                                       |             |             |             |
| FO1011 From Budget Stabilization Account per Article XVI, Section 20(f) of the California Constitution | 471,770     | 1,022,621   | -           |
| Total Revenues, Transfers, and Other Adjustments                                                       | \$480,000   | \$1,054,304 | \$44,484    |
| Total Resources                                                                                        | \$492,038   | \$1,055,571 | \$45,751    |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS                                                               |             |             |             |

\* Dollars in thousands, except in Salary Range.

**0950 State Treasurer - Continued**

|                                                           | 2006-07*  | 2007-08*    | 2008-09* |
|-----------------------------------------------------------|-----------|-------------|----------|
| Expenditures:                                             |           |             |          |
| 9618 Economic Recovery Financing Committee (Unclassified) | 490,771   | 1,054,304   | 44,484   |
| Total Expenditures and Expenditure Adjustments            | \$490,771 | \$1,054,304 | \$44,484 |
| FUND BALANCE                                              | \$1,267   | \$1,267     | \$1,267  |
| Reserve for economic uncertainties                        | 1,267     | 1,267       | 1,267    |

**CHANGES IN AUTHORIZED POSITIONS**

|                                       | Positions    |              |              | Expenditures        |                 |                 |
|---------------------------------------|--------------|--------------|--------------|---------------------|-----------------|-----------------|
|                                       | 2006-07      | 2007-08      | 2008-09      | 2006-07*            | 2007-08*        | 2008-09*        |
| Totals, Authorized Positions          | 205.6        | 238.3        | 238.3        | \$12,509            | \$14,340        | \$14,689        |
| Salary Adjustments                    | -            | -            | -            | -                   | 534             | 547             |
| <b>Proposed New Positions:</b>        |              |              |              | <b>Salary Range</b> |                 |                 |
| Investment Division:                  |              |              |              |                     |                 |                 |
| Treasury Program Manager I            | -            | -            | 1.0          | 5,079-6,127         | -               | 67              |
| Information Technology Division:      |              |              |              |                     |                 |                 |
| Staff Programmer Analyst              | -            | -            | 1.0          | 5,065-6,466         | -               | 69              |
| Systems Software Specialist I         | -            | -            | 0.5          | 5,063-6,465         | -               | 36              |
| Associate Info Systems Analyst        | -            | -            | 1.0          | 4,619-5,897         | -               | 63              |
| Cash Management Division:             |              |              |              |                     |                 |                 |
| Treasury Program Manager I            | -            | -            | 2.0          | 5,079-6,127         | -               | 134             |
| Program Technician II-Supervisory     | -            | -            | 1.0          | 2,953-3,590         | -               | 39              |
| Program Technician II                 | -            | -            | 1.0          | 2,638-3,209         | -               | 35              |
| Program Technician I                  | -            | -            | 1.0          | 2,280-2,975         | -               | 32              |
| FI\$Cal Project:                      |              |              |              |                     |                 |                 |
| C.E.A. II                             | -            | -            | 1.0          | 7,815-8,616         | -               | 107             |
| Treasury Program Manager III          | -            | 1.0          | 1.0          | 7,118-7,848         | 91              | 98              |
| Treasury Program Manager II           | -            | 1.0          | 2.0          | 5,857-7,064         | 82              | 170             |
| Senior Programmer Analyst-Specialist  | -            | -            | 1.0          | 5,571-7,109         | -               | 83              |
| <b>Totals, Proposed New Positions</b> | <b>-</b>     | <b>2.0</b>   | <b>13.5</b>  | <b>\$-</b>          | <b>\$173</b>    | <b>\$933</b>    |
| <b>Total Adjustments</b>              | <b>-</b>     | <b>2.0</b>   | <b>13.5</b>  | <b>\$-</b>          | <b>\$707</b>    | <b>\$1,480</b>  |
| <b>TOTALS, SALARIES AND WAGES</b>     | <b>205.6</b> | <b>240.3</b> | <b>251.8</b> | <b>\$12,509</b>     | <b>\$15,047</b> | <b>\$16,169</b> |

**0954 Scholarshare Investment Board**

The ScholarShare Investment Board is the administrator for the Golden State ScholarShare College Savings Trust Program (ScholarShare), the Governor's Scholarship Program (GSP) and the California Memorial Scholarship Program (CMS). These programs encourage California citizens to pursue higher education by making education more affordable. ScholarShare encourages families to save for higher education costs, while GSP granted scholarships to students for use at eligible higher education institutions. CMS provides scholarships to surviving dependents of California residents killed in the terrorist attacks on September 11, 2001.

**3-YR EXPENDITURES AND POSITIONS (Summary of Program Requirements)**

|                                                          | Positions  |            |            | Expenditures    |                 |                 |
|----------------------------------------------------------|------------|------------|------------|-----------------|-----------------|-----------------|
|                                                          | 2006-07    | 2007-08    | 2008-09    | 2006-07*        | 2007-08*        | 2008-09*        |
| 10 Golden State Scholarshare Trust Program               | 3.5        | 6.0        | 6.0        | \$830           | \$1,345         | \$1,369         |
| 20 Governor's Scholarship Program                        | 1.4        | 1.0        | 1.0        | 963             | 1,028           | 1,052           |
| <b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b> | <b>4.9</b> | <b>7.0</b> | <b>7.0</b> | <b>\$1,793</b>  | <b>\$2,373</b>  | <b>\$2,421</b>  |
| <b>FUNDING</b>                                           |            |            |            | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
| 0001 General Fund                                        |            |            |            | \$963           | \$1,028         | \$1,052         |
| 0564 Scholarshare Administrative Fund                    |            |            |            | 830             | 1,345           | 1,369           |

\* Dollars in thousands, except in Salary Range.

**0954 Scholarshare Investment Board - Continued**

| <b>FUNDING</b>                         | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|----------------------------------------|-----------------|-----------------|-----------------|
| <b>TOTALS, EXPENDITURES, ALL FUNDS</b> | <b>\$1,793</b>  | <b>\$2,373</b>  | <b>\$2,421</b>  |

**LEGAL CITATIONS AND AUTHORITY****PROGRAM AUTHORITY**

10-Golden State ScholarShare College Savings Trust Program:

Education Code Sections 69980 to 69994.

20-Governor's Scholarship Program:

Education Code Sections 69999.6 to 69999.8.

30-California Memorial Scholarship Program:

Education Code Sections 70010 to 70011.9, and Vehicle Code Section 5066.

**BUDGET-BALANCING REDUCTIONS**

- The Budget includes a General Fund reduction of \$105,000 in 2008-09 for the Governor's Scholarship Program.

**DETAILED BUDGET ADJUSTMENTS**

|                                                                   | <b>2007-08*</b>     |                    |                  | <b>2008-09*</b>     |                    |                  |
|-------------------------------------------------------------------|---------------------|--------------------|------------------|---------------------|--------------------|------------------|
|                                                                   | <b>General Fund</b> | <b>Other Funds</b> | <b>Positions</b> | <b>General Fund</b> | <b>Other Funds</b> | <b>Positions</b> |
| <b>Baseline Adjustment Descriptions</b>                           |                     |                    |                  |                     |                    |                  |
| • Price Increase                                                  | \$-                 | \$-                | -                | \$24                | \$19               | -                |
| • Salary Adjustment                                               | 1                   | 11                 | -                | 1                   | 12                 | -                |
| • Employee Benefits Adjustment                                    | 1                   | 4                  | -                | 1                   | 5                  | -                |
| • Increase Funding for Pro Rata                                   | -                   | -                  | -                | -                   | 3                  | -                |
| • Retirement Cost Adjustment per Section 3.60                     | -                   | -1                 | -                | -                   | -1                 | -                |
| • Reduce Current Year Funding for Price Increase per Section 4.04 | -22                 | -                  | -                | -22                 | -                  | -                |
| <b>Totals, Baseline Adjustments</b>                               | <b>-\$20</b>        | <b>\$14</b>        | <b>-</b>         | <b>\$4</b>          | <b>\$38</b>        | <b>-</b>         |
| <b>TOTALS, BUDGET ADJUSTMENTS</b>                                 | <b>-\$20</b>        | <b>\$14</b>        | <b>-</b>         | <b>\$4</b>          | <b>\$38</b>        | <b>-</b>         |
| <b>Other Adjustments <sup>11</sup></b>                            |                     |                    |                  |                     |                    |                  |
| • Budget-Balancing Reductions                                     | -                   | -                  | -                | -105                | -                  | -                |
| <b>REVISED TOTALS, BUDGET ADJUSTMENTS</b>                         | <b>-\$20</b>        | <b>\$14</b>        | <b>-</b>         | <b>-\$101</b>       | <b>\$38</b>        | <b>-</b>         |

<sup>11</sup> These dollars and PYs are included in the General Government agency, therefore not included in the other fiscal statements for this department. These totals are also not included in the applicable Summary Schedules for this department.

**PROGRAM DESCRIPTIONS (Program Objectives Statement)****10 - GOLDEN STATE SCHOLARSHARE COLLEGE SAVINGS TRUST PROGRAM**

The Golden State ScholarShare College Savings Trust Program is a state-sponsored college savings program set up for the purpose of helping families save for higher education costs. Funds placed in the trust receive tax benefits and are used for qualified higher education expenses, such as tuition, fees, supplies, books, and certain room and board expenses.

**20 - GOVERNOR'S SCHOLARSHIP PROGRAM**

The Governor's Scholarship Program (GSP) rewarded public school students who demonstrated high academic achievement with scholarships. Students received a \$1,000 Governor's Scholars Award if they had earned scores on certain standardized tests in the years 2000, 2001 or 2002 that placed them: (1) in the top five percent of public school students statewide in their grade, or (2) in the top ten percent of students within their comprehensive public school in their grade. Students could also have earned a supplemental \$2,500 Governor's Distinguished Mathematics and Science Scholars Award when they attained specific scores on particular Advanced Placement or International Baccalaureate exams administered before December 31, 2002. GSP was made inoperative and repealed in fiscal year 2002-03; however, the ScholarShare Investment Board continues to administer 2000, 2001 and 2002 awards.

\* Dollars in thousands, except in Salary Range.

## 0954 Scholarshare Investment Board - Continued

### 30 - CALIFORNIA MEMORIAL SCHOLARSHIP PROGRAM

The California Memorial Scholarship Program provides scholarships to surviving dependents of California residents killed as a result of the terrorist attacks on September 11, 2001. These scholarships are used to defray higher education costs and are funded by California vehicle owners who purchase a special California memorial license plate.

#### DETAILED EXPENDITURES BY PROGRAM (Program Budget Detail)

|           |                                                | 2006-07*       | 2007-08*       | 2008-09*       |
|-----------|------------------------------------------------|----------------|----------------|----------------|
|           | <b>PROGRAM REQUIREMENTS</b>                    |                |                |                |
| <b>10</b> | <b>GOLDEN STATE SCHOLARSHARE TRUST PROGRAM</b> |                |                |                |
|           | <b>State Operations:</b>                       |                |                |                |
| 0564      | Scholarshare Administrative Fund               | \$830          | \$1,345        | \$1,369        |
|           | <b>Totals, State Operations</b>                | <b>\$830</b>   | <b>\$1,345</b> | <b>\$1,369</b> |
|           | <b>PROGRAM REQUIREMENTS</b>                    |                |                |                |
| <b>20</b> | <b>GOVERNOR'S SCHOLARSHIP PROGRAM</b>          |                |                |                |
|           | <b>State Operations:</b>                       |                |                |                |
| 0001      | General Fund                                   | \$963          | \$1,028        | \$1,052        |
|           | <b>Totals, State Operations</b>                | <b>\$963</b>   | <b>\$1,028</b> | <b>\$1,052</b> |
|           | <b>TOTALS, EXPENDITURES</b>                    |                |                |                |
|           | State Operations                               | 1,793          | 2,373          | 2,421          |
|           | <b>Totals, Expenditures</b>                    | <b>\$1,793</b> | <b>\$2,373</b> | <b>\$2,421</b> |

#### EXPENDITURES BY CATEGORY (Summary By Object)

| 1 State Operations                            | Positions |         |         | Expenditures |          |          |
|-----------------------------------------------|-----------|---------|---------|--------------|----------|----------|
|                                               | 2006-07   | 2007-08 | 2008-09 | 2006-07*     | 2007-08* | 2008-09* |
| PERSONAL SERVICES                             |           |         |         |              |          |          |
| Authorized Positions (Equals Sch. 7A)         | 4.9       | 7.0     | 7.0     | \$314        | \$402    | \$389    |
| Total Adjustments                             | -         | -       | -       | -            | 12       | 13       |
| Net Totals, Salaries and Wages                | 4.9       | 7.0     | 7.0     | \$314        | \$414    | \$402    |
| Staff Benefits                                | -         | -       | -       | 126          | 132      | 135      |
| Totals, Personal Services                     | 4.9       | 7.0     | 7.0     | \$440        | \$546    | \$537    |
| OPERATING EXPENSES AND EQUIPMENT              |           |         |         | \$1,353      | \$1,827  | \$1,884  |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS |           |         |         | \$1,793      | \$2,373  | \$2,421  |
| (State Operations)                            |           |         |         |              |          |          |

#### DETAIL OF APPROPRIATIONS AND ADJUSTMENTS (Reconciliation with Appropriations)

| 1 STATE OPERATIONS                           | 2006-07*       | 2007-08*       | 2008-09*       |
|----------------------------------------------|----------------|----------------|----------------|
| <b>0001 General Fund</b>                     |                |                |                |
| APPROPRIATIONS                               |                |                |                |
| 001 Budget Act appropriation                 | \$1,124        | \$1,048        | \$1,052        |
| Allocation for employee compensation         | 11             | 2              | -              |
| Adjustment per Section 4.04                  | -              | -22            | -              |
| <b>Totals Available</b>                      | <b>\$1,135</b> | <b>\$1,028</b> | <b>\$1,052</b> |
| Unexpended balance, estimated savings        | -172           | -              | -              |
| <b>TOTALS, EXPENDITURES</b>                  | <b>\$963</b>   | <b>\$1,028</b> | <b>\$1,052</b> |
| <b>0564 Scholarshare Administrative Fund</b> |                |                |                |
| APPROPRIATIONS                               |                |                |                |
| 001 Budget Act appropriation                 | \$1,079        | \$1,331        | \$1,369        |

\* Dollars in thousands, except in Salary Range.

**0954 Scholarshare Investment Board - Continued**

| <b>1 STATE OPERATIONS</b>                                 | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|-----------------------------------------------------------|-----------------|-----------------|-----------------|
| Allocation for employee compensation                      | 12              | 15              | -               |
| Adjustment per Section 3.60                               | 3               | -1              | -               |
| <b>Totals Available</b>                                   | <b>\$1,094</b>  | <b>\$1,345</b>  | <b>\$1,369</b>  |
| Unexpended balance, estimated savings                     | -264            | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                               | <b>\$830</b>    | <b>\$1,345</b>  | <b>\$1,369</b>  |
| <b>3033 California Memorial Scholarship Fund</b>          |                 |                 |                 |
| APPROPRIATIONS                                            |                 |                 |                 |
| Prior year balances available:                            |                 |                 |                 |
| Chapter 677, Statutes of 2005                             | \$30            | \$30            | \$30            |
| <b>Totals Available</b>                                   | <b>\$30</b>     | <b>\$30</b>     | <b>\$30</b>     |
| Balance available in subsequent years                     | -30             | -30             | -30             |
| <b>TOTALS, EXPENDITURES</b>                               | <b>\$-</b>      | <b>\$-</b>      | <b>\$-</b>      |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b> | <b>\$1,793</b>  | <b>\$2,373</b>  | <b>\$2,421</b>  |

**FUND CONDITION STATEMENTS**

|                                                              | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|--------------------------------------------------------------|-----------------|-----------------|-----------------|
| <b>3033 California Memorial Scholarship Fund<sup>s</sup></b> |                 |                 |                 |
| BEGINNING BALANCE                                            | \$43            | \$43            | \$43            |
| FUND BALANCE                                                 | \$43            | \$43            | \$43            |
| Reserve for economic uncertainties                           | 43              | 43              | 43              |

**CHANGES IN AUTHORIZED POSITIONS**

|                                   | <b>Positions</b> |                |                | <b>Expenditures</b> |                 |                 |
|-----------------------------------|------------------|----------------|----------------|---------------------|-----------------|-----------------|
|                                   | <b>2006-07</b>   | <b>2007-08</b> | <b>2008-09</b> | <b>2006-07*</b>     | <b>2007-08*</b> | <b>2008-09*</b> |
| Totals, Authorized Positions      | 4.9              | 7.0            | 7.0            | \$314               | \$402           | \$389           |
| Salary Adjustments                | -                | -              | -              | -                   | 12              | 13              |
| <b>Total Adjustments</b>          | <b>-</b>         | <b>-</b>       | <b>-</b>       | <b>\$-</b>          | <b>\$12</b>     | <b>\$13</b>     |
| <b>TOTALS, SALARIES AND WAGES</b> | <b>4.9</b>       | <b>7.0</b>     | <b>7.0</b>     | <b>\$314</b>        | <b>\$414</b>    | <b>\$402</b>    |

**0956 California Debt and Investment Advisory Commission**

The mission of the California Debt and Investment Advisory Commission (CDIAC) is to promote and improve the practice of public finance in California by providing responsive and reliable information, education, and advice. CDIAC assists state and local governments by providing education and information related to the effective and efficient issuance, monitoring, and management of public debt and prudent and safe investment of public funds.

The Commission consists of nine members including the State Treasurer, who serves as chairperson; the Governor or, upon his designation, the Director of Finance; the State Controller; two local government finance officers appointed by the State Treasurer; two members of the Assembly appointed by the Speaker of the Assembly; and two members of the Senate appointed by the Senate Committee on Rules.

**3-YR EXPENDITURES AND POSITIONS (Summary of Program Requirements)**

|                                                              | <b>Positions</b> |                |                | <b>Expenditures</b> |                 |                 |
|--------------------------------------------------------------|------------------|----------------|----------------|---------------------|-----------------|-----------------|
|                                                              | <b>2006-07</b>   | <b>2007-08</b> | <b>2008-09</b> | <b>2006-07*</b>     | <b>2007-08*</b> | <b>2008-09*</b> |
| 10 California Debt and Investment Advisory Commission        | 13.0             | 14.0           | 17.0           | \$2,011             | \$2,321         | \$2,568         |
| <b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>     | <b>13.0</b>      | <b>14.0</b>    | <b>17.0</b>    | <b>\$2,011</b>      | <b>\$2,321</b>  | <b>\$2,568</b>  |
| <b>FUNDING</b>                                               |                  |                |                | <b>2006-07*</b>     | <b>2007-08*</b> | <b>2008-09*</b> |
| 0171 California Debt and Investment Advisory Commission Fund |                  |                |                | \$1,911             | \$2,221         | \$2,418         |
| 0995 Reimbursements                                          |                  |                |                | 100                 | 100             | 150             |
| <b>TOTALS, EXPENDITURES, ALL FUNDS</b>                       |                  |                |                | <b>\$2,011</b>      | <b>\$2,321</b>  | <b>\$2,568</b>  |

\* Dollars in thousands, except in Salary Range.

## 0956 California Debt and Investment Advisory Commission - Continued

### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

Government Code Sections 8855-8859.

### DETAILED BUDGET ADJUSTMENTS

|                                                                                                         | 2007-08*     |             |           | 2008-09*     |              |            |
|---------------------------------------------------------------------------------------------------------|--------------|-------------|-----------|--------------|--------------|------------|
|                                                                                                         | General Fund | Other Funds | Positions | General Fund | Other Funds  | Positions  |
| <b>Baseline Adjustment Descriptions</b>                                                                 |              |             |           |              |              |            |
| • Price Increase for CDIAC                                                                              | \$-          | \$-         | -         | \$-          | \$24         | -          |
| • Other Baseline Adjustments                                                                            | -            | 45          | -         | -            | 51           | -          |
| <b>Totals, Baseline Adjustments</b>                                                                     | <b>\$-</b>   | <b>\$45</b> | <b>-</b>  | <b>\$-</b>   | <b>\$75</b>  | <b>-</b>   |
| <b>Policy Adjustment Descriptions</b>                                                                   |              |             |           |              |              |            |
| • Technical Assistance Section Reorganization                                                           | \$-          | \$-         | -         | \$-          | \$167        | 2.0        |
| • Increase in Reimbursement Authority                                                                   | -            | -           | -         | -            | 50           | -          |
| • Staff Augmentation funded through redirection of OE and E - Editorial Technician and Graphic Designer | -            | -           | -         | -            | -            | 1.0        |
| <b>Totals, Policy Adjustments</b>                                                                       | <b>\$-</b>   | <b>\$-</b>  | <b>-</b>  | <b>\$-</b>   | <b>\$217</b> | <b>3.0</b> |
| <b>TOTALS, BUDGET ADJUSTMENTS</b>                                                                       | <b>\$-</b>   | <b>\$45</b> | <b>-</b>  | <b>\$-</b>   | <b>\$292</b> | <b>3.0</b> |

### PROGRAM DESCRIPTIONS (Program Objectives Statement)

#### 10 - CALIFORNIA DEBT AND INVESTMENT ADVISORY COMMISSION

CDIAC's primary objective is to enhance the knowledge of public officials with respect to the administration and issuance of public debt and the investment of public funds. CDIAC achieves this objective by performing functions in three principal areas, including:

- Data Collection and Analysis - CDIAC serves as a clearinghouse of debt issued by California public entities and nonprofit student loan corporations.
- Continuing Education - CDIAC provides educational seminars, workshops, and conferences to public officials on municipal debt and public fund investment topics. In addition, CDIAC provides technical assistance to public officials and taxpayers through direct interaction and public forums to disseminate relevant information.
- Policy Research - CDIAC undertakes original research on the issuance and administration of public debt and on the investment of public funds. Research results are published in the form of reports, issue briefs, or articles and are disseminated in printed and electronic forms.

### DETAILED EXPENDITURES BY PROGRAM (Program Budget Detail)

|                                                              |  | 2006-07*       | 2007-08*       | 2008-09*       |
|--------------------------------------------------------------|--|----------------|----------------|----------------|
| <b>PROGRAM REQUIREMENTS</b>                                  |  |                |                |                |
| <b>10 CALIFORNIA DEBT AND INVESTMENT ADVISORY COMMITTEE</b>  |  |                |                |                |
| <b>State Operations:</b>                                     |  |                |                |                |
| 0171 California Debt and Investment Advisory Commission Fund |  | \$1,911        | \$2,221        | \$2,418        |
| 0995 Reimbursements                                          |  | 100            | 100            | 150            |
| <b>Totals, State Operations</b>                              |  | <b>\$2,011</b> | <b>\$2,321</b> | <b>\$2,568</b> |
| <b>TOTALS, EXPENDITURES</b>                                  |  |                |                |                |
| State Operations                                             |  | 2,011          | 2,321          | 2,568          |
| <b>Totals, Expenditures</b>                                  |  | <b>\$2,011</b> | <b>\$2,321</b> | <b>\$2,568</b> |

### EXPENDITURES BY CATEGORY (Summary By Object)

\* Dollars in thousands, except in Salary Range.

**0956 California Debt and Investment Advisory Commission - Continued**

| 1 State Operations                                                      | Positions   |             |             | Expenditures   |                |                |
|-------------------------------------------------------------------------|-------------|-------------|-------------|----------------|----------------|----------------|
|                                                                         | 2006-07     | 2007-08     | 2008-09     | 2006-07*       | 2007-08*       | 2008-09*       |
| PERSONAL SERVICES                                                       |             |             |             |                |                |                |
| Authorized Positions (Equals Sch. 7A)                                   | 13.0        | 14.0        | 14.0        | \$851          | \$890          | \$903          |
| Total Adjustments                                                       | -           | -           | 3.0         | -              | 48             | 162            |
| <b>Net Totals, Salaries and Wages</b>                                   | <b>13.0</b> | <b>14.0</b> | <b>17.0</b> | <b>\$851</b>   | <b>\$938</b>   | <b>\$1,065</b> |
| Staff Benefits                                                          | -           | -           | -           | 272            | 326            | 351            |
| <b>Totals, Personal Services</b>                                        | <b>13.0</b> | <b>14.0</b> | <b>17.0</b> | <b>\$1,123</b> | <b>\$1,264</b> | <b>\$1,416</b> |
| OPERATING EXPENSES AND EQUIPMENT                                        |             |             |             | \$888          | \$1,057        | \$1,152        |
| <b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b> |             |             |             | <b>\$2,011</b> | <b>\$2,321</b> | <b>\$2,568</b> |

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS (Reconciliation with Appropriations)**

| 1 STATE OPERATIONS                                                  | 2006-07*       | 2007-08*       | 2008-09*       |
|---------------------------------------------------------------------|----------------|----------------|----------------|
| <b>0171 California Debt and Investment Advisory Commission Fund</b> |                |                |                |
| APPROPRIATIONS                                                      |                |                |                |
| 001 Budget Act appropriation                                        | \$2,088        | \$2,176        | \$2,418        |
| Allocation for employee compensation                                | 56             | 48             | -              |
| Adjustment per Section 3.60                                         | 9              | -3             | -              |
| <b>Totals Available</b>                                             | <b>\$2,153</b> | <b>\$2,221</b> | <b>\$2,418</b> |
| Unexpended balance, estimated savings                               | -242           | -              | -              |
| <b>TOTALS, EXPENDITURES</b>                                         | <b>\$1,911</b> | <b>\$2,221</b> | <b>\$2,418</b> |
| <b>0995 Reimbursements</b>                                          |                |                |                |
| APPROPRIATIONS                                                      |                |                |                |
| Reimbursements                                                      | \$100          | \$100          | \$150          |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>           | <b>\$2,011</b> | <b>\$2,321</b> | <b>\$2,568</b> |

**FUND CONDITION STATEMENTS**

|                                                                                 | 2006-07* | 2007-08* | 2008-09* |
|---------------------------------------------------------------------------------|----------|----------|----------|
| <b>0171 California Debt and Investment Advisory Commission Fund<sup>s</sup></b> |          |          |          |
| BEGINNING BALANCE                                                               | \$7,668  | \$8,492  | \$8,926  |
| Prior year adjustments                                                          | 80       | -        | -        |
| Adjusted Beginning Balance                                                      | \$7,748  | \$8,492  | \$8,926  |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS                                      |          |          |          |
| Revenues:                                                                       |          |          |          |
| 125600 Other Regulatory Fees                                                    | 2,269    | 2,269    | 2,269    |
| 150300 Income From Surplus Money Investments                                    | 387      | 387      | 387      |
| Total Revenues, Transfers, and Other Adjustments                                | \$2,656  | \$2,656  | \$2,656  |
| Total Resources                                                                 | \$10,404 | \$11,148 | \$11,582 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS                                        |          |          |          |
| Expenditures:                                                                   |          |          |          |
| 0840 State Controller (State Operations)                                        | 1        | 1        | 1        |
| 0956 California Debt and Investment Advisory Commission (State Operations)      | 1,911    | 2,221    | 2,418    |
| Total Expenditures and Expenditure Adjustments                                  | \$1,912  | \$2,222  | \$2,419  |
| FUND BALANCE                                                                    | \$8,492  | \$8,926  | \$9,163  |
| Reserve for economic uncertainties                                              | 8,492    | 8,926    | 9,163    |

**CHANGES IN AUTHORIZED POSITIONS**

\* Dollars in thousands, except in Salary Range.

## 0956 California Debt and Investment Advisory Commission - Continued

|                                     | Positions   |             |             | Expenditures        |              |                |
|-------------------------------------|-------------|-------------|-------------|---------------------|--------------|----------------|
|                                     | 2006-07     | 2007-08     | 2008-09     | 2006-07*            | 2007-08*     | 2008-09*       |
| Totals, Authorized Positions        | 13.0        | 14.0        | 14.0        | \$851               | \$890        | \$903          |
| Salary Adjustments                  | -           | -           | -           | -                   | 48           | 52             |
| <b>Proposed New Positions:</b>      |             |             |             | <b>Salary Range</b> |              |                |
| Data Collection Unit:               |             |             |             |                     |              |                |
| Staff Services Manager I            | -           | -           | 1.0         | 5,079-6,127         | -            | 67             |
| Associate Program Specialist        | -           | -           | 1.0         | 4,400-5,348         | -            | -              |
| Technical Assistance Section:       |             |             |             |                     |              |                |
| Graphic Designer                    | -           | -           | 1.0         | 3,227-3,922         | -            | 43             |
| <b>Total Proposed New Positions</b> | -           | -           | <b>3.0</b>  | <b>\$-</b>          | <b>\$-</b>   | <b>110</b>     |
| <b>Total Adjustments</b>            | -           | -           | <b>3.0</b>  | <b>\$-</b>          | <b>\$48</b>  | <b>\$162</b>   |
| <b>TOTALS, SALARIES AND WAGES</b>   | <b>13.0</b> | <b>14.0</b> | <b>17.0</b> | <b>\$851</b>        | <b>\$938</b> | <b>\$1,065</b> |

## 0959 California Debt Limit Allocation Committee

The California Debt Limit Allocation Committee's mission is to allocate tax-exempt private activity bond authority for the State of California. Private activity bonds may only be used by the private sector for projects and programs that provide a public benefit. The major public benefit in California is the creation of affordable housing.

The federal government limits the amount of tax-exempt private activity bond authority that can be issued in a state on an annual basis. The limit of bond authority in 2007 is calculated by multiplying the state population by \$85. California has the largest population, and thus has the largest debt (or tax-exempt bond) limit, which totaled over \$3.09 billion in 2007.

The Committee's allocation of tax-exempt bond authority results in the issuance of bonds by cities, counties, joint powers authorities, and state agencies. The bonds are purchased and used by the private sector and are not an obligation of the state or of the federal government.

The Committee administers six programs that are funded through the allocation and issuance of tax-exempt private activity bonds. Those programs are: (1) the Qualified Residential Rental Project Program, (2) the Single-Family Housing Program, (3) the Extra Credit Home Purchase Program, (4) the Industrial Development Bond Project Program, (5) the Exempt Facility Program, and (6) the Student Loan Program.

The Committee is comprised of the State Treasurer as Chairperson, the Governor, or upon his designation, the Director of Finance, and the State Controller. The Committee is funded on a fee-supported basis.

### 3-YR EXPENDITURES AND POSITIONS (Summary of Program Requirements)

|                                                          | Positions  |            |            | Expenditures    |                 |                 |
|----------------------------------------------------------|------------|------------|------------|-----------------|-----------------|-----------------|
|                                                          | 2006-07    | 2007-08    | 2008-09    | 2006-07*        | 2007-08*        | 2008-09*        |
| 10 California Debt Limit Allocation Committee            | 7.9        | 9.0        | 9.0        | \$958           | \$1,222         | \$1,238         |
| <b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b> | <b>7.9</b> | <b>9.0</b> | <b>9.0</b> | <b>\$958</b>    | <b>\$1,222</b>  | <b>\$1,238</b>  |
| <b>FUNDING</b>                                           |            |            |            | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
| 0169 California Debt Limit Allocation Committee Fund     |            |            |            | \$958           | \$1,222         | \$1,238         |
| <b>TOTALS, EXPENDITURES, ALL FUNDS</b>                   |            |            |            | <b>\$958</b>    | <b>\$1,222</b>  | <b>\$1,238</b>  |

### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

Government Code Section 8869.80 et seq.

### DETAILED BUDGET ADJUSTMENTS

| Baseline Adjustment Descriptions | 2007-08*     |             |           | 2008-09*     |             |           |
|----------------------------------|--------------|-------------|-----------|--------------|-------------|-----------|
|                                  | General Fund | Other Funds | Positions | General Fund | Other Funds | Positions |
| • Price Increase for CDLAC       | \$-          | \$-         | -         | \$-          | \$11        | -         |

\* Dollars in thousands, except in Salary Range.

**0959 California Debt Limit Allocation Committee - Continued**

|                                     | 2007-08*     |             |           | 2008-09*     |             |           |
|-------------------------------------|--------------|-------------|-----------|--------------|-------------|-----------|
|                                     | General Fund | Other Funds | Positions | General Fund | Other Funds | Positions |
| • Other Baseline Adjustments        | -            | 22          | -         | -            | 27          | -         |
| <b>Totals, Baseline Adjustments</b> | <b>\$-</b>   | <b>\$22</b> | <b>-</b>  | <b>\$-</b>   | <b>\$38</b> | <b>-</b>  |
| <b>TOTALS, BUDGET ADJUSTMENTS</b>   | <b>\$-</b>   | <b>\$22</b> | <b>-</b>  | <b>\$-</b>   | <b>\$38</b> | <b>-</b>  |

**PROGRAM DESCRIPTIONS (Program Objectives Statement)****10 - CALIFORNIA DEBT LIMIT ALLOCATION COMMITTEE****Qualified Residential Rental Project Program:**

State and local governmental agencies and joint powers authorities can issue tax-exempt housing revenue bonds. These bonds assist developers of multifamily rental housing units to acquire land and construct new units or purchase and rehabilitate existing units. The tax-exempt bonds lower the interest rate on a mortgage to be paid by the developers. The developers in turn produce affordable and market rate rental housing for low and very low-income households by reducing rental rates to these individuals and families. Projects that receive an award of bond authority have the right to apply for non-competitive four-percent tax credits.

**Single-Family Housing Program:**

State and local governmental agencies and joint powers authorities can issue tax-exempt mortgage revenue bonds (MRBs) or mortgage credit certificates (MCCs) to assist first-time homebuyers with purchasing homes. These agencies and authorities may issue MRBs, the proceeds of which back below-market interest rate mortgages. As an alternative to issuing MRBs, state and local governmental agencies and joint powers authorities may issue MCCs. Homebuyers use the MCCs to reduce their federal tax liability by applying the credit to their net tax due. Homebuyers may purchase single-family homes, either freestanding detached, condominiums or townhouses. Program participants must meet program income limits and must purchase a home that falls within the program's purchase price limitations.

**Extra Credit Home Purchase Program:**

State and local governmental agencies and joint powers authorities can issue MRBs or MCCs to assist teachers, principals and other eligible school staff with purchasing homes. These agencies and authorities may issue MRBs, the proceeds of which back below-market interest rate mortgages. As an alternative to issuing MRBs, state and local governmental agencies and joint powers authorities may issue MCCs. Homebuyers use the MCC to reduce their federal tax liability by applying the credit to their net tax due.

**Industrial Development Bond Project Program:**

Small-Issue Industrial Development Bonds (IDBs) are tax-exempt private activity bonds that are issued through state and local governmental agencies to assist manufacturing facilities finance capital expenditures. IDBs offer interest rate savings to small and midsize manufacturers in contrast to conventional loans. When used by manufacturers, IDBs serve to retain and create new jobs within their communities.

**Exempt Facility Program:**

Exempt Facility Bonds are tax-exempt private activity bonds that are issued by state and local governmental agencies to finance solid waste disposal and waste recycling facilities. The tax-exempt bonds provide facility owners with low-cost financing in the form of below-market interest rate loans. The interest rate savings enable the project owners to maintain lower customer rates or minimize customer rate increases, while at the same time assisting the communities they serve meet their mandated requirements to protect and enhance the environment.

**Student Loan Program:**

Student Loan Bonds are tax-exempt private activity bonds issued by authorized agencies for the purpose of either financing direct loans to college students and their parents or purchasing bundles of already-originated loans on the secondary market. When used for direct lending programs, tax-exempt bond allocation allows lenders to pass on interest rate savings to financially needy students via below-market interest rate loans. Financially needy students are borrowers for whom the cost to attend college exceeds their ability to pay, as determined by their school's financial aid office.

**DETAILED EXPENDITURES BY PROGRAM (Program Budget Detail)**

|                                                      |  | 2006-07*     | 2007-08*       | 2008-09*       |
|------------------------------------------------------|--|--------------|----------------|----------------|
| <b>PROGRAM REQUIREMENTS</b>                          |  |              |                |                |
| <b>10 CALIFORNIA DEBT LIMIT ALLOCATION COMMITTEE</b> |  |              |                |                |
| <b>State Operations:</b>                             |  |              |                |                |
| 0169 California Debt Limit Allocation Committee Fund |  | \$958        | \$1,222        | \$1,238        |
| <b>Totals, State Operations</b>                      |  | <b>\$958</b> | <b>\$1,222</b> | <b>\$1,238</b> |
| <b>TOTALS, EXPENDITURES</b>                          |  |              |                |                |
| State Operations                                     |  | 958          | 1,222          | 1,238          |
| <b>Totals, Expenditures</b>                          |  | <b>\$958</b> | <b>\$1,222</b> | <b>\$1,238</b> |

\* Dollars in thousands, except in Salary Range.

## 0959 California Debt Limit Allocation Committee - Continued

### EXPENDITURES BY CATEGORY (Summary By Object)

| 1 State Operations                                                      | Positions  |            |            | Expenditures |                |                |
|-------------------------------------------------------------------------|------------|------------|------------|--------------|----------------|----------------|
|                                                                         | 2006-07    | 2007-08    | 2008-09    | 2006-07*     | 2007-08*       | 2008-09*       |
| PERSONAL SERVICES                                                       |            |            |            |              |                |                |
| Authorized Positions (Equals Sch. 7A)                                   | 7.9        | 9.0        | 9.0        | \$473        | \$521          | \$538          |
| Total Adjustments                                                       | -          | -          | -          | -            | 24             | 26             |
| <b>Net Totals, Salaries and Wages</b>                                   | <b>7.9</b> | <b>9.0</b> | <b>9.0</b> | <b>\$473</b> | <b>\$545</b>   | <b>\$564</b>   |
| Staff Benefits                                                          | -          | -          | -          | 161          | 182            | 175            |
| <b>Totals, Personal Services</b>                                        | <b>7.9</b> | <b>9.0</b> | <b>9.0</b> | <b>\$634</b> | <b>\$727</b>   | <b>\$739</b>   |
| OPERATING EXPENSES AND EQUIPMENT                                        |            |            |            | \$324        | \$495          | \$499          |
| <b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b> |            |            |            | <b>\$958</b> | <b>\$1,222</b> | <b>\$1,238</b> |

### DETAIL OF APPROPRIATIONS AND ADJUSTMENTS (Reconciliation with Appropriations)

| 1 STATE OPERATIONS                                          | 2006-07*       | 2007-08*       | 2008-09*       |
|-------------------------------------------------------------|----------------|----------------|----------------|
| <b>0169 California Debt Limit Allocation Committee Fund</b> |                |                |                |
| APPROPRIATIONS                                              |                |                |                |
| 001 Budget Act appropriation                                | \$1,147        | \$1,200        | \$1,238        |
| Allocation for employee compensation                        | 34             | 24             | -              |
| Adjustment per Section 3.60                                 | 5              | -2             | -              |
| <b>Totals Available</b>                                     | <b>\$1,186</b> | <b>\$1,222</b> | <b>\$1,238</b> |
| Unexpended balance, estimated savings                       | -228           | -              | -              |
| <b>TOTALS, EXPENDITURES</b>                                 | <b>\$958</b>   | <b>\$1,222</b> | <b>\$1,238</b> |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>   | <b>\$958</b>   | <b>\$1,222</b> | <b>\$1,238</b> |

### FUND CONDITION STATEMENTS

|                                                                                    | 2006-07* | 2007-08* | 2008-09* |
|------------------------------------------------------------------------------------|----------|----------|----------|
| <b>0169 California Debt Limit Allocation Committee Fund<sup>s</sup></b>            |          |          |          |
| BEGINNING BALANCE                                                                  | \$1,058  | \$5,631  | \$6,608  |
| Prior year adjustments                                                             | -122     | -        | -        |
| Adjusted Beginning Balance                                                         | \$936    | \$5,631  | \$6,608  |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS                                         |          |          |          |
| Revenues:                                                                          |          |          |          |
| 125600 Other Regulatory Fees                                                       | 1,859    | 2,134    | 2,328    |
| 150300 Income From Surplus Money Investments                                       | 166      | 66       | 72       |
| 150500 Interest Income From Interfund Loans                                        | 129      | -        | -        |
| Transfers and Other Adjustments:                                                   |          |          |          |
| FO0001 From General Fund loan repayment per Item 0959-011-0169, Budget Act of 2004 | 3,500    | -        | -        |
| Total Revenues, Transfers, and Other Adjustments                                   | \$5,654  | \$2,200  | \$2,400  |
| Total Resources                                                                    | \$6,590  | \$7,831  | \$9,008  |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS                                           |          |          |          |
| Expenditures:                                                                      |          |          |          |
| 0840 State Controller (State Operations)                                           | 1        | 1        | 1        |
| 0959 California Debt Limit Allocation Committee (State Operations)                 | 958      | 1,222    | 1,238    |
| Total Expenditures and Expenditure Adjustments                                     | \$959    | \$1,223  | \$1,239  |
| FUND BALANCE                                                                       | \$5,631  | \$6,608  | \$7,769  |
| Reserve for economic uncertainties                                                 | 5,631    | 6,608    | 7,769    |

\* Dollars in thousands, except in Salary Range.

## 0959 California Debt Limit Allocation Committee - Continued

### CHANGES IN AUTHORIZED POSITIONS

|                                   | Positions  |            |            | Expenditures |              |              |
|-----------------------------------|------------|------------|------------|--------------|--------------|--------------|
|                                   | 2006-07    | 2007-08    | 2008-09    | 2006-07*     | 2007-08*     | 2008-09*     |
| Totals, Authorized Positions      | 7.9        | 9.0        | 9.0        | \$473        | \$521        | \$538        |
| Salary Adjustments                | -          | -          | -          | -            | 24           | 26           |
| <b>Total Adjustments</b>          | <b>-</b>   | <b>-</b>   | <b>-</b>   | <b>\$-</b>   | <b>\$24</b>  | <b>\$26</b>  |
| <b>TOTALS, SALARIES AND WAGES</b> | <b>7.9</b> | <b>9.0</b> | <b>9.0</b> | <b>\$473</b> | <b>\$545</b> | <b>\$564</b> |

## 0965 California Industrial Development Financing Advisory Commission

The California Industrial Development Financing Advisory Commission's (CIDFAC) creates employment opportunities and supports local economic development. CIDFAC meets this goal by approving local entities' issuance of Industrial Development Bonds (IDBs). The IDBs provide manufacturers with a low-cost financing option to build or expand their operations. CIDFAC independently reviews IDB applications to ensure compliance with federal and state statutes and approves the sale of IDBs by local authorities. Additionally, CIDFAC provides technical assistance to local issuers of IDBs, including cities, counties, industrial development authorities, redevelopment agencies, and joint powers authorities.

The State Treasurer serves as chairperson of the CIDFAC. The other members are the Director of the Department of Finance, the State Controller, the Secretary of the Business Transportation Housing Agency, and the Commissioner of the Department of Corporations.

### 3-YR EXPENDITURES AND POSITIONS (Summary of Program Requirements)

|                                                                    | Positions  |            |            | Expenditures    |                 |                 |
|--------------------------------------------------------------------|------------|------------|------------|-----------------|-----------------|-----------------|
|                                                                    | 2006-07    | 2007-08    | 2008-09    | 2006-07*        | 2007-08*        | 2008-09*        |
| 10 California Industrial Development Financing Advisory Commission | 0.5        | 1.0        | 1.0        | \$98            | \$335           | \$331           |
| <b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>           | <b>0.5</b> | <b>1.0</b> | <b>1.0</b> | <b>\$98</b>     | <b>\$335</b>    | <b>\$331</b>    |
| <b>FUNDING</b>                                                     |            |            |            | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
| 0215 Industrial Development Fund                                   |            |            |            | \$53            | \$260           | \$256           |
| 0995 Reimbursements                                                |            |            |            | 45              | 75              | 75              |
| <b>TOTALS, EXPENDITURES, ALL FUNDS</b>                             |            |            |            | <b>\$98</b>     | <b>\$335</b>    | <b>\$331</b>    |

### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

Government Code Sections 91500 to 91574, Insurance Code Section 1192.

### DETAILED BUDGET ADJUSTMENTS

|                                         | 2007-08*     |             |           | 2008-09*     |             |           |
|-----------------------------------------|--------------|-------------|-----------|--------------|-------------|-----------|
|                                         | General Fund | Other Funds | Positions | General Fund | Other Funds | Positions |
| <b>Baseline Adjustment Descriptions</b> |              |             |           |              |             |           |
| • Price Increase for CIDFAC             | \$-          | \$-         | -         | \$-          | \$4         | -         |
| • Other Baseline Adjustments            | -            | -           | -         | -            | -8          | -         |
| <b>Totals, Baseline Adjustments</b>     | <b>\$-</b>   | <b>\$-</b>  | <b>-</b>  | <b>\$-</b>   | <b>-\$4</b> | <b>-</b>  |
| <b>TOTALS, BUDGET ADJUSTMENTS</b>       | <b>\$-</b>   | <b>\$-</b>  | <b>-</b>  | <b>\$-</b>   | <b>-\$4</b> | <b>-</b>  |

### PROGRAM DESCRIPTIONS (Program Objectives Statement)

#### 10 - CALIFORNIA INDUSTRIAL DEVELOPMENT FINANCING ADVISORY COMMISSION

The core program area is the Industrial Development Bond (IDB) Program, under which CIDFAC, as required by state law, serves as the mandatory approval agency for local IDB issuers. Once potential borrowers (manufacturers and processing

\* Dollars in thousands, except in Salary Range.

## 0965 California Industrial Development Financing Advisory Commission - Continued

companies) apply through their local government agency for low cost tax-exempt financing, CIDFAC carefully reviews the public benefits generated by the proposed project, particularly those benefits associated with job creation, and determines whether these benefits will significantly outweigh any detrimental public effects. Eligible capital expenditures include the acquisition of land, building construction, building renovation, and the purchase of machinery and equipment. Bond proceeds also can be used to cover the cost of architects, engineers, attorneys, permits, and the cost of bond issuance. The local issuer can be a city, county, economic development authority, redevelopment agency, or a joint power authority.

Federal law authorizes the issuance of IDBs in local Empowerment Zone Bonds (EZ Bonds). CIDFAC's EZ Bond Program augments the benefits of the IDB program to further support economic development in California's most distressed communities. The EZ Bond Program expands the eligibility of borrowers to manufacturers, retailers, and any service that operates in an Empowerment Zone. The EZ Bond program removes the maximum dollar restriction (applicable to IDBs) on the size of the issuance. There are five federally designated Empowerment Zones in California: Los Angeles, Santa Ana, San Diego, Fresno and an unincorporated section of Riverside County. These communities received this federal designation based on their high unemployment and high poverty rates.

### DETAILED EXPENDITURES BY PROGRAM (Program Budget Detail)

|                                                                           | 2006-07*    | 2007-08*     | 2008-09*     |
|---------------------------------------------------------------------------|-------------|--------------|--------------|
| <b>PROGRAM REQUIREMENTS</b>                                               |             |              |              |
| <b>10 CALIFORNIA INDUSTRIAL DEVELOPMENT FINANCING ADVISORY COMMISSION</b> |             |              |              |
| State Operations:                                                         |             |              |              |
| 0215 Industrial Development Fund                                          | \$53        | \$260        | \$256        |
| 0995 Reimbursements                                                       | 45          | 75           | 75           |
| <b>Totals, State Operations</b>                                           | <b>\$98</b> | <b>\$335</b> | <b>\$331</b> |
| <b>TOTALS, EXPENDITURES</b>                                               |             |              |              |
| State Operations                                                          | 98          | 335          | 331          |
| <b>Totals, Expenditures</b>                                               | <b>\$98</b> | <b>\$335</b> | <b>\$331</b> |

### EXPENDITURES BY CATEGORY (Summary By Object)

| 1 State Operations                                                      | Positions  |            |            | Expenditures |              |              |
|-------------------------------------------------------------------------|------------|------------|------------|--------------|--------------|--------------|
|                                                                         | 2006-07    | 2007-08    | 2008-09    | 2006-07*     | 2007-08*     | 2008-09*     |
| PERSONAL SERVICES                                                       |            |            |            |              |              |              |
| Authorized Positions (Equals Sch. 7A)                                   | 0.5        | 1.0        | 1.0        | \$54         | \$107        | \$107        |
| <b>Net Totals, Salaries and Wages</b>                                   | <b>0.5</b> | <b>1.0</b> | <b>1.0</b> | <b>\$54</b>  | <b>\$107</b> | <b>\$107</b> |
| Staff Benefits                                                          | -          | -          | -          | 15           | 24           | 44           |
| <b>Totals, Personal Services</b>                                        | <b>0.5</b> | <b>1.0</b> | <b>1.0</b> | <b>\$69</b>  | <b>\$131</b> | <b>\$151</b> |
| OPERATING EXPENSES AND EQUIPMENT                                        |            |            |            | \$29         | \$204        | \$180        |
| <b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b> |            |            |            | <b>\$98</b>  | <b>\$335</b> | <b>\$331</b> |

### DETAIL OF APPROPRIATIONS AND ADJUSTMENTS (Reconciliation with Appropriations)

| 1 STATE OPERATIONS                                        | 2006-07*     | 2007-08*     | 2008-09*     |
|-----------------------------------------------------------|--------------|--------------|--------------|
| 0215 Industrial Development Fund                          |              |              |              |
| APPROPRIATIONS                                            |              |              |              |
| 001 Budget Act appropriation                              | \$482        | \$260        | \$256        |
| <b>Totals Available</b>                                   | <b>\$482</b> | <b>\$260</b> | <b>\$256</b> |
| Unexpended balance, estimated savings                     | -429         | -            | -            |
| <b>TOTALS, EXPENDITURES</b>                               | <b>\$53</b>  | <b>\$260</b> | <b>\$256</b> |
| 0995 Reimbursements                                       |              |              |              |
| APPROPRIATIONS                                            |              |              |              |
| Reimbursements                                            | \$45         | \$75         | \$75         |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b> | <b>\$98</b>  | <b>\$335</b> | <b>\$331</b> |

\* Dollars in thousands, except in Salary Range.

**0965 California Industrial Development Financing Advisory Commission - Continued****FUND CONDITION STATEMENTS**

|                                                                                         | 2006-07* | 2007-08* | 2008-09* |
|-----------------------------------------------------------------------------------------|----------|----------|----------|
| <b>0215 Industrial Development Fund <sup>s</sup></b>                                    |          |          |          |
| BEGINNING BALANCE                                                                       | \$19     | \$146    | \$76     |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS                                              |          |          |          |
| Revenues:                                                                               |          |          |          |
| 125600 Other Regulatory Fees                                                            | 178      | 187      | 196      |
| 150300 Income From Surplus Money Investments                                            | 2        | 3        | 3        |
| Total Revenues, Transfers, and Other Adjustments                                        | \$180    | \$190    | \$199    |
| Total Resources                                                                         | \$199    | \$336    | \$275    |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS                                                |          |          |          |
| Expenditures:                                                                           |          |          |          |
| 0965 California Industrial Development Financing Advisory Commission (State Operations) | 53       | 260      | 256      |
| Total Expenditures and Expenditure Adjustments                                          | \$53     | \$260    | \$256    |
| FUND BALANCE                                                                            | \$146    | \$76     | \$19     |
| Reserve for economic uncertainties                                                      | 146      | 76       | 19       |

**0968 California Tax Credit Allocation Committee**

The mission of the California Tax Credit Allocation Committee (CTCAC) is to form public/private partnerships to assist in the development and maintenance of quality rental housing communities affordable to low-income Californians.

CTCAC works in public/private partnerships to assist with project development, while fulfilling its responsibilities as a credit agency through project compliance monitoring. CTCAC coordinates its functions with state and local housing fund providers and with private fund investors, when providing and maintaining quality, affordable housing.

The CTCAC consists of seven members, including the Treasurer who is designated as chairman, the Governor or Director of Finance, the State Controller, the Director of the Department of Housing and Community Development, the Executive Director of the California Housing Finance Agency, and two local government representatives.

**3-YR EXPENDITURES AND POSITIONS (Summary of Program Requirements)**

|                                                                                 | Positions   |             |             | Expenditures    |                 |                 |
|---------------------------------------------------------------------------------|-------------|-------------|-------------|-----------------|-----------------|-----------------|
|                                                                                 | 2006-07     | 2007-08     | 2008-09     | 2006-07*        | 2007-08*        | 2008-09*        |
| 10 California Tax Credit Allocation Committee                                   | 23.0        | 28.0        | 28.0        | \$2,949         | \$4,106         | \$3,887         |
| 20 Community Revitalization Program                                             | -           | 1.0         | 1.0         | 11              | 84              | 85              |
| <b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>                        | <b>23.0</b> | <b>29.0</b> | <b>29.0</b> | <b>\$2,960</b>  | <b>\$4,190</b>  | <b>\$3,972</b>  |
| <b>FUNDING</b>                                                                  |             |             |             | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
| 0448 Occupancy Compliance Monitoring Account, Tax Credit Allocation Fee Account |             |             |             | \$1,235         | \$2,092         | \$1,833         |
| 0457 Tax Credit Allocation Fee Account                                          |             |             |             | 1,638           | 1,954           | 1,994           |
| 0995 Reimbursements                                                             |             |             |             | 76              | 60              | 60              |
| 3038 Community Revitalization Fee Fund                                          |             |             |             | 11              | 84              | 85              |
| <b>TOTALS, EXPENDITURES, ALL FUNDS</b>                                          |             |             |             | <b>\$2,960</b>  | <b>\$4,190</b>  | <b>\$3,972</b>  |

**LEGAL CITATIONS AND AUTHORITY****DEPARTMENT AUTHORITY**

Internal Revenue Code Section 42; California Health and Safety Code Sections 50199.4 through 50199.22; California Revenue and Taxation Code Sections 12205.5, 12206, 17057.5, 17058, 23610.4, and 23610.5; Federal Tax Reform Act of 1986, Section 252 of Public Law No. 99-514 (October 22, 1986); Chapter 658, California Statutes of 1987, as amended; Chapter 1138, California Statutes of 1987, as amended; California Health and Safety Code Section 50199.51; California Revenue and Taxation Code Sections 17053.14, 23608.2, and 23608.3; California Health and Safety Code Section 50199.70; Internal Revenue Code, Title 26, Subtitle A, Chapter 1, Subchapter X, Section 1400E et seq., as amended.

\* Dollars in thousands, except in Salary Range.

## 0968 California Tax Credit Allocation Committee - Continued

### DETAILED BUDGET ADJUSTMENTS

|                                         | 2007-08*     |             |           | 2008-09*     |               |           |
|-----------------------------------------|--------------|-------------|-----------|--------------|---------------|-----------|
|                                         | General Fund | Other Funds | Positions | General Fund | Other Funds   | Positions |
| <b>Baseline Adjustment Descriptions</b> |              |             |           |              |               |           |
| • Price Increase for CTCAC              | \$-          | \$-         | -         | \$-          | \$33          | -         |
| • Other Baseline Adjustments            | -            | 68          | -         | -            | -183          | -         |
| <b>Totals, Baseline Adjustments</b>     | <b>\$-</b>   | <b>\$68</b> | <b>-</b>  | <b>\$-</b>   | <b>-\$150</b> | <b>-</b>  |
| <b>TOTALS, BUDGET ADJUSTMENTS</b>       | <b>\$-</b>   | <b>\$68</b> | <b>-</b>  | <b>\$-</b>   | <b>-\$150</b> | <b>-</b>  |

### PROGRAM DESCRIPTIONS (Program Objectives Statement)

#### 10 - CALIFORNIA TAX CREDIT ALLOCATION COMMITTEE

The Federal Low Income Housing Tax Credit Program:

Congress authorized the federal program in 1986. It replaced traditional housing tax incentives, such as accelerated depreciation, with a tax credit that enables developers of affordable rental housing to raise project equity through the "sale" of tax benefits to investors.

Each state has an annual housing credit ceiling of \$1.95 per state resident, and may qualify for a share of credits available annually in a national pool comprised of states' unused credits. The annual housing credit ceiling is indexed for inflation. Investors can take the annual credit each year for a ten-year period.

The State Low Income Housing Tax Credit Program:

Recognizing the high cost of developing housing in California, the California Legislature authorized the State Low Income Housing Tax Credit Program to augment the federal tax credit program.

The annual state credit ceiling is currently \$70 million, indexed for inflation (in addition to any unused or returned credits from previous years). Investors take the state credit over a four-year period in contrast to the ten-year federal allocation period. The full four-year state credit allocated to a project is deducted from the annual state credit ceiling, while only the annual federal credit allocated to a project is deducted from the federal ceiling.

Tax-Exempt Bond Financed Program:

Developments that are financed with the proceeds of tax-exempt bonds may also receive federal tax credit. In this instance, the developer/owner of a tax-exempt development must apply to the Committee and must conform to the federal and state statutory and regulatory requirements, but there is no annual "cap" on the amount of credit that may be awarded by the state to such developments. The credit available is based on approximately four percent (instead of the nine percent for projects that are not financed by a federal subsidy) of the "qualified basis" of the development, that is, the costs attributable to the units that will be income and rent restricted for a minimum of 30 years.

Under federal law, credit projects must remain affordable for at least 15 years; however, California's program generally requires maintaining affordability for 55 years. Land use agreements are recorded against each credit project to ensure compliance.

Farmworker Housing Tax Credit Program:

In 1996, the California Tax Credit Allocation Committee (CTCAC) received authorization from the California Legislature to administer an additional tax credit program to assist farmworkers, known as the Farmworker Housing Tax Credit Program. Annually, up to \$500,000 of state tax credits is available for the construction of farmworker housing.

#### 20 - COMMERCIAL REVITALIZATION DEDUCTION PROGRAM

In 2002, CTCAC received authorization to administer the Commercial Revitalization Deduction Program, a federal program designed to stimulate job growth and economic development in designated Renewal Communities nationwide. California currently has five Renewal Communities, which are portions of the Cities of San Francisco, Los Angeles, and San Diego, as well as the rural communities of Orange Cove and Parlier. CTCAC can allocate up to \$12 million in federal tax deductions to qualifying businesses in each of the Renewal Communities. These deductions are available to qualified businesses that acquire and renovate property, rehabilitate existing structures, or build property for commercial use.

### DETAILED EXPENDITURES BY PROGRAM (Program Budget Detail)

|                             |                                                   | 2006-07* | 2007-08* | 2008-09* |
|-----------------------------|---------------------------------------------------|----------|----------|----------|
| <b>PROGRAM REQUIREMENTS</b> |                                                   |          |          |          |
| <b>10</b>                   | <b>CALIFORNIA TAX CREDIT ALLOCATION COMMITTEE</b> |          |          |          |
|                             | <b>State Operations:</b>                          |          |          |          |

\* Dollars in thousands, except in Salary Range.

**0968 California Tax Credit Allocation Committee - Continued**

|                                                                                 | 2006-07*       | 2007-08*       | 2008-09*       |
|---------------------------------------------------------------------------------|----------------|----------------|----------------|
| 0448 Occupancy Compliance Monitoring Account, Tax Credit Allocation Fee Account | \$1,235        | \$2,092        | \$1,833        |
| 0457 Tax Credit Allocation Fee Account                                          | 1,532          | 1,818          | 1,858          |
| 0995 Reimbursements                                                             | 76             | 60             | 60             |
| <b>Totals, State Operations</b>                                                 | <b>\$2,843</b> | <b>\$3,970</b> | <b>\$3,751</b> |
| <b>Local Assistance:</b>                                                        |                |                |                |
| 0457 Tax Credit Allocation Fee Account                                          | \$106          | \$136          | \$136          |
| <b>Totals, Local Assistance</b>                                                 | <b>\$106</b>   | <b>\$136</b>   | <b>\$136</b>   |
| <b>PROGRAM REQUIREMENTS</b>                                                     |                |                |                |
| <b>20 COMMUNITY REVITALIZATION PROGRAM</b>                                      |                |                |                |
| <b>State Operations:</b>                                                        |                |                |                |
| 3038 Community Revitalization Fee Fund                                          | \$11           | \$84           | \$85           |
| <b>Totals, State Operations</b>                                                 | <b>\$11</b>    | <b>\$84</b>    | <b>\$85</b>    |
| <b>TOTALS, EXPENDITURES</b>                                                     |                |                |                |
| State Operations                                                                | 2,854          | 4,054          | 3,836          |
| Local Assistance                                                                | 106            | 136            | 136            |
| <b>Totals, Expenditures</b>                                                     | <b>\$2,960</b> | <b>\$4,190</b> | <b>\$3,972</b> |

**EXPENDITURES BY CATEGORY (Summary By Object)**

| 1 State Operations                                                      | Positions   |             |             | Expenditures   |                |                |
|-------------------------------------------------------------------------|-------------|-------------|-------------|----------------|----------------|----------------|
|                                                                         | 2006-07     | 2007-08     | 2008-09     | 2006-07*       | 2007-08*       | 2008-09*       |
| PERSONAL SERVICES                                                       |             |             |             |                |                |                |
| Authorized Positions (Equals Sch. 7A)                                   | 23.0        | 29.0        | 29.0        | \$1,261        | \$1,556        | \$1,609        |
| Total Adjustments                                                       | -           | -           | -           | -              | 73             | 89             |
| <b>Net Totals, Salaries and Wages</b>                                   | <b>23.0</b> | <b>29.0</b> | <b>29.0</b> | <b>\$1,261</b> | <b>\$1,629</b> | <b>\$1,698</b> |
| Staff Benefits                                                          | -           | -           | -           | 447            | 472            | 453            |
| <b>Totals, Personal Services</b>                                        | <b>23.0</b> | <b>29.0</b> | <b>29.0</b> | <b>\$1,708</b> | <b>\$2,101</b> | <b>\$2,151</b> |
| OPERATING EXPENSES AND EQUIPMENT                                        |             |             |             | \$1,146        | \$1,947        | \$1,679        |
| SPECIAL ITEMS OF EXPENSE                                                |             |             |             | \$-            | \$6            | \$6            |
| <b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b> |             |             |             | <b>\$2,854</b> | <b>\$4,054</b> | <b>\$3,836</b> |
| <b>2 Local Assistance</b>                                               |             |             |             |                |                |                |
|                                                                         |             |             |             | 2006-07*       | 2007-08*       | 2008-09*       |
| Grants and Subventions                                                  |             |             |             | \$106          | \$136          | \$136          |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>               |             |             |             | <b>\$106</b>   | <b>\$136</b>   | <b>\$136</b>   |

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS (Reconciliation with Appropriations)**

| 1 STATE OPERATIONS                                                                     | 2006-07*       | 2007-08*       | 2008-09*       |
|----------------------------------------------------------------------------------------|----------------|----------------|----------------|
| <b>0448 Occupancy Compliance Monitoring Account, Tax Credit Allocation Fee Account</b> |                |                |                |
| APPROPRIATIONS                                                                         |                |                |                |
| 001 Budget Act appropriation                                                           | \$1,356        | \$2,064        | \$1,833        |
| Allocation for employee compensation                                                   | 41             | 30             | -              |
| Adjustment per Section 3.60                                                            | 9              | -2             | -              |
| <b>Totals Available</b>                                                                | <b>\$1,406</b> | <b>\$2,092</b> | <b>\$1,833</b> |
| Unexpended balance, estimated savings                                                  | -171           | -              | -              |
| <b>TOTALS, EXPENDITURES</b>                                                            | <b>\$1,235</b> | <b>\$2,092</b> | <b>\$1,833</b> |
| <b>0457 Tax Credit Allocation Fee Account</b>                                          |                |                |                |

\* Dollars in thousands, except in Salary Range.

**0968 California Tax Credit Allocation Committee - Continued**

| <b>1 STATE OPERATIONS</b>                                                      | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|--------------------------------------------------------------------------------|-----------------|-----------------|-----------------|
| APPROPRIATIONS                                                                 |                 |                 |                 |
| 001 Budget Act appropriation                                                   | \$1,685         | \$1,774         | \$1,852         |
| Allocation for employee compensation                                           | 57              | 41              | -               |
| Adjustment per Section 3.60                                                    | 6               | -3              | -               |
| Health and Safety Code Section 50199.9(b)                                      | <u>-</u>        | <u>6</u>        | <u>6</u>        |
| <b>Totals Available</b>                                                        | <b>\$1,748</b>  | <b>\$1,818</b>  | <b>\$1,858</b>  |
| Unexpended balance, estimated savings                                          | <u>-216</u>     | <u>-</u>        | <u>-</u>        |
| <b>TOTALS, EXPENDITURES</b>                                                    | <b>\$1,532</b>  | <b>\$1,818</b>  | <b>\$1,858</b>  |
| <b>0995 Reimbursements</b>                                                     |                 |                 |                 |
| APPROPRIATIONS                                                                 |                 |                 |                 |
| Reimbursements                                                                 | \$76            | \$60            | \$60            |
| <b>3038 Community Revitalization Fee Fund</b>                                  |                 |                 |                 |
| APPROPRIATIONS                                                                 |                 |                 |                 |
| 001 Budget Act appropriation                                                   | \$89            | \$82            | \$85            |
| Allocation for employee compensation                                           | <u>3</u>        | <u>2</u>        | <u>-</u>        |
| <b>Totals Available</b>                                                        | <b>\$92</b>     | <b>\$84</b>     | <b>\$85</b>     |
| Unexpended balance, estimated savings                                          | <u>-81</u>      | <u>-</u>        | <u>-</u>        |
| <b>TOTALS, EXPENDITURES</b>                                                    | <b>\$11</b>     | <b>\$84</b>     | <b>\$85</b>     |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>                      | <b>\$2,854</b>  | <b>\$4,054</b>  | <b>\$3,836</b>  |
| <b>2 LOCAL ASSISTANCE</b>                                                      | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
| <b>0457 Tax Credit Allocation Fee Account</b>                                  |                 |                 |                 |
| APPROPRIATIONS                                                                 |                 |                 |                 |
| Health and Safety Code Section 50199.9(b)                                      | <u>\$106</u>    | <u>\$136</u>    | <u>\$136</u>    |
| <b>TOTALS, EXPENDITURES</b>                                                    | <b>\$106</b>    | <b>\$136</b>    | <b>\$136</b>    |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>                      | <b>\$106</b>    | <b>\$136</b>    | <b>\$136</b>    |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)</b> | <b>\$2,960</b>  | <b>\$4,190</b>  | <b>\$3,972</b>  |

**FUND CONDITION STATEMENTS**

|                                                                                                    | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|----------------------------------------------------------------------------------------------------|-----------------|-----------------|-----------------|
| <b>0448 Occupancy Compliance Monitoring Account, Tax Credit Allocation Fee Account<sup>s</sup></b> |                 |                 |                 |
| BEGINNING BALANCE                                                                                  | \$13,133        | \$54,243        | \$56,760        |
| Prior year adjustments                                                                             | <u>303</u>      | <u>-</u>        | <u>-</u>        |
| Adjusted Beginning Balance                                                                         | \$13,436        | \$54,243        | \$56,760        |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS                                                         |                 |                 |                 |
| Revenues:                                                                                          |                 |                 |                 |
| 125600 Other Regulatory Fees                                                                       | 4,222           | 4,433           | 4,433           |
| 150300 Income From Surplus Money Investments                                                       | 1,099           | 177             | 177             |
| 150500 Interest Income From Interfund Loans                                                        | 1,720           | -               | -               |
| 161400 Miscellaneous Revenue                                                                       | 2               | -               | -               |
| Transfers and Other Adjustments:                                                                   |                 |                 |                 |
| FO0001 From General Fund loan repayment per Item 0968-011-0448, Budget Act of 2004                 | <u>35,000</u>   | <u>-</u>        | <u>-</u>        |
| Total Revenues, Transfers, and Other Adjustments                                                   | <u>\$42,043</u> | <u>\$4,610</u>  | <u>\$4,610</u>  |
| Total Resources                                                                                    | \$55,479        | \$58,853        | \$61,370        |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS                                                           |                 |                 |                 |
| Expenditures:                                                                                      |                 |                 |                 |
| 0840 State Controller (State Operations)                                                           | 1               | 1               | 1               |
| 0968 California Tax Credit Allocation Committee (State Operations)                                 | <u>1,235</u>    | <u>2,092</u>    | <u>1,833</u>    |
| Total Expenditures and Expenditure Adjustments                                                     | \$1,236         | \$2,093         | \$1,834         |

\* Dollars in thousands, except in Salary Range.

**0968 California Tax Credit Allocation Committee - Continued**

|                                                                                             | 2006-07* | 2007-08* | 2008-09* |
|---------------------------------------------------------------------------------------------|----------|----------|----------|
| FUND BALANCE                                                                                | \$54,243 | \$56,760 | \$59,536 |
| Reserve for economic uncertainties                                                          | 54,243   | 56,760   | 59,536   |
| <b>0457 Tax Credit Allocation Fee Account <sup>s</sup></b>                                  |          |          |          |
| BEGINNING BALANCE                                                                           | \$5,832  | \$41,726 | \$44,684 |
| Prior year adjustments                                                                      | -308     | -        | -        |
| Adjusted Beginning Balance                                                                  | \$5,524  | \$41,726 | \$44,684 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS                                                  |          |          |          |
| Revenues:                                                                                   |          |          |          |
| 125600 Other Regulatory Fees                                                                | 4,499    | 4,724    | 4,724    |
| 150300 Income From Surplus Money Investments                                                | 1,198    | 189      | 189      |
| 150500 Interest Income From Interfund Loans                                                 | 1,143    | -        | -        |
| 161400 Miscellaneous Revenue                                                                | 1        | -        | -        |
| Transfers and Other Adjustments:                                                            |          |          |          |
| FO0001 From General Fund loan repayment per Item 0968-011-0457, Budget Act of 2003 and 2004 | 31,000   | -        | -        |
| Total Revenues, Transfers, and Other Adjustments                                            | \$37,841 | \$4,913  | \$4,913  |
| Total Resources                                                                             | \$43,365 | \$46,639 | \$49,597 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS                                                    |          |          |          |
| Expenditures:                                                                               |          |          |          |
| 0840 State Controller (State Operations)                                                    | 1        | 1        | 1        |
| 0968 California Tax Credit Allocation Committee                                             |          |          |          |
| State Operations                                                                            | 1,532    | 1,818    | 1,858    |
| Local Assistance                                                                            | 106      | 136      | 136      |
| Total Expenditures and Expenditure Adjustments                                              | \$1,639  | \$1,955  | \$1,995  |
| FUND BALANCE                                                                                | \$41,726 | \$44,684 | \$47,602 |
| Reserve for economic uncertainties                                                          | 41,726   | 44,684   | 47,602   |
| <b>3038 Community Revitalization Fee Fund <sup>s</sup></b>                                  |          |          |          |
| BEGINNING BALANCE                                                                           | \$2      | \$43     | \$18     |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS                                                  |          |          |          |
| Revenues:                                                                                   |          |          |          |
| 125600 Other Regulatory Fees                                                                | 51       | 57       | 67       |
| 150300 Income From Surplus Money Investments                                                | 1        | 2        | 2        |
| Total Revenues, Transfers, and Other Adjustments                                            | \$52     | \$59     | \$69     |
| Total Resources                                                                             | \$54     | \$102    | \$87     |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS                                                    |          |          |          |
| Expenditures:                                                                               |          |          |          |
| 0968 California Tax Credit Allocation Committee (State Operations)                          | 11       | 84       | 85       |
| Total Expenditures and Expenditure Adjustments                                              | \$11     | \$84     | \$85     |
| FUND BALANCE                                                                                | \$43     | \$18     | \$2      |
| Reserve for economic uncertainties                                                          | 43       | 18       | 2        |

**CHANGES IN AUTHORIZED POSITIONS**

|                                   | Positions   |             |             | Expenditures   |                |                |
|-----------------------------------|-------------|-------------|-------------|----------------|----------------|----------------|
|                                   | 2006-07     | 2007-08     | 2008-09     | 2006-07*       | 2007-08*       | 2008-09*       |
| Totals, Authorized Positions      | 23.0        | 29.0        | 29.0        | \$1,261        | \$1,556        | \$1,609        |
| Salary Adjustments                | -           | -           | -           | -              | 73             | 89             |
| <b>Total Adjustments</b>          | -           | -           | -           | <b>\$-</b>     | <b>\$73</b>    | <b>\$89</b>    |
| <b>TOTALS, SALARIES AND WAGES</b> | <b>23.0</b> | <b>29.0</b> | <b>29.0</b> | <b>\$1,261</b> | <b>\$1,629</b> | <b>\$1,698</b> |

\* Dollars in thousands, except in Salary Range.

## 0971 California Alternative Energy and Advanced Transportation Financing Authority

The California Alternative Energy and Advanced Transportation Financing Authority was established by Chapter 908, Statutes of 1980, as the California Alternative Energy Source Financing Authority, to "promote the prompt and efficient development of energy sources which are renewable or which more efficiently utilize and conserve scarce energy resources." Recognizing the importance of developing a secure energy future to protect the environment and ensure economic stability, the intent of the legislation was to promote energy sources designed to reduce the degradation of the environment. The Authority later became the California Alternative Energy and Advanced Transportation Financing Authority (CAEATFA) in 1994, when the statute was amended to include development and commercialization of advanced transportation technologies. CAEATFA consists of five members: the State Treasurer, who serves as the chairperson of CAEATFA, the State Controller, the Director of Finance, the Chairperson of the State Energy Resources Conservation and Development Commission (the California Energy Commission), and the President of the Public Utilities Commission.

### 3-YR EXPENDITURES AND POSITIONS (Summary of Program Requirements)

|                                                                                  | Positions  |            |            | Expenditures    |                 |                 |
|----------------------------------------------------------------------------------|------------|------------|------------|-----------------|-----------------|-----------------|
|                                                                                  | 2006-07    | 2007-08    | 2008-09    | 2006-07*        | 2007-08*        | 2008-09*        |
| 10 California Alternative Energy and Advanced Transportation Financing Authority | 0.1        | 1.0        | 1.0        | \$10            | \$202           | \$204           |
| <b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>                         | <b>0.1</b> | <b>1.0</b> | <b>1.0</b> | <b>\$10</b>     | <b>\$202</b>    | <b>\$204</b>    |
| <b>FUNDING</b>                                                                   |            |            |            | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
| 0528 California Alternative Energy Authority Fund                                |            |            |            | \$10            | \$202           | \$204           |
| <b>TOTALS, EXPENDITURES, ALL FUNDS</b>                                           |            |            |            | <b>\$10</b>     | <b>\$202</b>    | <b>\$204</b>    |

### LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Public Resources Code Sections 26004-26017.

### DETAILED BUDGET ADJUSTMENTS

|                                         | 2007-08*     |             |           | 2008-09*     |             |           |
|-----------------------------------------|--------------|-------------|-----------|--------------|-------------|-----------|
|                                         | General Fund | Other Funds | Positions | General Fund | Other Funds | Positions |
| <b>Baseline Adjustment Descriptions</b> |              |             |           |              |             |           |
| • Price Increase for CAEATFA            | \$-          | \$-         | -         | \$-          | \$2         | -         |
| <b>Totals, Baseline Adjustments</b>     | <b>\$-</b>   | <b>\$-</b>  | <b>-</b>  | <b>\$-</b>   | <b>\$2</b>  | <b>-</b>  |
| <b>TOTALS, BUDGET ADJUSTMENTS</b>       | <b>\$-</b>   | <b>\$-</b>  | <b>-</b>  | <b>\$-</b>   | <b>\$2</b>  | <b>-</b>  |

### PROGRAM DESCRIPTIONS (Program Objectives Statement)

#### 10 - ALTERNATIVE ENERGY AND ADVANCED TRANSPORTATION FINANCING AUTHORITY

The Authority is authorized to issue up to \$1 billion in revenue bonds to finance alternative energy and advanced transportation projects pursuant to Chapter 227, Statutes of 2004. As of June 30, 2007, \$181.6 million of bonds have been issued.

### DETAILED EXPENDITURES BY PROGRAM (Program Budget Detail)

|                                                                                         | 2006-07*    | 2007-08*     | 2008-09*     |
|-----------------------------------------------------------------------------------------|-------------|--------------|--------------|
| <b>PROGRAM REQUIREMENTS</b>                                                             |             |              |              |
| <b>10 CALIFORNIA ALTERNATIVE ENERGY AND ADVANCED TRANSPORTATION FINANCING AUTHORITY</b> |             |              |              |
| <b>State Operations:</b>                                                                |             |              |              |
| 0528 California Alternative Energy Authority Fund                                       | \$10        | \$202        | \$204        |
| <b>Totals, State Operations</b>                                                         | <b>\$10</b> | <b>\$202</b> | <b>\$204</b> |
| <b>TOTALS, EXPENDITURES</b>                                                             |             |              |              |
| State Operations                                                                        | 10          | 202          | 204          |
| <b>Totals, Expenditures</b>                                                             | <b>\$10</b> | <b>\$202</b> | <b>\$204</b> |

\* Dollars in thousands, except in Salary Range.

## 0971 California Alternative Energy and Advanced Transportation Financing Authority

### - Continued

#### EXPENDITURES BY CATEGORY (Summary By Object)

| 1 State Operations                                                      | Positions  |            |            | Expenditures |              |              |
|-------------------------------------------------------------------------|------------|------------|------------|--------------|--------------|--------------|
|                                                                         | 2006-07    | 2007-08    | 2008-09    | 2006-07*     | 2007-08*     | 2008-09*     |
| PERSONAL SERVICES                                                       |            |            |            |              |              |              |
| Authorized Positions (Equals Sch. 7A)                                   | 0.1        | 1.0        | 1.0        | \$9          | \$88         | \$88         |
| <b>Net Totals, Salaries and Wages</b>                                   | <b>0.1</b> | <b>1.0</b> | <b>1.0</b> | <b>\$9</b>   | <b>\$88</b>  | <b>\$88</b>  |
| Staff Benefits                                                          | -          | -          | -          | -            | 38           | 39           |
| <b>Totals, Personal Services</b>                                        | <b>0.1</b> | <b>1.0</b> | <b>1.0</b> | <b>\$9</b>   | <b>\$126</b> | <b>\$127</b> |
| OPERATING EXPENSES AND EQUIPMENT                                        |            |            |            | \$1          | \$76         | \$77         |
| <b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b> |            |            |            | <b>\$10</b>  | <b>\$202</b> | <b>\$204</b> |

#### DETAIL OF APPROPRIATIONS AND ADJUSTMENTS (Reconciliation with Appropriations)

| 1 STATE OPERATIONS                                        | 2006-07*     | 2007-08*     | 2008-09*     |
|-----------------------------------------------------------|--------------|--------------|--------------|
| 0528 California Alternative Energy Authority Fund         |              |              |              |
| APPROPRIATIONS                                            |              |              |              |
| 001 Budget Act appropriation                              | \$194        | \$202        | \$204        |
| Allocation for employee compensation                      | 4            | -            | -            |
| Adjustment per Section 3.60                               | 1            | -            | -            |
| <b>Totals Available</b>                                   | <b>\$199</b> | <b>\$202</b> | <b>\$204</b> |
| Unexpended balance, estimated savings                     | -189         | -            | -            |
| <b>TOTALS, EXPENDITURES</b>                               | <b>\$10</b>  | <b>\$202</b> | <b>\$204</b> |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b> | <b>\$10</b>  | <b>\$202</b> | <b>\$204</b> |

## 0974 California Pollution Control Financing Authority

The California Pollution Control Financing Authority (CPCFA) provides California businesses with a reasonable method of financing pollution control facilities and fosters compliance with government imposed environmental standards and requirements. Over the last thirty years CPCFA has evolved to meet California's needs as follows:

- In the solid waste industry through its Pollution Control Tax-Exempt Bond Program.
- For small businesses through the California Capital Access Program.
- With the reuse and redevelopment of brownfields through the California Recycle Underutilized Sites Program.
- With financial assistance to cities and counties in their community planning and development efforts through the Sustainable Communities Grant and Loan Program.

The CPCFA consists of the State Treasurer (Chairperson), the State Controller, and the Director of the Department of Finance.

#### LEGAL CITATIONS AND AUTHORITY

##### DEPARTMENT AUTHORITY

Health and Safety Code Sections 44500-44520 and 44526-44548.

#### PROGRAM DESCRIPTIONS (Program Objectives Statement)

##### 10 - POLLUTION CONTROL TAX-EXEMPT BOND PROGRAM

The objective of this program is to provide bond financing to California businesses, irrespective of company size, for the acquisition, construction, or installation of qualified pollution control, waste disposal, waste recovery facilities, and the

\* Dollars in thousands, except in Salary Range.

## 0974 California Pollution Control Financing Authority - Continued

acquisition and installation of new equipment. California Pollution Control Financing Authority (CPCFA) financing assists municipalities in complying with waste diversion mandates of the California Integrated Waste Management Act of 1989. As of June 30, 2007, bonds totaling \$11.8 billion have been issued by the CPCFA for pollution control projects.

### 20 - CALIFORNIA CAPITAL ACCESS PROGRAM FOR SMALL BUSINESSES

The objective of this program is to encourage banks and other financial institutions to make loans to small businesses that fall just outside of conventional underwriting standards. California Capital Access Program for Small Businesses (CalCAP) is a form of loan portfolio insurance that may provide up to 100% coverage on certain loan defaults. By participating in CalCAP, lenders have available to them a proven financing mechanism to meet the financing needs of California's small businesses. The strength of CalCAP rests in its simplicity and its leverage of state funds. The program works through the creation of a loan loss-reserve fund for each participating financial institution. The CPCFA funds this reserve together with the financial institution and the borrower. The reserve serves to reduce loan-loss risk, allowing banks to lend to targeted California small businesses. Additional incentives are provided to lend to businesses located in state-designated Enterprise Zones.

### 30 - CALIFORNIA RECYCLE UNDERUTILIZED SITES PROGRAM

This program assists with the reuse and redevelopment of underutilized properties with real or perceived contamination issues (brownfields). California Recycle Underutilized Sites Program (CALReUSE) addresses a funding and information gap in the development of brownfields to help bring these properties into productive reuse. The CPCFA works with selected strategic partners to administer the program and select projects throughout the state. CALReUSE provides forgivable loans of up to \$125,000 to fund brownfield site assessment and characterization, technical assistance, remedial action plans and site access. Eligible projects include sites with potential beneficial reuse not currently redeveloped due to lack of information about real or perceived contamination, uncertainty about clean up costs, or concerns regarding time frames and the regulatory process. Priority is given to projects located in distressed neighborhoods with demonstrated community support. Additionally, Proposition 1C, The Housing and Emergency Shelter Trust Fund Act of 2006, included provisions to fund brownfield cleanup. The recent State budget included an allocation of \$60 million of these funds to CALReUSE to "administer loans or grants for the purpose of brownfield cleanup that promotes infill residential and mixed-used development, consistent with regional and local land use plans."

### 40 - SUSTAINABLE COMMUNITIES GRANT AND LOAN PROGRAM

The objective of this program is to assist cities and counties to develop and implement sustainable development growth policies, programs and projects. Sustainable Communities Grant and Loan Program has funded specific plans, portions of specific plans, alternative transportation studies, finance plans, redevelopment plans, engineering studies, public projects, and other projects that promote sustainable development principles.

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## 0977 California Health Facilities Financing Authority

The California Health Facilities Financing Authority (CHFFA) issues revenue bonds to assist qualified private nonprofit corporations or associations, counties, and hospital districts in financing or refinancing the construction, equipping or acquiring of health facilities. CHFFA also administers the Children's Hospital Program established by Proposition 61. CHFFA was established by Chapter 1033, Statutes of 1979. CHFFA consists of nine members: the State Treasurer, the Director of Finance, the State Controller, two public members appointed by the Senate, two public members appointed by the Assembly, and two public members appointed by the Governor.

### 3-YR EXPENDITURES AND POSITIONS (Summary of Program Requirements)

|                                                          |                                                       | Positions   |             |             | Expenditures    |                  |                  |
|----------------------------------------------------------|-------------------------------------------------------|-------------|-------------|-------------|-----------------|------------------|------------------|
|                                                          |                                                       | 2006-07     | 2007-08     | 2008-09     | 2006-07*        | 2007-08*         | 2008-09*         |
| 30                                                       | Children's Hospital Program                           | 2.8         | 3.0         | 3.0         | \$68,657        | \$180,377        | \$250,381        |
| 40                                                       | Health Facilities Grants and Loans                    | 11.0        | 11.5        | 11.5        | 963             | 976              | 989              |
| <b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b> |                                                       | <b>13.8</b> | <b>14.5</b> | <b>14.5</b> | <b>\$69,620</b> | <b>\$181,353</b> | <b>\$251,370</b> |
| <b>FUNDING</b>                                           |                                                       |             |             |             | <b>2006-07*</b> | <b>2007-08*</b>  | <b>2008-09*</b>  |
| 0904                                                     | California Health Facilities Financing Authority Fund |             |             |             | \$963           | \$976            | \$989            |
| 6046                                                     | Children's Hospital Fund                              |             |             |             | 68,657          | 180,377          | 250,381          |
| <b>TOTALS, EXPENDITURES, ALL FUNDS</b>                   |                                                       |             |             |             | <b>\$69,620</b> | <b>\$181,353</b> | <b>\$251,370</b> |

### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

Government Code Sections 15430-15463. Health and Safety Code Sections 1179.10-1179.43.

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\* Dollars in thousands, except in Salary Range.

## 0977 California Health Facilities Financing Authority - Continued

### DETAILED BUDGET ADJUSTMENTS

|                                                  | 2007-08*     |                   |           | 2008-09*     |                  |           |
|--------------------------------------------------|--------------|-------------------|-----------|--------------|------------------|-----------|
|                                                  | General Fund | Other Funds       | Positions | General Fund | Other Funds      | Positions |
| <b>Baseline Adjustment Descriptions</b>          |              |                   |           |              |                  |           |
| • Revised estimate of Children's Hospital Grants | \$-          | -\$103,000        | -         | \$-          | -\$33,000        | -         |
| • Other Baseline Adjustments                     | -            | 52                | -         | -            | 69               | -         |
| <b>Totals, Baseline Adjustments</b>              | <b>\$-</b>   | <b>-\$102,948</b> | <b>-</b>  | <b>\$-</b>   | <b>-\$32,931</b> | <b>-</b>  |
| <b>TOTALS, BUDGET ADJUSTMENTS</b>                | <b>\$-</b>   | <b>-\$102,948</b> | <b>-</b>  | <b>\$-</b>   | <b>-\$32,931</b> | <b>-</b>  |

### PROGRAM DESCRIPTIONS (Program Objectives Statement)

#### 30 - CHILDREN'S HOSPITAL PROGRAM

On November 2, 2004, the voters approved Proposition 61, which established the Children's Hospital Program. The purpose of the Children's Hospital Program is to improve the health and welfare of California's critically ill children by providing a stable and ready source of funds for capital improvement projects for children's hospitals. Proposition 61 designated the California Health Facilities Financing Authority (CHFFA) as the administering agency and authorized CHFFA to grant \$750 million to eligible children's hospitals. Funding for this program is provided through the issuance of general obligation bonds. CHFFA developed, through regulations, selection criteria and a process for awarding grants. Applications and funding will be available through 2014, or until program funding is exhausted. In the event funding is not exhausted by 2014, CHFFA will amend the regulations to extend the program. As of June 30, 2007, CHFFA has disbursed \$159.3 million to eight hospitals.

#### 40 - HEALTH FACILITIES GRANTS AND LOANS

CHFFA provides assistance in funding or refinancing by making loans to health institutions, by direct purchase and leaseback of the health facility by CHFFA, or by a health institution acting as an agent for CHFFA. CHFFA can determine the location and character of any proposed project and can solicit state and federal mortgage insurance for any funded project. To qualify for funding, the project must be a health facility, operated by a private nonprofit corporation or association, city, city and county, county or hospital district. Numerous statutes enacted between 1983 and 2002 broadened the types of facilities that may be financed by CHFFA.

Prior to September 30, 1998, CHFFA was authorized to have outstanding at any one point-in-time up to \$5.999 billion in revenue bonds. Pursuant to Chapter 1035, Statutes of 1998, there is no longer a limitation on bonds outstanding. As of June 30, 2007, bonds and notes in the amount of \$19.7 billion have been issued and \$7.7 billion were outstanding.

The Federal Tax Reform Act of 1986 does not restrict health facility bonds in terms of the state's "private activity" bond limit. Bonds issued under this program are not a debt or liability or a pledge of faith and credit of the taxing power of the state or any of its political subdivisions. The full faith and credit of the participating institutions are pledged to the payment of bonds.

Chapter 99, Statutes of 2000 established the Cedillo-Alarcon Community Clinic Investment Act of 2000, which authorized CHFFA to award grants to eligible primary care clinics for capital outlay projects. Funds of approximately \$50 million were disbursed. In 2004, as part of the Anthem-Well Point merger, \$35 million dollars was committed to healthcare facilities that provide service to underserved communities throughout California. Distribution of these funds was to be accomplished through the process that was established by the Cedillo-Alarcon Community Clinic Investment Act of 2000. In 2005, the Insurance Commissioner entered into an MOU with CHFFA authorizing them to dispense the funds to clinics. Also, Chapter 493, Statutes of 2005 amended the Cedillo-Alarcon Community Clinic Act of 2000 which authorized CHFFA to disburse appropriated additional funding to eligible clinics using selection criteria developed through regulations. As of June 30, 2007, CHFFA disbursed \$28.7 million to 124 community clinics.

Chapter 478, Statutes of 2002, extends CHFFA's ability to provide grants for capital projects not only to community clinics, but also to small health facilities currently eligible under CHFFA's statute. The legislation also allows CHFFA to use its fund balance to make such grants.

### DETAILED EXPENDITURES BY PROGRAM (Program Budget Detail)

|                             |                                    | 2006-07*     | 2007-08*     | 2008-09*     |
|-----------------------------|------------------------------------|--------------|--------------|--------------|
| <b>PROGRAM REQUIREMENTS</b> |                                    |              |              |              |
| <b>30</b>                   | <b>CHILDREN'S HOSPITAL PROGRAM</b> |              |              |              |
|                             | <b>State Operations:</b>           |              |              |              |
| 6046                        | Children's Hospital Fund           | \$223        | \$377        | \$381        |
|                             | <b>Totals, State Operations</b>    | <b>\$223</b> | <b>\$377</b> | <b>\$381</b> |
|                             | <b>Local Assistance:</b>           |              |              |              |

\* Dollars in thousands, except in Salary Range.

**0977 California Health Facilities Financing Authority - Continued**

|           |                                                       | <u>2006-07*</u> | <u>2007-08*</u>  | <u>2008-09*</u>  |
|-----------|-------------------------------------------------------|-----------------|------------------|------------------|
| 6046      | Children's Hospital Fund                              | <u>\$68,434</u> | <u>\$180,000</u> | <u>\$250,000</u> |
|           | <b>Totals, Local Assistance</b>                       | <b>\$68,434</b> | <b>\$180,000</b> | <b>\$250,000</b> |
|           | <b>PROGRAM REQUIREMENTS</b>                           |                 |                  |                  |
| <b>40</b> | <b>HEALTH FACILITIES GRANTS AND LOANS</b>             |                 |                  |                  |
|           | <b>State Operations:</b>                              |                 |                  |                  |
| 0904      | California Health Facilities Financing Authority Fund | <u>\$963</u>    | <u>\$976</u>     | <u>\$989</u>     |
|           | <b>Totals, State Operations</b>                       | <b>\$963</b>    | <b>\$976</b>     | <b>\$989</b>     |
|           | <b>TOTALS, EXPENDITURES</b>                           |                 |                  |                  |
|           | State Operations                                      | 1,186           | 1,353            | 1,370            |
|           | Local Assistance                                      | <u>68,434</u>   | <u>180,000</u>   | <u>250,000</u>   |
|           | <b>Totals, Expenditures</b>                           | <b>\$69,620</b> | <b>\$181,353</b> | <b>\$251,370</b> |

**EXPENDITURES BY CATEGORY (Summary By Object)**

| <b>1 State Operations</b>                                               |                  |                |                | <b>Expenditures</b> |                  |                  |
|-------------------------------------------------------------------------|------------------|----------------|----------------|---------------------|------------------|------------------|
|                                                                         | <b>Positions</b> |                |                |                     |                  |                  |
|                                                                         | <b>2006-07</b>   | <b>2007-08</b> | <b>2008-09</b> | <b>2006-07*</b>     | <b>2007-08*</b>  | <b>2008-09*</b>  |
| PERSONAL SERVICES                                                       |                  |                |                |                     |                  |                  |
| Authorized Positions (Equals Sch. 7A)                                   | 13.8             | 14.5           | 14.5           | \$878               | \$893            | \$906            |
| Total Adjustments                                                       | -                | -              | -              | -                   | 6                | 6                |
| Net Totals, Salaries and Wages                                          | <b>13.8</b>      | <b>14.5</b>    | <b>14.5</b>    | <b>\$878</b>        | <b>\$899</b>     | <b>\$912</b>     |
| Staff Benefits                                                          | -                | -              | -              | 306                 | 307              | 311              |
| <b>Totals, Personal Services</b>                                        | <b>13.8</b>      | <b>14.5</b>    | <b>14.5</b>    | <b>\$1,184</b>      | <b>\$1,206</b>   | <b>\$1,223</b>   |
| OPERATING EXPENSES AND EQUIPMENT                                        |                  |                |                | <u>\$2</u>          | <u>\$147</u>     | <u>\$147</u>     |
| <b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b> |                  |                |                | <b>\$1,186</b>      | <b>\$1,353</b>   | <b>\$1,370</b>   |
| <b>2 Local Assistance</b>                                               |                  |                |                | <b>Expenditures</b> |                  |                  |
|                                                                         |                  |                |                | <b>2006-07*</b>     | <b>2007-08*</b>  | <b>2008-09*</b>  |
| Grants and Subventions                                                  |                  |                |                | <u>\$68,434</u>     | <u>\$180,000</u> | <u>\$250,000</u> |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>               |                  |                |                | <b>\$68,434</b>     | <b>\$180,000</b> | <b>\$250,000</b> |

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS (Reconciliation with Appropriations)**

| <b>1 STATE OPERATIONS</b>                                         | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|-------------------------------------------------------------------|-----------------|-----------------|-----------------|
| <b>0904 California Health Facilities Financing Authority Fund</b> |                 |                 |                 |
| APPROPRIATIONS                                                    |                 |                 |                 |
| Government Code Section 15439                                     | <u>\$963</u>    | <u>\$976</u>    | <u>\$989</u>    |
| <b>TOTALS, EXPENDITURES</b>                                       | <b>\$963</b>    | <b>\$976</b>    | <b>\$989</b>    |
| <b>6046 Children's Hospital Fund</b>                              |                 |                 |                 |
| APPROPRIATIONS                                                    |                 |                 |                 |
| 001 Budget Act appropriation                                      | \$365           | \$369           | \$381           |
| Allocation for employee compensation                              | 11              | 9               | -               |
| Adjustment per Section 3.60                                       | -               | -1              | -               |
| <b>Totals Available</b>                                           | <b>\$376</b>    | <b>\$377</b>    | <b>\$381</b>    |
| Unexpended balance, estimated savings                             | <u>-153</u>     | <u>-</u>        | <u>-</u>        |
| <b>TOTALS, EXPENDITURES</b>                                       | <b>\$223</b>    | <b>\$377</b>    | <b>\$381</b>    |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>         | <b>\$1,186</b>  | <b>\$1,353</b>  | <b>\$1,370</b>  |
| <b>2 LOCAL ASSISTANCE</b>                                         | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
| <b>6046 Children's Hospital Fund</b>                              |                 |                 |                 |

\* Dollars in thousands, except in Salary Range.

## 0977 California Health Facilities Financing Authority - Continued

| 2 LOCAL ASSISTANCE                                                             | 2006-07*        | 2007-08*         | 2008-09*         |
|--------------------------------------------------------------------------------|-----------------|------------------|------------------|
| APPROPRIATIONS                                                                 |                 |                  |                  |
| Health and Safety Code Section 1179.10-1179.43                                 | \$68,434        | \$180,000        | \$250,000        |
| <b>TOTALS, EXPENDITURES</b>                                                    | <b>\$68,434</b> | <b>\$180,000</b> | <b>\$250,000</b> |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>                      | <b>\$68,434</b> | <b>\$180,000</b> | <b>\$250,000</b> |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)</b> | <b>\$69,620</b> | <b>\$181,353</b> | <b>\$251,370</b> |

### CHANGES IN AUTHORIZED POSITIONS

|                                   | Positions   |             |             | Expenditures |              |              |
|-----------------------------------|-------------|-------------|-------------|--------------|--------------|--------------|
|                                   | 2006-07     | 2007-08     | 2008-09     | 2006-07*     | 2007-08*     | 2008-09*     |
| Totals, Authorized Positions      | 13.8        | 14.5        | 14.5        | \$878        | \$893        | \$906        |
| Salary Adjustments                | -           | -           | -           | -            | 6            | 6            |
| <b>Total Adjustments</b>          | <b>-</b>    | <b>-</b>    | <b>-</b>    | <b>\$-</b>   | <b>\$6</b>   | <b>\$6</b>   |
| <b>TOTALS, SALARIES AND WAGES</b> | <b>13.8</b> | <b>14.5</b> | <b>14.5</b> | <b>\$878</b> | <b>\$899</b> | <b>\$912</b> |

## 0983 California Urban Waterfront Area Restoration Financing Authority

Created in 1983, the California Urban Waterfront Area Restoration Financing Authority (CUWARFA) was established to restore, revitalize and develop in an environmentally and economically sound manner, the coastal and inland urban waterfront areas of the state, in cooperation with local governments. CUWARFA consists of five members: the State Treasurer (Chairperson), the Director of Finance, the State Controller, the Secretary of the Resources Agency, and the Executive Director of the State Coastal Conservancy.

### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

Public Resources Code, Division 22, Sections 32000-32208.

### PROGRAM DESCRIPTIONS (Program Objectives Statement)

10 - The California Urban Waterfront Restoration Financing Authority (CUWARFA) was established to issue up to \$650 million in conduit financing to make loans or acquire title to property and to underwrite or undertake directly a variety of urban waterfront development projects within the coastal zone, the Sacramento-Yolo Port District, the Stockton Port District, and those metropolitan statistical areas meeting specified conditions. The Federal Tax Reform Act of 1986 requires that bonds authorized by CUWARFA generally be within an allocation from the state's "private activity" bond limit in order for the bonds to be federally tax-exempt, unless the issuer qualifies as a private, nonprofit business.

Financing is available for both publicly and privately sponsored projects that provide visitor-serving facilities, waterfront-dependent industries, public recreation, and erosion control facilities. The State Coastal Conservancy must approve both the specific project and a master plan for urban waterfront restoration before any project can obtain CUWARFA approval for revenue bond financing. The Authority has sold \$3.33 million in revenue bonds to date.

## 0985 California School Finance Authority

Created in 1985, the California School Finance Authority (CSFA) oversees the statewide system for the sale of revenue bonds to reconstruct, remodel or replace existing school buildings, and to acquire new school sites and buildings to be made available to public school districts, charter schools, and community colleges, and to provide access to financing for working capital and capital improvements. CSFA consists of the following three members: the State Treasurer who serves as chair, the Superintendent of Public Instruction, and the Director of Finance.

### 3-YR EXPENDITURES AND POSITIONS (Summary of Program Requirements)

|                                      | Positions |         |         | Expenditures |          |          |
|--------------------------------------|-----------|---------|---------|--------------|----------|----------|
|                                      | 2006-07   | 2007-08 | 2008-09 | 2006-07*     | 2007-08* | 2008-09* |
| 20 Charter School Facilities Program | 3.3       | 4.9     | 4.9     | \$10,239     | \$10,859 | \$10,873 |

\* Dollars in thousands, except in Salary Range.

## 0985 California School Finance Authority - Continued

|                                                                                | Positions  |            |            | Expenditures    |                 |                 |
|--------------------------------------------------------------------------------|------------|------------|------------|-----------------|-----------------|-----------------|
|                                                                                | 2006-07    | 2007-08    | 2008-09    | 2006-07*        | 2007-08*        | 2008-09*        |
| <b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>                       | <b>3.3</b> | <b>4.9</b> | <b>4.9</b> | <b>\$10,239</b> | <b>\$10,859</b> | <b>\$10,873</b> |
| <b>FUNDING</b>                                                                 |            |            |            | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
| 0890 Federal Trust Fund                                                        |            |            |            | \$9,789         | \$9,850         | \$9,850         |
| 6040 Charter School Facilities Account, 2002 State School Facilities Fund      |            |            |            | 450             | -               | -               |
| 9734 2004 Charter School Facilities Account, 2004 State School Facilities Fund |            |            |            | -               | 580             | 594             |
| 9735 2006 Charter School Facilities Account, 2006 State School Facilities Fund |            |            |            | -               | 429             | 429             |
| <b>TOTALS, EXPENDITURES, ALL FUNDS</b>                                         |            |            |            | <b>\$10,239</b> | <b>\$10,859</b> | <b>\$10,873</b> |

### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

Education Code Sections 17170-17199.5 and 17078.52-17078.66.

### DETAILED BUDGET ADJUSTMENTS

|                                         | 2007-08*     |             |           | 2008-09*     |             |           |
|-----------------------------------------|--------------|-------------|-----------|--------------|-------------|-----------|
|                                         | General Fund | Other Funds | Positions | General Fund | Other Funds | Positions |
| <b>Baseline Adjustment Descriptions</b> |              |             |           |              |             |           |
| • Various Baseline Adjustments          | \$-          | \$14        | -         | \$-          | \$28        | -         |
| <b>Totals, Baseline Adjustments</b>     | <b>\$-</b>   | <b>\$14</b> | <b>-</b>  | <b>\$-</b>   | <b>\$28</b> | <b>-</b>  |
| <b>TOTALS, BUDGET ADJUSTMENTS</b>       | <b>\$-</b>   | <b>\$14</b> | <b>-</b>  | <b>\$-</b>   | <b>\$28</b> | <b>-</b>  |

### PROGRAM DESCRIPTIONS (Program Objectives Statement)

#### 10 - SMART BONDS PROGRAM

Chapter 1438, Statutes of 1985, as amended by Chapter 598, Statutes of 1987, established the California School Finance Authority (CSFA) and authorized the issuance of \$400 million in revenue bonds or other debt instruments. The proceeds from the sale of the bonds were available for loans to school and community college districts to assist with the acquisition of equipment and new school sites, construction of new facilities, reconstruction of existing facilities, capital improvements, acquisition of portable/relocatable buildings, and to provide working capital. Effective January 1, 1997, Chapter 1071, Statutes of 1996, authorized the issuance of an additional \$400 million in revenue bonds per fiscal year for school districts and county offices of education that agreed to guarantee payment of the bonds with Proposition 98 funds. The total outstanding amount may not exceed \$4 billion at any one time. Pursuant to Chapter 741, Statutes of 1998, effective January 1, 1999, the \$400 million annual issuance cap was eliminated. Based on program amendments effective January 1, 2007, Chapter 325, Statutes of 2006 (AB 2717), CSFA is initiating financing opportunities for charter schools for capital improvements and working capital needs.

#### 20 - CHARTER SCHOOL FACILITIES PROGRAM

Chapter 935, Statutes of 2002, as amended by Chapter 587, Statutes of 2003, created the Charter Schools Facilities Program, that provides funding for the new construction or renovation of charter school facilities. CSFA and the Office of Public School Construction jointly administer the program. The Charter School Facilities Program through the Kindergarten-University Public Education Facilities Bond Acts of 2002, 2004, and 2006, was funded \$100 million in bond proceeds from Proposition 47, \$300 million from Proposition 55, and \$500 million from Proposition 1D. To date, 34 schools have been awarded funding through the program's first two funding rounds. For the third funding round, 92 Program applications for projects totaling over \$1.5 billion have been received and are being reviewed for eligibility.

The program provides a 50% state subsidy for the charter school facilities project costs, with the balance of the project costs being repaid (to the state) by the charter school in the form of a long-term lease. Recipient charter schools must provide site-based instruction, be deemed financially sound by CSFA, and meet other program eligibility requirements.

#### 30 - STATE CHARTER SCHOOL FACILITIES INCENTIVE GRANTS PROGRAM

In 2004, CSFA was awarded a grant under the United States Department of Education's State Charter School Facilities Incentive Grants Program to provide California charter schools with assistance for facilities costs. The \$50 million federal grant is being allocated over a five-year period (through 2009) to eligible charter schools. Grant funds are used toward a charter school's cost of rent, lease, mortgage or debt service payments for existing or new facilities or toward the costs of acquiring land and constructing or renovating a facility. Grants are awarded to charter schools based on preference points allotted for the percentage of low-income students, percentage overcrowded, not-for-profit status, and demonstrated student

\* Dollars in thousands, except in Salary Range.

**0985 California School Finance Authority - Continued**

performance. Eligible charter schools must, at a minimum, be in good standing with their chartering authority, provide site-based instruction, and have completed at least one school year of instructional operations. Additional requirements are listed in the program regulations.

**DETAILED EXPENDITURES BY PROGRAM (Program Budget Detail)**

|                             |                                                                           | <u>2006-07*</u> | <u>2007-08*</u> | <u>2008-09*</u> |
|-----------------------------|---------------------------------------------------------------------------|-----------------|-----------------|-----------------|
| <b>PROGRAM REQUIREMENTS</b> |                                                                           |                 |                 |                 |
| <b>20</b>                   | <b>CHARTER SCHOOL FACILITIES PROGRAM</b>                                  |                 |                 |                 |
|                             | <b>State Operations:</b>                                                  |                 |                 |                 |
| 0890                        | Federal Trust Fund                                                        | \$64            | \$125           | \$125           |
| 6040                        | Charter School Facilities Account, 2002 State School Facilities Fund      | 450             | -               | -               |
| 9734                        | 2004 Charter School Facilities Account, 2004 State School Facilities Fund | -               | 580             | 594             |
| 9735                        | 2006 Charter School Facilities Account, 2006 State School Facilities Fund | -               | 429             | 429             |
|                             | <b>Totals, State Operations</b>                                           | <b>\$514</b>    | <b>\$1,134</b>  | <b>\$1,148</b>  |
|                             | <b>Local Assistance:</b>                                                  |                 |                 |                 |
| 0890                        | Federal Trust Fund                                                        | \$9,725         | \$9,725         | \$9,725         |
|                             | <b>Totals, Local Assistance</b>                                           | <b>\$9,725</b>  | <b>\$9,725</b>  | <b>\$9,725</b>  |
|                             | <b>TOTALS, EXPENDITURES</b>                                               |                 |                 |                 |
|                             | State Operations                                                          | 514             | 1,134           | 1,148           |
|                             | Local Assistance                                                          | 9,725           | 9,725           | 9,725           |
|                             | <b>Totals, Expenditures</b>                                               | <b>\$10,239</b> | <b>\$10,859</b> | <b>\$10,873</b> |

**EXPENDITURES BY CATEGORY (Summary By Object)**

| 1 State Operations                                               | Positions |         |         | Expenditures |          |          |
|------------------------------------------------------------------|-----------|---------|---------|--------------|----------|----------|
|                                                                  | 2006-07   | 2007-08 | 2008-09 | 2006-07*     | 2007-08* | 2008-09* |
| PERSONAL SERVICES                                                |           |         |         |              |          |          |
| Authorized Positions (Equals Sch. 7A)                            | 3.3       | 5.0     | 5.0     | \$244        | \$324    | \$331    |
| Total Adjustments                                                | -         | -       | -       | -            | 11       | 11       |
| Estimated Salary Savings                                         | -         | -0.1    | -0.1    | -            | -6       | -6       |
| Net Totals, Salaries and Wages                                   | 3.3       | 4.9     | 4.9     | \$244        | \$329    | \$336    |
| Staff Benefits                                                   | -         | -       | -       | 79           | 112      | 110      |
| Totals, Personal Services                                        | 3.3       | 4.9     | 4.9     | \$323        | \$441    | \$446    |
| OPERATING EXPENSES AND EQUIPMENT                                 |           |         |         | \$191        | \$693    | \$702    |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations) |           |         |         | \$514        | \$1,134  | \$1,148  |
| 2 Local Assistance                                               |           |         |         | Expenditures |          |          |
|                                                                  |           |         |         | 2006-07*     | 2007-08* | 2008-09* |
| Federal Grant Program                                            |           |         |         | \$9,725      | \$9,725  | \$9,725  |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)               |           |         |         | \$9,725      | \$9,725  | \$9,725  |

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS (Reconciliation with Appropriations)**

| <b>1 STATE OPERATIONS</b> |                                | <b>2006-07*</b> | <b>2007-08*</b> | <b>2008-09*</b> |
|---------------------------|--------------------------------|-----------------|-----------------|-----------------|
|                           | <b>0890 Federal Trust Fund</b> |                 |                 |                 |
| <b>APPROPRIATIONS</b>     |                                |                 |                 |                 |
|                           | 001 Budget Act appropriation   | \$125           | \$125           | \$125           |
|                           | Budget Adjustment              | -61             | -               | -               |

\* Dollars in thousands, except in Salary Range.

## 0985 California School Finance Authority - Continued

| 1 STATE OPERATIONS                                                                    | 2006-07*        | 2007-08*        | 2008-09*        |
|---------------------------------------------------------------------------------------|-----------------|-----------------|-----------------|
| <b>TOTALS, EXPENDITURES</b>                                                           | <b>\$64</b>     | <b>\$125</b>    | <b>\$125</b>    |
| <b>6040 Charter School Facilities Account, 2002 State School Facilities Fund</b>      |                 |                 |                 |
| APPROPRIATIONS                                                                        |                 |                 |                 |
| 001 Budget Act appropriation                                                          | \$547           | \$-             | \$-             |
| Allocation for employee compensation                                                  | 14              | -               | -               |
| Adjustment per Section 3.60                                                           | <u>2</u>        | <u>-</u>        | <u>-</u>        |
| <b>Totals Available</b>                                                               | <b>\$563</b>    | <b>\$-</b>      | <b>\$-</b>      |
| Unexpended balance, estimated savings                                                 | <u>-113</u>     | <u>-</u>        | <u>-</u>        |
| <b>TOTALS, EXPENDITURES</b>                                                           | <b>\$450</b>    | <b>\$-</b>      | <b>\$-</b>      |
| <b>9734 2004 Charter School Facilities Account, 2004 State School Facilities Fund</b> |                 |                 |                 |
| APPROPRIATIONS                                                                        |                 |                 |                 |
| 001 Budget Act appropriation                                                          | \$-             | \$566           | \$594           |
| Allocation for employee compensation                                                  | -               | 15              | -               |
| Adjustment per Section 3.60                                                           | <u>-</u>        | <u>-1</u>       | <u>-</u>        |
| <b>TOTALS, EXPENDITURES</b>                                                           | <b>\$-</b>      | <b>\$580</b>    | <b>\$594</b>    |
| <b>9735 2006 Charter School Facilities Account, 2006 State School Facilities Fund</b> |                 |                 |                 |
| APPROPRIATIONS                                                                        |                 |                 |                 |
| 001 Budget Act appropriation                                                          | <u>\$-</u>      | <u>\$429</u>    | <u>\$429</u>    |
| <b>TOTALS, EXPENDITURES</b>                                                           | <b>\$-</b>      | <b>\$429</b>    | <b>\$429</b>    |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>                             | <b>\$514</b>    | <b>\$1,134</b>  | <b>\$1,148</b>  |
|                                                                                       |                 |                 |                 |
| 2 LOCAL ASSISTANCE                                                                    | 2006-07*        | 2007-08*        | 2008-09*        |
| <b>0890 Federal Trust Fund</b>                                                        |                 |                 |                 |
| APPROPRIATIONS                                                                        |                 |                 |                 |
| 101 Budget Act appropriation                                                          | <u>\$9,725</u>  | <u>\$9,725</u>  | <u>\$9,725</u>  |
| <b>TOTALS, EXPENDITURES</b>                                                           | <b>\$9,725</b>  | <b>\$9,725</b>  | <b>\$9,725</b>  |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>                             | <b>\$9,725</b>  | <b>\$9,725</b>  | <b>\$9,725</b>  |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)</b>        | <b>\$10,239</b> | <b>\$10,859</b> | <b>\$10,873</b> |

### CHANGES IN AUTHORIZED POSITIONS

|                                   | Positions  |            |            | Expenditures |              |              |
|-----------------------------------|------------|------------|------------|--------------|--------------|--------------|
|                                   | 2006-07    | 2007-08    | 2008-09    | 2006-07*     | 2007-08*     | 2008-09*     |
| Totals, Authorized Positions      | 3.3        | 5.0        | 5.0        | \$244        | \$324        | \$331        |
| Salary Adjustments                | <u>-</u>   | <u>-</u>   | <u>-</u>   | <u>-</u>     | <u>11</u>    | <u>11</u>    |
| <b>Total Adjustments</b>          | <b>-</b>   | <b>-</b>   | <b>-</b>   | <b>\$-</b>   | <b>\$11</b>  | <b>\$11</b>  |
| <b>TOTALS, SALARIES AND WAGES</b> | <b>3.3</b> | <b>5.0</b> | <b>5.0</b> | <b>\$244</b> | <b>\$335</b> | <b>\$342</b> |

## 0989 California Educational Facilities Authority

The California Educational Facilities Authority (CEFA) provides funding to assist private non-profit institutions of higher learning in the expansion and construction of educational facilities. CEFA is also authorized to issue bonds, notes and other forms of indebtedness to finance the costs of attendance (student loans) at institutions of higher education. CEFA is a public instrumentality of the state consisting of five members: the Director of Finance, the State Controller, the State Treasurer, and two public members appointed by the Governor. All members serve four-year terms.

### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

Education Code Sections 94100-94213.

\* Dollars in thousands, except in Salary Range.

## 0989 California Educational Facilities Authority - Continued

### PROGRAM DESCRIPTIONS (Program Objectives Statement)

#### 10 - BOND FINANCING

The California Educational Facilities Authority (CEFA) issues revenue bonds to assist private educational institutions of higher learning in the expansion and construction of educational facilities. Because it is authorized to issue tax-exempt bonds, the Authority may provide more favorable financing to such private institutions than might otherwise be obtainable. Pursuant to Chapter 569, Statutes of 2001, CEFA may include qualifying non-profit entities as eligible program participants for the construction of student and faculty housing. Bonds issued by CEFA are not a debt, liability or claim on the faith and credit or the taxing power of the State of California, or any of its political subdivisions. The full faith and credit of the participating institution is normally pledged to the payment of the bonds. Bonds issued for this purpose are not subject to the state's "private activity" bond ceiling as specified in the Federal Tax Reform Act of 1986.

Prior to January 1, 1999, CEFA was authorized to have outstanding at any one time \$2.6 billion in bonds for educational facilities. Pursuant to Chapter 741, Statutes of 1998, effective January 1, 1999, there is no limitation in bonds outstanding. As of June 30, 2007, bonds and notes in the amount of \$7.5 billion have been issued and \$3.8 billion were outstanding.

#### 20 - FACILITY HOUSING AND GRANT PROGRAM

Chapter 1081, Statutes of 2002, clarifies the authorization for financing faculty housing for colleges and universities, and authorizes the use of up to \$2 million of CEFA funds, on a one-time basis for grants, not to exceed \$250,000 each, to help private colleges expand educational opportunities to low income students. In 2005, CEFA developed, through regulations, selection criteria and a process for awarding grants. Funds were to be disbursed over a three-year period. In fiscal year 2005-06, \$685,783 was disbursed to nine eligible schools, another \$697,732 was approved in 2006-07, and the balance will be paid in 2007-08. The grant program will sunset January 1, 2009.

#### 30 - STUDENT LOAN PROGRAMS

Pursuant to Chapter 917, Statutes of 1995, effective January 1, 1996, the California Student Loan Authority (CSLA) was abolished, and the assets, obligations, and functions were transferred to CEFA. The purpose of the CSLA was to purchase federally reinsured educational loans from eligible lending institutions by issuing tax-exempt revenue bonds, thereby expanding student access to such low-cost federally reinsured loans. Pursuant to Chapter 917, the functions were expanded under CEFA to include direct student lending from proceeds of tax-exempt revenue bonds issued by CEFA. As of June 30, 2007, \$32.3 million in bonds were outstanding, from a total \$265.4 million issued. Bonds for this purpose are subject to the state's "private activity" bond ceiling, as specified in the Federal Tax Reform Act of 1986 and allocated by the California Debt Limit Allocation Committee (CDLAC).

Chapter 318, Statutes of 2005, added the requirement that not-for-profit corporations must seek approval from CEFA before applying for an allocation of a portion of the state's annual private activity volume cap from CDLAC for the purposes of issuing Qualified Scholarship Funding Bonds for Student Loan Programs. This requirement does not pertain to entities that have applied to CDLAC prior to January 1, 2006. Bonds issued for student loans are not a debt, or liability, or a pledge of the faith and credit of the taxing power of the state or any of its political subdivisions. This is a trust activity and all operating expenses must be paid from revenues and other moneys available to CEFA.