

## 4440 Department of State Hospitals

The Department of State Hospitals (DSH) manages the nation's largest inpatient forensic mental health hospital system. Its mission is to provide evaluation and treatment in a safe and responsible manner, seeking innovation and excellence in state hospital operations, across a continuum of care and settings. DSH is responsible for the daily care and provision of mental health treatment of its patients. In 2014-15, DSH served almost 13,000 patients and the average daily inpatient census was approximately 6,800 in a 24 hours a day/seven days a week hospital setting. Additionally, approximately 600 individuals are served in the conditional release program.

DSH oversees five state hospitals and three psychiatric programs located in state prisons. DSH's five state hospitals are Atascadero, Coalinga, Metropolitan - Los Angeles, Napa and Patton. The three psychiatric programs are operated through an interagency agreement with the California Department of Corrections and Rehabilitation (CDCR), treating inmates in Vacaville, Salinas Valley and Stockton. Additionally, DSH provides services in jail-based competency treatment programs and conditional release programs serving all 58 counties.

Since department programs drive the need for infrastructure investment, each department has a related capital outlay program to support this need. For the specifics on DHS's Capital Outlay Program, see "Infrastructure Overview."

### 3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
4380 In-Patient Services	10,745.7	10,197.3	10,192.3	\$1,623,918	\$1,746,523	\$1,739,969
4385 Evaluation and Forensic Services	66.0	72.0	72.0	19,694	22,244	22,264
4390 Legal Services	<u>32.0</u>	<u>37.0</u>	<u>37.0</u>	<u>6,209</u>	<u>7,007</u>	<u>7,015</u>
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>	<b>10,843.7</b>	<b>10,306.3</b>	<b>10,301.3</b>	<b>\$1,649,821</b>	<b>\$1,775,774</b>	<b>\$1,769,248</b>
<b>FUNDING</b>				<b>2014-15*</b>	<b>2015-16*</b>	<b>2016-17*</b>
0001 General Fund				\$1,525,443	\$1,620,485	\$1,631,202
0814 California State Lottery Education Fund				141	24	24
0995 Reimbursements				<u>124,237</u>	<u>155,265</u>	<u>138,022</u>
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>				<b>\$1,649,821</b>	<b>\$1,775,774</b>	<b>\$1,769,248</b>

### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

Welfare and Institutions Code, Divisions 4-8 (commencing with Section 4000).

### MAJOR PROGRAM CHANGES

- The Budget includes an increase of \$1.5 million General Fund in 2016-17 to activate an additional 10 restoration of competency program beds for the treatment of incompetent to stand trial commitments.

### DETAILED BUDGET ADJUSTMENTS

	2015-16*			2016-17*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>						
<b>Workload Budget Change Proposals</b>						
• Unified Hospital Communications-Public Address System Phase 1	\$-	\$-	-	\$6,496	\$-	2.0
• Conditional Release Program Caseload	-	-	-	3,647	-	-
• Third Party Patient Cost Recovery	-	-	-	3,169	-	15.0
• Sonoma Restoration of Competency Program	-	-	-	1,456	-	-
• Patient Management Unit Extension	-	-	-	1,128	-	10.0
• Injury and Illness Prevention Program Extension	-	-	-	522	-	5.0
• Evaluators for San Bernardino Restoration of Competency Program	-	-	-	336	-	2.0
• Restoration of Competency Program Delayed Activation Savings	-1,175	-	-	-	-	-

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

## 4440 Department of State Hospitals - Continued

	2015-16*			2016-17*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Totals, Workload Budget Change Proposals</b>	<b>-\$1,175</b>	<b>\$-</b>	<b>-</b>	<b>\$16,754</b>	<b>\$-</b>	<b>34.0</b>
<b>Other Workload Budget Adjustments</b>						
• Expenditure by Category Redistribution	\$210,713	\$-	-	\$208,625	\$-	-
• Section 6.10 deferred maintenance adjustment	7,000	-	-	-	-	-
• Limited-Term Positions/Expiring Programs	-	-	-	-3,441	-	-30.0
• Salary Adjustments	24,369	-	-	24,371	-	-
• Benefit Adjustments	10,474	-	-	13,715	-	-
• Miscellaneous Baseline Adjustments	-	-1	-	7,125	-1	-
• Retirement Rate Adjustments	2,753	-	-	2,753	-	-
• Lease Revenue Debt Service Adjustment	-25	-	-	-38	-1	-
• Budget Position Transparency	-210,713	-	-1,189.7	-208,625	-	-1,189.7
<b>Totals, Other Workload Budget Adjustments</b>	<b>\$44,571</b>	<b>-\$1</b>	<b>-1,189.7</b>	<b>\$44,485</b>	<b>-\$2</b>	<b>-1,219.7</b>
<b>Totals, Workload Budget Adjustments</b>	<b>\$43,396</b>	<b>-\$1</b>	<b>-1,189.7</b>	<b>\$61,239</b>	<b>-\$2</b>	<b>-1,185.7</b>
<b>Totals, Budget Adjustments</b>	<b>\$43,396</b>	<b>-\$1</b>	<b>-1,189.7</b>	<b>\$61,239</b>	<b>-\$2</b>	<b>-1,185.7</b>

\* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

**4440 Department of State Hospitals - Continued****State Hospital In-Hospital Population**

	Last Wednesday of Fiscal Year			Average (Two Year Average)		
	Observed	Estimated	Estimated	Observed	Estimated	Estimated
	<b>2014-15</b>	<b>2015-16</b>	<b>2016-17</b>	<b>2014-15</b>	<b>2015-16</b>	<b>2016-17</b>
LPS	617	614	614	587	616	614
PC <sup>1</sup>	4,072	4,273	4,273	4,194	4,173	4,273
Other <sup>2</sup>	2,108	2,278	2,278	2,093	2,193	2,278
<b>Total</b>	<b>6,797</b>	<b>7,165</b>	<b>7,165</b>	<b>6,874</b>	<b>6,982</b>	<b>7,165</b>

Acronyms Used Above: Lanterman-Petris-Short (LPS) and Penal Code (PC)

<sup>1</sup> Includes Not Guilty by Reason of Insanity, Incompetent to Stand Trial, and Mentally Disordered Offender patients.

<sup>2</sup> Includes Penal Code 2684, Welfare and Institutions Code 1756, and Sexually Violent Predator patients.

## 4440 Department of State Hospitals - Continued

### PROGRAM DESCRIPTIONS

#### 4380 - IN-PATIENT SERVICES PROGRAM

The In-Patient Services Program administers the California state hospital system, the Conditional Release Program, the Sex Offender Commitment Program, the Restoration of Competency Program, and the treatment and evaluation of judicially and civilly committed patients.

##### Program Administration

Program Administration includes headquarters functions that support the state hospital system, including policy development and management, licensing oversight, patients' rights coordination, clinical oversight, data research and fiscal management.

##### In-Patient Services

The state hospital system includes five state hospitals: Atascadero, Metropolitan - Los Angeles, Napa, Patton, and Coalinga. In addition, this program includes three inpatient psychiatric programs located at the California Medical Facility in Vacaville, Salinas Valley State Prison in Salinas Valley and within the California Health Care Facility in Stockton. The three psychiatric programs provide treatment services to CDCR inmates. Mental health treatment services at all facilities are delivered by clinical teams who provide full-time inpatient care to the most serious mentally ill and those incapable of living in the community.

##### Conditional Release

The Conditional Release Program (CONREP) is the statewide system of community-based services for specified forensic patients. Mandated as a state responsibility by the Governor's Mental Health Initiative of 1984, the program began operations on January 1, 1986.

The goal of CONREP is to ensure greater public protection in California communities via an effective and standardized community outpatient treatment system.

##### Restoration of Competency

The Restoration of Competency (ROC) Program focuses on defendants deemed Incompetent to Stand Trial in accordance with Penal Code Section 1370. The ROC Program provides mental health treatment to defendants in a jail-based or community setting, restoring them to competency, and allowing for participation in court proceedings and ensuring due process.

#### 4385 - EVALUATION AND FORENSIC SERVICES

Evaluation and Forensic Services is comprised of the Mentally Disordered Offender (MDO) and the Sexual Offender Commitment Program (SOCP). The MDO program applies only to prisoners whose crimes were committed on or after January 1, 1986. Penal Code Sections 2960-2981 require that a prisoner who meets six specific MDO criteria be ordered by the Board of Prison Terms to be treated by DSH as a condition of parole. Welfare and Institutions Code Section 6600 et seq. (Chapter 793, Statutes of 1995) was enacted January 1, 1996, establishing a new category of civil commitment for persons found, upon release from prison, to be sexually violent predators. The program serving these patients is the SOCP.

#### 4390 - LEGAL SERVICES

The Legal Services Division is responsible for providing DSH with a full range of comprehensive legal services, including, but not limited to, developing legislation; providing litigation, Health Information Portability and Accountability Act (HIPAA) and contract law advice to the state hospitals, psychiatric programs and headquarters; representing DSH at various court and administrative law proceedings involving involuntary medication, orders to show cause, and personnel issues throughout the state.

### DETAILED EXPENDITURES BY PROGRAM

		2014-15*	2015-16*	2016-17*
<b>PROGRAM REQUIREMENTS</b>				
<b>4380</b>	<b>IN-PATIENT SERVICES</b>			
	<b>State Operations:</b>			
0001	General Fund	\$1,499,721	\$1,591,415	\$1,602,104
0814	California State Lottery Education Fund	141	24	24
0995	Reimbursements	<u>124,056</u>	<u>155,084</u>	<u>137,841</u>
	<b>Totals, State Operations</b>	<b>\$1,623,918</b>	<b>\$1,746,523</b>	<b>\$1,739,969</b>
<b>PROGRAM REQUIREMENTS</b>				
<b>4385</b>	<b>EVALUATION AND FORENSIC SERVICES</b>			

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## 4440 Department of State Hospitals - Continued

		2014-15*	2015-16*	2016-17*
<b>State Operations:</b>				
0001	General Fund	\$19,694	\$22,244	\$22,264
<b>Totals, State Operations</b>		<b>\$19,694</b>	<b>\$22,244</b>	<b>\$22,264</b>
<b>PROGRAM REQUIREMENTS</b>				
<b>4390</b>	<b>LEGAL SERVICES</b>			
<b>State Operations:</b>				
0001	General Fund	\$6,028	\$6,826	\$6,834
0995	Reimbursements	181	181	181
<b>Totals, State Operations</b>		<b>\$6,209</b>	<b>\$7,007</b>	<b>\$7,015</b>
<b>TOTALS, EXPENDITURES</b>				
State Operations		1,649,821	1,775,774	1,769,248
<b>Totals, Expenditures</b>		<b>\$1,649,821</b>	<b>\$1,775,774</b>	<b>\$1,769,248</b>

## EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
PERSONAL SERVICES						
Baseline Positions	11,234.0	11,496.0	11,487.0	\$1,026,244	\$1,047,284	\$1,045,196
Budget Position Transparency	-	-1,189.7	-1,189.7	-	-210,713	-208,625
Total Adjustments	-390.3	-	4.0	-123,180	24,369	23,366
<b>Net Totals, Salaries and Wages</b>	<b>10,843.7</b>	<b>10,306.3</b>	<b>10,301.3</b>	<b>\$903,064</b>	<b>\$860,940</b>	<b>\$859,937</b>
Staff Benefits	-	-	-	373,399	412,458	416,930
<b>Totals, Personal Services</b>	<b>10,843.7</b>	<b>10,306.3</b>	<b>10,301.3</b>	<b>\$1,276,463</b>	<b>\$1,273,398</b>	<b>\$1,276,867</b>
OPERATING EXPENSES AND EQUIPMENT				\$373,358	\$502,376	\$492,381
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$1,649,821</b>	<b>\$1,775,774</b>	<b>\$1,769,248</b>

## DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS		2014-15*	2015-16*	2016-17*
	<b>0001 General Fund</b>			
APPROPRIATIONS				
003	Budget Act appropriation	\$39,254	\$38,458	\$38,419
	Lease Revenue Debt Service Adjustment	-311	-24	-
	Tenant Rent Adjustment	-	-1	-
011	Budget Act appropriation (State Hospitals)	1,460,995	1,537,001	1,591,126
	Allocation for employee compensation	18,375	24,354	-
	Allocation for staff benefits	1,283	10,468	-
	Budget Position Transparency	-	-210,713	-
	Expenditure by Category Redistribution	-	210,713	-
	Past year adjustments	-1,431	-	-
	Section 3.60 pension contribution adjustment	17,570	2,748	-
	Section 6.10 deferred maintenance adjustment	-	7,000	-
017	Budget Act appropriation	1,095	1,130	1,157
	Allocation for employee compensation	16	15	-
	Allocation for staff benefits	-	6	-

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## 4440 Department of State Hospitals - Continued

1 STATE OPERATIONS	2014-15*	2015-16*	2016-17*
Section 3.60 pension contribution adjustment	19	5	-
Welfare and Institutions Code section 4112(b)	500	500	500
Past year adjustments	<u>153</u>	<u>-</u>	<u>-</u>
<b>Totals Available</b>	<b>\$1,537,518</b>	<b>\$1,621,660</b>	<b>\$1,631,202</b>
Unexpended balance, estimated savings	<u>-12,075</u>	<u>-1,175</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$1,525,443</b>	<b>\$1,620,485</b>	<b>\$1,631,202</b>
<b>0814 California State Lottery Education Fund</b>			
APPROPRIATIONS			
Government Code section 8880.5	\$91	\$25	\$24
Miscellaneous Adjustment	-	-1	-
Past year adjustments	<u>50</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$141</b>	<b>\$24</b>	<b>\$24</b>
<b>0995 Reimbursements</b>			
APPROPRIATIONS			
Reimbursements	<u>\$124,237</u>	<u>\$155,265</u>	<u>\$138,022</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$124,237</b>	<b>\$155,265</b>	<b>\$138,022</b>
<b>Total Expenditures, All Funds, (State Operations)</b>	<b>\$1,649,821</b>	<b>\$1,775,774</b>	<b>\$1,769,248</b>

## CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2014-15	2015-16	2016-17	2014-15*	2015-16*	2016-17*
<b>Baseline Positions</b>	11,234.0	11,496.0	11,487.0	\$1,026,244	\$1,047,284	\$1,045,196
Budget Position Transparency	-	-1,189.7	-1,189.7	-	-210,713	-208,625
<b>Salary and Other Adjustments</b>	-390.3	-	-30.0	-123,180	24,369	20,930
<b>Workload and Administrative Adjustments</b>						
<b>Evaluators for San Bernardino Restoration of Competency Program</b>						
Consulting Psychologist	-	-	2.0	-	-	336
<b>Injury and Illness Prevention Program Extension</b>						
Assoc Govtl Program Analyst	-	-	5.0	-	-	311
<b>Patient Management Unit Extension</b>						
Assoc Govtl Program Analyst	-	-	5.0	-	-	297
Nursing Consultant - Program Review	-	-	1.0	-	-	83
Office Techn (Gen)	-	-	1.0	-	-	36
Psychologist (Clinical)	-	-	1.0	-	-	94
Research Analyst II	-	-	1.0	-	-	63
Staff Svcs Mgr I	-	-	1.0	-	-	68
<b>Third Party Patient Cost Recovery</b>						
Atty III	-	-	2.0	-	-	220
Staff Svcs Analyst (Gen)	-	-	9.0	-	-	470
Staff Svcs Mgr I	-	-	2.0	-	-	143
Staff Svcs Mgr II (Suprvy)	-	-	2.0	-	-	157
<b>Unified Hospital Communications-Public Address System Phase 1</b>						
Sys Software Spec II (Tech)	<u>-</u>	<u>-</u>	<u>2.0</u>	<u>-</u>	<u>-</u>	<u>158</u>
<b>TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS</b>	<b>-</b>	<b>-</b>	<b>34.0</b>	<b>\$-</b>	<b>\$-</b>	<b>\$2,436</b>
<b>Totals, Adjustments</b>	<u>-390.3</u>	<u>-1,189.7</u>	<u>-1,185.7</u>	<u>-\$123,180</u>	<u>-\$186,344</u>	<u>-\$185,259</u>
<b>TOTALS, SALARIES AND WAGES</b>	<b>10,843.7</b>	<b>10,306.3</b>	<b>10,301.3</b>	<b>\$903,064</b>	<b>\$860,940</b>	<b>\$859,937</b>

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## 4440 Department of State Hospitals - Continued

### INFRASTRUCTURE OVERVIEW

The Department of State Hospitals operates and maintains five state hospitals throughout California including: Atascadero (San Luis Obispo County), Coalinga (Fresno County), Metropolitan (Los Angeles County), Napa (Napa County), and Patton (San Bernardino County). These five facilities comprise more than 6.6 million gross square feet of space on 2,600 acres of land. Each state hospital provides inpatient treatment services for California's mentally ill.

### SUMMARY OF PROJECTS

		State Building Program Expenditures	2014-15*	2015-16*	2016-17*
<b>4395</b>	<b>CAPITAL OUTLAY Projects</b>				
0000030	Atascadero: East West Corridor Seismic Upgrade		325	442	5,288
	Preliminary Plans		325	-	-
	Working Drawings		-	442	-
	Construction		-	-	5,288
0000033	Metropolitan: Fire Alarm System Upgrade		712	7,634	-
	Working Drawings		712	-	-
	Construction		-	7,634	-
0000034	Napa: Construct New Main Kitchen		24,681	4,223	-
	Construction		24,681	4,223	-
0000035	Napa: Courtyard Gates and Security Fencing		191	2,029	-
	Working Drawings		191	-	-
	Construction		-	2,029	-
0000036	Napa: Fire Alarm Replacement System		900	-	-
	Construction		900	-	-
0000037	Patton: Construct New Main Kitchen		-	32,750	-
	Construction		-	32,750	-
0000041	Statewide: Enhanced Treatment Units		1,233	-	12,336
	Preliminary Plans		1,233	-	-
	Working Drawings		-	-	869
	Construction		-	-	11,467
0000717	Metropolitan: Increased Secured Bed Capacity		-	3,636	31,182
	Preliminary Plans		-	1,930	-
	Working Drawings		-	1,706	-
	Construction		-	-	31,182
0000718	Patton: Fire Alarm System Upgrade		-	731	554
	Preliminary Plans		-	731	-
	Working Drawings		-	-	554
0000719	Coalinga: Courtyard Expansion		-	219	603
	Preliminary Plans		-	219	-
	Working Drawings		-	-	603
<b>TOTALS, EXPENDITURES, ALL PROJECTS</b>			<b>\$28,042</b>	<b>\$51,664</b>	<b>\$49,963</b>

### FUNDING

		2014-15*	2015-16*	2016-17*
0001	General Fund	\$3,361	\$14,691	\$49,963
0660	Public Buildings Construction Fund	24,681	36,973	-
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>		<b>\$28,042</b>	<b>\$51,664</b>	<b>\$49,963</b>

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## 4440 Department of State Hospitals - Continued

### DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

3 CAPITAL OUTLAY	2014-15*	2015-16*	2016-17*
<b>0001 General Fund</b>			
APPROPRIATIONS			
301 Budget Act appropriation	\$17,848	\$27,200	\$37,627
0000755 - Napa Plant Operations Seismic Retrofit - Reversion per Item 4440-301-0001 Provision 1, Budget Act of 2015 - PWC	-	-1,042	-
Prior Year Balances Available:			
Item 4440-301-0001, Budget Act of 2012	5,924	-	-
Item 4440-301-0001, Budget Act of 2014	-	869	869
Item 4440-301-0001, Budget Act of 2015	-	-	<u>11,467</u>
<b>Totals Available</b>	<b>\$23,772</b>	<b>\$27,027</b>	<b>\$49,963</b>
Unexpended balance, estimated savings	-19,542	-	-
Balance available in subsequent years	<u>-869</u>	<u>-12,336</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$3,361</b>	<b>\$14,691</b>	<b>\$49,963</b>
<b>0660 Public Buildings Construction Fund</b>			
Prior Year Balances Available:			
Item 4440-301-0660, Budget Act of 2007 as reappropriated by Item 4440-491, Budget Act of 2012	293	-	-
Item 4440-301-0660, Budget Act of 2008, as reappropriated by Item 4440-490, Budget Act of 2010 and Item 4440-491, Budget Act of 2012	62,020	-	-
Patton: Construct New Main Kitchen	-386	-	-
Various Projects: Carryover Adjustments	-	4,223	-
Various Projects: Miscellaneous Baseline Adjustments	<u>778</u>	<u>32,750</u>	<u>-</u>
<b>Totals Available</b>	<b>\$62,705</b>	<b>\$36,973</b>	<b>\$-</b>
Unexpended balance, estimated savings	-1,050	-	-
Balance available in subsequent years	<u>-36,974</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$24,681</b>	<b>\$36,973</b>	<b>\$-</b>
<b>Total Expenditures, All Funds, (Capital Outlay)</b>	<b>\$28,042</b>	<b>\$51,664</b>	<b>\$49,963</b>

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